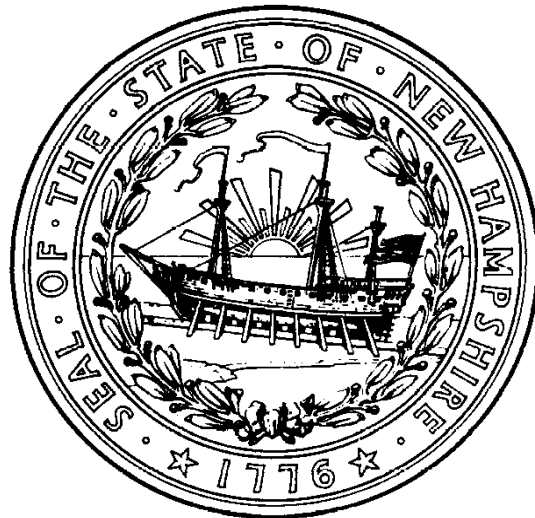
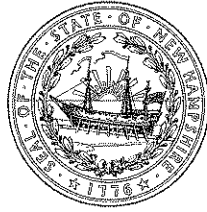


FISCAL COMMITTEE



Meeting of December 18, 2015
10:00 a.m.
Room 210-211, LOB



MICHAEL W. KANE, MPA
Legislative Budget Assistant
(603) 271-3161

CHRISTOPHER M. SHEA, MPA
Deputy Legislative Budget Assistant
(603) 271-3161

State of New Hampshire

OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

STEPHEN C. SMITH, CPA
Director, Audit Division
(603) 271-2785

December 10, 2015

To the Members of the Fiscal Committee
of the General Court

The Chairman of the Fiscal Committee of the General Court, as established by RSA 14:30-a, of which you are a member, has requested that you be notified that the Fiscal Committee will hold a regular business meeting on Friday, December 18, 2015, at 10:00 a.m. in Room 210-211 of the Legislative Office Building.

Please find attached information to be discussed at that meeting.

Sincerely,

A handwritten signature in black ink, appearing to read "Michael W. Kane".

Michael W. Kane
Legislative Budget Assistant

MWK/pe
Attachments

FISCAL COMMITTEE
AGENDA

Friday, December 18, 2015 in Room 210-211 of the Legislative Office Building

10:00 a.m.

- (1) Acceptance of Minutes of the October 16, 2015, including continuation meeting of October 20, 2015 and November 3, 2015 meetings

NOTE: ALL ITEMS LISTED ON THE AGENDA UNDER CONSENT CALENDAR ARE IN BOLD.

- (2) Old Business:
- (3) RSA 14:30-a, III Audit Topic Recommendation by Legislative Performance Audit and Oversight Committee:

FIS 15-281 Letter from Senator John Reagan, Chairman of the Legislative Performance Audit and Oversight Committee relative to the recommendation of the following four (4) new performance audit topics:

- Department of Safety, Homeland Security and Emergency Management, Efficient and Effective Operations
- Department of Environmental Services, Air Resources Division, Efficient and Effective Program Operations
- Department of Transportation, Bridge Maintenance, Efficient and Effective Operations; and
- Department of Information Technology, Efficient and Effective Operations

CONSENT CALENDAR

- (4) **RSA 9:16-c, I, Transfer of Federal Grant Funds:**

FIS 15-256 Department of Safety – authorization to transfer \$4,000 in federal funds through June 30, 2016

FIS 15-277 Insurance Department – authorization to reduce the appropriated funds down by \$162,608 in federal funds to the grant fund balance available and to realign the remaining appropriation for FY 2016

- (5) **RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source:**

FIS 15-230 Department of Justice – authorization to amend FIS 15-129, approved July 29, 2015, by extending the end date only from December 31, 2015 to June 30, 2017, with no increase in funding

FIS 15-231 Department of Justice – authorization to amend FIS 15-115, approved July 29, 2015, by extending the end date only from December 31, 2015 to June 30, 2017, with no increase in funding

FIS 15-232 Department of Justice – authorization to amend FIS 15-113, approved July 29, 2015, by extending the end date only from December 31, 2015 to September 30, 2016, with no increase in funding

FIS 15-233 Department of Justice – authorization to amend FIS 15-116, approved July 29, 2015, by extending the end date only from December 31, 2015 to September 30, 2016, with no increase in funding

FIS 15-234 Department of Resources and Economic Development – authorization to amend FIS 15-193, approved September 25, 2015, by extending the end date only from December 31, 2015 to September 29, 2016, with no increase in funding

FIS 15-235 Department of Safety – authorization to retroactively accept and expend \$101,655 in other funds effective as of October 1, 2015 through September 15, 2016

FIS 15-236 Department of Safety – authorization to amend FIS 15-164, approved August 26, 2015, by extending the end date only from December 31, 2015 to June 30, 2017, with no increase in funding

FIS 15-237 Department of Safety – authorization to amend FIS 15-165, approved August 26, 2015, by extending the end date only from December 31, 2015 to June 30, 2017, with no increase in funding

FIS 15-238 Department of Safety – authorization to amend FIS 15-166, approved August 26, 2015, by extending the end date only from December 31, 2015 to June 30, 2016, with no increase in funding

FIS 15-246 Department of Justice – authorization to accept and expend \$142,145 in federal funds through June 30, 2017

FIS 15-248 Department of Justice – authorization to accept and expend \$500,000 in federal funds through June 30, 2017

FIS 15-255 Department of Health and Human Services – authorization to accept and expend \$300,000 in other funds through June 30, 2017

FIS 15-258 Department of Health and Human Services – authorization to accept and expend \$286,867 in federal funds through June 30, 2017

FIS 15-259 Department of Transportation – authorization to amend FIS 15-188, approved September 25, 2015, by extending the end date only from December 31, 2015 to June 30, 2016, with no increase in funding

FIS 15-262 Department of Agriculture, Markets and Food – authorization to retroactively amend FIS 14-198, approved January 23, 2015, by extending the end date only from June 30, 2015 to June 30, 2017, with no increase in funding

FIS 15-264 Department of Health and Human Services – authorization to accept and expend \$120,522 in federal funds through June 30, 2016

FIS 15-272 Department of Health and Human Services – authorization to; 1) amend FIS 15-196, approved August 26, 2015, by extending the end date only from December 31, 2015 to June 30, 2017, and 2) subject to approval of #1, authorization to accept and expend \$465,834 in federal funds

(6) RSA 14:30-a, VI Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source and RSA 124:15, Positions Authorized:

FIS 15-239 Department of Environmental Services – authorization to amend FIS 15-117, approved July 29, 2015, by; 1) extending the end date only from December 31, 2015 to June 30, 2017, with no increase in funding, 2) extend the end date for five (5) full-time temporary positions consisting of; an Administrator IV (LG 33), an Administrator III (LG 31), a Civil Engineer VI (LG 32), and two (2) Environmentalist IV (LG 27) positions from December 31, 2015 to June 30, 2017, and further authorization to 3) extend the end date from December 31, 2015 to June 30, 2017 for the reallocation of \$1,579,195 in MTBE Settlement funds [Originally approved November 22, 2013 (FIS 13-246)]

FIS 15-240 Department of Health and Human Services – authorization to; 1) accept and expend \$362,852 in federal funds through June 30, 2017, and 2) subject to approval of #1, authorization to establish one (1) full-time temporary Program Specialist IV (LG 25) position through June 30, 2017

FIS 15-241 Department of Safety – authorization to amend FIS 15-195, approved September 25, 2015, by; 1) extending the end date only from December 31, 2015 to June 30, 2017, with no increase in funding, and 2) extend the end date only from December 31, 2015 to June 30, 2017 for two (2) part-time temporary Program Assistant positions

FIS 15-242 Department of Safety – authorization to amend FIS 15-144, approved July 29, 2015, by; 1) extending the end date only from December 31, 2015 to September 30, 2016, with no increase in funding, and 2) extend the end date only from December 31, 2015 to September 30, 2016, with no increase in funding, for a class 046 consultant position [Originally approved March 21, 2014 (FIS 14-034) and subsequently amended on September 26, 2014 (FIS 14-152)]

FIS 15-247 Department of Justice – authorization to amend FIS 15-194, approved September 25, 2015, by; 1) extending the end date from December 31, 2015 to June 30, 2017 and to accept and expend an additional \$138,902 in others funds through June 30, 2017, and 2) subject to approval of #1, authorization to extend the end date from December 31, 2015 to June 30, 2017 for one (1) full-time temporary Planning Analyst/Data Systems position, and 3) extend the end date from December 31, 2015 to June 30, 2017 for a consultant position

FIS 15-249 Department of Safety – authorization to amend FIS 15-132, approved August 26, 2015, by; 1) extending the end date from December 31, 2015 to June 30, 2017 and reduce the amount by \$471,861 to align with the federally approved budget balance of \$870,733, and 2) extend the end date only from December 31, 2015 to June 30, 2017 for class 046 consultant positions

FIS 15-250 Department of Justice – authorization to amend FIS 15-114, approved July 29, 2015, by; 1) extending the end date from December 31, 2015 to June 30, 2017, with no increase in funding, and 2) subject to approval of #1, authorization to extend the end date from December 31, 2015 to June 30, 2017 for a class 046 consultant position, with no increase in funding

FIS 15-253 Department of Resources and Economic Development – authorization to amend FIS 15-181, approved August 26, 2015, by; 1) extending the end date only from December 31, 2015 to May 31, 2016, with no increase in funding, and 2) subject to approval of #1, extend the end date only from December 31, 2015 to May 31, 2016 for a class 046 consultant position, with no increase in funding

FIS 16-263 Department of Education – authorization to; 1) accept and expend \$763,462 in federal funds through June 30, 2017 and 2) establish a full-time temporary Education Consultant I (LG 26) position through June 30, 2017

FIS 15-265 Department of Safety – authorization to; 1) accept and expend \$165,975 in other funds through September 30, 2016, and 2) establish class 046 consultant positions through September 30, 2016

FIS 15-266 Department of Safety – authorization to; 1) accept and expend \$77,170 in other funds through March 31, 2016, and 2) subject to approval of #1, authorization to amend FIS 15-156, approved August 26, 2015, by extending the end date only from December 31, 2015 to March 31, 2016, for four (4) part-time temporary positions consisting of; a Program Specialist III (LG 21), two (2) Field Representative II (LG 21), and a Program Assistant II (LG 15)

FIS 15-273 Board of Pharmacy – authorization to amend FIS 15-137, approved July 29, 2015, by; 1) extending the end date only from December 31, 2015 to March 31, 2016, with no increase in funding, 2) and 3) respectively, extend the end date from December 31, 2015 to March 31, 2016 for one (1) full-time temporary Administrator I (LG 27) position and one (1) part-time temporary Administrator I (LG 27) position [Originally approved February 14, 2014 (FIS 14-015) and subsequently amended on ; November 10, 2014 (FIS 14-166) and April 3, 2015 (FIS 15-045)]

(7) **RSA 124:15, Positions Authorized:**

FIS 15-267 Department of Justice – authorization to establish one full time temporary Drug Prosecutor position through June 30, 2017

FIS 15-268 Department of Safety – authorization to retroactively establish one (1) temporary Program Assistant II (LG 15) position for the period of July 1, 2015 through September 30, 2016

FIS 15-274 Department of Administrative Services – authorization to retroactively establish one (1) part-time temporary Planning Analyst/Data Systems position for the period of July 1, 2015 through May 31, 2016

- (8) RSA 14:30-a, VI, Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source, and RSA 228:69, I. (b), Appropriation and Use of Special Railroad Fund:

FIS 15-254 Department of Transportation – authorization to amend FIS 15-177, approved August 28, 2015, by: 1) extending the end date only from December 31, 2015 to June 30, 2016, and 2) extend the end date only from December 31, 2015 to June 30, 2016, with no increase in funding

- (9) RSA 21-I:19-g, III, Use of State-Owned Vehicles:

FIS 15-278 Department of Administrative Services - agency requests for waivers to retain 69 State owned passenger vehicles which fall below the break-even mileage for FY 2015

- (10) RSA 126-A:5, XXIII-XXV, Commissioner of Health and Human Services:

FIS 15-284 Department of Health and Human Services – requests approval of two (2) amendments to the NH State Medicaid Plan in order to implement the Premium Assistance Program for the NH Health Protection Program , making changes to; 1) the Alternative Benefit Plan SPA in order to specify the use of Individual Health Plans for NHHPP enrollees, and 2) the Cost Sharing SPA in order to implement point of service co-payments for all NHHPP enrollees above 100% of federal poverty level

- (11) Chapter 274:11 and 12, Laws of 2015, Legislative Employees; January 8, 2016 and January 6, 2017:

FIS 15-282 Office of Legislative Budget Assistant – approval to grant 2 % salary increases to employees of the Office of Legislative Budget Assistant effective January 8, 2016 and January 6, 2017

- (12) Chapter 276:29, Laws of 2015, Department of Transportation; Transfer of Funds and RSA 124:15, Positions Authorized:

FIS 15-269 Department of Transportation – authorization to; 1) establish various non-budgeted classes in various accounting units and to transfer \$25,600 between various accounts and classes through June 30, 2016, and 2) establish a class 046 (Consultants) to pay for an existing consultant contract through June 30, 2016

- (13) Chapter 276:143, Laws of 2015, Department of Health and Human Services; Transfer Among Accounts and RSA 14:30-a, IV, Fiscal Committee Approval Required for Acceptance and Expenditure of Funds Over \$100,000 from any Non-State Source:

FIS 15-270 Department of Health and Human Services – authorization to transfer \$2,935,876 in general funds and increase federal revenues in the amount of \$9,129,830 and increase related other revenues in the amount of \$1,219,378 through June 30, 2016

(14) Chapter 276:198, Laws of 2015, Department of Safety; Transfer Among Accounts:

FIS 15-261 Department of Safety – authorization to transfer \$1,722,444 in federal funds within accounting units through June 30, 2016

FIS 15-271 Department of Safety – authorization to transfer \$2,257,582 in SFY 2016 and \$2,295,067 in SFY 2017 in highway funds within various accounting units through June 30, 2017

(15) Chapter 276:206, Laws of 2015, Department of Health and Human Services; Sununu Youth Services Center; Cost Savings Plan:

FIS 15-229 Department of Health and Human Services – authorization to implement the actions contained in the Cost Savings Plan to support the dual purposes within the Sununu Youth Services Center of providing both secure youth detention and psychiatric residential treatment

FIS 15-229 Additional Information Department of Health and Human Services – Letter, dated November 18, 2015, containing two separate provision relative to the funding of the Sununu Youth Services Center

(16) Chapter 276:219, Laws of 2015, Department of Corrections; Transfers:

FIS 15-260 Department of Corrections – authorization to transfer \$1,401,683 among accounts through June 30, 2016

(17) Miscellaneous:

(18) Informational Materials:

LBAO Report on Additional Revenues for the Biennium Ending June 30, 2017 – Fiscal Committee Approvals through October 16, 2015 (RSA 14:30-a, VI)

Letter from Michael W. Kane, Legislative Budget Assistant relative to a hiring, promotion or step increases granted to LBAO employees

Joint Legislative Facilities Committee
Legislative Branch
Detail of Balance of Funds Available
Fiscal Year 2016
As of 10/31/15

Joint Legislative Facilities Committee
Legislative Branch
Detail of Balance of Funds Available
Fiscal Year 2016
As of 11/30/15

FIS 15-243 New Hampshire Lottery Commission – RSA 284:21-h, VII – Quarterly report on the status of the incentive employee recognition program for the sale of instant tickets for the first quarter of FY 2016

FIS 15-244 Department of Resources and Economic Development – RSA 216-A:3-m, II – Transfer of funds for the Division of Parks and Recreation for the quarter ending September 30, 2015

FIS 15-245 Department of Resources and Economic Development – Chapter 276:27, Laws of 2015 – Transfer of funds for the Division of Travel and Tourism Development’s Welcome Information Centers for the quarter ending September 30, 2015

FIS 15-251 New Hampshire Fish and Game Department – RSA 206:42 – Search and Rescue Quarterly Report of Expenditures for the period April 1, 2015 to June 30, 2015

FIS 15-252 New Hampshire Fish and Game Department – RSA 206:42 – Search and Rescue Quarterly Report of Expenditures for the period July 1, 2015 to September 30, 2015

FIS 15-257 Department of Health and Human Services – Chapter 276:205, Laws of 2015 – appropriation reduction plan for the Sununu Youth Services Center, dated November 23, 2015

FIS 15-275 Department of Health and Human Services – as of October 31, 2015 Operating Statistics Dashboard report for the fiscal year ending June 30, 2016

FIS 15-276 University System of New Hampshire – RSA 187-A:25-a – Annual Report for the University System of New Hampshire for fiscal year ended June 30, 2015

FIS 15-279 Department of Administrative Services – RSA 21-I:19-g, III-b – Annual report of all light duty trucks whose mileage is at or below the break-even mileage requirement during FY 2015

FIS 15-280 Department of Administrative Services – Chapter 319:32, Laws of 2003 – State Employee Health Insurance - report regarding the self-funded health benefits program from July 1, 2015 through October 31, 2015

FIS 15-283 Department of Administrative Services - informational item in compliance with Executive Order 2014-02 for the period of March 7, 2015 through June 30, 2015 providing detail of the exceptions granted through the Office of the Governor

(19) Date of Next Meeting and Adjournment

FISCAL COMMITTEE OF THE GENERAL COURT
MINUTES

October 16, 2015

The Fiscal Committee of the General Court met on Friday, October 16, 2015 at 10:00 a.m. in Room 210-211 of the Legislative Office Building.

Members in attendance were as follows:

Representative Neal Kurk, Chair
Representative Ken Weyler
Representative Lynne Ober
Representative Daniel Eaton
Representative Katherine Rogers (Alternate)
Representative Richard Barry (Alternate)
Senator Jeanie Forrester
Senate President Chuck Morse
Senator Gerald Little
Senator Lou D'Allesandro
Senator Andy Sanborn

Chairman Kurk opened the meeting at 10:12 a.m.

ACCEPTANCE OF MINUTES:

On a motion by Senator D'Allesandro, seconded by Representative Ober, that the minutes of the September 25, 2015 meeting be accepted as written. MOTION ADOPTED.

OLD BUSINESS:

RSA 21-I:30, II MEDICAL AND SURGICAL BENEFITS:

FIS 15-201 Replacement Department of Administrative Services – On a motion by Representative Ober, seconded by Senator Forrester, that the item be removed from the table. MOTION ADOPTED.

Vicki Quiram, Commissioner, Catherine Keane, Director of Risk and Benefits, and Sarah Trask, Senior Financial Analyst, Department of Administrative Services responded to questions of the Committee.

On a motion by Senator Forrester, seconded by Representative Weyler, that the Committee table the request of the Department of Administrative Services for approval of plan design changes to the Retiree Health Benefit Plan effective January 1, 2016, and authority to increase the premium contribution for Under 65 (non-Medicare) retirees from 12.5% to 15% effective January 1, 2016, Tabled 9/25/15. MOTION ADOPTED. (8-Yes, 2-No)

CONSENT CALENDAR

Chairman Kurk announced all items on the Consent Calendar would be removed and voted on individually.

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE:

FIS 15-210 Office of Energy and Planning – Meredith Hatfield, Director, Office of Energy and Planning presented the request and responded to questions of the Committee.

On a motion by Senator D’Allesandro, seconded by Representative Barry, that the Committee approve the request of the Office of Energy and Planning to retroactively amend FIS 13-286, approved January 10, 2014, by extending the end date from June 30, 2015 to March 31, 2016, with no increase in funding. MOTION ADOPTED. (9-Yes, 1-No)

FIS 15-213 New Hampshire Fish and Game Department – Glenn Normandeau, Director, New Hampshire Fish and Game Department, responded to questions of the Committee.

On a motion by Senator D’Allesandro, seconded by Representative Barry, that the Committee approve the request of the New Hampshire Fish and Game Department to accept and expend \$595,800 in federal funds through June 30, 2016. MOTION ADOPTED. (9-Yes, 1-No)

FIS 15-214 New Hampshire Fish and Game Department – On a motion Senator D’Allesandro, seconded by Representative Barry, that the Committee approve the request of the New Hampshire Fish and Game Department to accept and expend \$174,570 in federal funds through December 31, 2016. MOTION ADOPTED.

FIS 15-215 Department of Health and Human Services – Nicholas Toumpas, Commissioner, Department of Health and Human Services, presented the request and responded to questions of the Committee.

On a motion by Senator D’Allesandro, seconded by Representative Barry, that the Committee approve the request of the Department of Health and Human Services to accept and expend \$2,231,951 in federal funds through September 29, 2016.

At the request of Representative Eaton a roll call was taken and the results were as follows:

- Representative Kurk – No
- Representative Eaton – Yes
- Representative Rogers – Yes
- Representative Weyler – Yes
- Representative Barry – Yes
- Senator Forrester – Yes

Senator Little – Yes
Senate President Morse – Not present for vote
Senator Sanborn – Yes
Senator D’Allesandro – Yes (8-Yes; 1-No)
MOTION ADOPTED.

FIS 15-216 Department of Justice – Ann Rice, Deputy Attorney General, and Kathleen Carr, Director of Administration, Department of Justice, presented the request and responded to questions of the Committee.

On a motion by Senator D’Allesandro, seconded by Senator Sanborn, that the Committee approve the request of the Department of Justice to accept and expend \$5,940,633 in federal funds through June 30, 2017. MOTION ADOPTED.

FIS 15-217 Department of Safety – On a motion by Representative Eaton, seconded by Senator Forrester, that the Committee approve the request of the Department of Safety to accept and expend \$1,200,143 in federal funds through June 30, 2017. MOTION ADOPTED.

FIS 15-228 Department of Health and Human Services – Nicholas Toumpas, Commissioner, Department of Health and Human Services, presented the request and responded to questions of the Committee. The Honorable Jacalyn Colburn, Presiding Judge, Hillsborough County Superior Court, Nashua, was also present.

On a motion by Senator D’Allesandro, seconded by Senator Sanborn, that the Committee approve the request of the Department of Health and Human Services to accept and expend \$324,997 in federal funds through June 30, 2016. MOTION ADOPTED.

RSA 14:30-a, VI FISCAL COMMITTEE APPROVAL REQUIRED FOR ACCEPTANCE AND EXPENDITURE OF FUNDS OVER \$100,000 FROM ANY NON-STATE SOURCE AND RSA 124:15, POSITIONS AUTHORIZED:

FIS 15-218 Department of Safety – Elizabeth Bielecki, Director of Administration, and Major Russell Conte, Division of State Police, Department of Safety, responded to questions of the Committee.

On a motion by Representative Eaton, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Safety to; 1) accept and expend \$165,714 in agency income through September 30, 2016, and 2) establish one (1) full-time temporary State Police Captain (LG 29) through September 30, 2016. MOTION ADOPTED. (8-Yes, 1 No)

FIS 15-224 Department of Health and Human Services – On a motion by Senator D’Allesandro, seconded by Senator Forrester, that the Committee approve the request of the Department of Health and Human Services to; 1) accept and expend \$1,038,641 in federal funds retroactive to July 1, 2015 through June 30, 2017, and contingent upon approval of #1, authorization to 2) establish five (5) full-time temporary positions through June 30, 2017

consisting of; a Toxicologist IV (LG 29), two (2) Toxicologist II (LG 23), a Public Health Program Manager (LG26), and a Program Specialist IV (LG 25). MOTION ADOPTED.

RSA 7:6-e DISPOSITION OF FUNDS OBTAINED BY THE ATTORNEY GENERAL:

FIS 15-211 Department of Justice – On a motion by Representative Ober, seconded by Senator D’Allesandro, that the Committee approve the request of the Department of Justice to retain \$789,846.82 in multistate settlement funds and request to expend the funds in support of the Department’s Consumer/Antitrust Bureau. MOTION ADOPTED.

RSA 7:12, I, ASSISTANTS:

FIS 15-212 Department of Justice – Ann Rice, Deputy Attorney General, and Kathleen Carr, Director of Administration, Department of Justice, responded to questions of the Committee.

On a motion by Senator D’Allesandro, seconded by Senator Forrester, that the Committee approve the request of the Department of Justice to accept and expend a sum not to exceed \$600,000 from funds not otherwise appropriated for the purpose of covering projected shortfalls in the general litigation expenses incurred in the defense of the State and the prosecution of criminal law matters through June 30, 2016. MOTION ADOPTED.

RSA 215-A:23, IX, AND RSA 215-C:39, X, REGISTRATION FEES:

FIS 15-226 New Hampshire Fish and Game Department – Glenn Normandeau, Director, New Hampshire Fish and Game Department, presented the request and responded to questions of the Committee.

On a motion by Representative Eaton, seconded by Senator D’Allesandro, that the Committee approve the request of the New Hampshire Fish and Game Department to transfer \$315,660 of unexpended funds from Excess Registration Fees to the Fish and Game OHRV Fiscal Year 2016 Operating Budget. MOTION ADOPTED.

RSA 622:28-a, V, INDUSTRIES INVENTORY ACCOUNT:

FIS 15-225 Department of Corrections – Doreen Wittenberg, Director of Administration, and Gary Arceci, Deputy Director of Administration, responded to questions of the Committee.

On a motion by Senator D’Allesandro, seconded by Representative Ober, that the Committee approve the request of the Department of Corrections to purchase a Refurbished M&R Renegade 4056 Flatbed Screen Printer in an amount not to exceed \$24,020 in other funds from the Correctional Industries’ Revolving Account through June 30, 2016. MOTION ADOPTED.

CHAPTER 276:29, LAWS OF 2015, DEPARTMENT OF TRANSPORTATION; TRANSFER OF FUNDS:

FIS 15-219 Department of Transportation – William Cass, Assistant Commissioner, and Marie Mullen, Director of Finance, Department of Transportation presented the request and responded to questions of the Committee.

On a motion by Senator D’Allesandro, seconded by Senator Forrester, that the Committee approve the request of the Department of Transportation to transfer \$1,500,000 between various accounts and classes through June 30, 2016. MOTION ADOPTED. (8-Yes, 1-No)

The Committee recessed at 12:40 p.m., and reconvened at 1:15 p.m.

10:30 a.m.

AUDITS:

State of New Hampshire, Health and Human Services, Division of Child Support Services, Performance Audit Report, October 2015 – Stephen Smith, Director of Audits, and Stephen Fox, Audit Supervisor, Audit Division, Office of Legislative Budget Assistant, presented the report and responded to questions of the Committee. Mary Weatherill, Director, Division of Child Support Enforcement, Department of Health and Human Services was present to respond to questions of the Committee.

On a motion by Representative Weyler, seconded by Senator D’Allesandro, that the report be accepted, placed on file, and released in the usual manner. MOTION ADOPTED

State of New Hampshire, Department of Environmental Services, State-Owned Dams, Performance Audit Report, October 2015 – Stephen Smith, Director of Audits, and John Clinch, Senior Audit Manager, Audit Division, Office of Legislative Budget Assistant, presented the report and responded to questions of the Committee. Thomas Burack, Commissioner, and James Gallagher, Chief, Dam Bureau, Department of Environmental Services were present to respond to questions of the Committee.

On a motion by Representative Weyler, seconded by Representative Ober, that the report be accepted, placed on file, and released in the usual manner. MOTION ADOPTED

State of New Hampshire, Department of Environmental Services, Water Division, Internal Control Review, Agency-Income Revenues, October 2015 – Stephen Smith, Director of Audits, and Jean Mitchell, Senior Audit Manager, Audit Division, Office of Legislative Budget Assistant, presented the report and responded to questions of the Committee. Thomas Burack, Commissioner, and Susan Carlson, Chief Operating Officer, Department of Environmental Services were present to respond to questions of the Committee. Also present to respond to questions of the Committee was Gerard Murphy, State Comptroller, Department of Administrative Services.

On a motion by Representative Weyler, seconded by Representative Ober, that the report be accepted, placed on file, and released in the usual manner. MOTION ADOPTED

DATE OF NEXT MEETING AND ADJOURNMENT

The Chair recessed the meeting until Tuesday, November 20, 2015 at 11:00 a.m. to continue Committee review of the Department of Administrative Services tabled item, FIS 15-201 Replacement.

The next regular meeting of the Fiscal Committee was set for 10:00 a.m. Friday, December 18, 2015. (Whereupon the meeting recessed at 2:50 p.m.)

Fiscal Committee Minutes
October 20, 2015

Chairman Kurk reconvened the October 16, 2015 meeting on Tuesday, October 20, 2015 at 11:00 a.m. with the following members in attendance:

Representative Neal Kurk, Chair
Representative Karen Umberger (Alternate)
Representative Lynne Ober
Representative Mary Jane Wallner
Representative Daniel Eaton
Representative Richard Barry (Alternate)
Senator Jeanie Forrester
Senate President Chuck Morse
Senator Gerald Little
Senator Lou D'Allesandro
Senator Andy Sanborn

Chairman Kurk opened the meeting at 11:18 a.m.

OLD BUSINESS:

RSA 21-I:30, II MEDICAL AND SURGICAL BENEFITS:

FIS 15-201 Replacement Department of Administrative Services – On a motion by Senator D'Allesandro, seconded by Representative Umberger, that the item be removed from the table. MOTION ADOPTED.

Meredith Telus, Budget Director, Office of The Governor, Vicki Quiram, Commissioner, Catherine Keane, Director of Risk and Benefits, and Sarah Trask, Senior Financial Analyst, Department of Administrative Services responded to questions of the Committee. Sue Wolfe, Express Scripts, and Steve Koon, Segal consultant, were also present.

The Committee called a recess at 12:09 p.m. and reconvened at 12:56 p.m.

On a motion by Senator Forrester, seconded by Senator Little, that the Committee **amend** and approve the replacement request of the Department of Administrative Services of the **Pharmacy Plan Change Component only on Table 1 (Over 65) and Table 2 (Under 65)**, as specified in the request of September 22, 2015. MOTION ADOPTED. (7-Yes, 3-No)

On a motion by Representative Ober, seconded by Senator Sanborn, that the Committee tabled the remaining replacement requests of the Department of Administrative Services for the; Medical Plan Change Component Table 1 (Over 65) and Table 2 (Under 65), and the Premium Contribution Table 2 (Under 65). MOTION ADOPTED.

DATE OF NEXT MEETING AND ADJOURNMENT

A special meeting was set for Tuesday, November 3, 2015 at 10:00 a.m. regarding the Department of Administrative Services tabled item, FIS 15-201 Replacement. (Whereupon the meeting adjourned at 1:08 p.m.)

Representative Ken Weyler, Clerk

FISCAL COMMITTEE OF THE GENERAL COURT

MINUTES

SPECIAL MEETING

November 3, 2015

The Fiscal Committee of the General Court met on Tuesday, November 3, 2015 at 10:00 a.m. in Room 210-211 of the Legislative Office Building.

Members in attendance were as follows:

Representative Neal Kurk, Chair
Representative Ken Weyler
Representative Lynne Ober
Representative Daniel Eaton
Representative Cindy Rosenwald (Alternate)
Representative Richard Barry (Alternate)
Representative Karen Umberger (Alternate)
Senator Jeanie Forrester
Senate President Chuck Morse
Senator Gerald Little
Senator Lou D'Allesandro
Senator Andy Sanborn

Chairman Kurk opened the meeting at 10:56 a.m.

OLD BUSINESS:

FIS 15-201 Replacement Department of Administrative Services – On a motion by Representative Weyler, seconded by Representative Ober, that the item be removed from the table. MOTION ADOPTED.

On a motion by Senator Little, seconded by Representative Weyler, that the Committee **amend** and approve the replacement request of the Department of Administrative Services of the **Premium Contribution only on Table 2 (Under 65), increasing the Monthly Health Premium Contribution Percentage from 12.5% to 17.5%**. The Committee did not approve the Medical Plan Change Component for Over 65 (Table 1) and Under 65 (Table 2), as specified in the request of September 22, 2015.

At the request of Representative Ober a roll call was taken and the results were as follows:

Representative Kurk – Yes
Representative Eaton – No
Representative Ober – Yes
Representative Weyler – Yes
Representative Rosenwald – No

Senator Forrester – Yes

Senator Little – Yes

Senate President Morse – Yes

Senator Sanborn – No

Senator D’Allesandro – No

(6-Yes; 4-No)

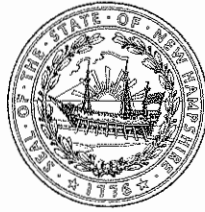
MOTION ADOPTED.

DATE OF NEXT MEETING AND ADJOURNMENT

The next regular meeting of the Fiscal Committee was previously set for 10:00 a.m. Friday, December 18, 2015.

The meeting adjourned at 11:32 a.m.

Representative Ken Weyler, Clerk



MICHAEL W. KANE, MPA
Legislative Budget Assistant
(603) 271-3161

CHRISTOPHER M. SHEA, MPA
Deputy Legislative Budget Assistant
(603) 271-3161

State of New Hampshire

OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

STEPHEN C. SMITH, CPA
Director, Audit Division
(603) 271-2785

November 10, 2015

Fiscal Committee of the General Court
The Honorable Neal M. Kurk, Chairman
State House
Concord, NH 03301

Dear Representative Kurk and Members of the Committee,

RSA 14:30-a, III requires the Fiscal Committee to consider recommendations proposed to it by the Legislative Performance Audit and Oversight Committee.

At its November 5, 2015 meeting, the Legislative Performance Audit and Oversight Committee voted to recommend four new performance audit topics for the Office of Legislative Budget Assistant, Audit Division. These new topics are:

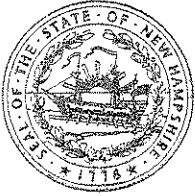
1. Department of Safety, Homeland Security and Emergency Management, Efficient and Effective Operations.
2. Department of Environmental Services, Air Resources Division, Efficient and Effective Program Operations.
3. Department of Transportation, Bridge Maintenance, Efficient and Effective Operations.
4. Department of Information Technology, Efficient and Effective Operations.

I respectfully request the Fiscal Committee's approval of these topics at its next meeting.

Sincerely,

A handwritten signature in black ink, appearing to read "John Reagan".

Senator John Reagan, Chairman
Joint Legislative Performance Audit
and Oversight Committee



State of New Hampshire

FIS 15 256

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

October 30, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 9:16-c, authorize the Department of Safety, Division of State Police, to transfer \$4,000.00 within the account entitled MCSAP Grant. The request for transfer of funds is to re-align appropriated funds that will be used by State Police Commercial Enforcement to provide funding for heat, electricity, and water at Troop G. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2016. Funding source: 100% Federal Funds.

Funds are to be budgeted in the following account:

02-23-23-234015-31060000 Dept. of Safety – Division of State Police – MCSAP Grant

<u>Class</u>	<u>Description</u>	<u>Current Adjusted</u> <u>Authorized SFY 2016</u>	<u>Requested Action</u>	<u>Revised Appropriation</u> <u>SFY 2016</u>
000-404755	Federal Funds	\$ (918,376.00)	\$ -	\$ (918,376.00)
UUU-000015	Highway Funds	\$ (201,844.00)	\$ -	\$ (201,844.00)
010-500100	Personal Services	\$ 474,634.00	\$ -	\$ 474,634.00
018-500106	Overtime	\$ 80,000.00	\$ -	\$ 80,000.00
019-500105	Holiday Pay	\$ 6,000.00	\$ -	\$ 6,000.00
020-500220	Current Expense	\$ 11,702.00	\$ -	\$ 11,702.00
022-500248	Rents-Leases Other Than State	\$ 7,700.00	\$ -	\$ 7,700.00
023-500291	Heat-Electricity-Water	\$ -	\$ 4,000.00	\$ 4,000.00
026-500251	Organizational Dues	\$ 6,000.00	\$ -	\$ 6,000.00
030-500300	Equipment	\$ 77,473.00	\$ -	\$ 77,473.00
037-500171	Technology - Hardware	\$ 2,100.00	\$ -	\$ 2,100.00
038-509038	Technology - Software	\$ 25,000.00	\$ -	\$ 25,000.00
039-500191	Telecommunications	\$ 10,028.00	\$ -	\$ 10,028.00
040-500800	Indirect Cost	\$ 61,278.00	\$ -	\$ 61,278.00
041-500801	Audit Funds Set Aside	\$ 720.00	\$ -	\$ 720.00
050-500109	Personal Services- Part Time	\$ 60,000.00	\$ (4,000.00)	\$ 56,000.00
057-500531	Books	\$ 3,000.00	\$ -	\$ 3,000.00
060-500602	Benefits	\$ 242,285.00	\$ -	\$ 242,285.00

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
October 30, 2015
Page 2 of 2

070-502970	In-State Travel	\$ 32,600.00	\$ -	\$ 32,600.00
080-500713	Out-Of-State Travel	\$ 19,700.00	\$ -	\$ 19,700.00
	Total	\$ 1,120,220.00	\$ -	\$ 1,120,220.00

Explanation

This grant is used to improve commercial motor vehicle safety and reduce crashes and fatalities. The program insures compliance with federal and state regulations and laws.

Although the Department included this grant in the SFY 2016 budget, the amount the Department included in the budget was an estimate; therefore, after analyzing the Department's current fiscal year needs, the above requested action is needed to cover the anticipated expenditures for SFY 2016.

The following information is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

Justification:

Does transfer involve continuing programs or one-time projects? *This transfer is to provide sufficient appropriations to cover costs needed to pay for a portion of the utilities at the Troop G location, as approved by approving federal agency.*

Is this transfer required to maintain existing program level or will it increase the program level? *This transfer will allow the Department of Safety to maintain the existing program level.*

Cite any requirements which make this program mandatory. *There are no requirements that make this program mandatory.*


Identify the source of funds on all accounts listed on this transfer. *100% Federal funds.*

Will there be any effect on revenue if this transfer is approved or disapproved? *No.*

Are funds expected to lapse if this transfer is not approved? *No. However, this will result in the Department returning unused Federal funds.*

Are personnel services involved? *No.*

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

TITLE I

THE STATE AND ITS GOVERNMENT

CHAPTER 9

BUDGET AND APPROPRIATIONS; REVOLVING FUNDS

Appropriations

Section 9:16-c

9:16-c Transfer of Federal Grant Funds. –

I. In order to maximize the use of federal grant funds and to avoid lapsing such funds where changes in the state or federal accounting systems, changes in federal grant guidelines, or overestimation or underestimation of funds required in various class codes due to program needs or requirements have occurred subsequent to the passage of the budget, every department as defined in RSA 9:1 may, subject to the prior approval of the fiscal committee of the general court and the approval of governor and council, transfer funds in or out of any class code and to create new class codes within federally funded areas of the department's operating budget if such transfers do not result in an over-expenditure of any grant.

II. In order to maximize the use of federal grant funds and not lapse such funds, every department as defined in RSA 9:1 may, subject to the approval of the commissioner of the department of administrative services, carry forward into future state fiscal years any budgeted appropriation balances in class from federal grants for the duration of the federal grant award.

Source. 2012, 192:7, eff. July 1, 2012.



THE STATE OF NEW HAMPSHIRE
INSURANCE DEPARTMENT

FIS 15 277

21 SOUTH FRUIT STREET SUITE 14
CONCORD, NEW HAMPSHIRE 03301

Roger A. Sevigny
Commissioner

Alexander K. Feldvebel
Deputy Commissioner

December 18, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Executive Council
State House
Concord, NH 03301

REQUESTED ACTION

Pursuant to RSA 9:16-c, Transfer of Federal Grant Funds, authorize the Insurance Department to reduce the appropriated funds down by \$162,608 to the grant fund balance available from the U.S. Department of Health and Human Services ("USDHHS") (Health Insurance Premium Review Grant) and to realign the remaining appropriation for FY 2016 effective upon Fiscal Committee and Governor and Council approvals. 100% Federal Funds.

Funds will be budgeted as set forth in the table below.

FY 2015-2017 Health Insurance Premium Review Grant
02-24-24-2400-59780000

Fiscal Year 2016

Class/Acct.	Description	2016 Adjusted Authorized	Requested Action	Revised Appropriation
20-500200	Current Expense	\$5,086	(\$3,824)	\$1,262
27-502799	Transfers to DOIT	\$10,416	(\$10,416)	\$0
30-500311	Equipment New Replacement	\$14,975	(\$14,975)	\$0
41-500801	Audit Set Aside	\$8,396	(\$7,366)	\$1,030
46-500464	Consultants	\$410,052	(\$240,039)	\$170,013
48-500226	Contractual Maint Build	\$700	(\$700)	\$0
49-500294	Transfers to Other Agencies	\$0	\$155,000	\$155,000
50-500019	Personal Services Part Time Temp	\$9,089	(\$4,849)	\$4,240
60-500611	Benefits	\$618	(\$293)	\$325
62-500537	Workers Compensation	\$10,834	(\$10,834)	\$0
66-500555	Employee Training	\$3,125	(\$3,125)	\$0
69-500567	Promotional Marketing Exp.	\$4,705	(\$4,705)	\$0
70-500709	In State Travel Reimbursement	\$3,483	(\$3,482)	\$1
80-500710	Out Of State Travel Reimbursement	\$13,000	(\$13,000)	\$0
	Total Appropriation	\$494,479	(\$162,608)	\$331,871
	Source of Funds			
	Federal Funds	\$494,479	(\$162,608)	\$331,871

EXPLANATION

The New Hampshire Insurance Department received a federal grant from the USDHHS to improve health insurance rate filing requirements, enhance consumer protection standards related to health insurance premium rate filings, provide additional IT capacity for processing, to review health insurance premium rate filings, and for reporting to the US Secretary of Health and Human Services on health insurance premium rate increase patterns. The Health Insurance Premium Rate Review Grant – Cycle II is made available pursuant to Public Law 111-148 (The Patient Protection and Affordable Care Act). The purpose of the grant program is to help make private health insurance more accessible and affordable and increase the transparency of the health insurance system by providing grants to states to help them improve the health insurance rate review process. The Insurance Department currently reviews health insurance premium rates, and has been deemed to be an effective rate review state by the USDHHS. However, the grant enhances current efforts and provides greater transparency and public input into the rate approval process.

This action is required due to the reversal of Fiscal Item #15-168 (August 26, 2015) and Governor and Council Item #42 (September 16, 2015) that was approved during the Continuing Resolution and the need to ensure sufficient funding in accounts prior to final reconciliation of the program which was completed by September 30, 2015. All program costs were expended prior to the completion date. This true-up request will re-align the budget for the final disposition of the program. The requested adjustments to the expense classes are a result of the overall request to decrease the FY 16 appropriation by \$162,608 and the need to create a Class 049 to support an inter-agency transfer of \$155,000 to the New Hampshire Department of Health and Human Services.

The following appropriation authority is being requested for Fiscal Year 2016:

1. Class 020 – Current Expenses – FY16 - \$1,262. These reduced funds are required in order to provide general supplies to the part-time employee, conference call between the department and consultants, and miscellaneous expenses (postage, printing).
2. Class 027 – Transfers to DOIT – FY16 - \$0. Funding eliminated.
3. Class 030 – Equipment New/Replacement – FY16 - \$0. Funding eliminated.
4. Class 041 – Audit set aside – FY16 - \$1,030. These reduced funds are required in order to meet audit costs associated with the grant program.
5. Class 046 – Consultants – FY16 - \$170,013. These reduced funds are required for consultants to perform quantitative analysis of various data sources, create futuristic models of the insurance marketplace, improve the transparency of information for consumers, and enhance the HealthCost website as a centralized location for health care price information.

6. Class 048 – Contractual Main Building – FY16 - \$0. Funding eliminated.
7. Class 049 – Transfer to Other State Agencies –FY16 - \$155,000. Appropriation is needed to allow for the transfer of funds to the New Hampshire Department of Health and Human Services to support NH Comprehensive Health Care Information System (NHCHIS) data consolidation efforts. MOA between NHID and NHDHHS.
8. Class 050 – Personal Services – PT Temp – FY16 - \$4,240. These reduced funds are set aside in order to pay the budgeted part time employee to administer the grant program.
9. Class 060 – Benefits – FY16 - \$325. These reduced funds are necessary for the payroll contributions for the part-time employee.
10. Class 062 – Workers Compensation – FY16 - \$0. Funding eliminated.
11. Class 066 – Employee Training – FY16 - \$0. Funding eliminated.
12. Class 069 – Promotional Marketing Exp. – FY16 - \$0. Funding eliminated.
13. Class 070 – In State Travel Reimbursement – FY16 - \$1.
14. Class 080 – Out of State Travel Reimbursement – FY16 - \$0. Funding eliminated.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981:

1. List of personnel involved: This federal grant funds a part time temporary position of Grants and Contracts Technician (Labor Grade 15). We are using the Supplemental Job Description that was approved by the Director of Personnel on December 1, 2010. This position is currently funded by the "Grant to Support States in Health Insurance Rate Review" Grant Cycle II which ends 09/30/2015, Rate Review Grant Cycle III which ends 09/30/2016, Rate Review Cycle IV which ends 9/18/2016, and CY2014 Level I Establishment Grant which ends 12/31/2015.

The Insurance Department funds existing vendors and pays them from the Consultants line item.

2. Nature, need, and duration: The position of Grants and Contracts Technician serves as the financial records assistant, maintaining all financial records and creating requisite financial reports for the grant. The Department also employs various contractors to work on this project.

3. Relationship to existing agency program: The funds from this federal grant are used to enhance Insurance Department functions related to our existing health insurance premium rate review process. Funds are used to improve the transparency of cost drivers

in the New Hampshire health insurance system by providing the means to improve current data sources and in turn the health insurance rate review process.

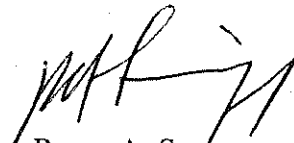
4. Has a similar program been requested of the legislature and denied? The nature of the work to be completed under the grant has never been requested and denied by the General Court.
5. Why wasn't funding included in the agency's budget request? This request is due to changes in the project.
6. Can portions of the grant funds be utilized? 100% of federal grant funds can be used to fund the personnel costs and all program costs associated with this request.
7. Estimate the funds required to continue this position(s): There will be no cost to the State of New Hampshire associated with this position or any consultants as all will terminate at the end of the grant period.

FISCAL SITUATION

Grant Award Cycle I (Net)	\$520,196
Cycle I Amount Spent (Fiscal Year 2012)	(\$520,196)
Grant Award Cycle II	\$3,564,938
Less Amount Spent Fiscal Year 2012	\$146,105
Less Amount Spent Fiscal Year 2013	\$1,537,591
Less Amount Spent Fiscal Year 2014	\$820,201
Less Amount Spent Fiscal Year 2015	<u>\$729,170</u>
Grant Balance Remaining	<u>\$331,871</u>
FY2016 Approved Appropriation	\$494,479
FY2016 This Request	<u>(\$162,608)</u>
Total FY2016 Appropriation	<u>\$331,871</u>

In the event that federal funds become no longer available, General Funds will not be requested to support this program. Thank you for your consideration.

Respectfully submitted,


Roger A. Sevigny

Enclosures

1. DATE ISSUED MM/DD/YYYY 08/08/2014
 2. CFDA NO. 93.511
 3. ASSISTANCE TYPE Project Grant

Department of Health and Human Services
 Centers for Medicare & Medicaid Services
 Office of Acquisitions and Grants Management
 7500 Security Boulevard
 Baltimore, MD 21244-1850

1a. SUPERSEDES AWARD NOTICE dated 11/09/2011
 except that any additions or restrictions previously imposed remain
 in effect unless specifically rescinded

4. GRANT NO. 4 PRPPR120031-01-02
 Formerly
 5. ACTION TYPE Post Award Amendment
 6. PROJECT PERIOD From 10/01/2011 Through 09/30/2015
 7. BUDGET PERIOD From 10/01/2011 Through 09/30/2015

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
 Section 2794 of the Public Health Service Act (Section 1003 of the
 Affordable Care Act)

8. TITLE OF PROJECT (OR PROGRAM)
 Grants to Support States in Health Insurance Rate Review Grant Cycle II

9a. GRANTEE NAME AND ADDRESS
 State of New Hampshire Insurance Department
 21 S Fruit St
 Concord, NH 03301-2428

9b. GRANTEE PROJECT DIRECTOR
 Mr. Alexander Feldvebel
 21 South Fruit Street
 Concord, NH 03301-0000
 Phone: 6032712261

10a. GRANTEE AUTHORIZING OFFICIAL
 Mr. Alexander K. Feldvebel
 21 S Fruit St
 Concord, NH 03301-2428
 Phone: (603)271-2261

10b. FEDERAL PROJECT OFFICER
 Ms. Susan Lorden
 200 Independence Ave Sw Rm 738-G
 Washington, DC 20201-0004
 Phone: (301) 492-4162

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)	
I Financial Assistance from the Federal Awarding Agency Only <input type="checkbox"/>	
II If Total project costs including grant funds and all other financial participation <input checked="" type="checkbox"/>	
a. Salaries and Wages	99,967.00
b. Fringe Benefits	51,715.00
c. Total Personnel Costs	151,682.00
d. Equipment	25,200.00
e. Supplies	0.00
f. Travel	16,500.00
g. Construction	0.00
h. Other	128,556.00
i. Contractual	3,243,000.00
j. TOTAL DIRECT COSTS	3,564,938.00
k. INDIRECT COSTS	0.00
l. TOTAL APPROVED BUDGET	3,564,938.00
m. Federal Share	3,564,938.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION	
a. Amount of Federal Financial Assistance (from Item 11m)	3,564,938.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	3,564,938.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	0.00
13. Total Federal Funds Awarded to Date for Project Period	3,564,938.00

14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):			
YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2		d. 5	
b. 3		e. 6	
c. 4		f. 7	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:	
a. DEDUCTION	<input type="checkbox"/>
b. ADDITIONAL COSTS	
c. MATCHING	
d. OTHER RESEARCH (Add / Deduct Option)	
e. OTHER (See REMARKS)	

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

- a. The grant program legislation.
- b. The grant program regulations.
- c. This award notice including terms and conditions, if any, noted below under REMARKS.
- d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)

This Notice of Award approves the 12 Months No Cost Extension as per the grantees request dated August 6, 2014.

GRANTS MANAGEMENT OFFICER: Gabriel Nah

17. OBJ CLASS	4115	18a. VENDOR CODE	1026000618M1	18b. EIN	026000618	19. DUNS	808591051	20. CONG. DIST.	02
FY-ACCOUNT NO.		DOCUMENT NO.		ADMINISTRATIVE CODE		AMT ACTION FIN ASST		APPROPRIATION	
21. a.	1-5992933	b.	PRPPR0031A	c.	IPR	d.	\$0.00	e.	75X0112
22. a.		b.		c.		d.		e.	
23. a.		b.		c.		d.		e.	

1. DATE ISSUED MM/DD/YYYY 10/25/2011	2. CFDA NO. 93.511	3. ASSISTANCE TYPE Project Grant
1a. SUPERSEDES AWARD NOTICE dated 08/31/2011 except that any additions or restrictions previously imposed remain in effect unless specifically rescinded		
4. GRANT NO. 6 IPRPR100026-01-02 Formerly	5. ACTION TYPE Post Award Amendment	
6. PROJECT PERIOD From MM/DD/YYYY 08/09/2010	Through MM/DD/YYYY 03/31/2012	
7. BUDGET PERIOD From MM/DD/YYYY 08/09/2010	Through MM/DD/YYYY 03/31/2012	

Department of Health and Human Services
Centers for Medicare & Medicaid Services
Office of Consumer Information and Insurance Oversight
Grants, Contracts and Integrity Division
7501 Wisconsin Ave West Tower
Room 10-15
Bethesda, MD 20814-6519

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
Section 2794 of the Public Health Service Act (Section 1003 of the
Affordable Care Act)

8. TITLE OF PROJECT (OR PROGRAM)
2010 Grants to States for Health Insurance Premium Review-Cycle I

9a. GRANTEE NAME AND ADDRESS
State of New Hampshire Insurance Department
21 S Fruit St Ste 14
Concord, NH 03301-2428

9b. GRANTEE PROJECT DIRECTOR
Ms. Leslie Ludtke
21 S Fruit St Ste 14
Concord, NH 03301
Phone: 603-271-7973 ext 246

10a. GRANTEE AUTHORIZING OFFICIAL
Ms. Leslie Ludtke
21 S FRUIT ST STE 14
CONCORD, NH 2428
Phone: 603-27-7973

10b. FEDERAL PROJECT OFFICER
Ms. Jacqueline Roche
200 Independence Ave Sw Rm 738-G
Washington, DC 20201
Phone: 202-260-6094

11. APPROVED BUDGET (Excludes HHS Direct Assistance)

I HHS Grant Funds Only

II Total project costs including grant funds and all other financial participation

a. Salaries and Wages	0.00
b. Fringe Benefits	0.00
c. Total Personnel Costs	0.00
d. Equipment	0.00
e. Supplies	3,000.00
f. Travel	0.00
g. Construction	0.00
h. Other	373,000.00
i. Contractual	624,000.00
j. TOTAL DIRECT COSTS	1,000,000.00
k. INDIRECT COSTS (rate of)	0.00
l. TOTAL APPROVED BUDGET	1,000,000.00
m. Federal Share	1,000,000.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION FOR GRANT

a. Amount of HHS Financial Assistance (from item 11m)	1,000,000.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	1,000,000.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	0.00
13. Total Federal Funds Awarded to Date for Project Period	1,000,000.00

14. RECOMMENDED FUTURE SUPPORT
(Subject to the availability of funds and satisfactory progress of the project):

YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2		d. 5	
b. 3		e. 6	
c. 4		f. 7	

15. PROGRAM INCOME SUBJECT TO 45 CFR PART 14, SUBPART F, OR 45 CFR 92.25, SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

a. DEDUCTION
b. ADDITIONAL COSTS
c. MATCHING
d. OTHER RESEARCH (AMF) Deduct Option
e. OTHER (See REMARKS)

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, HHS ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation cited above.
b. The grant program regulations cited above.
c. This award notice including terms and conditions, if any, noted below under REMARKS.
d. HHS Grants Policy Statement including addenda in effect as of the beginning date of the budget period.
e. 45 CFR Part 74 or 45 CFR Part 92 as applicable.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)

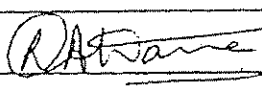
This Notice of Award approves the budget revisions as per the grantee's request date August 11, 2011.

GRANTS MANAGEMENT OFFICER: Michelle Feagins, Senior Grants Management Specialist

17. OBJ CLASS 4121	18a. VENDOR CODE 1026000618M1	18b. EJM 026000618	18. DUNS 808591051	20. CONG. DIST. 02
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
21. a. 0-199RE19	b. IPRPR0026A	c. IPR	d. \$0.00	e. 75040112
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)			Page	1	of	
Office of Acquisition and Grants Management		6 IPRPR100026-01-02						pages
3. Recipient Organization (Name and complete address including Zip code)								
State of New Hampshire Insurance Department, 21 South Fruit St, Suite 14, Concord, NH 03301								
4a. DUNS Number		4b. EIN		5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)		6. Report Type		7. Basis of Accounting
8085910510000		02-6000618				<input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Final		<input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
8. Project/Grant Period From (Month, Day, Year)				To: (Month, Day, Year)		9. Reporting Period End Date (Month, Day, Year)		
10. Transactions							Cumulative	
<i>(Use lines a-c for single or multiple grant reporting)</i>								
Federal Cash (To report multiple grants, also use FFR Attachment):								
a. Cash Receipts							520,195.85	
b. Cash Disbursements							520,195.85	
c. Cash on Hand (line a minus b)							0.00	
<i>(Use lines d-o for single grant reporting)</i>								
Federal Expenditures and Unobligated Balance:								
d. Total Federal funds authorized							1,000,000.00	
e. Federal share of expenditures							520,195.85	
f. Federal share of unliquidated obligations								
g. Total Federal share (sum of lines e and f)							520,195.85	
h. Unobligated balance of Federal funds (line d minus g)							479,804.15	
Recipient Share:								
i. Total recipient share required								
j. Recipient share of expenditures								
k. Remaining recipient share to be provided (line i minus j)								
Program Income:								
l. Total Federal program income earned								
m. Program income expended in accordance with the deduction alternative								
n. Program income expended in accordance with the addition alternative								
o. Unexpended program income (line l minus line m or line n)								
11. Indirect Expense		a. Type	b. Rate	c. Period From	d. Period To	e. Amount Charged	f. Federal Share	
				g. Totals:				
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:								
13. Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)								
a. Typist or Printed Name and Title of Authorized Certifying Official						c. Telephone (Area code, number and extension)		
Dave Ruju, Business Administrator						603-271-7973 x240		
						d. Email address		
						ruju.dave@ins.nh.gov		
b. Signature of Authorized Certifying Official						e. Date Report Submitted (Month, Day, Year)		
						08062012		
14. Agency use only:								

Standard Form 425
OMB Approval Number: 0348-0061
Expiration Date: 10/31/2011

Paperwork Burden Statement

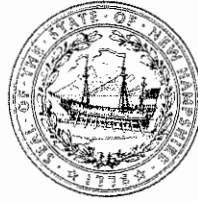
According to the Paperwork Reduction Act as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 0348-0061. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0060), Washington, DC 20503.

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 15 230

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

November 24, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency Governor, Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTIONS

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to amend Fiscal Item #15-129 paragraph 1, approved on July 29, 2015 by the Fiscal Committee, and Item #88, approved by the Governor and Executive Council on August 5, 2015, by extending the current end date from December 31, 2015 to June 30, 2017. The purpose of this award from the U.S. Department of Justice, Office of Justice Programs, in the amount of \$449,443 is to provide no-cost civil legal assistance and advocacy to victims of domestic violence who proceed pro se in court hearings and to support continuing supervised visitation and exchange services upon the date of Fiscal Committee and Governor and Executive Council approvals through June 30, 2017. No additional funding is involved in this time extension. 100% Federal Funds.

Funds are budgeted in 02-20-20-201510-59110000, Department of Justice, Grant Administration, titled FY 2014 JFF Grant.

2. Upon the approval of #1, authorize the Department of Justice to amend Item #88 paragraph 2, approved by the Governor and Executive Council on August 5, 2015, by extending the current end date of the sub-grants listed below from December 31, 2015 to June 30, 2017 to allow the programs to continue to offer legal and supervised visitation services for victims of domestic violence, and sexual assault upon the date of Governor and Executive Council approval through June 30, 2017. No additional funding is involved in this time extension. 100% Federal Funds.

<u>Class</u>	<u>Agency</u>	<u>Vendor #</u>	<u>Amount</u>
072-500575	NH Legal Assistance	154648-B001	\$116,918
072-500575	NH Bar Association	154546-B001	\$ 77,104
072-500576	Merrimack County Visitation Ctr.	177435-B003	\$ 78,721

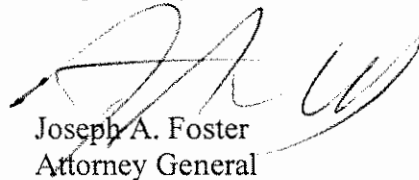
EXPLANATION

The federal grant program's dual purpose is to provide legal services to victims of domestic violence and to fund supervised visitation and safe exchange centers. The new JFF program combines two former federal grant programs sponsored by the Office on Violence Against Women, Safe Havens: Supervised Visitations and Safe Exchange grant program and the Court Training and Improvement grant program.

In the event that federal funds are no longer available, general funds will not be requested to support these programs.

Please let me know if you have any questions. Thank you for your consideration of this request.

Respectfully submitted,



Joseph A. Foster
Attorney General

JAF/shd
Enclosures

#1297049

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name: NH Legal Assistance	Vendor No.: 154648-B001	
Program Name: Pro Bono Legal Services	Amount: \$ 116,918.00	
Grant Start Date: 10/01/2014	State Grant Number: 2014JFF001	
Grant End Date: 6/30/2017	Federal Grant Number: 2014-FJ-AX-0017	
Appropriation No.: 02-20-20-201510-5911-072-500575		
Head of Agency	Project Director	Fiscal Officer
Lynne M. Parker, Esq. Executive Director 117 North State St. Concord, NH 03301 603-206-2226	Mary Krueger, Esq. DV Program Director 24 Opera House Sq. Claremont, NH 03743 603-542-8795 x260	Robin McCallum Financial Officer 117 North State Street Concord, NH 03301 603-206-2218
Federal Grant Name:	Justice for Families	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16.021	
Purpose of Grant:	Expand civil legal services to victims of domestic violence who proceed pro se in court hearings.	
Program Requirements:	Adherence to Program Conditions and Guidelines.	
Match Requirements:	None	
Program income Requirements:		
Reporting Requirements:	Monthly or quarterly Financial reports. Required Performance reports and audit. Adherence to Program Conditions and Guidelines. Completion of Monitoring forms and processes.	
Approval	Program Agency	NH Department of Justice
Name	Lynne M. Parker, Esq.	Kathleen B. Carr
Title	Executive Director	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		

Program Name: DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name: NH Pro Bono Program	Vendor No.: 154546-B001	
Program Name: Pro Bono Referral Program	Amount: \$ 77,104.00	
Grant Start Date: 10/01/2014	State Grant Number: 2014JFF002	
Grant End Date: 06/30/2017	Federal Grant Number: 2014-FJ-AX-0017	
Appropriation No.: 02-20-20-201510-5911-072-500575		
Head of Agency	Project Director	Fiscal Officer
Virginia A. Martin Director 2 Pillsbury Street, Suite 300 Concord, NH 03301-3502 603-224-6942	Pamela G. Dodge DOVE Coord. 603-715-3230	Paula Lewis Director of Finance 603-715-3202
Federal Grant Name:	Justice for Families	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16.021	
Purpose of Grant:	Expand civil legal services to victims of domestic violence who proceed pro se in court hearings.	
Program Requirements:	Adherence to Program Conditions and Guidelines.	
Match Requirements:	None	
Program income Requirements:		
Reporting Requirements:	Monthly or quarterly Financial reports. Required Performance reports and audit. Adherence to Program Conditions and Guidelines. Completion of Monitoring forms and processes.	
Approval	Program Agency	NH Department of Justice
Name	Virginia A. Martin	Kathleen B. Carr
Title	Director	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name:	Merrimack County Visitation Ctr	Vendor No.: 177435-B003
Program Name:	Supervised Visitation & Exchange	Amount: \$ 78,721.00
Grant Start Date:	10/01/2014	State Grant Number: 2014JFF003
Grant End Date:	06/30/2017	Federal Grant Number: 2014-FJ-AX-0017
Appropriation No.:	02-20-20-201510-5911-072-500576	
Head of Agency	Project Director	Fiscal Officer
Peter Spaulding Chairman 333 Daniel Webster Hwy., Suite Boscawen, NH 03303 796-6800	Stephen Marro County Administrator 333 Daniel Webster Hwy., Suite Boscawen, NH 03303 796-6800	Michael Rivard Merrimack County Commissioners 333 Daniel Webster Highway, Suite 2 Boscawen, NH 03303 p-796-6800
Federal Grant Name:	Justice for Families	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16.021	
Purpose of Grant:	Supervised Visitation and Exchange Center	
Program Requirements:	Adherence to Program Conditions and Guidelines.	
Match Requirements:	None	
Program income Requirements:		
Reporting Requirements:	Monthly or quarterly Financial reports. Required Performance reports and audit. Adherence to Program Conditions and Guidelines. Completion of Monitoring forms and processes.	
Approval	Program Agency	NH Department of Justice
Name	Peter Spaulding	Kathleen B. Carr
Title	Chairman	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 15 129

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL

ANN M. RICE
DEPUTY ATTORNEY GENERAL



June 30, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency Governor, Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTIONS

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to **retroactively** amend Fiscal item #14-177, approved on November 10, 2014 and by Governor and Executive Council on November 12, 2014, item #57 by extending the current end date from June 30, 2015 to a new end date of December 31, 2015. The purpose of this award from the US Department of Justice, Office of Justice Programs, in the amount of \$449,443.00 is to provide no-cost civil legal assistance and advocacy to victims of domestic violence who proceed pro se in court hearings and to support continuing supervised visitation and exchange services, for the period effective upon the Fiscal Committee and Governor and Executive Council approvals through December 31, 2015. No additional funding is involved in this time extension. 100% Federal Funds.

Funds are budgeted in 02-20-20-201510-59110000, Department of Justice, Grant Administration, titled FY 2014 JFF Grant.

2. Contingent on the approval of #1, authorize the Department of Justice to **retroactively** extend the end date of the sub-grants listed below from June 30, 2015 to a new end date of September 30, 2016 to allow the programs to continue to offer legal and supervised visitation services for victims of domestic violence, and sexual assault through December 31, 2015, effective upon Governor and Executive Council approval. No additional funding is involved in this time extension. 100% Federal Funds.

<u>Class</u>	<u>Agency</u>	<u>Vendor #</u>	<u>Amount</u>
072-500575	NH Legal Assistance	154648-B001	\$116,918.00
072-500575	NH Bar Association	154546-B001	\$ 77,104.00
072-500576	Merrimack County Visitation Ctr.	177435-B003	\$ 78,721.00
072-500575	YWCA of Manchester	154141-B001	\$ 83,219.00

EXPLANATION

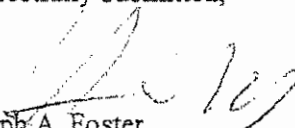
This request is retroactive because the beginning date July 1, 2015 associated with this request is in the new biennium. It is the policy of the Fiscal Committee to act only on items within the current biennium and this is the first meeting in the new biennium. This grant was not budgeted because it is considered a discretionary grant and will not likely be awarded in subsequent years.

The federal grant program's dual purpose is to provide legal services to victims of domestic violence and to fund supervised visitation and safe exchange centers. The new JFF program combines two former federal grant programs sponsored by the Office on Violence Against Women, the Safe Havens: Supervised Visitations and Safe Exchange grant program and the Court Training and Improvement grant program. In January 2014, the NH Department of Justice submitted a twofold federal application which dedicated funding to pro bono civil legal assistance and advocacy as well as funding to three supervised visitation and safe exchange centers providing visitation services to victims of domestic violence, sexual assault, dating violence, stalking and child abuse.

In the event that Federal Funds are no longer available, General Funds will not be requested to support these programs.

Please let me know if you have any questions. Thank you for your consideration of this request.

Respectfully submitted,


 Joseph A. Foster
 Attorney General

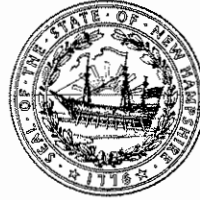
JAF/shd
enclosures

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 15 231

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

November 4, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to amend Fiscal Item # 15-115 paragraph one, approved by the Fiscal Committee on July 29, 2015, and Item #92A paragraph one, approved by the Governor and Executive Council on August 5, 2015, by extending the current end date from December 31, 2015 to June 30, 2017, for a grant of \$866,833 from the U.S. Department of Justice, Office on Violence Against Women for the purpose of establishing a statewide program to enhance support services for victims of sexual assault effective upon the date of Fiscal Committee and Governor and Executive Council approvals through June 30, 2017. No additional funding is involved in this time extension. 100% Federal Funds.

2. Upon approval of paragraph one, authorize the Department of Justice to amend Item #92A paragraph two, approved by the Governor and Executive Council on August 5, 2015, by extending the current end date of the sub-grants listed below from December 31, 2015 to June 30, 2017, to support activities in the coordination and implementation of this statewide initiative effective upon the date of Governor and Executive Council approval. No additional funding is involved in this time extension. 100% Federal Funds.

Funding is available in account number 02-20-20-201510-5907, Department of Justice entitled OVW Arrest Grant as follows:

<u>Class</u>	<u>Agency</u>	<u>Vendor#</u>	<u>Amount</u>
072-500575	NH Coalition Against Domestic and Sexual Violence – SANE Program	155510-B001	\$272,840

072-500575	NH Coalition Against Domestic and Sexual Violence- Crisis Center Advocates	155510-B001	\$281,852
072-500576	NH Department of Justice	177877-B001	\$155,115

EXPLANATION

Approval of this request will allow support of on-going efforts by the Department of Justice to develop multidisciplinary teams focused on improvement of the criminal justice system's response to cases of adult sexual assault by providing support services for victims and training and resources for law enforcement and prosecutors to better recognize, investigate and prosecute cases of sexual assault. This grant funding is vital to the continuation of the progress made so far in improving the criminal justice system response to sexual assault.

Funding will support team development throughout the State, as well as trainings, updated protocols, and development of college-based programs to improve response to sexual assault on college and university campuses.

This grant award will also continue to support the Sexual Assault Nurse Examiner ("SANE") Program at the N.H. Coalition Against Domestic and Sexual Violence. The SANE program provides training and education to nurses who conduct forensic examinations of victims of sexual assault. The program coordinator also maintains the certification records for SANE nurses statewide. Continuation of the SANE program will provide nurses access to ongoing professional technical assistance in addition to the regular structured training.

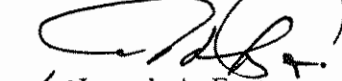
This funding will continue to support victim advocates at the 13 crisis centers organized under the N.H. Coalition Against Domestic and Sexual Violence, who provide direct services to victims of sexual assault. Victim advocates accompany victims to police departments, hospitals and court hearings, provide personal advocacy, and assist with referrals to community services, mental health services, victim compensation, and support groups.

Extension of this grant, which supports a comprehensive, three-year program serving victims of sexual assault while working with the justice system to hold offenders accountable, will sustain the positive momentum of the N.H. Department of Justice Sexual Assault Regional Training Program and build upon the notable progress of the N.H. Coalition Against Domestic and Sexual Violence in addressing the needs of victims of sexual assault and domestic violence.

In the event that federal funds are no longer available, general funds will not be requested to support this program.

Please let me know if you have any questions concerning this request. Your consideration is greatly appreciated.

Respectfully submitted,



Joseph A. Foster
Attorney General

JAF/jeb
Enclosures

#1297062

STATE OF NEW HAMPSHIRE		
DEPARTMENT OF JUSTICE		
GRANT AWARD		
Agency Name:	NH Coalition Against Domestic/Sexual Violence	Vendor No.: 155510-B001
Program Name:	Sexual Assault Nurse Examiner	Amount: \$ 272,840.00
Grant Start Date:	11/12/2014	State Grant Number: 2015GTEAP03
Grant End Date:	06/30/2017	Federal Grant Number: 2014WEAX0036
Appropriation No.:	02-20-20-201510-5907-072-500575	
Head of Agency	Project Director	Fiscal Officer
Lyn Schollett Executive Director PO Box 353 Concord, NH 603-224-8893	Lyn Schollett Executive Director PO Box 353 Concord, NH 03302 603-224-8893x307	Pam English Administrative Director PO Box 353 Concord, NH 603-224-8893
Federal Grant Name:	OVW Grants to Encourage Arrest Policies	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16-590	
Purpose of Grant:	END DATE EXTENSION Coordination and Implementation of Sexual Assault Nurse Examiner Program	
Program Requirements:	Adherence to Program Conditions and Guidelines.	
Match Requirements:	No matching resources are required.	
Program income Requirements:	Program Income must be reported and spent on program allowable activities.	
Reporting Requirements:	Monthly or quarterly Financial reports. Required Performance reports and audit. Adherence to Program Conditions and Guidelines. Completion of Monitoring forms and processes.	
Approval	Program Agency	NH Department of Justice
Name	Lyn Schollett	Kathleen B. Carr
Title	Executive Director	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name:	NH Coalition Against Domestic/Sexual Violence	Vendor No.: 155510-B001
Program Name:	Sexual Assault Victim Advocate Program	Amount: \$ 281,852.00
Grant Start Date:	11/12/2014	State Grant Number: 2015GTEAP03
Grant End Date:	06/30/2017	Federal Grant Number: 2014WEAX0036
Appropriation No.:	02-20-20-201510-5907-072-500575	
Head of Agency	Project Director	Fiscal Officer
Lyn Schollett Executive Director PO Box 353 Concord, NH 603-224-8893	Lyn Schollett Executive Director PO Box 353 Concord, NH 03302 603-224-8893x307	Pam English Administrative Director PO Box 353 Concord, NH 603-224-8893
Federal Grant Name:	OVW Grants to Encourage Arrest Policies	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16-590	
Purpose of Grant:	END DATE EXTENSION Coordination and Implementation of Statewide Sexual Assault Victim Advocate Program	
Program Requirements:	Adherence to Program Conditions and Guidelines.	
Match Requirements:	No matching resources are required.	
Program Income Requirements:	Program Income must be reported and spent on program allowable activities.	
Reporting Requirements:	Monthly or quarterly Financial reports. Required Performance reports and audit. Adherence to Program Conditions and Guidelines. Completion of Monitoring forms and processes.	
Approval	Program Agency	NH Department of Justice
Name	Lyn Schollett	Kathleen B. Carr
Title	Executive Director	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name: NHDOJ-SART		Vendor No.: 177877-B001
Program Name: NH Sexual Assault Regional Training Program		Amount: \$ 155,115.00
Grant Start Date: 11/12/2014	State Grant Number: 2015GTEAP01	
Grant End Date: 06/30/2017	Federal Grant Number: 2014WEAX0036	
Appropriation No.: 02-20-20-201510-5907-072-500576		
Head of Agency	Project Director	Fiscal Officer
Joseph Foster Attorney General NH DOJ, 33 Capitol St Concord NH 03301 271-1202	Lynda Ruel, Director Office of Victim/Witness Assist NH DOJ, 33 Capitol St Concord, NH 03301 271-1237	Kathleen B. Carr Director of Administration NH DOJ, 33 Capitol St Concord, NH 271-1234
Federal Grant Name:	OVW Grants to Encourage Arrest Policies	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16-590	
Purpose of Grant:	Continuation of Sexual Assault Regional Training Program Statewide training to improve investigation & prosecution of sexual assault including proper treatment of victims END DATE EXTENSION	
Program Requirements:	Adherence to Program Conditions and Guidelines.	
Match Requirements:	No matching resources are required.	
Program income Requirement:	Program Income must be reported and spent on program allowable activities.	
Reporting Requirements:	Monthly or quarterly Financial reports. Required Performance reports and audit. Adherence to Program Conditions and Guidelines. Completion of Monitoring forms and processes.	
Approval	Program Agency	NH Department of Justice
Name	Joseph Foster	Kathleen B. Carr
Title	Attorney General	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 15 115

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL

ANN M. RICE
DEPUTY ATTORNEY GENERAL



July 1, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to **retroactively** amend the acceptance and expenditure of a grant, approved by the Fiscal Committee on November 10, 2014, as Fiscal Item #14-186 and by the Governor and Executive Council on November 12, 2014 as item #55, by extending the current end date from June 30, 2015 to December 31, 2015, in the amount of \$866,833 from the US Department of Justice, Office on Violence Against Women for the purpose of establishing a statewide program to enhance support services for victims of sexual assault effective upon the Fiscal Committee and Governor and Executive Council approvals through December 31, 2015. No additional funding is involved in this time extension. 100% Federal Funds.
2. Authorize the Department of Justice to **retroactively** extend sub-grants with the below agencies, from their current end dates of June 30, 2015 to new end dates of December 31, 2015, to support activities in the coordination and implementation of this Statewide initiative effective upon Governor and Executive Council approval. No additional funding is involved in this time extension. 100% Federal Funds

Funding is available in account number 02-20-20-201510-5907, Department of Justice entitled OVW Arrest Grant as follows:

<u>Class</u>	<u>Agency</u>	<u>Vendor#</u>	<u>Amount</u>
072-500575	NH Coalition Against Domestic and Sexual Violence – SANE Program	155510-B001	\$272,840

072-500575	NH Coalition Against Domestic and Sexual Violence- Crisis Center Advocates	155510-B001	\$281,852
072-500576	NH Department of Justice	177877-B001	\$155,115

EXPLANATION

This request is retroactive because the beginning date July 1, 2015 associated with this request is in the new biennium. It is the policy of the Fiscal Committee to act only on items within the current biennium and this is the first meeting in the new biennium. This grant was not budgeted because it is considered a discretionary grant and will not likely be awarded in subsequent years.

Approval of this request will allow support of on-going efforts by the Department of Justice to develop multidisciplinary teams focused on improvement of the criminal justice system's response to cases of adult sexual assault by providing support services for victims and training and resources for law enforcement and prosecutors to better recognize, investigate and prosecute cases of sexual assault. This grant opportunity is vital to the continuation of the progress made so far in improving the criminal justice system response to sexual assault.

Funding will support team development throughout the state, as well as trainings, updated protocols, and development of college-based programs to improve response to sexual assault on college and university campuses.

This grant award will also continue to support the Sexual Assault Nurse Examiner ("SANE") Program at the NH Coalition Against Domestic and Sexual Violence. The SANE program provides training and education to nurses who conduct forensic examinations of victims of sexual assault. The program coordinator also maintains the certification records for SANE nurses statewide. Continuation of the SANE program will provide nurses with access to ongoing, professional technical assistance in addition to regular, structured training.

This funding will also continue to support victim advocates at the 13 crisis centers, organized under the NH Coalition Against Domestic and Sexual Violence, who provide direct services to victims of sexual assault. Victim advocates accompany victims to police departments, hospitals, and court hearings, provide personal advocacy, and assist with referrals to community services, mental health services, victim compensation, and support groups.

Extension of this grant, which supports a comprehensive, three-year program serving victims of sexual assault while working with the justice system to hold offenders accountable, will sustain the positive momentum of the NH Department of Justice Sexual Assault Regional Training Program and build upon the notable progress of the NH Coalition Against Domestic and Sexual Violence, allowing both to continue making valuable gains towards ending sexual assault in New Hampshire.

Federal award funds are budgeted to support the activities as follows:

Class 040 - Indirect Costs of 9.0%

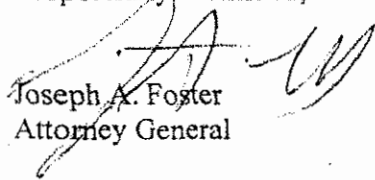
Class 041 - Audit Fund Set Aside of .1%

Class 072 - Grants to the NH Coalition Against Domestic and Sexual Violence and to the NH Department of Justice Sexual Assault Regional Training unit for their activities related to the Project, and for salary and benefits related to the administration of the grant

In the event that Federal Funds are no longer available, General Funds will not be requested to support this program.

Your consideration of this request would be most appreciated. Please let me know if you have any questions.

Respectfully submitted,



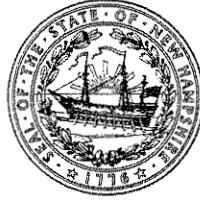
Joseph A. Foster
Attorney General

JAF/jeb
Enclosures

**ATTORNEY GENERAL
DEPARTMENT OF JUSTICE**

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

November 4, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency Governor, Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to amend Fiscal Item #15-113, approved on July 29, 2015, and Item #91, approved by the Governor and Executive Council on August 5, 2015, by extending the end date from December 31, 2015 to September 30, 2016 for a grant of \$370,000 from the Bureau of Justice Assistance, Office of Justice Programs to be utilized to implement a Swift and Certain Sanctions Intensive Probation Supervision program upon the date of Fiscal Committee and Governor and Executive Council approvals through September 30, 2016. No additional funding is involved in this time extension. 100% Federal Funds.

Funds are budgeted in 02-20-20-201510-58970000, Department of Justice, Grant Administration, titled FY 2014 Swift Sanctions Grant.

EXPLANATION

The approval of this request will allow the Department of Justice to continue to use these funds for the implementation of an intensive probation supervision program for probationers who are at medium to high risk to re-offend, the vast majority of whom will have a substance use disorder, mental illness or co-occurring substance use and mental health disorders. Treatment will be administered by Keystone Hall, which is part of Harbor Homes and is one of the largest mental health/substance abuse treatment facilities in New Hampshire. The target population will be justice-involved individuals at the Hillsborough County Superior Court North and will be under community supervision (probation) by the Manchester Probation/Parole District Office.

In the event federal funds are no longer available, general funds will not be requested to support this program.

Please let me know if you have any questions concerning this request. Your consideration is greatly appreciated.

Respectfully submitted,



ja Joseph A. Foster
Attorney General

JAF/shd
Enclosures

#1297107



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

Cooperative Agreement

PAGE 1 OF 7

1. RECIPIENT NAME AND ADDRESS (Including Zip Code) New Hampshire Department of Justice 33 Capitol Street Concord, NH 03301		4. AWARD NUMBER: 2014-HO-BX-K002	
		5. PROJECT PERIOD: FROM 10/01/2014 TO 09/30/2016 BUDGET PERIOD: FROM 10/01/2014 TO 09/30/2016	
1A. GRANTEE IRS/VENDOR NO. 026002618		6. AWARD DATE 09/04/2014	7. ACTION Initial
		8. SUPPLEMENT NUMBER 00	
3. PROJECT TITLE Hillsborough County New Hope Program		9. PREVIOUS AWARD AMOUNT \$ 0	
		10. AMOUNT OF THIS AWARD \$ 370,000	
		11. TOTAL AWARD \$ 370,000	
12. SPECIAL CONDITIONS THE ABOVE GRANT PROJECT IS APPROVED SUBJECT TO SUCH CONDITIONS OR LIMITATIONS AS ARE SET FORTH ON THE ATTACHED PAGE(S).			
13. STATUTORY AUTHORITY FOR GRANT This project is supported under FY14(BJA - Project HOPE) Pub. L. No. 113-76, 128 Stat. 5, 63			
15. METHOD OF PAYMENT GPRS			
AGENCY APPROVAL		GRANTEE ACCEPTANCE	
16. TYPED NAME AND TITLE OF APPROVING OFFICIAL Karol Virginia Mason Assistant Attorney General		18. TYPED NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL Rosemary Faretra Director of Administration	
17. SIGNATURE OF APPROVING OFFICIAL <i>Karol V. Mason</i>		19. SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL <i>Rosemary Faretra</i>	19A. DATE 9-8-14
AGENCY USE ONLY			
20. ACCOUNTING CLASSIFICATION CODES FISCAL FUND BUD. DIV. YEAR CODE ACT. OFC. REG. SUB. POMS AMOUNT X B HO 80 00 00 370000		21. NHOUGR392	

OJP FORM 4000/2 (REV. 5-87) PREVIOUS EDITIONS ARE OBSOLETE.

OJP FORM 4000/2 (REV. 4-88)



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET**
Cooperative Agreement

PAGE 2 OF 7

PROJECT NUMBER 2014-HO-BX-K002

AWARD DATE 09/04/2014

SPECIAL CONDITIONS

1. The recipient agrees to comply with the financial and administrative requirements set forth in the current edition of the Office of Justice Programs (OJP) Financial Guide.
2. The recipient acknowledges that failure to submit an acceptable Equal Employment Opportunity Plan (if recipient is required to submit one pursuant to 28 C.F.R. Section 42.302), that is approved by the Office for Civil Rights, is a violation of its Certified Assurances and may result in suspension or termination of funding, until such time as the recipient is in compliance.
3. The recipient agrees to comply with the organizational audit requirements of OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, and further understands and agrees that funds may be withheld, or other related requirements may be imposed, if outstanding audit issues (if any) from OMB Circular A-133 audits (and any other audits of OJP grant funds) are not satisfactorily and promptly addressed, as further described in the current edition of the OJP Financial Guide.
4. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government, without the express prior written approval of OJP.
5. The recipient must promptly refer to the DOJ OIG any credible evidence that a principal, employee, agent, contractor, subgrantee, subcontractor, or other person has either 1) submitted a false claim for grant funds under the False Claims Act; or 2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving grant funds. This condition also applies to any subrecipients. Potential fraud, waste, abuse, or misconduct should be reported to the OIG by -

mail:

Office of the Inspector General
U.S. Department of Justice
Investigations Division
950 Pennsylvania Avenue, N.W.
Room 4706
Washington, DC 20530

e-mail: oig.hotline@usdoj.gov

hotline: (contact information in English and Spanish): (800) 869-4499

or hotline fax: (202) 616-9881

Additional information is available from the DOJ OIG website at www.usdoj.gov/oig.

6. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of any contract or subaward to either the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries, without the express prior written approval of OJP.
7. The recipient agrees to comply with any additional requirements that may be imposed during the grant performance period if the agency determines that the recipient is a high-risk grantee. Cf. 28 C.F.R. parts 66, 70.



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET**
Cooperative Agreement

PAGE 3 OF 7

PROJECT NUMBER 2014-HO-BX-K002

AWARD DATE 09/04/2014

SPECIAL CONDITIONS

8. The recipient agrees to comply with applicable requirements regarding registration with the System for Award Management (SAM) (or with a successor government-wide system officially designated by OMB and OJP). The recipient also agrees to comply with applicable restrictions on subawards to first-tier subrecipients that do not acquire and provide a Data Universal Numbering System (DUNS) number. The details of recipient obligations are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/sam.htm> (Award condition: Registration with the System for Award Management and Universal Identifier Requirements), and are incorporated by reference here. This special condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).
9. Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), the Department encourages recipients and sub recipients to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this grant, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.
10. The recipient agrees to comply with all applicable laws, regulations, policies, and guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences, meetings, trainings, and other events, including the provision of food and/or beverages at such events, and costs of attendance at such events. Information on pertinent laws, regulations, policies, and guidance is available in the OJP Financial Guide Conference Cost Chapter.
11. The recipient understands and agrees that any training or training materials developed or delivered with funding provided under this award must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at <http://www.ojp.usdoj.gov/funding/ojptrainingguidingprinciples.htm>.
12. The recipient agrees that if it currently has an open award of federal funds or if it receives an award of federal funds other than this OJP award, and those award funds have been, are being, or are to be used, in whole or in part, for one or more of the identical cost items for which funds are being provided under this OJP award, the recipient will promptly notify, in writing, the grant manager for this OJP award, and, if so requested by OJP, seek a budget-modification or change-of-project-scope grant adjustment notice (GAN) to eliminate any inappropriate duplication of funding.
13. The recipient understands and agrees that award funds may not be used to discriminate against or denigrate the religious or moral beliefs of students who participate in programs for which financial assistance is provided from those funds, or of the parents or legal guardians of such students.
14. The recipient understands and agrees that - (a) No award funds may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography, and (b) Nothing in subsection (a) limits the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.
15. The award recipient agrees to participate in a data collection process measuring program outputs and outcomes. The data elements for this process will be outlined by the Office of Justice Programs.
16. Grantee agrees to comply with the requirements of 28 C.F.R. Part 46 and all Office of Justice Programs policies and procedures regarding the protection of human research subjects, including obtaining of Institutional Review Board approval, if appropriate, and subject informed consent.

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Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET**
Cooperative Agreement

PAGE 4 OF 7

PROJECT NUMBER 2014-HO-BX-K002

AWARD DATE 09/04/2014

SPECIAL CONDITIONS

17. Grantee agrees to comply with all confidentiality requirements of 42 U.S.C. section 3789g and 28 C.F.R. Part 22 that are applicable to collection, use, and revelation of data or information. Grantee further agrees, as a condition of grant approval, to submit a Privacy Certificate that is in accord with requirements of 28 C.F.R. Part 22 and, in particular, section 22.23.
18. Grantee agrees that assistance funds awarded under this grant will not be used to support any inherently religious activities, such as worship, religious instruction, or proselytization. If the grantee refers participants to, or provides, a non-Federally funded program or service that incorporates such religious activities, (1) any such activities must be voluntary for program participants, and (2) program participants may not be excluded from participation in a program or otherwise penalized or disadvantaged for any failure to accept a referral or services. If participation in a non-Federally funded program or service that incorporates inherently religious activities is deemed a critical treatment or support service for program participants, the grantee agrees to identify and refer participants who object to the inherently religious activities of such program or service to, or provide, a comparable secular alternative program or service.
19. The recipient agrees to cooperate with any assessments, national evaluation efforts, or information or data collection requests, including, but not limited to, the provision of any information required for the assessment or evaluation of any activities within this project.
20. Approval of this award does not indicate approval of any consultant rate in excess of \$650 per day. A detailed justification must be submitted to and approved by the Office of Justice Programs (OJP) program office prior to obligation or expenditure of such funds.
21. Recipient agrees that funds provided under this award may not be used to operate a "pay-to-stay" program in any local jail. Recipient further agrees not to subaward funds to local jails which operate "pay-to-stay" programs.
22. The recipient agrees to comply with applicable requirements to report first-tier subawards of \$25,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients of award funds. Such data will be submitted to the FFATA Subaward Reporting System (FSRS). The details of recipient obligations, which derive from the Federal Funding Accountability and Transparency Act of 2006 (FFATA), are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/ffata.htm> (Award condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here. This condition, and its reporting requirement, does not apply to grant awards made to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).
23. Award recipients must verify Point of Contact(POC), Financial Point of Contact (FPOC), and Authorized Representative contact information in GMS, including telephone number and e-mail address. If any information is incorrect or has changed, a Grant Adjustment Notice (GAN) must be submitted via the Grants Management System (GMS) to document changes.

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Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET**
Cooperative Agreement

PAGE 5 OF 7

PROJECT NUMBER 2014-HO-BX-K002

AWARD DATE 09/04/2014

SPECIAL CONDITIONS

24. The recipient acknowledges that the Office of Justice Programs (OJP) reserves a royalty-free, non-exclusive, and irrevocable license to reproduce, publish, or otherwise use, and authorize others to use (in whole or in part, including in connection with derivative works), for Federal purposes: (1) any work subject to copyright developed under an award or subaward; and (2) any rights of copyright to which a recipient or subrecipient purchases ownership with Federal support.

The recipient acknowledges that OJP has the right to (1) obtain, reproduce, publish, or otherwise use the data first produced under an award or subaward; and (2) authorize others to receive, reproduce, publish, or otherwise use such data for Federal purposes. "Data" includes data as defined in Federal Acquisition Regulation (FAR) provision 52.227-14 (Rights in Data - General).

It is the responsibility of the recipient (and of each subrecipient, if applicable) to ensure that this condition is included in any subaward under this award.

The recipient has the responsibility to obtain from subrecipients, contractors, and subcontractors (if any) all rights and data necessary to fulfill the recipient's obligations to the Government under this award. If a proposed subrecipient, contractor, or subcontractor refuses to accept terms affording the Government such rights, the recipient shall promptly bring such refusal to the attention of the OJP program manager for the award and not proceed with the agreement in question without further authorization from the OJP program office.

25. Any Web site that is funded in whole or in part under this award must include the following statement on the home page, on all major entry pages (i.e., pages (exclusive of documents) whose primary purpose is to navigate the user to interior content), and on any pages from which a visitor may access or use a Web-based service, including any pages that provide results or outputs from the service:

"This Web site is funded [insert "in part," if applicable] through a grant from the [insert name of OJP component], Office of Justice Programs, U.S. Department of Justice. Neither the U.S. Department of Justice nor any of its components operate, control, are responsible for, or necessarily endorse, this Web site (including, without limitation, its content, technical infrastructure, and policies, and any services or tools provided)."

The full text of the foregoing statement must be clearly visible on the home page. On other pages, the statement may be included through a link, entitled "Notice of Federal Funding and Federal Disclaimer," to the full text of the statement.

26. The recipient agrees to submit to BJA for review and approval any curricula, training materials, proposed publications, reports, or any other written materials that will be published, including web-based materials and web site content, through funds from this grant at least thirty (30) working days prior to the targeted dissemination date. Any written, visual, or audio publications, with the exception of press releases, whether published at the grantee's or government's expense, shall contain the following statements: "This project was supported by Grant No. 2014-HO-BX-K002 awarded by the Bureau of Justice Assistance. The Bureau of Justice Assistance is a component of the Department of Justice's Office of Justice Programs, which also includes the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, the Office for Victims of Crime, and the SMART Office. Points of view or opinions in this document are those of the author and do not necessarily represent the official position or policies of the U.S. Department of Justice." The current edition of the OJP Financial Guide provides guidance on allowable printing and publication activities.
27. All program authority and responsibility inherent in the Federal stewardship role shall remain with the Bureau of Justice Assistance (BJA). BJA will work in conjunction with the recipient to routinely review and refine the work plan so that the program's goals and objectives can be effectively accomplished. BJA will monitor the project on a continual basis by maintaining ongoing contact with the recipient and will provide input to the program's direction, in consultation with the recipient, as needed.



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET**
Cooperative Agreement

PAGE 6 OF 7

PROJECT NUMBER 2014-HO-BX-K002

AWARD DATE 09/04/2014

SPECIAL CONDITIONS

28. Within 45 calendar days after the end of any conference, meeting, retreat, seminar, symposium, training activity, or similar event funded under this award, and the total cost of which exceeds \$20,000 in award funds, the recipient must provide the program manager with the following information and itemized costs:

- 1) name of event;
- 2) event dates;
- 3) location of event;
- 4) number of federal attendees;
- 5) number of non-federal attendees;
- 6) costs of event space, including rooms for break-out sessions;
- 7) costs of audio visual services;
- 8) other equipment costs (e.g., computer fees, telephone fees);
- 9) costs of printing and distribution;
- 10) costs of meals provided during the event;
- 11) costs of refreshments provided during the event;
- 12) costs of event planner;
- 13) costs of event facilitators; and
- 14) any other costs associated with the event.

The recipient must also itemize and report any of the following attendee (including participants, presenters, speakers) costs that are paid or reimbursed with cooperative agreement funds:

- 1) meals and incidental expenses (M&IE portion of per diem);
- 2) lodging;
- 3) transportation to/from event location (e.g., common carrier, Privately Owned Vehicle (POV)); and,
- 4) local transportation (e.g., rental car, POV) at event location.

Note that if any item is paid for with registration fees, or any other non-award funding, then that portion of the expense does not need to be reported.

Further instructions regarding the submission of this data, and how to determine costs, are available in the OJP Financial Guide Conference Cost Chapter.

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Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET**
Cooperative Agreement

PAGE 7 OF 7

PROJECT NUMBER 2014-HO-BX-K002

AWARD DATE 09/04/2014

SPECIAL CONDITIONS

29. With respect to this award, federal funds may not be used to pay cash compensation (salary plus bonuses) to any employee of the award recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. (An award recipient may compensate an employee at a higher rate, provided the amount in excess of this compensation limitation is paid with non-federal funds.)

This limitation on compensation rates allowable under this award may be waived on an individual basis at the discretion of the OJP official indicated in the program announcement under which this award is made.

30. The recipient agrees to comply with OJP grant monitoring guidelines, protocols, and procedures, and to cooperate with BJA and OCFO on all grant monitoring requests, including requests related to desk reviews, enhanced programmatic desk reviews, and/or site visits. The recipient agrees to provide to BJA and OCFO all documentation necessary to complete monitoring tasks, including documentation related to any subawards made under this award. Further, the recipient agrees to abide by reasonable deadlines set by BJA and OCFO for providing the requested documents. Failure to cooperate with BJA's/OCFO's grant monitoring activities may result in sanctions affecting the recipient's DOJ awards, including, but not limited to: withholdings and/or other restrictions on the recipient's access to grant funds; referral to the Office of the Inspector General for audit review; designation of the recipient as a DOJ High Risk grantee; or termination of an award(s).
31. The recipient may not obligate, expend or draw down funds until the Office of the Chief Financial Officer (OCFO) has approved the budget and budget narrative and a Grant Adjustment Notice (GAN) has been issued to remove this special condition.
32. All procurement (contract) transactions under this award must be conducted in a manner that is consistent with applicable Federal and State law, and with Federal procurement standards specified in regulations governing Federal awards to non-Federal entities. Procurement (contract) transactions should be competitively awarded unless circumstances preclude competition. Noncompetitive (e.g., sole source) procurements by the award recipient in excess of the Simplified Acquisition Threshold (currently \$150,000) set out in the Federal Acquisition Regulation must receive prior approval from the awarding agency, and must otherwise comply with rules governing such procurements found in the current edition of the OJP Financial Guide.
33. Recipient may not obligate, expend or drawdown funds until the Bureau of Justice Assistance, Office of Justice Programs has reviewed and approved the Program Narrative portion of the application and has issued a Grant Adjustment Notice (GAN) informing the recipient of the approval.
34. Recipient may not obligate, expend, or drawdown funds until the Bureau of Justice Assistance, Office of Justice Programs has reviewed and approved the Budget Narrative portion of the application and has issued a Grant Adjustment Notice (GAN) informing the recipient of the approval.

R

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIG 15 113

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

June 30, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to **retroactively** amend Fiscal item #14-176, approved by the Fiscal Committee on November 10, 2014 and by the Governor and Executive Council on November 12, 2014, item #56, by extending the end date from June 30, 2015 to December 31, 2015 for a grant of \$370,000 from the Bureau of Justice Assistance, Office of Justice Programs, to be utilized to implement a Swift and Certain Sanctions Intensive Probation Supervision program from the date of the Fiscal Committee and Governor and Executive Council approvals through December 31, 2015. No additional funds are involved in this time extension. 100% Federal Funds.

Funds are budgeted in account #02-20-20-201510-5897, Department of Justice, Grants Administration, titled FY 14 Swift Sanction.

EXPLANATION

This request is retroactive because the beginning date of July 1, 2015 associated with this request is in the new biennium. It is the policy of the Fiscal Committee to act only on items within the current biennium and this is the first meeting in the new biennium. This grant was not budgeted because it is considered a discretionary grant and will not likely be awarded in subsequent years.

The approval of this request will allow the Department of Justice to continue to use these funds for the implementation of an intensive probation supervision program for probationers who are at medium to high risk to re-offend, the vast majority of whom will have substance use disorder, mental illness or co-occurring substance use and mental health disorders. Treatment will be administered by Keystone Hall, which is part of Harbor Homes and is one of the largest mental health/substance abuse treatment facilities in New Hampshire. The target population will be justice-involved individual at the

Hillsborough County Superior Court North and will be under community supervision (probation) by the Manchester Probation/Parole District Office.

Please let me know if you have any questions concerning this request. Your consideration is greatly appreciated.

Respectfully submitted,



Joseph A. Foster
Attorney General

JAF/jsh
#1100393

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 15 233

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

November 4, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to amend Fiscal Item # 15-116 paragraph one, approved by the Fiscal Committee on July 29, 2015, and Item #93 paragraph one, approved by the Governor and Executive Council on August 5, 2015, by extending the current end date from December 31, 2015 to September 30, 2016 for a grant of \$955,095. This funding is for the purposes of establishing a Statewide Automated Victim Information and Notification Program (SAVIN), effective upon the date of Fiscal Committee and Governor and Executive Council approvals through September 30, 2016. No additional funds are involved in this time extension. 100% Federal Funds.
2. Contingent upon the approval of paragraph one, authorize the Department of Justice to amend Item # 93 paragraph two, approved by the Governor and Executive Council on August 5, 2015, by extending the current end date of the subgrant to the N.H. Department of Safety (Vendor #177878-B001) in the amount of \$955,095 from December 31, 2015 to September 30, 2016 upon the date of Governor and Executive Council approval through September 30, 2016. No additional funds are involved in this time extension. 100% Federal Funds.

Funds are available in account #02-20-20-201510-1137, Department of Justice FY 11 SAVIN Grant.

EXPLANATION

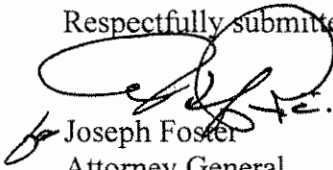
The approval of this request will allow continuation of the work toward realization of victims' rights under NH RSA 21-M:8-k, *Rights of Crime Victims*. The program will lay the foundation for real-time notification to victims as to the status of their offender in the criminal justice system from arrest and prosecution through release from custody and post-conviction probation and parole hearings.

Funding under this project supports the construction and implementation of a subscription notification service component of the N.H. Department of Safety's established criminal information sharing system, J-One. The system will allow electronic information sharing between the state prison, the courts, and the county jails, and will be designed to allow for future inclusion of all police departments, county attorneys and other agencies of the State's criminal justice system including those needing substantial technological updates to become compatible with J-One.

In the event that federal funds are no longer available, General Funds will not be requested to support this program.

Please let me know if you have any questions concerning this request. Your consideration is greatly appreciated.

Respectfully submitted,



Joseph Foster
Attorney General

JAF/jeb
Enclosures

#1297097

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name:	NH Department of Safety	Vendor No.: 177878-B001
Program Name:	Statewide Automated Victim Notification	Amount: \$ 955,095.00
Grant Start Date:	1/11/2012	State Grant Number: 20SAV11A
Grant End Date:	09/30/2016	Federal Grant Number: 2011-VN-CX-0014
Appropriation No.:	02-20-20-201510-1137-072-500576	
Head of Agency	Project Director	Fiscal Officer
John J. Barthelmes Commissioner 33 Hazen Drive Concord, NH 03301	Keith Lohmann Administrator 33 Hazen Drive Concord, NH 03301	Tammy Hoslo Administrator 33 Hazen Drive Concord, NH 03301
Federal Grant Name:	Statewide Automated Victim Notification Program	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16.740	
Purpose of Grant:	Victim Notification END DATE EXTENSION	
Financial Requirements:	See signed Program Conditions and Guidelines.	
Match Requirements:	No matching resources are required	
Reporting Requirements:	Monthly or quarterly Financial reports Annual performance report and audit Adherence to State and Federal guidelines and conditions	
Approval	Program Agency	NH Department of Justice
Name	John J. Barthelmes	Kathleen Carr
Title	Commissioner	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 15 116

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6897

JOSEPH A. FOSTER
ATTORNEY GENERAL

ANN M. RICE
DEPUTY ATTORNEY GENERAL



July 6, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to **retroactively** amend Fiscal Item #14-143, approved by the Fiscal Committee on September 26, 2014 and approved by Governor and Executive Council on October 1, 2014, item #52, by extending the current end date from June 30, 2015 to a new end date of December 31, 2015. This funding is for the purposes of establishing a Statewide Automated Victim Information and Notification Program (SAVIN), for the period effective upon the Fiscal Committee and Governor and Executive Council approvals through December 31, 2015. No additional funds are involved in this time extension. 100% Federal Funds.
2. Contingent on the approval of paragraph one, authorize the Department of Justice to further **retroactively** amend item #52 approved by Governor and Executive Council on October 1, 2014 by extending the end date of the subgrant to the NH Department of Safety (vendor #177878-B001) in the amount of \$955,095.00 from the current end date of June 30, 2015, to a new end date of December 31, 2015, effective upon Governor and Executive Council approval. No additional funds are involved in this time extension. 100% Federal Funds.

Funds are available in account #02-20-20-201510-1137, Department of Justice FY 11 SAVIN Grant.

EXPLANATION

This request is retroactive because the beginning date July 1, 2015 associated with this request is in the new biennium. It is the policy of the Fiscal Committee to act only on items within the current biennium and this is the first meeting in the new biennium. This grant was not budgeted because it is considered a discretionary grant and will not likely be awarded in subsequent years.

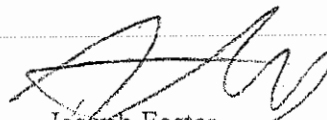
The approval of this request will allow the program to continue through December 31, 2015. The dual purpose is the prevention of crime and the realization of victims' rights under NH RSA 21-M:8-k, *Rights of Crime Victims*. The program will lay the foundation for real-time notification to victims as to the status of their offender in the criminal justice system, from arrest and prosecution through release from custody and post-conviction probation and parole hearings.

Funding under this project supports the construction and implementation of a subscription notification service component to the NH Department of Safety's established criminal information sharing system, J-One. The system is scheduled to connect to the state prison, the courts, and the county jails, and will be designed to allow for future inclusion of all police departments, county attorneys, and other agencies of the State's criminal justice system, including those needing substantial technological updates to become compatible with J-One.

In the event that federal funds are no longer available, General Funds will not be requested to support this program.

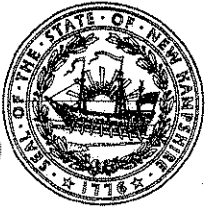
Please let me know if you have any questions concerning this request. Your consideration is greatly appreciated.

Respectfully submitted,



Joseph Foster
Attorney General

JAF/jeb
Enclosures
#1231432



FIS 15 234

STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
DIVISION OF ECONOMIC DEVELOPMENT

172 Pembroke Road Concord, New Hampshire 03301
Phone: 603-271-2341 www.nheconomy.com

October 21, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the Department of Resources and Economic Development, Division of Economic Development, Office of International Commerce (Department) to amend Fiscal Committee Item #FIS 15-193, approved September 25, 2015, and Governor and Executive Council Item #36, approved October 7, 2015, by changing the end date *only* from the current end date of December 31, 2015 to a new end date of September 29, 2016 to better align with the Federal grant end date, effective upon Fiscal Committee and Governor and Executive Council approvals. No increase in funding is requested with this time extension. **100% Federal**

Funds

EXPLANATION

This request is to amend the end date only for the U.S Small Business Administration (SBA) – State Trade and Export Promotion (STEP) Grant IV. The Department originally requested an end date of December 31, 2015 to comply with the Continuing Resolution (Chapter 158, Laws of 2015). The funding for the program is currently available through September 29, 2016. This request will allow the Department to continue to further its efforts of assisting small businesses in preparing for new international markets, complying with trade regulations, accessing export financing, and participating in international trade shows and trade missions. The grant allows for the creation of specialized programs to focus on foreign markets with the highest growth potential and to engage industries with the greatest and most immediate ability to compete successfully. This no-cost time extension will allow the Department to meet all of the grant objectives.

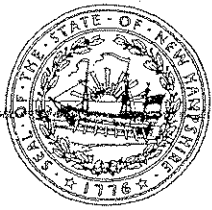
In the event that Federal Funds are no longer available, General Funds will not be requested to continue the support of this project.

Respectfully submitted,

Concurred,

Carmen Lorentz
Director

Jeffrey J. Rose
Commissioner



b/c Item # 36 16/7/15

STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
DIVISION OF ECONOMIC DEVELOPMENT

172 Pembroke Road Concord, New Hampshire 03301
Phone: 603-271-2341 www.nheconomy.com

August 21, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the Department of Resources and Economic Development, Division of Economic Development, Office of International Commerce to accept and expend grant funds from the US Small Business Administration – State Trade and Export Promotion (STEP) Grant Program (Award #SBAHQ-15-IT-0020) in the amount of \$199,878 upon Fiscal Committee and Governor and Executive Council approval through December 30, 2015. **100% Federal Funds**

Funding to be budgeted as follows:

03-35-35-350510-33360000 State Trade and Export Promo		2016 Requested Budget
000-403944	Federal Funds	(\$199,878)
040-500800	Indirect Costs	12,692
041-500801	Audit Fund Set Aside	200
102-500731	Contracts for Program Services	186,986
<i>Total:</i>		\$199,878

EXPLANATION

The US Small Business Administration’s State Trade and Export Promotion (STEP) Grant Program, which was created by the Small Business Jobs Act Legislation, awards financial assistance grants to States for the purpose of furthering their efforts of assisting small businesses in preparing for new international markets, complying with trade regulations, accessing export financing, and participating in international trade shows and trade missions. These grants allow for the creation of specialized programs to focus on foreign markets with the highest growth potential and to engage industries with the greatest and most immediate ability to compete successfully.

The State of New Hampshire has successfully been awarded STEP funds in three previous rounds of this competitive grant, making the current cycle the fourth round of funding. New Hampshire is one of forty states to receive a STEP grant award this year.

The following appropriations are being requested for Fiscal Year 2016:

Class	Class Description	Amount	Purpose
040	Indirect Costs	\$12,692	Amount budgeted to cover indirect cost rate (6.35%);
041	Audit Fund Set Aside	\$200	Amount budgeted to cover audit fund set aside

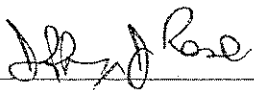
			percentage (.001); and
102	Contracts for Program Services	\$186,986	Amount budgeted to cover contractual services for trade show and matching grant program logistics.

Listed below are answers to standard questions required of all Fiscal Committee item requests related to RSA 30-a, VI, "Expenditure of Funds Over \$100,000 From Any Non-State Source," or RSA 124:15, "Positions Authorized," or both:

1. **Is the action required of this request a result of the Continuing Resolution for FY 2016?** This action is not required as a result of the Continuing Resolution.
2. **If this request is retroactive, what is the significance and importance of the action being effective from an earlier date?** This is not a retroactive request.
3. **Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)** No, this is a new program being offered via a grant award of funds by the US Small Business Administration. The grant award process for the State Trade Export Promotion grant is competitive in nature among the 50 U.S. States and territories. Each year of the grant is awarded as a separate and new program.
4. **Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?** Neither. While this program has been approved by G&C in prior years, each year of funding is in fact a separate grant with a competitive proposal process, which does not guarantee that the Office of International Commerce would receive any funding. This specific grant is a new program request and did not exist in FY 2014-2015.
5. **Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?** Due to the competitive nature of the grant award process, it is never guaranteed that the proposal submitted by the Office of International Commerce would be awarded the grant. This is a new program request and was not part of the FY 2016-2017 operating budget as proposed.
6. **Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)** No, positions or consultants are not included as part of the award for this program.
7. **What would be the effect should this program be discontinued or not initiated as a result of this request being denied?** Without these funds, the Office of International Commerce will not be able to provide as much access to international programs and opportunities for NH businesses. This would impact how the businesses plan to develop international markets, expand their reach, and increase sales. Businesses have successfully used STEP grant funds awarded in previous years to develop new markets so as not to be adversely affected by economic turndowns in the domestic market.

In the event that Federal Funds are no longer available, General Funds will not be requested to continue the support of this project.

Respectfully Submitted,



 Jeffrey J. Rose
 Commissioner

State of New Hampshire
Department of Resources and Economic Development
Division of Economic Development

FISCAL SITUATION

03-35-35-350510-33360000 State Trade and Export Promo

Fiscal Year 2016 Grant Award #SBAHQ-15-IT-0020

\$199,878

Total Request: \$199,878

NOTICE OF AWARD



U.S. Small Business Administration

1. AUTHORIZATION (Legislation/Regulation)
Public Law 111 - 240, Small Business Jobs Act of 2010

2. Grant/Cooperative Agreement No.:
SBAHQ-15-IT-0020

3. RECIPIENT: (Name, Organizational Unit, Address)
RESOURCES AND ECONOMIC DEVELOPMENT, NEW HAMPS
Attn: MARTHA KEENE
172 PEMBROKE ROAD
P.O. BOX 1856

CONCORD NH 033021856

4. PROJECT PERIOD (Mo./Day/Yr.)
From 09/30/2015 Through 09/29/2016

5. BUDGET PERIOD (Mo./Day/Yr.)
From 09/30/2015 Through 09/29/2016

6. FEDERAL CATALOG NO.
59.061

7. ADMINISTRATIVE CODES
5506001EZ0009

8. TITLE OF PROJECT/PROGRAM (limit to 53 spaces)
STEP

9. AWARD AMOUNT
Amount of SBA Financial Assistance
\$199,878.00

10. DIRECTOR OF PROJECT (Program or Center Director, Coordinator or Principal Investigator)

NAME Kasim Tina
Last First Initial
ADDRESS 172 Pembroke Road
Concord, NH 03302

11. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project)

BUDGET YEAR	TOTAL DIRECT COST	BUDGET YEAR	TOTAL DIRECT COST
a.	\$0.00	b.	\$0.00

12. Approved Budget (Excludes SBA Direct Assistance)

SBA Funds Only Total project costs including all other financial participation.

13. Remarks (Other Terms & Conditions Attached) Yes No
See Special Terms and Conditions

	Federal Share	Non-Federal Share
a. Personal Service	0.00	0.00
b. Fringe Benefits	0.00	0.00
c. Grants	0.00	0.00
d. Travel	0.00	0.00
e. Equipment	0.00	0.00
f. Supplies	0.00	0.00
g. Contractual	0.00	0.00
h. Other	0.00	0.00
i. TOTAL DIRECT COSTS	\$0.00	\$0.00
j. Indirect cost (Rate) 0 % of S & WTADC	0.00	0.00
k. OTHER APPL. COSTS	0.00	0.00
l. TOTAL APPROVED BUDGET	\$0.00	\$0.00

14. THIS AWARD IS SUBJECT TO THE FOLLOWING COST PRINCIPLES AND OMB UNIFORM ADMINISTRATIVE REQUIREMENTS:

2 CFR Part 220 - Cost Principles for Educational Institutions

2 CFR Part 225 - Cost Principles for State and Local Governments

2 CFR Part 230 - Cost Principles for Non-Profit Organizations

FAR Subpart 31.2 - Principles for Determining Cost Applicable to Awards with For-Profit Organizations

13 C.F.R. Part 143 - Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments

2 CFR Part 215 - Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and other Non-Profit Organizations.

OMB Circular - A - 133 - Audits of States, Local Governments, and other Non-Profit Orgs.

*Must meet all matching or cost participation requirements subject to adjustment in accordance with SBA policy

15. THIS AWARD IS SUBJECT TO THE TERMS AND CONDITIONS ON THE REVERSE SIDE

16. CRS - EIN	17. COUNTY NAME Merrimack	18. CONGRESSIONAL DISTRICT NO.
19a. CITY CODE 14200	b. COUNTY CODE 013	c. STATE CODE 33
BUDGET CODE	DOCUMENT NO.	AMT. ACTION FIN. ASST.
20a. 1515.560400DB.506001.2036	b. SBAHQ-15-IT-0020	c. \$199,878.00
21. AGENCY OFFICIAL (Signature, Name and Title) Teresa Clouser		d. STATE

22. DATE ISSUED (Mo./Day/Yr.) 08/17/2015
23. RECIPIENT OFFICIAL (Signature, Name and Title) Teresa Clouser
24. DATE 8/26/15 (Mo./Day/Yr.)

THIS AWARD IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING.

- A. The program legislation and / or regulation cited in block 1.
- B. This award notice including terms and conditions, if any, noted under block 13, Remarks.
- C. SBA Federal Assistance Regulations or Manual issuances in effect at the beginning date of the budget period.
- D. The applicable program announcement, if any.
- E. SBA Policy Guidelines in effect as of the beginning date of the budget period.
- F. SBA Administrative Regulations / Guidelines in effect as of the beginning date of the budget period.

In the event that there are any conflicting or otherwise inconsistent policies applicable to this award, the above order of precedence shall prevail. Acceptance of ALL terms and conditions is acknowledged by the Recipient's Signature in block 23.

NOTICE OF AWARD

ITEM NO. (A)	ITEM OR SERVICE (Include Specifications and Special Instructions) (B)	QUANTITY (C)	UNIT (D)	ESTIMATED COST	
				UNIT PRICE (E)	AMOUNT (F)
0001	<p>DUNS Number: 787504158</p> <p>Delivery: 08/05/2015</p> <p>Delivery Location Code: 506001</p> <p>Small Business Administration Office of International Trade 409 3rd St., S.W. Washington DC 20416 USA</p> <p>Payment: Office of International Trade 409 3rd Street SW Washington DC 20416</p> <p>Accounting Info: 1515.560400DB.506001.20360.4110.610001.5060001</p> <p>Period of Performance: 09/30/2015 to 09/29/2016</p> <p>FY 2015 STEP Program Award - NH</p> <p>Obligated Amount: \$199,878.00</p> <p>The total amount of award: \$199,878.00. The obligation for this award is \$199,878.00.</p>				199,878.00

NOTICE OF AWARD

I. ADMINISTRATIVE PROVISIONS

A. Points of Contact

1. Grants Management Officer (GMO)

Name: Teresa Clouser
Address: U.S. Small Business Administration
Office of Grants Management
409 Third Street, SW, 5th Floor
Washington, DC 20416

Telephone Number: (202) 205-7371
Facsimile Number: (202) 481-5777
E-mail Address: teresa.clouser@sba.gov

2. Grant Officer's Technical Representative (GOTR)

Name: Shadetra Robinson
Address: U.S. Small Business Administration
Office of International Trade
409 Third Street, SW, Suite 200
Washington, DC 20416

Telephone Number: (202) 205-6725
Facsimile Number: (202) 292-3614
E-mail Address: shadetra.robinson@sba.gov

3. State Trade and Export Promotion (STEP) Grant Program Point of Contact for Reporting Fraud, Waste, or Abuse

Name: Gene Stewman
Chief of Operations
Address: U.S. Small Business Administration
Office of International Trade
409 Third Street, SW, Suite 200
Washington, DC 20416

Telephone Number: (202) 205-6193
Facsimile Number: (202) 481-2543
E-mail Address: eugene.stewman@sba.gov

NOTICE OF AWARD

4. Grant Recipient's Authorized Organizational Representative (AOR)

Name: Tina Kasim
Address: 172 Pembroke Road
Concord, NH 03302

Telephone Number: (603) 271-8444
Facsimile: (603) 271-6784
E-mail Address: tina.kasim@dred.nh.gov

B. Project and Budget Periods

The period of performance for this award will consist of a base period of 12 months and one option period of 12 months.

The base and option periods for this Award will run as follows:

- September 30, 2015 – September 29, 2016 (Base Period)
- September 30, 2016 – September 29, 2017 (Option Period)

The base period and option period will constitute a separate period of performance and approved budget.

C. Governing Authority/Order of Precedence

This Award is subject to the following requirements and representations, whether stated explicitly or incorporated by reference:

1. The statutes, regulations, and policy documents cited in Blocks 1 and 14 of the Notice of Award cover page and any other relevant, subsequently enacted laws.
2. Program Announcement No. OIT-STEP-2015-01, and any subsequently issued SBA policy guidance.
3. Those terms and conditions set forth below.
4. Your accepted application for this Award, including all forms and assurances, and any subsequently approved additions or modifications.

NOTICE OF AWARD

In the event of a conflict between these requirements, the Order of Precedence listed above will determine which prevails. Unless explicitly stated otherwise, all deadlines discussed in this Notice of Award will be measured in terms of calendar days. By signing Block 23 of the Notice of Award cover page, You acknowledge Your acceptance of all these requirements.

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 78 FR 78589, codified at 2 CFR 200 *et seq* effective December 26, 2014 revises and governs this Notice of Award.
<http://www.ecfr.gov/cgi-bin/text-idx>

On December 26, 2013, the Office of Management and Budget ("OMB") published final guidance in the Federal Register entitled "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" ("Final Guidance"), to be located at 2 CFR 200 *et seq*. This Final Guidance supersedes and combines the requirements of eight existing OMB Circulars (A-21, A-50, A-87, A-89, A-102, A-110, A-122 and A-133) with the intent to streamline the federal grant-making and monitoring process, to ease administrative burden for grant applicants and recipients, and to reduce the risk of fraud, waste and abuse.

2 CFR §200.110, Effective/applicability date

Federal agencies must implement the requirements to be effective by December 26, 2014.

Audit requirements will apply to audits of fiscal years beginning on or after December 26, 2014.

Administrative requirements and cost principles will apply to new awards and to additional funding (funding increments) to existing awards made after December 26, 2014.

Existing Federal awards will continue to be governed by the terms and conditions of the Federal award, except for Audit, as Subpart F is based on December 26, 2014 fiscal year date.

II. SPECIAL TERMS AND CONDITIONS

NOTICE OF AWARD

A. Corrective Action Required

No later than September 10, 2015, 21 calendar days from the issuance date of this Notice of Award, You must submit the following to SBA for its approval:

1. A revised SF-424, SF-424A, and A-9 through A-12 with budget narrative with sufficient detail that reflect the approved Federal Award amount of \$199,878.00 and required state match of \$66,626.00.
2. Your certification that you maintain on file a completed self-representation for each eligible small business concern that receives STEP Program financial assistance.
3. A revised Attachment A of Your application that reflect the requested award amount of \$199,878.00 and project milestone goals and supporting activities that are quantitative and measurable, and spread across each quarter, as appropriate.
4. If applicable ensure your web site development (translation, search engine optimization, localization) does not exceed \$3,000 per eligible small business concern.
5. Revise/provide the following items:
 - a. Technical Proposal, Attachment A, and the Budget Narrative to account for the reduction in direct and indirect costs.
 - b. Match Certification and documentation
 - c. Cost Policy Statement
 - d. CFO Certification
 - e. Tax Identification Documentation
 - f. ACH Vendor/SF-3881 form

B. Grant Recipient Responsibilities

You, the Recipient, acknowledge You will:

- Comply with the requirements and authorities set forth in Parts I(C) above and III(A)(17) below.
- Conduct the project funded under this Award in accordance with Your approved Technical Proposal and budget. Changes to these guiding

NOTICE OF AWARD

documents must be submitted to SBA for pre-approval in accordance with Part III(A)(12) below.

- Be responsive to SBA requests for information and communication. Changes to Your organization's contact information, including Your AOR or other designated representatives, must be reported promptly to SBA.
- Promptly advise SBA of any difficulties You encounter or anticipate encountering that may affect the conduct of Your project.
- Cooperate with all programmatic and financial examinations and any accreditation or certification reviews conducted by SBA, its agents, or contractors. You will promptly address and act upon all findings or recommendations regarding Your project made as part of any such process.
- Coordinate with SBA and other Agency resource partners operating within Your project service area to maximize the effectiveness of Your efforts and avoid duplication of products and services.
- Promote SBA programs, products, and services to Clients, as appropriate.
- Maintain adequate, readily accessible facilities for assisting Clients, including satellite locations where appropriate.
- Provide at least 40 hours per week of availability to assist Clients, including sufficient evening and weekend availability and on-line and telephone assistance, to meet the needs of Your service area and clientele. Although You may maintain multiple service locations, no more than two locations may count toward meeting the weekly service hours requirement.
- Provide meaningful access to project services for Clients with limited English language proficiency and/or disabilities.
- Maintain adequate staffing levels for the delivery of Client services, including replacing Key Personnel no more than 60 days after they cease their involvement with the project.
- Participate in SBA surveys and studies regarding the effectiveness and outcomes of the program/project, curriculum, types of assistance, service delivery methods, etc.
- Submit and update information to USASpending.gov, as required.

C. SBA Responsibilities

As the awarding agency, SBA will, subject to the availability of funds and continuing authority:

NOTICE OF AWARD

- Provide strategic direction for, and oversight of, project activities.
- Carry out the duties and responsibilities imposed on it by the statutes and regulations governing this program.
- Respond to requests for guidance or information related to the State Trade and Export Promotion Grant Program.
- Respond to requests for Agency participation and collaboration in project activities, as appropriate.
- Promote the project by referring interested parties to You for assistance and displaying Your printed materials.
- Facilitate cooperation and coordination between You, other SBA resource partners, and other Federal agencies.
- Share any relevant data SBA is authorized to disclose which may aid in Your performance of this project.
- Review and act upon requests for modifications to Your technical proposal, budget, Key Personnel, project/budget period, and other project parameters.
- Review and act upon Your reports and payment requests.
- Where authorized by law, share SBA resources and/or donated materials.

III. GENERAL TERMS AND CONDITIONS

A. Administrative Requirements

This Award is subject to the requirements listed below. For further guidance regarding the administrative requirements applicable to this Award see 2 C.F.R. Part 200.

1. Non-Transferability

This Award may not be transferred or assigned (either in whole or in part) without prior written approval from SBA. Additionally, no interest in this Award may be conferred upon a third party and the Award may not be pledged as collateral or security. For further guidance regarding the prior approval process, see Part III(A)(12) below.

2. Subgranting/Subcontracting

NOTICE OF AWARD

For the STEP Grant Program, subgrants are referred to as financial assistance awards. You must use at least 80 percent of Your Federal award for the purpose of directly assisting (covering the costs of) eligible small business concerns for approved export activities. In making assistance payments, You will adhere to the financial assistance plan policies and procedures stipulated in Your approved application. You may contract with other parties for the performance of project support functions or services. You may not contract out the overall administration or day-to-day management of this project to any other party.

You may follow Your own procurement policies and procedures when contracting with Project Funds, but You must comply with the requirements of 2 C.F.R. §§ 200.317-200.326.

The following additional rules apply to contracts involving less than \$25,000 in Project Funds:

- You do not need to submit copies of the proposed contracts to the GOTR for approval before executing them.
- The contracts are not required to be awarded via competition.

The following additional rules apply to contracts involving \$25,000 or more in Project Funds:

- You must submit copies of the proposed contracts to the GOTR for approval before executing the contract.
- The contracts must be awarded via competition. Non-competitive contracting at this level is only allowed if You can demonstrate to SBA's satisfaction either: (i) there is only one possible source for a particular good or service or (ii) there is an emergency involving the risk of imminent damage to property or injury to people.

3. Record-Keeping

You must maintain complete and accurate records and supporting documentation of sufficient detail to facilitate a thorough financial, programmatic, and/or legal compliance audit or examination of this project. You must make these records available to SBA, its agents, and/or Federal investigators on demand. At a minimum, the records You must maintain on this project include:

NOTICE OF AWARD

- The time and attendance of employees whose salaries are charged to this Award, with sufficient detail to substantiate the claimed percentage of work performed in support of this project.
- Contact information for project Clients and a log of the type and amount of assistance provided.
- An inventory of equipment purchased, in whole or in part, with award funds. This inventory must comply with the requirements of 2 C.F.R. § 200.313.
- Your ledgers and annual A-133 Audit Report. If You are not subject to the requirements of the Single Audit Act, You must have an annual audited financial statement. Unaudited financial statements are not an acceptable substitute.
- Copies of receipts, invoices, contracts, leases, and other supporting documentation for all expenses paid with Project Funds.
- Copies of checks, receipts, letters of donation, and other supporting documentation for all matching contributions related to this Award.
- Copies of judicial and administrative decisions and compliance reviews (as applicable) and other supporting documentation demonstrating your adherence to the legal requirements listed in the SF-424B and Part III(A)(17) Accessibility to Facilities and Events below.

Records may be kept in hard copy, electronic, or facsimile form and must be retained for no less than three years from the date the final project report is due.

4. Protection of Client Information

Except where a Client consents in writing, You are not permitted to disclose any Client Information to a third party (or share such information with other units of Your organization not directly involved in the conduct of this project) without SBA approval. For further guidance regarding the prior approval process, see Part III(A)(12) below.

5. Restrictions on Certain Types of ~~Clients~~

You may not utilize project resources to provide subsidies or counseling services to any concern that:

NOTICE OF AWARD

- is other than small;
- is based in a foreign country;
- is engaged in any activity that is illegal under Federal or state law;
- derives more than one-third of its gross annual revenue from legal gambling activities;
- presents live performances of a prurient sexual nature or derives more than a de minimus amount of revenue from the sale of products or services of a prurient sexual nature;
- is not organized for profit (Exception: To the extent it does not negatively impact the goals or milestones established under this Award or detract from its core purpose, You may use project resources to counsel non-profit organizations that devote a significant portion of their activities to assisting entrepreneurs).

For guidance regarding the provision of subsidies or counseling services to individuals and firms that are currently suspended or debarred by the Federal government, see Part III(B)(2) below.

6. Reporting Requirements

You must submit the reports identified in Parts III(A)(7) and (8) below to the GOTR on a quarterly basis. The required reporting forms may be obtained from Your assigned GOTR. Reports must be submitted to the GOTR in electronic format via email attachment. Quarterly reports must be received by the GOTR no later than 30 days after the conclusion of the applicable reporting period. The final Quarterly report must be received by the GOTR no later than 90 days after the conclusion of the budget year. If You fail to submit timely or adequate reports, SBA may withhold funding until this deficiency is corrected. The quarterly reporting periods for this Award are as follows:

- September 30 2015 – December 31, 2015 (report due by January 30, 2016)
- January 1, 2016 – March 31, 2016 (report due by April 30, 2016)
- April 1, 2016 – June 30, 2016 (report due by July 30, 2016)
- July 1, 2016 – September 29, 2016 (report due by December 28, 2016)

For future risk assessments, Your history of performance with prior STEP awards may include, but is not limited to, compliance with meeting the

NOTICE OF AWARD

quarterly report due dates above and the quality of Your submitted reports.

Recipients are encouraged to submit final quarter reports prior to the end of the allowed 90 days.

7. Performance Reports

In accordance with the schedule listed in Part III(A)(6) above, You must submit Your Performance Progress Reports using either Standard Form PPR (SF PPR) or your own custom-designed format that includes all the same information required by the SF PPR. You must include narrative that details:

- All project actual accomplishments for the reporting period, including a variance explanation between actual accomplishments and Your approved project milestones and supporting activities, when applicable. Where You failed to achieve Your approved project milestones, You must explain the reason(s) for that shortfall and describe how You will bring project performance back into alignment with the project milestones and supporting activities as part of the variance explanation narrative.
- Any lessons learned, best practices identified, notable success stories, and problems encountered and steps taken to address those problems.
- The number of firms receiving each type of assistance, per the Technical Proposal, during the reporting period.
- The dollar value of export sales generated by Clients receiving assistance during the reporting period, as well as updates to previously reported results so that the SF PPR reflects up-to-date cumulative results.

Given the long average lead time between STEP award supported activities and consequent export sales, You are strongly encouraged to continue submitting performance progress reports for up to four quarters following the close out of Your project.

8. Financial Reports

NOTICE OF AWARD

In accordance with the schedule listed in Part III(A)(6) above, You must submit Your Federal Financial Reports using Standard Form 425 (SF 425).

You must report the amount of Program Income collected/expended during the reporting period under the "Program Income" block on Your SF 425, as applicable.

You must report the amount of matching contributions expended during the reporting period under the "Recipient Share" block on Your SF 425, as applicable. If You did not expend the required level of match for that reporting period, You must include a narrative explanation detailing the extent of, and reason(s) for, the shortfall and describing how and when You will come into compliance with the match requirement. For further guidance regarding matching contributions, see Part III(B)(6) below.

9. Additional Data Submission Requirements

In addition to the quarterly reports discussed in Parts III(A)(7) and (8) above, You must also annually submit a copy of Your most recent audited financial statement. The GOTR must receive Your audited financial statement no later than 120 days after the conclusion of Your organization's fiscal year.

10. Project and Program Integrity

You must avoid engaging in any actions that may harm the integrity of Your project or the State Trade and Export Promotion Grant Program. In the event key project employees are determined to have engaged in conduct reflecting a material lack of business integrity or honesty, You must immediately remove them from involvement in this project.

You and Your employees must avoid both organizational and individual conflicts of interest in accordance with the principles identified in 2 C.F.R. § 2701.110.

You, Your principals, employees, and agents are obligated to report all instances of fraud, waste, or abuse related to this project or to the State Trade and Export Promotion Grant Program to the Point of Contact designated in Part I(A)(3) above. If, in Your view, that individual does not

NOTICE OF AWARD

satisfactorily address Your allegations of fraud, waste or abuse, You should report the matter to SBA's Office of Inspector General via the online complaint submission system at http://web.sba.gov/oigcss/client/dsp_welcome.cfm or the Fraud, Waste, and Abuse Hotline at 1-800-767-0385.

11. Exercise of Option Periods

In deciding whether to exercise an option period under this Award, SBA will consider, among other things, the continuing STEP Program authority, the availability of funds, and your record of past performance. SBA will notify you in writing of the Agency's decision to exercise or decline an option period no later than 60 days prior to the end of the current Budget Period. If SBA exercises an option period, you must submit an updated Standard Form 424 – Application for Federal Financial Assistance (SF 424) covering the Budget Period for that option, as specified in the Program Announcement for Renewal of the Cooperative Agreement for current recipient organizations.

If you fail to submit your updated SF 424 before this deadline ends, SBA may reverse its prior decision and decline to exercise the option period. Disputes regarding SBA's decision to exercise or decline an option period will be handled in accordance with Part III (A)(19) below.

12. Project Closeout

For general guidance regarding project closeout procedures, see 2 C.F.R. §§ 200.343 – 200.344.

At the end date of this Award (i.e., after the base period concludes and all available option periods have been exercised or declined and any no cost extension has expired, as applicable), this project will cease and You may not incur any new reimbursable expenses. You must submit Your final performance and financial reports and Your final requests for payment within 90 calendar days of the project end date. If You do not submit Your final payment requests within 90 days of the project end date, all remaining, undisbursed Federal funds will be de-obligated and will no longer be available to You. If You have received a follow-on award under the State Trade and Export Promotion Grant Program, You may request permission from SBA to carryover any unexpended Project Funds

NOTICE OF AWARD

remaining under this Award to that follow-on award in accordance with Section III(B)(11) below.

If You have not received a follow-on award, You must include a list of all equipment purchased with Project Funds along with Your final performance and financial reports. That list must describe each piece of equipment and identify its purchase price, the percentage of that purchase price paid with Project Funds, and its current, depreciated value. You must also ask the GOTR how to dispose of that equipment. For further guidance regarding the disposition of equipment purchased with Project Funds, see 2 C.F.R. § 200.313(e). Where You have unexpended Program Income remaining at the end date of this Award, You must either remit that money to SBA or obtain approval from SBA to use it to fund further activities consistent with the objectives of this Award. For further guidance regarding Program Income, see Part III(B)(7) below.

Where SBA has designated another organization as Your successor for purposes of the State Trade and Export Promotion Grant Program, You must turn over all equipment and unexpended supplies purchased with Project Funds, as well as copies of all project records (including Client files), to Your designated successor in accordance with the procedures and timeline provided by SBA. You must make a good faith effort to facilitate the smooth transition of the Project to Your designated successor.

13. Actions Requiring Prior Approval

Before taking any of the following actions, You must obtain advance approval from SBA:

- Changing the scope of the project (including eliminating previously approved activities, adding new unapproved activities, altering the project service area, or amending the approved project goals/milestones);
- Transferring Project Funds between individual cost categories where the cumulative amount involved equals 10 percent or more of Your total approved budget;
- Changing Your Key Personnel;
- Entering into a contract calling for the expenditure of \$25,000 or more in Project Funds, or entering into a contract that, when combined with other contracts held by a single individual or

NOTICE OF AWARD

organization (including affiliates, subdivisions, and subsidiaries), would result in that entity receiving \$25,000 or more in aggregated Project Funds;

- Purchasing a piece of equipment that has an acquisition cost of \$5,000 or more, or disposing of a piece of equipment purchased with Project Funds that has a value of \$5,000 or more;
- Making improvements to land, buildings, or equipment, or making project-specific alterations to facilities;
- Establishing or reorganizing a legal entity;
- Incurring costs associated with individuals' attendance at meetings, conferences, symposia, or training programs;
- Making use of SBA's logo;
- Selling or marketing products or services that are necessary for the performance of this project; or
- Engaging in foreign travel.

You must submit requests for prior approval to the GATR 30 calendar days prior to the date of the proposed action. See 2 C.F.R. § 200.407 for further guidance regarding prior approval requirements, as applicable.

14. Acknowledgment of SBA Support/Use of SBA's Logo/Publication Requirements

It is important that Your Clients and the general public are aware of the State Trade and Export Promotion Grant Program and SBA's role in this project, as well as the taxpayer funded support the Agency is providing under this Award. Therefore, You must include the following acknowledgment of support statement on all materials produced in whole or in part with Project Funds:

"Funded in part through a Cooperative Agreement with the U.S. Small Business Administration."

For purposes of this requirement, the term "materials" includes, but is not limited to, press releases, brochures, pamphlets, handouts, reports, advertisements, books, curricula, websites, video or audio productions, and similar items regardless of the ~~medium~~ employed. The term "materials" does not include stationery or business cards and SBA's logo may not be used on such items.

NOTICE OF AWARD

Where You use Project Funds to produce materials featuring editorial content, You must use the following alternate acknowledgment of support statement (either independently or in conjunction with the SBA logo):

"Funded in part through a Cooperative Agreement with the U.S. Small Business Administration. All opinions, conclusions, and/or recommendations expressed herein are those of the author(s) and do not necessarily reflect the views of the SBA."

In addition, You must display signage featuring the SBA logo at all facilities that are open to the public and which are being used for project activities. Such signage must prominently feature the acknowledgment of support statement identified above.

Where used, the acknowledgment of support statement must be presented in a legible typeface, font size, and (where applicable) color contrast and must appear verbatim and may not be altered or replaced with substitute language. However, on materials with severe space constraints such as signs and banners, You may use "SBA" in the acknowledgment of support statement instead of "U.S. Small Business Administration."

You may elect to use SBA's logo on materials produced with Project Funds. You may contact the GOTR in order to obtain a high resolution copy of SBA's logo and a copy of SBA's Graphic and Use Guide. Where used, the SBA logo may be positioned in close proximity to Your organization's logo or may be placed in a prominent location elsewhere in the material. However, SBA's logo may not be placed in close proximity to any third party's logo, or used in such a way as may imply that a relationship exists between SBA and any third party (Note: Your organization's parent entity is not considered a third party). Additionally, in each instance where You use the SBA logo, You must also include the acknowledgement of support statement in reasonably close proximity to the logo.

Neither the SBA logo nor the acknowledgment of support statement may be used in connection with activities outside the scope of this Award. In particular, **UNDER NO CIRCUMSTANCES** may the SBA logo or acknowledgment of support statement appear on items used in conjunction with fundraising, lobbying, or the express or implied

NOTICE OF AWARD

endorsement of any goods, service, entity, or individual. Additionally, You may not use the SBA logo on any social media sites or services without obtaining prior approval from SBA. For further guidance regarding the prior approval process, see Part III(A)(12) above.

15. Project Website

You must maintain a website, or a clearly defined subsection of an existing website, for the purpose of publicizing and conducting project activities. The project website must make reasonable accommodations for access by persons with disabilities and must feature the SBA logo and acknowledgement of support clause in a prominent location in accordance with Part III(A)(13) above. In addition, project websites are subject to the prior approval requirements of Part III(A)(12) above.

You may not use the project website to advertise, promote, or endorse alcoholic beverages, tobacco products, sexual products (including dating services and pornographic materials); illegal or controlled substances or materials; gambling or gaming products or services; or any other products, services, or materials which may be harmful to the image or reputation of this project, the State Trade and Export Promotion Grant Program or SBA.

16. Co-Sponsored Activities

Your organization may collaborate with SBA and other current SBA grant recipients for the purpose of co-hosting activities within the scope of this Award without the need for a separate written agreement. SBA's logo may be used in conjunction with such co-hosted activities. However, where a proposed activity includes contributors, co-hosts, or co-sponsors that are not current SBA grant recipients or involves undertakings that are outside the scope of this Award, the parties must work with SBA to execute a separate written co-sponsorship agreement. For further guidance regarding the use of SBA's logo, see Part III(A)(13) above.

17. Accessibility of Facilities and Events

In accordance with the Americans With Disabilities Act of 1990 (42 U.S.C. § 12101 et seq.) and § 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794), all facilities You use to provide services to the public in connection with this project must be accessible by persons with disabilities. In

NOTICE OF AWARD

In addition, all notices, promotional items, brochures, publications, and media announcements informing the public of events, programs, meetings, seminars, conferences and workshops conducted pursuant to this project must include the following accessibility/accommodations notice:

Reasonable accommodations for persons with disabilities will be made if requested at least two weeks in advance. Contact [insert contact information for the person who will make the arrangements].

18. Applicable Law

Except for circumstances in which Federal law defers to State or local law – such as zoning matters, building and business permits, and recording requirements – this Award will be governed by and construed under Federal law. Specifically, this Award is subject to the following laws, regulations, and policies in addition to those enumerated in the SF 424B (Assurances: Non-Construction Programs) You submitted as part of Your approved application:

- 5 U.S.C. App. 1 (Inspector General Act of 1978);
- 15 U.S.C. § 631 et seq. (Small Business Act);
- 22 U.S.C. § 7101 et seq. (Trafficking Victims Protection Act of 2000);
- 41 U.S.C. § 701 et seq. (Drug-Free Workplace Act of 1988), as implemented by 2 C.F.R. Part 182;
- 41 U.S.C. § 6306 (Prohibition on Members of Congress making contracts with the Federal Government);
- 42 U.S.C. § 12101 et seq. (Americans with Disabilities Act of 1990), as implemented by 28 C.F.R. Parts 35 and 36;
- 48 U.S.C. § 1469a (Waiver of Matching Fund Requirements for Awards to Insular Areas);
- 49 U.S.C. App. 1517 (Fly America Act);
- 2 C.F.R. Parts 180 and 2700 (Non-procurement Debarment and Suspension);
- 13 C.F.R. Parts 112, 113, and 117 (Civil Rights Compliance);
- 13 C.F.R. Part 146 (Restrictions on Lobbying);

NOTICE OF AWARD

- 37 C.F.R. Part 401 (Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts, and Cooperative Agreements); and
- Executive Order 13166 (Improving Access to Services for Persons with Limited English Proficiency).

19. Dispute Resolution

If a dispute arises between Your organization and SBA regarding one or more elements of this Award, You may request formal resolution of that dispute by submitting a written statement describing the nature of the dispute and a specific request for relief, along with any supporting evidence, to the GMO. A copy of this statement and supporting evidence must also be provided to the GOTR. Within 30 calendar days of receiving the dispute resolution request, the GMO will notify You of his/her decision in writing.

If You disagree with the GMO's decision, You may appeal the matter to SBA's Grant Appeals Committee. To be considered timely, Your appeal petition must be received by the Committee within 30 calendar days of the date of the GMO's decision. Your appeal petition must be mailed to the following:

Chairman, Grant Appeals Committee
U.S. Small Business Administration
Office of Grants Management
5th Floor, 409 3rd Street, SW
Washington, DC 20416.

You must also send copies of Your appeal petition to the GMO and GOTR.

There is no prescribed format for the submission of an appeal petition. Formal briefs and other technical forms of pleading are not required, nor are You required to obtain legal representation. However, Your appeal petition must be in writing and must be concise, factual, and logically arranged. In addition, Your appeal petition ~~must contain~~ the following:

- a. Your organization's name and address;

NOTICE OF AWARD

- b. Identification of the relevant SBA program office and the Award number;
- c. A statement of the facts underlying the dispute and the basis for the appeal (i.e., a specific explanation as to why You believe the GMO's decision was incorrect);
- d. Copies of any documents or other evidence supporting the appeal;
- e. A request for the specific relief desired on appeal; and
- f. A statement as to whether an oral hearing is being requested, and if so, the reasons why such a hearing is necessary.

The Committee will first rule on a request for an oral hearing before proceeding to consider the merits of an appeal petition. Within 60 calendar days of receiving Your appeal petition, the Committee will present its decision in writing to You, the GMO, and the GOTR. The Committee's ruling will represent the final Agency decision on the subject of the dispute and will not be further appealable within SBA.

20. Termination/Suspension/Enforcement

If You materially fail to comply with the terms and conditions of this Notice of Award, or with any requirement imposed by statute, regulation, executive order, or other source of law or policy, SBA will take whatever enforcement measures it deems appropriate to remedy that non-compliance. For further guidance regarding the enforcement measures that apply to this Award, see 2 C.F.R. §§ 200.339 – 200.342. SBA may also institute suspension or debarment proceedings against Your organization as it deems necessary.

B. Financial Requirements

This Award is subject to the requirements listed below. For further guidance regarding the cost principles applicable to this award see 2 C.F.R. Part 200, Subpart E.

1. Charging Costs to this Award

NOTICE OF AWARD

All costs charged to this Award are subject to audit and examination. You are responsible for ensuring proper management and accounting of Project funds in order to avoid cost disallowances. All direct costs charged to this Award must be reasonable given the relevant market and industry area and the nature of the good or service involved. Direct costs claimed by Your organization must also be allowable under the relevant cost principles and be clearly and specifically allocable, either in whole or in part, to the project funded by this Award. In addition, You are not permitted to charge costs associated with any of the following items or activities to this Award:

- Transactions with suspended or debarred entities, as discussed in Part III(B)(2) below;
- Construction or renovation of facilities or acquisition of real estate;
- Litigation, whether civil, criminal, or administrative;
- Providing matching contributions to any other Federal awards;
- Meals, lodging, per diem, or other subsistence expenses associated with local travel (however, Project Funds may be used to pay transportation expenses for local travel). Local travel is any travel conducted entirely within a 50-mile radius of Your organization's address of record;
- Travel by elected officials;

The underlying premise of the STEP Program is to supplement Your funds for export activities, not to substitute Federal funds for costs You would normally or otherwise cover. Per 2 C.F.R. Section 200.408, Your use of Federal funds for the STEP Grant Program is limited to the STEP Program's statutory uses of funds. Use of Federal funds not directly supporting development of new exporters and export market expansion will not be approved (e.g., national/regional association dues, travel to association events, etc.).

With regard to foreign travel and other costs incurred by STEP eligible small business concerns, the following costs are allowable:

- Airfare (consistent with Fly America Act guidelines);
- Ground transportation fees;
- Baggage fees;
- Meals and lodging (based on GSA/Department of State per diem rates);

NOTICE OF AWARD

- Registration fees and booth space for trade shows;
- Trade mission fees;
- Currency exchange fees;
- Other associated and allowable travel expenses;
- Fees for shipping sample products (capped at \$2,000);
- Cost of compliance testing an existing product for entry into an export market (capped at \$2,000);
- Export research tool subscription used to assist STEP Clients with market research (capped at \$1,000); and
- Website translation into foreign language, search engine optimization, localization services (capped at \$3,000)

The following costs of STEP eligible small business concerns and You are NOT allowable:

- Passport or visa fees;
- Immunizations;
- Expenses related to entertaining current or prospective clients or government officials;
- New product development or alteration of existing products; and
- Cellphones and cellphone charges.

However, with the exception of entertainment expenses, to the extent You pay unallowable travel costs with non-project funds, You may count these expenditures as matching contributions. For further guidance regarding matching contributions, see Part III(B)(6) below.

2. Transactions with Suspended or Debarred Entities

You cannot use Project Funds or Project Resources to pay salaries of employees or costs of consultants, contractors, or other service providers (e.g., accountants, lawyers, etc.) where such entities are currently suspended or debarred. In addition, You cannot use Project Funds to provide counseling services related to Federal contract, grant, or loan opportunities to individuals or firms that are currently under suspension or debarment by a Federal agency. Training may be provided on these three topics without regard to an individual's suspension or debarment status.

NOTICE OF AWARD

You are responsible for verifying that entities paid or (where applicable) assisted with Project Funds or Project Resources are not suspended or debarred in order to avoid subsequent disallowance of costs under this Award. To determine if an individual or firm is suspended or debarred, You may consult the System for Award Management (<https://www.sam.gov/>). Additionally, in cases involving counseling, You may require Clients to certify they are not currently suspended or debarred prior to receiving assistance relating to Federal contract, grant, or loan opportunities. For further guidance regarding suspension and debarment, see 2 C.F.R. Parts 180 and 2700.

3. Liability/Indemnification

Any liability arising from the conduct of this project, except as it directly relates to SBA employees or facilities, is solely Your responsibility. SBA will not indemnify You or Your employees or officers and, with the exception of insurance, no Project Funds may be used to cover costs related to liabilities arising under this Award. For further guidance regarding insurance costs, see 2 C.F.R. § 200.447.

SBA is not a party to any contracts, subgrants (where permitted), or other agreements between Your organization and third parties. SBA will have no involvement in any disputes arising from such agreements. You are liable to SBA for any amounts paid from Project Funds to third parties which are subsequently determined to have been unallowable or otherwise improper.

4. Adherence to the Approved Budget

You must expend Project Funds in accordance with Your approved budget. However, You do have the discretion to transfer Project Funds between individual cost categories provided the cumulative result of all such transfers in a given budget period does not exceed 10 percent of Your total approved budget. Before making any transfers that would cumulatively result in Your exceeding this 10 percent cap, You must obtain approval from SBA. Failure to adhere to the approved budget or obtain the necessary prior approval will result in denial of payment by SBA. For further guidance regarding the prior approval process, see Part III(A)(12) above.

5. Funds from Multiple SBA Awards

NOTICE OF AWARD

If ^{ABA} Your organization holds any other current financial assistance awards from SBA, You must avoid commingling of funds and overlapping or double-claiming of costs among those awards. You must treat each project as separate and discrete with individual reporting, accounting, and audit trails.

6. Matching Contributions

You are required to provide matching support for this Award from non-Federal sources at the rate of 35% or 25% percent – i.e., for every \$1 of Federal award proposed, the match amount is \$.5384, or for every \$1 of Federal award proposed, the match amount is \$.333333, respectively. You must document that You have obtained and expended the required level of match in support of project activities. For further guidance regarding documentation and recordkeeping requirements, see Part III(A)(3) above.

You may not use program income for the purpose of meeting Your match requirement.

You may receive contributions of matching support from any non-Federal source, such as State, local, or tribal governments, private individuals, corporations, or foundations. In addition, You may provide matching support for this Award by utilizing Your own or Your parent entity's resources. Matching contributions may take the form of cash or cash equivalent, or in-kind donations, such as free or reduced price goods, services, or facilities. However, no more than 50 percent of the matching support provided under this Award may consist of in-kind contributions.

For each SF 270 (Request for Advance or Reimbursement) You submit, You must indicate the amount of match (i.e., the "Non-Federal Share") expended (for reimbursements) or projected to be expended (for advances). If the amount of match You list on Your SF 270 does not meet the required level of match, You must attach an explanation detailing the extent of, and reason(s) for, the shortfall and describing how and when You will come into compliance with the match requirement. For additional guidance regarding the payment process, see Part III(B)(9) below:

If You are chronically or seriously Undermatched, You will not be eligible to receive advance payments for the remainder of the current Budget

NOTICE OF AWARD

Period, and possibly for subsequent Budget Periods as well. If You are Undermatched at the end of a Budget Period, You will be required to remit to SBA all Federal funds You received but were unable to match. Furthermore, if You fail to meet Your match requirement, SBA may withhold payment, decline to exercise option periods, or take such other enforcement action as it deems appropriate. For additional guidance regarding enforcement actions under this Award, see Part III(A)(19) above.

Do not report Overmatch on Your SF 270 or SF 425 reports.

For further guidance regarding matching contributions, see 2 C.F.R. § 200.306.

7. Disposition of Program Income

Program Income generated under this Award must be added to the Project Funds and used to further eligible project or program objectives. In addition, on each SF 425 You submit You must note all Program Income earned and expended during that reporting period. For further guidance regarding Program Income, see 2 C.F.R. § 215.24 or 13 C.F.R. § 143.25, as appropriate.

You may not use program income for the purpose of meeting Your match requirement.

8. Refunds of Award Expenses

If You receive a refund of FICA/FUTA taxes or other project costs previously paid under this Award, You must immediately report such refund to SBA, regardless of whether the Project Period has ended. The amount of the refund must be remitted to SBA if the cost was paid with Federal funds. If the refunded cost was paid using matching contributions, You must either use the refund to support activities consistent with the objectives of this Award or remit it to SBA to avoid being Undermatched.

9. Financial Stability

In order to continue being entrusted with public funds, Your organization must maintain a sufficient level of financial stability. Examples of failure to maintain sufficient financial stability include, but are not limited to,

NOTICE OF AWARD

excessive and/or unresolved indebtedness (whether to the Federal government or other parties), repeated failure to make payroll, and/or operating at a deficit for an extended period of time. Your lack of financial stability may lead SBA to suspend, terminate, or decline to renew this and any other Awards You receive from the Agency and may also lead to Your debarment by SBA.

For further guidance regarding enforcement actions SBA may take under this Award, see Part III(A)(19) above. For further guidance regarding debarment, see 2 C.F.R. Parts 180 and 2700.

10. Payment

For general guidance regarding payments under this Award, see 2 C.F.R. § 215.22 or 13 C.F.R. § 143.21, as appropriate. You must draw down Federal funds only as needed to meet actual or estimated expenditures for the relevant reporting period. You must avoid accumulating Federal funds in excess of current disbursement needs. You must also provide the required level of matching contributions for all Federal funds drawn down under this Award. For further guidance regarding matching contributions see Part III(B)(6) above.

To request payment, You must provide SBA with Your bank routing number and account information and submit a signed Standard Form 270 – Request for Advance or Reimbursement (SF 270) and an SF 424 A-11 Detailed Expenditures Worksheet identifying the actual/proposed use for all requested funds to the GOTR, who will review it before forwarding it to the GMO with a recommendation for payment. You must include a narrative explanation each time Your actual expenditures exceed the amount You budgeted for a given cost category. For further guidance on varying from budgeted cost category amounts, see Part III(B)(4) above. The GOTR and/or GMO may require You to provide additional information or documentation before payment is made. Any failure by You to properly complete and submit the required forms will cause substantial delay in processing Your payment request.

You are responsible for submitting all payment requests in a timely manner. **SBA will not process any payment request You submit more than 90 calendar days after the conclusion of the relevant Budget Period.** For further guidance regarding project closeout procedures, see Part III(A)(11) above.

NOTICE OF AWARD

For all Federal funds disbursed under this Award, You must retain copies of receipts, invoices, subcontracts, evidence of matching contributions, and similar items providing documentary support in accordance with Part III(A)(3) above. All payments will be made based on Your demonstrated, bona fide disbursement requirements, consistent with the approved budget and project Technical Proposal.

When requesting payment in advance, You must certify that You have the required level of matching contributions, justify why an advance is necessary, and detail how the advance funds will be expended over the specified period covered by the request. Advances are limited to the minimum amounts needed and must be timed to be in accordance with Your actual, immediate disbursement requirements. You must deposit and maintain advances of Federal funds in interest-bearing, FDIC-insured accounts. You are encouraged to use banks that are at least 50 percent owned by women or minorities. If You do not fully expend an advance payment within 30 calendar days of disbursement, You must promptly refund any remaining amount to SBA. For further guidance regarding interest earned on advances of Federal funds, see 2 C.F.R. § 215.22 or 13 C.F.R. § 143.21(i), as appropriate.

11. Request for Carryover of Funds

If SBA has notified You in writing that You will receive a follow-on award at the conclusion of this Award, You may request permission to carryover any unexpended funds remaining under this Award to Your follow-on award. SBA reserves the right to offset the amount of the follow-on award by the amount of carryover, as it deems appropriate. Carryover funds may not be commingled with follow-on award funds and must be reported and accounted for separately with their own audit trail. Funds made available under this Award may not be carried over more than once and must be fully expended before You will be permitted to draw down any Federal funds provided under Your follow-on award.

You must submit any carryover request to the GOTR in writing at least 60 days prior to the end of the Project Period for this Award. Carryover requests must include the following:

- Revised SF 424;

NOTICE OF AWARD

- Narrative explaining why the funds were not expended during the period in which they were awarded;
- Evidence of match. The match requirement for carryover funds can be met by using new or previously unexpended, unreported match from the current Budget Period, an increase in funds pledged to the follow-on award by the Recipient, or any combination of these. Note: In-kind services performed during the current Budget Period may not be carried over to a subsequent Budget Period even if they were not previously claimed as match.

12. Request for a No-Cost Extension

You may request that SBA grant a one-time extension of the expiration date of this Award for up to 12 additional months if You can demonstrate more time is necessary for the adequate completion of specific project objectives. A request for an extension must be in writing (with supporting justification) and must be received by the GOTR at least 75 calendar days prior to the scheduled expiration of this Award. SBA will not approve any extension request that:

- Is solely for the purpose of expending remaining funds;
- Is for a project that previously received an extension;
- Is more than 12 months in length;
- Requires the commitment of additional Federal funds; or
- Involves any change in the objectives or scope of the project.

Because a project may only be extended once, You are encouraged to request a full 12-month extension even if You believe less time is necessary for the completion of this project. For additional guidance regarding extensions, see 2 C.F.R. § 215.25(e)(2).

IV. DEFINITIONS

The following definitions apply to this Award. (For additional definitions relating to the State Trade and Export Promotion Grant Program, see Program Announcement OIT-STEP-2015-01, Section 8.0.):

Award – a conferral of Federal financial assistance to support a specific public project, whether in the form of a grant or cooperative agreement.

Client – an eligible small business concern receiving assistance under this Award.

NOTICE OF AWARD

Client Information – files and records concerning a Client, as well as any information that could be used to identify, contact, or locate a Client. Does not include statistics or similar data that is not attributed to a particular Client.

Eligible small business concern – a small business concern that: (A) has been in business for not less than one year; (B) is operating profitably (based on operations in the United States); (C) has demonstrated understanding of the costs associated with exporting and doing business with foreign purchasers (as determined by the Associate Administrator of International Trade); and (D) has a strategic plan for exporting.

Key Personnel – those individuals who play a crucial role in the conduct of a project. Examples include directors, managers, counselors, and instructors, but not support staff.

Overmatch(ed) – a level of matching contributions that exceeds the amount required.

Program Income – additional funds generated through the conduct of project activities. Includes, but is not limited to, income derived from service or event fees, sales of commodities, repayments of interest or principal on loans made with Project Funds, and usage or rental fees. Does not include interest earned on advances of Federal funds.

Project Funds – all funds covered by the project budget. Includes both Federal funds and matching contributions (cash and in-kind) dedicated to the project.

Project Resources – non-monetary items such as facilities, equipment, supplies, and staff time that are utilized to further project objectives and whose costs are supported (in whole or in part) with Project Funds.

Undermatch(ed) – a level of matching contributions that fails to meet the amount required.

You(r) – the recipient organization for this Award.



State of New Hampshire

FIS 15 235

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

October 20, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a, VI, authorize the Department of Safety, Division of State Police, to retroactively accept and expend \$101,655.00 of federal funds from the NH Highway Safety Agency that will be used by the Division of State Police to conduct patrols known as "Operation Safe Commute". Effective October 1, 2015, upon Fiscal Committee and Governor and Council approval, through September 15, 2016. Funding source: 100% Agency Income.

Funds are to be budgeted in the following account:

02-23-23-234010-74770000 Dept of Safety Division of State Police Highway Safety Grants
Amount: \$101,655.00

<u>Class</u>	<u>Description</u>	<u>Current Appropriation</u>	<u>Requested Action</u>	<u>Revised Appropriation</u>
009-407036	Agency Income	\$ (40,960.00)	\$ (101,655.00)	\$ (142,615.00)
018-500106	Overtime	\$ 29,499.00	\$ 69,888.00	\$ 99,387.00
040-500800	Indirect Cost	\$ 2,958.00	\$ 11,607.00	\$ 14,565.00
060-500606	Police Retirement (Perm)	\$ 8,503.00	\$ 20,160.00	\$ 28,663.00
	Total	\$ 40,960.00	\$ 101,655.00	\$ 142,615.00

Explanation

This item is retroactive as the Division of State Police received the signed grant after the deadlines for the October Fiscal Committee meeting. These funds are to support "Operation Safe Commute", which is an enforcement campaign designed to encourage all motor vehicle operators to focus their attention on the task of driving and operating their vehicles safely. It is anticipated that the presence of law enforcement on the roads at key hours will result in a decrease of motor vehicle crashes, which will make a safer commute for all motor vehicle operators.

Funds are to be budgeted as follows:

Funds in Class 18, Overtime, are needed to pay the overtime for details as approved by the authorizing Federal Agency.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

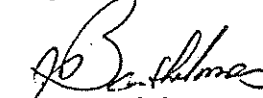
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
October 20, 2015
Page 2 of 2

Funds in Class 40, Indirect Cost, are needed to pay the indirect cost on the remaining appropriations.

Funds in class 60, Benefits, are needed to pay for benefits associated with the overtime for the details as approved by the approving Federal Agency.

In the event that Agency Income becomes no longer available, General Funds and/or Highway Funds will not be requested to support this program.

Respectfully submitted,



John J. Barthelmes
Commissioner of Safety

Department of Safety
Highway Safety Grants
Fiscal Situation

Federal Funds Awarded:	
FFY 2015 - NH State Police Operation Safe Commute - 10/1/14 - 9/15/2015	\$95,002.66
FFY 2016 - NH State Police Operation Safe Commute - 10/1/15 - 9/15/2016	\$101,655.19
Total Grant Funds Awarded	<u>\$196,657.85</u>
Less SFY 2015 expenses on FFY 2015 Grants	(\$54,042.26)
Less SFY 2015 expenses on FFY 2016 Grants	\$0.00
Total	<u>(\$54,042.26)</u>
Net Grant Funds Remaining as of July 1, 2014 (SFY 2015)	\$142,615.59
Less SFY 2016 Appropriation including prior year encumbrances 02-23-23-234010-74770000	<u>(\$40,960.00)</u>
Excess grant funds available to appropriate	<u><u>\$101,655.59</u></u>
This Request	\$101,655.00

SCONTRACTUAL AGREEMENT FOR HIGHWAY SAFETY PROJECT GRANT

For HSA Use Only

State of New Hampshire
Highway Safety Agency
78 Regional Drive, Building #2
Concord, NH 03301-8530

Date Received	Project Number
September 16, 2015	#315-16S-002
Date Approved	PSP and Task #
September 17, 2015	16-03.06

Part I

1. Project Title NH State Police "Operation Safe Commute" Patrols	2. Type of Application (Check One) <input checked="" type="checkbox"/> Initial <input type="checkbox"/> Revision <input type="checkbox"/> Continuation
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3. Applicant	
A. Name of Agency and Address NH State Police 33 Hazen Drive Concord, NH 03301	B. Government Unit (Check One) <input checked="" type="checkbox"/> State <input type="checkbox"/> City/Town <input type="checkbox"/> County <input type="checkbox"/> Other (specify):
4. Contract Duration Contract Period Start Date: October 1, 2015 Termination Date: September 15, 2016	5. Functional Area SC – Speed Control CFDA# 20.600 Program Title State & Community Highway Safety Funding Source National Highway Traffic Safety Administration

6. Description of Project (Describe in detail in Schedule A)

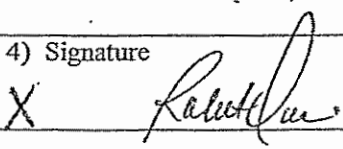
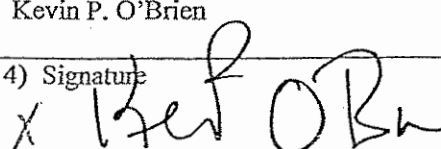
BUDGET AND PERSONNEL DATA		
a. Personnel Services Overtime "Operation Safe Commute" Patrols Salary: 12 patrols x 4 hours/patrol x 7 troop stations x 4 troopers/station x \$ 52.00 /hour Benefits: 1344 hours x \$15.00/hour Indirect Costs @ 12.89 percent x \$90,048	\$69,888.00 20,160.00 11,607.19	90,048.00 \$11,607.19
*See Proposed Solution (page 2) for exact patrol dates & explanation of pay rates		
Total		\$101,655.19

In Kind Match For This Project = \$25,413.80 (402 Funds)


The match requirement will be met through salary and benefits cost analysis of agency personnel performing enforcement (distracted driving, etc.) related work. Matching funds are a mixture of Highway, Turnpike, and General Funds.

Part II

7. Acceptance of Conditions. It is understood and agreed by the undersigned that a grant received as a result of this contract is subject to the regulations governing grants which have been furnished (or will be furnished upon request) to the applicant.

A. Project Director		
1) Name Colonel Robert L. Quinn, Director	2) Title Division of State Police NH Department of Safety	3) Address 33 Hazen Drive Concord, NH 03301
4) Signature 		5) Telephone Number 271-2450
B. Authorized Official		
1) Name Kevin P. O'Brien	2) Title Assistant Commissioner, NH Department of Safety	3) Address 33 Hazen Drive Concord, NH 03301
4) Signature 		5) Telephone Number 271-2559

Part III (For HSA Use Only)

1. Approval Date September 17, 2015	2. Signature & Title  John J. Barthelmes, Coordinator NH Highway Safety Agency
3. Federal Funds Obligated by this Agreement: \$ 101,655.19	

Part IV

<p>SCHEDULE A GENERAL PROJECT INFORMATION</p>
Description of Project

STATEMENT OF PROBLEM/NEED: Statistical analysis of motor vehicle crashes occurring on New Hampshire roadways reveals that 'driver inattention/distraction' was the primary contributing factor in approximately 9.25 percent of crashes resulting in incapacitating injuries and 22.75 percent of crashes resulting in non-incapacitating injuries during 2011- 2014.

Contributing Factor to Motor Vehicle Crashes Resulting in Incapacitating & Non-Incapacitation Injuries	Incapacitating Injuries				Non-Incapacitating Injuries			
	2011	2012	2013	2014	2011	2012	2013	2014
	Driver Inattention/Distracted	22	68	32	33	453	572	471
% of Total Injury-Related Crashes	6.8%	13.7%	8.1%	8.4%	19.7%	26.5%	21.1%	23.8
Total # Injury-Related Crashes	322	497	394	394	2294	2156	2244	1,464

Although crashes occur at all hours of the day and night, they are most prevalent during the morning and afternoon/evening commute hours when traffic is the heaviest as drivers travel to and from work locations. Instead of focusing their attention on the task of driving and operating their vehicles safely, drivers are frequently distracted by talking on the cell phone, texting, eating, reading, shaving, applying makeup . . . often in combination with speeding, following too close, and making improper lane changes. The combination of these tasks is a recipe for disaster that leads to crashes and the loss of life, injuries, and property damage. Distracted driving crashes occur on local and state roadways, as well as the interstate/turnpike highways. When a crash occurs, no matter how minor the crash or resulting injuries, it creates a great deal of congestion and can lead to road closures . . . all of which impact the impatience and attitude of other drivers.

An aggressive, statewide campaign (Operation Safe Commute) needs to be conducted during the morning and afternoon commute hours (State Police 6.00 – 10.00 AM & 3.00 – 7.00 PM) in order to reduce the number of crashes caused by distracted driving.

PROPOSED SOLUTION: "Operation Safe Commute" is an enforcement campaign designed to encourage all motor vehicle operators to focus their attention on the task of driving and operating their vehicles safely. The NH State Police will hire, on an off-duty basis, four (4) troopers per station date to work overtime distracted driving patrols in 4-hour shifts during the morning and/or afternoon commute hours (6.00 – 10.00 AM and 3.00 – 7.00 PM). "Operation Safe Commute" overtime patrols will be conducted on the following dates:

- October 9, 2015 November 25, 2015 December 31, 2015 January 15, 2016 February 12, 2016
 March 17, 2016 April 5, 2016 May 27, 2016 June 10, 2016 July 1, 2016 August 8, 2016 September 2, 2016

Adherence to all traffic laws, including the state's occupant protection law, will be monitored and enforced. It is understood that these patrols will be conducted on an overtime basis and officers will be paid at their overtime (1.5) rate for work exceeding their normal 40 hours per week. Part-time officers will be paid at their normal hourly rate.

ANTICIPATED RESULTS: "Operation Safe Commute" patrols will result in an increased number of motorist contacts leading to the issuance of citations and warnings that will contribute to increased public awareness that distracted driving will not be tolerated. In addition, the presence of law enforcement on the roads of the state will lead to a decrease in motor vehicle crashes during the morning and afternoon/evening commute hours which will make for a safer commute for all vehicle operators.

ADDITIONAL PROJECT CONDITIONS: It is agreed that all signed "Overtime Selective Traffic Enforcement Program Report" forms (HS-200) for each patrol, along with a summary "Overtime Reimbursement" form, will be submitted to the NH Highway Safety Agency by the end of the month following each quarterly period (i.e. January 31st for the quarter ending December 31, April 30th for the quarter ending March 31st, etc.).

The recipient of these funds is encouraged to provide programs to encourage the use of safety belts by all drivers and passengers in motor vehicles (23 U.S.C. 1200.11 (a) (d) and to adopt and enforce seat belt use policies for employees when operating company-owned, rented, or personally-owned vehicles when performing official business.

See attached Addenda for additional project information that is hereby made a part of this contractual agreement.

CONTRACTUAL AGREEMENT FOR HIGHWAY SAFETY PROJECT GRANT

For HSA Use Only

State of New Hampshire
Highway Safety Agency
78 Regional Drive, Building #2
Concord, NH 03301-8530

Date Received	Project Number
September 8, 2014	#315-15S-002
Date Approved	PSP and Task #
September 8, 2014	15-03, 4

Part I

1. Project Title NH State Police "Operation Safe Commute" Patrols	2. Type of Application (Check One) <input checked="" type="checkbox"/> Initial <input type="checkbox"/> Revision <input type="checkbox"/> Continuation
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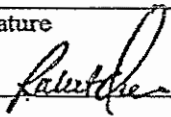

3. Applicant	
A. Name of Agency and Address NH State Police 33 Hazen Drive Concord, NH 03301	B. Government Unit (Check One) <input checked="" type="checkbox"/> State <input type="checkbox"/> City/Town <input type="checkbox"/> County <input type="checkbox"/> Other (specify):
4. Contract Duration Contract Period Start Date: October 1, 2014 Termination Date: September 15, 2015	5. Functional Area SC - Speed Control CFDA# 20.600 Program Title State & Community Highway Safety Funding Source National Highway Traffic Safety Administration

6. Description of Project (Describe in detail in Schedule A)

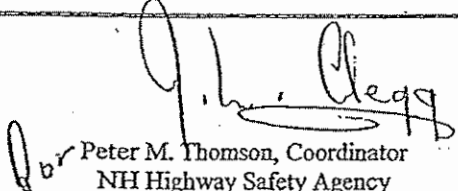
BUDGET AND PERSONNEL DATA		
a. Personnel Services Overtime "Operation Safe Commute" Patrols		
Salary- 12 patrols x 4 hours/patrol x 7 troop stations x 4 troopers/station x \$ 50.85 /hour	\$68,342.40	\$87,158.40
Benefits: 1,344 hours x \$14.00/hour	18,816.00	
*See Proposed Solution (page 2) for exact patrol dates & explanation of pay rates		
b. Indirect Costs		
Indirect costs @ .09 percent x \$87,158.40	7,844.26	7,844.26
Total		\$95,002.66

Part II

7. Acceptance of Conditions. It is understood and agreed by the undersigned that a grant received as a result of this contract is subject to the regulations governing grants which have been furnished (or will be furnished upon request) to the applicant.

A. Project Director		
1) Name Colonel Robert L. Quinn, Director	2) Title Division of State Police NH Department of Safety	3) Address 33 Hazen Drive Concord, NH 03301
4) Signature X 		5) Telephone Number 271-2450
B. Authorized Official		
1) Name John J. Barthelmes	2) Title Commissioner, NH Department of Safety	3) Address 33 Hazen Drive Concord, NH 03301
4) Signature X 		5) Telephone Number 271-2791

Part III (For HSA Use Only)

1. Approval Date September 8, 2014	2. Signature & Title  Peter M. Thomson, Coordinator NH Highway Safety Agency
3. Federal Funds Obligated by this Agreement: \$ 95,002.66	

Part IV

SCHEDULE A
GENERAL PROJECT INFORMATION

Description of Project

STATEMENT OF PROBLEM/NEED: Statistical analysis of motor vehicle crashes occurring on New Hampshire roadways reveals that 'driver inattention/distraction' was the primary contributing factor in approximately 10.46 percent of crashes resulting in incapacitating injuries and 20.98 percent of crashes resulting in non-incapacitating injuries during 2010 - 2013.

	Incapacitating Injuries				Non-Incapacitating Injuries			
	2010	2011	2012	2013	2010	2011	2012	2013
Driver Inattention/Distracted	74	22	68	32	1179	453	572	471
% of Total Injury-Related Crashes	11.2%	6.8%	13.7%	8.1%	19.5%	19.7%	26.5%	21.1%
Total # Injury-Related Crashes	660	322	497	394	6057	2294	2156	2244

Furthermore, the NH Department of Motor Vehicles reports that 21.1 percent of non-fatal crashes are caused by some form of distracting driving.

Although crashes occur at all hours of the day and night, they are most prevalent during the morning and afternoon/evening commute hours when traffic is the heaviest as drivers travel to and from work locations. Instead of focusing their attention on the task of driving and operating their vehicles safely, drivers are frequently distracted by talking on the cell phone, texting, eating, reading, shaving, applying makeup . . . often in combination with speeding, following too close, and making improper lane changes. The combination of these tasks is a recipe for disaster that leads to crashes and the loss of life, injuries, and property damage. Distracted driving crashes occur on local and state roadways, as well as the interstate/turnpike highways. When a crash occurs, no matter how minor the crash or resulting injuries, it creates a great deal of congestion and can lead to road closures . . . all of which impact the impatience and attitude of other drivers.

An aggressive, statewide campaign (Operation Safe Commute) needs to be conducted during the morning and afternoon commute hours (6.00 – 10.00 AM and 3.00 – 7.00 PM) in order to reduce the number of crashes caused by distracted driving.

PROPOSED SOLUTION: "Operation Safe Commute" is an enforcement campaign designed to encourage all motor vehicle operators to focus their attention on the task of driving and operating their vehicles safely. The NH State Police will hire, on an off-duty basis, four (4) troopers per station date to work overtime distracted driving patrols in 4-hour shifts during the morning and/or afternoon commute hours (6.00 – 10.00 AM and 3.00 – 7.00 PM). "Operation Safe Commute" overtime patrols will be conducted on the following dates:

October 10, 2014 November 26, 2014 December 31, 2014 January 16, 2015 February 13, 2015 March 17, 2015
 April 3, 2015 May 22, 2015 June 12, 2015 July 3, 2015 August 10, 2015 September 4, 2015

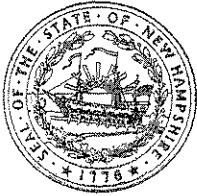
Adherence to all traffic laws, including the state's occupant protection law, will be monitored and enforced. It is understood that these patrols will be conducted on an overtime basis and troopers will be paid at their overtime (1.5) rate for work exceeding their normal 40 hours per week. Part-time troopers will be paid at their normal hourly rate.

ANTICIPATED RESULTS: "Operation Safe Commute" patrols will result in an increased number of motorist contacts leading to the issuance of citations and warnings that will contribute to increased public awareness that distracted driving will not be tolerated. In addition, the presence of law enforcement on the roads of the state will lead to a decrease in motor vehicle crashes during the morning and afternoon/evening commute hours which will make for a safer commute for all vehicle operators.

ADDITIONAL PROJECT CONDITIONS: It is agreed that all signed "Overtime Selective Traffic Enforcement Program Report" forms (HS-200) for each patrol, along with a summary "Overtime Reimbursement" form, will be submitted to the Coordinator of the NH Highway Safety Agency by the end of the month following each quarterly period (i.e. January 31st for the quarter ending December 31, April 30th for the quarter ending March 31st, etc.).

The recipient of these funds is encouraged to provide programs to encourage the use of safety belts by all drivers and passengers in motor vehicles (23 U.S.C. 1200.11 (a)(d)) and to adopt and enforce seat belt use policies for employees operating company-owned, rented, or personally-owned vehicles when performing official business.

See attached Addenda for additional project information that is hereby made a part of this contractual agreement.



State of New Hampshire

FIS 15 236

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

October 20, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a, VI, authorize the Department of Safety, Division of Homeland Security and Emergency Management, to amend Fiscal Item# FIS 15-164 originally approved by the Fiscal Committee on August 26, 2015 and by Governor and Council on September 8, 2015, Item #9, by extending the end date **only** from December 31, 2015 to June 30, 2017 to accept and expend major Disaster Assistance funds in the amount of \$4,439,989.00 from the Federal Emergency Management Agency (FEMA). Effective upon Fiscal Committee and Governor and Council approvals. Funding source: 100% Federal Funds.

Explanation

This request is to extend the end date to accept and expend major disaster funds from the Federal Emergency Management Agency. Governor Lynch submitted a request to the President on September 2, 2011 for a major disaster declaration in Carroll, Coos, Grafton and Merrimack counties due to a severe weather event from August 26, 2011 and continuing involving flooding and flash flooding. The President declared the State of New Hampshire a major disaster area on September 3, 2011 and designated Carroll, Coos, Grafton and Merrimack counties eligible to receive public assistance.

Immediately after the initial disaster, FEMA issues funds as Public Assistance (PA) grants. These funds provide communities with reimbursement for expenses incurred for debris removal, emergency protective measures, repair of roads and bridges, water facilities, buildings and equipment utilities, etc. These PA grants can remain open to drawdown funds for as many as two to four years.

The request to accept \$4,439,989.00 represents the federal share of the public assistance and administrative cost allowances for the grantee and sub-grantees. Several New Hampshire State Agencies, including the Department of Transportation, the Department of Environmental Services, the Department of Resources and Economic Development, and the Department of Safety, along with over 100 New Hampshire municipalities are expected to apply for these supplemental assistance funds with the Federal Emergency Management Agency. The State has one year to submit applications for these funds. Once applications are approved by FEMA then a list of awarded towns can be compiled.

The funding mechanism is 75% Federal / 25% State/Local share. The 25% required match for State Agency projects will be paid from existing budgeted appropriations or an additional request for these funds will be made through the State Legislature. The local share is the responsibility of the local applicant. The administrative cost allowance is 100% federally funded and is awarded as a percentage of the public assistance project awards, if requested. Indirect costs are budgeted based only on the Grantee Administration portion of the funds, as all other funds are considered pass-through funds and are not subject to indirect costs.

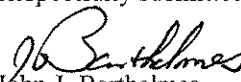
The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
October 20, 2015
Page 2 of 2

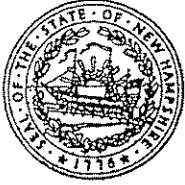
- Class 018 The funds will be used for overtime costs associated with evening meetings, workshops, project monitoring, and administration.
- Class 020 The funds will be used for supplies, materials, and operating expenses associated with administering disaster assistance projects.
- Class 030 The funds will be used to purchase office equipment identified as necessary with administration of the funds.
- Class 040 The funds will be used to pay state indirect costs.
- Class 041 The funds will be used to pay audit fund set aside expense.
- Class 060 The funds will be used to pay benefits costs associated with overtime and temporary personnel.
- Class 070 The funds will be used to cover travel expenses associated with community visits.
- Class 072 The funds will be used for disaster assistance grants to communities and state agencies.
- Class 246 The funds will be used for grantee administrative costs.
- Class 247 The funds will be used for sub-grantee administrative costs.

There are no General funds required with this request. In the event that Federal funds are no longer available, General funds and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelmes
Commissioner

HSEM-PA-07-2015-01



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

*Fiscal # FIS 15-164
08-26-2015*

August 4, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

*GC#9
09-08-2015*

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a, VI, the Department of Safety, Division of Homeland Security and Emergency Management, requests authorization to accept and expend major Disaster Assistance funds in the amount of \$4,439,989.00 from the Federal Emergency Management Agency (FEMA). Effective upon Fiscal Committee and Governor and Council approvals through December 31, 2015. Funding source: 100% Federal Funds.

Funds will be budgeted in an account titled Tropical Storm Irene DR-4026:

02-23-23-236010-08130000 Dept. of Safety Homeland Sec.-Emer. Mgmt. DR-4026-TS Irene (Major)
Revenue Source: 400338

Class	Description	Current Budget	Requested Action	Revised Budget
000	Estimated Revenue	\$0.00	(\$4,439,989.00)	(\$4,439,989.00)
018-500106	Overtime	\$0.00	\$8,500.00	\$8,500.00
020-500200	Current Expense	\$0.00	\$12,000.00	\$12,000.00
030-500301	Equipment	\$0.00	\$1,500.00	\$1,500.00
040-500800	Indirect Cost	\$0.00	\$5,000.00	\$5,000.00
041-500801	Audit Fund	\$0.00	\$7,300.00	\$7,300.00
060-500601	Benefits	\$0.00	\$5,500.00	\$5,500.00
070-500704	In State Travel	\$0.00	\$3,200.00	\$3,200.00
072-500574	Grants - Federal	\$0.00	\$4,138,989.00	\$4,138,989.00
246-500792	Grantee Administrative Costs	\$0.00	\$33,000.00	\$33,000.00
247-500793	Sub-Grantee Administrative	\$0.00	\$225,000.00	\$225,000.00
	Total		\$4,439,989.00	\$4,439,989.00

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
August 4, 2015
Page 2 of 3

Explanation

This request is to accept and expend major disaster funds from the Federal Emergency Management Agency.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15, "Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016? **No.**
2. If this request is retroactive what is the significance and importance of the action being effective from an earlier date? **No, this request is not retroactive.**
3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.) **This is a grant.**
4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied? **No.**
5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied? **No.**
6. Does this program include either positions or consultants and, if so, are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.) **No.**
7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied? **The grant would not be utilized.**

Governor Lynch submitted a request to the President on September 2, 2011 for a major disaster declaration in Carroll, Coos, Grafton and Merrimack counties due to a severe weather event from August 26, 2011 and continuing involving flooding and flash flooding. The President declared the State of New Hampshire a major disaster area on September 3, 2011 and designated Carroll, Coos, Grafton and Merrimack counties eligible to receive public assistance.

Immediately after the initial disaster, FEMA issues funds as Public Assistance (PA) grants. These funds provide communities reimbursement for expenses incurred for debris removal, emergency protective measures, repair of roads and bridges, water facilities, buildings and equipment utilities, etc. These PA grants can remain open to drawdown funds for as many as two to four years.

The request to accept \$4,439,989.00 represents the federal share of the public assistance and administrative cost allowances for the grantee and sub-grantees. Several New Hampshire State Agencies, including the Department of Transportation, the Department of Environmental Services, the Department of Resources and Economic Development, and the Department of Safety, along with over 100 New Hampshire municipalities are expected to apply for these supplemental assistance funds with the Federal Emergency Management Agency. The State has one year to submit applications for these funds. Once applications are approved by FEMA then a list of awarded towns can be compiled.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

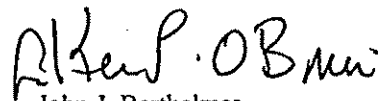
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
August 4, 2015
Page 3 of 3

The funding mechanism is 75% Federal / 25% State/Local share. The 25% required match for State Agency projects will be paid from existing budgeted appropriations or an additional request for these funds will be made through the State Legislature. The local share is the responsibility of the local applicant. The administrative cost allowance is 100% federally funded and is awarded as a percentage of the public assistance project awards, if requested. Indirect costs are budgeted based only on the Grantee Administration portion of the funds, as all other funds are considered pass-through funds and are not subject to indirect costs.

- Class 018 The funds will be used for overtime costs associated with evening meetings, workshops, project monitoring, and administration.
- Class 020 The funds will be used for supplies, materials, and operating expenses associated with administering disaster assistance projects.
- Class 030 The funds will be used to purchase office equipment identified as necessary with administration of the funds.
- Class 040 The funds will be used to pay state indirect costs.
- Class 041 The funds will be used to pay audit fund set aside expense.
- Class 060 The funds will be used to pay benefits costs associated with overtime and temporary personnel.
- Class 070 The funds will be used to cover travel expenses associated with community visits.
- Class 072 The funds will be used for disaster assistance grants to communities and state agencies.
- Class 246 The funds will be used for grantee administrative costs.
- Class 247 The funds will be used for sub-grantee administrative costs.

There are no General funds required with this request. In the event that Federal funds are no longer available, General funds and/or Highway funds will not be requested to support this program.

Respectfully submitted,



John J. Barthelmes
Commissioner

Homeland Security and Emergency Management
DR 4026-TS Irene (Major)

Fiscal Situation: Account 02-23-23-236010-08130000

Federal Funds Awarded:

Public Assistance DR 40256 Tropical Storm Irene \$17,421,662.58

Total Grant Funds Awarded \$17,421,662.58

Less expenses in FY 2012 (\$8,649,113.90)

Less expenses in FY 2013 (\$1,807,139.36)

Less expenses in FY 2014 (\$441,575.92)

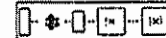
Less expenses in FY 2015 (\$2,083,843.50)

Total Prior Fiscal Year Actual Expenses (\$12,981,672.68)

Net Grant Funds Remaining \$4,439,989.90

This Request \$4,439,989.90

Awarded Projects



Date: 07/16/2015 16:10

Federal Emergency Management Agency
Public Assistance Summary (S.3)
Disaster: FEMA-4026

Total Number of Records: 12

Categories	Initial 100%	Current 100%	Total Requested (100% PWs)	Federal Share Requested	Amount Obligated Federal Share	Pending Obligation in FMS	On Hold (100%)
A. DEBRIS REMOVAL	\$67,500.00	\$510,310.88	\$510,310.88	\$382,733.27	\$382,733.27	\$0.00	\$0.00
B. PROTECTIVE MEASURES	\$406,000.00	\$1,790,420.22	\$1,790,420.22	\$1,342,815.24	\$1,342,815.25	\$0.00	\$0.00
C. ROADS & BRIDGES	\$1,728,352.00	\$15,609,030.52	\$15,239,098.26	\$11,654,324.03	\$11,654,324.03	\$0.00	\$0.00
D. WATER CONTROL FACILITIES	\$0.00	\$333,724.45	\$333,724.45	\$250,293.35	\$250,293.35	\$0.00	\$0.00
E. PUBLIC BUILDINGS	\$50,000.00	\$127,317.06	\$127,317.06	\$95,487.81	\$95,487.81	\$0.00	\$0.00
F. PUBLIC UTILITIES	\$288,000.00	\$2,122,128.61	\$2,122,128.61	\$1,591,596.47	\$1,591,596.47	\$0.00	\$0.00
G. RECREATIONAL OR OTHER	\$0.00	\$2,784,668.49	\$2,784,668.49	\$2,088,501.40	\$2,088,501.40	\$0.00	\$0.00
Total PWs	\$2,540,052.00	\$23,277,600.23	\$23,207,667.97	\$17,405,751.57	\$17,405,751.58	\$0.00	\$0.00
Grantee Admin Cost			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Subgrantee Admin Cost			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Z. STATE MANAGEMENT		\$422,171.00	\$15,911.00	\$15,911.00	\$15,911.00	\$0.00	\$0.00
Total Administrative Cost		\$422,171.00	\$15,911.00	\$15,911.00	\$15,911.00	\$0.00	\$0.00
Grand Total:	\$2,540,052.00	\$23,699,771.23	\$23,223,578.97	\$17,421,662.57	\$17,421,662.58	\$0.00	\$0.00

Current Cost Estimate Notes

04/21/2015 Update Current 100% to account for additional obligations Cat C, by \$1,001,430, PW 212 and PW 589 that added engineering costs not previously included-A. Galluzzo 03/19/2015 Increase Current 100% Cat C to \$11,669 to \$14,607,580.22 to account for PW 415 obligation-A. Galluzzo 7/17/2014 Update Current 100% Cat C reduced to \$14,383,229 to leave \$100% for future years, Cat Z no change, Cat B decrease \$50K, D increase \$39,671, and F decrease \$339,103 so Current 100% updated to equal Total Requested 100%-A. Galluzzo 3/26/2014 Update Current 100% Cat C from \$10,624,752.97 to \$14,446,747 for spend plan.-A. Galluzzo 1/27/2014 Update Current 100% to account for a \$2MM+ increase in PW 212(0). -A. Galluzzo Update Current 100% Cat Z based on 12 month lock-in letter.

Public Assistance Summary (S.9)
 Count: Applicants - 140
 Subdivisions - 0

Type of Applicant	APPLICANTS				SUBDIVISIONS			
	No. of Applicants	Elig			No. of Subdivisions	Elig		
		Eligible	Ineligible	Unknown		Eligible	Ineligible	Unknown
Nonprofit with 501(c)(3) Status (Other than Institution of Higher Education)	5	2	0	3	0	0	0	0
Special District Government	9	8	0	1	0	0	0	0
Public/State Controlled Institution of Higher Education	1	1	0	0	0	0	0	0
Independent School District	4	4	0	0	0	0	0	0
Other (specify)	2	2	0	0	0	0	0	0
Private Institution of Higher Education	1	1	0	0	0	0	0	0
City or Township Government	108	103	0	5	0	0	0	0
State Government	8	7	0	1	0	0	0	0
Totals:	140	130	0	10	0	0	0	0

Number of RPAs in Eligibility Review:	0	Number of Closed RPAs:	133
Number of Open RPA	7	Override Projected Large Projects:	0
CMF Projected Large Projects:	44	Override Projected Small Projects:	0
CMF Projected Small Projects:	541	By Subgrantees	By Grantees
No. of PW Change Requests	0	By FEMA	By FEMA
	Unobligated	Obligated	Total
No. of PWs	7	583	590

**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approval No. 0348-0043

1. TYPE OF SUBMISSION: Application: <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction Pre-application: <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		2. DATE SUBMITTED September 2, 2011	Applicant Identifier [REDACTED]
		3. DATE RECEIVED BY STATE September 3, 2011	State/Preapplication Identifier [REDACTED]
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier [REDACTED]

5. APPLICANT INFORMATION

Legal Name: <u>State of New Hampshire</u> Address (give city, county, state, and zip code): 33 Hazen Drive Concord, NH 03305	Organizational Unit: <u>Dept of Safety/HSEM</u> Name and telephone number of person to be contacted on matters involving this application (give area code): Michael Poirier 603-271-2231
6. EMPLOYER IDENTIFICATION NUMBER (EIN): [REDACTED]	7. TYPE OF APPLICANT: (enter appropriate letter in box) <input checked="" type="checkbox"/> A
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es):	A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District H. Independent School Dist. I. State Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify):
A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other (specify):	9. NAME OF FEDERAL AGENCY: ADHS/FEMA

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:
 Title: Public Assistance DR-1025 [REDACTED] - [REDACTED]

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:
Severe flooding and flash flooding beginning August 26, 2011 and continuing causing damage to State and community infrastructures in Carroll, Coos, Grafton and Merrimack Counties.

12. AREAS AFFECTED BY PROJECT, ORDER, Counties, State, etc:
Carroll, Coos, Grafton and Merrimack Counties

13. PROPOSED PROJECT:

Start Date: 09/03/11 Ending Date: 08/30/2015	14. CONGRESSIONAL DISTRICTS OF: a. Applicant: [REDACTED] b. Project: [REDACTED]
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15. ESTIMATED FUNDING:

a. Federal	\$	20,000,000.00
b. Applicant	\$	6,685,657.00
c. State	\$	
d. Local	\$	
e. Other	\$	
f. Program Income	\$	
g. TOTAL	\$	26,685,657.00

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?

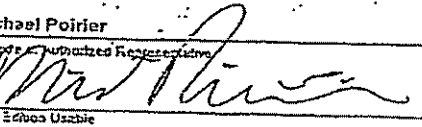
a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON:

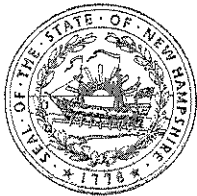
DATE: _____

b. NO. PROGRAM IS NOT COVERED BY E.O. 12372
 OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
 Yes If "Yes," attach an explanation No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Type Name of Authorized Representative Michael Poirier	b. Title Alternate GAR	c. Telephone Number 603-223-3639
c. Signature of Authorized Representative 		e. Date Signed September 12, 2011



State of New Hampshire

FIS 15 237

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

October 28, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a VI, authorize the Department of Safety, Division of Homeland Security and Emergency Management, to amend Fiscal Item #FIS 15-165 originally approved by the Fiscal Committee on August 26, 2015 and by Governor and Council on September 8, 2015, Item #8, by extending the end date **only** from December 31, 2015 to June 30, 2017 to accept and expend Hazard Mitigation Grant Program (HMGP) funds from the Federal Emergency Management Agency (FEMA) for DR-4006 May 2011 floods, DR-4049 October 2011 Snowstorm, DR-4065 May 2012 floods and DR-4139 July 2013 floods in the amount of \$2,237,568.00 for the implementation of cost effective mitigation projects. Effective upon Fiscal Committee and Governor and Council approvals. Funding Source: 100% Federal Funds.

Explanation

The request is to extend the end date **only** for Fiscal Item #FIS 15-165 and Governor and Council Item #8. The total amount of \$2,237,568.00 from FEMA consists of \$196,371.00 for DR-4006 May 2011 floods, \$532,223.00 for DR-4049 October 2011 Snowstorm, \$401,251.00 for DR-4065 May 2012 floods, and \$1,107,723.00 for DR-4139 July 2013 floods.

Immediately after an initial disaster, FEMA issues funds as Public Assistance (PA) grants. These funds provide reimbursement to communities for expenses incurred for debris removal, emergency protective measures, repair of roads and bridges, water facilities, buildings and equipment utilities, etc. These PA grants can remain open to drawdown funds for as many as two to four years. Hazard Mitigation Grant Program (HMGP) funds are based on 15% of the first 2 billion dollars of estimated aggregate amounts of disaster assistance. The State has one year to submit applications for these funds. Once applications are approved by FEMA then a list of awarded towns can be compiled.

The Hazard Mitigation Grant Program (HMGP) provides funding to states and communities (sub-grantees) for cost-effective hazard mitigation activities that complement a comprehensive mitigation program. FEMA provides HMGP funds to states following presidentially declared disasters where the FEMA Public Assistance Program is employed that, in turn, provide sub-grants or contracts for a variety of mitigation activities, such as planning and the implementation of projects identified through the evaluation of natural hazards. The cost share is 75% Federal funds and a 25% applicant soft-match. The sub-grantee will provide and document the program match requirements. The management costs associated with the Hazard Mitigation Grant Program are 100% federal funds and do not require a matching percentage.

<u>Class Code</u>	<u>Title</u>	<u>Description</u>
018	Overtime	Provides funding for full-time staff working extra hours to manage the Hazard Mitigation Assistance programs.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court


Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
October 28, 2015
Page 2 of 2

020	Current Expense	Allows for purchasing supplies needed to manage the Hazard Mitigation Assistance programs.
030	Equipment	Allows for purchasing equipment needed for project management.
040	Indirect Cost	Represents the associated amount for this request.
041	Audit Fund Set Aside	Represents the associated amount for this request.
060	Benefits	Provides funding associated with Class Code 018.
070	In-State Travel	Provides funding for travel to manage the Hazard Mitigation Assistance programs statewide.
072	Grants	Provides funding for grants to sub-grantees.
080	Out of State Travel	Provides funding for travel to workshops associated with managing the Hazard Mitigation Assistance programs.
246	Grantee Admin Costs	Provides funding for Grantee administrative costs.

The State of New Hampshire solicits applications statewide. Notification of the availability of HMGP funds is made to every community by e-mail and by letters sent to the chief elected official of each community. The State of New Hampshire submits all applications received for program funding to the Federal Emergency Management Agency for their final approval. Applications that are determined to be cost effective and program eligible are then funded 75% by FEMA; not every application submitted is determined to be program eligible. However, all applications that are determined to be eligible are funded at the requested dollar amount listed in their application, pending availability of adequate program funding.

There are no General funds required with this request. In the event that Federal funds are no longer available, General funds and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

HSEM - HMGP - 07 - 2015 - 02



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

*Fiscal # FIS 15-165
08-26-2015*

August 4, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

*GC #8
09-08-2015*

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a VI, the Department of Safety, Division of Homeland Security and Emergency Management, requests authorization to accept and expend Hazard Mitigation Grant Program (HMGP) funds from the Federal Emergency Management Agency (FEMA) for DR-4006 May 2011 floods, DR-4049 October 2011 Snowstorm, DR-4065 May 2012 floods and DR-4139 July 2013 floods in the amount of \$2,237,568.00 for the implementation of cost effective mitigation projects. Effective upon Fiscal Committee and Governor and Council approvals through December 31, 2015. Funding Source: 100% Federal Funds.

Funds are to be budgeted in SFY 2016 in the following account:

02-23-23-236010-29210000 Dept. of Safety HSEM Hazard Mitigation Grant Program-FEMA

	<u>Current Adjusted Authorized</u>	<u>Requested Action</u>	<u>Revised Adjusted Authorized</u>
000-408121 Federal Funds	\$0	(\$2,237,568.00)	(\$2,237,568.00)
018-500106 Overtime	\$0	\$6,500.00	\$6,500.00
020-500200 Current Expense	\$0	\$2,869.00	\$2,869.00
030-500311 Equipment	\$0	\$2,114.00	\$2,114.00
040-500800 Indirect Costs	\$0	\$10,709.00	\$10,709.00
041-500801 Audit	\$0	\$2,455.00	\$2,455.00
060-500601 Benefits	\$0	\$1,295.00	\$1,295.00
070-500705 In State Travel	\$0	\$3,015.00	\$3,015.00
072-500574 Grants Federal	\$31,204.00	\$2,129,694.00	\$2,160,898.00
080-500710 Out of State Travel	\$0	\$2,914.00	\$2,914.00
246-500792 Grantee Admin Costs	\$0	\$76,003.00	\$76,003.00
Total Appropriation	\$31,204.00	\$2,237,568.00	\$2,268,772.00

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
August 4, 2015
Page 2 of 3

Explanation

The total amount of \$2,237,568.00 from FEMA consists of \$196,371.00 for DR-4006 May 2011 floods, \$532,223.00 for DR-4049 October 2011 Snowstorm, \$401,251.00 for DR-4065 May 2012 floods, and \$1,107,723.00 for DR-4139 July 2013 floods.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA 124:15, "Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016? No.
2. If this request is retroactive what is the significance and importance of the action being effective from an earlier date? No, this request is not retroactive.
3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.) This is a grant.
4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied? No.
5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied? No.
6. Does this program include either positions or consultants and, if so, are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.) No.
7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied? The grant would not be utilized.

Immediately after an initial disaster, FEMA issues funds as Public Assistance (PA) grants. These funds provide communities reimbursement for expenses incurred for debris removal, emergency protective measures, repair of roads and bridges, water facilities, buildings and equipment utilities, etc. These PA grants can remain open to drawdown funds for as many as two to four years. Hazard Mitigation Grant Program (HMGP) funds are based on 15% of the first 2 billion dollars of estimated aggregate amounts of disaster assistance. The State has one year to submit applications for these funds. Once applications are approved by FEMA then a list of awarded towns can be compiled.

The Hazard Mitigation Grant Program (HMGP) provides funding to states and communities (sub-grantees) for cost-effective hazard mitigation activities that complement a comprehensive mitigation program. FEMA provides HMGP funds to states following presidentially declared disasters where the FEMA Public Assistance Program is employed that, in turn, provide sub-grants or contracts for a variety of mitigation activities, such as planning and the implementation of projects identified through the evaluation of natural hazards. The cost share is 75% Federal funds and a 25% applicant soft-match. The sub-grantee will provide and document the program match requirements. The management costs associated with the Hazard Mitigation Grant Program are 100% federal funds and do not require a matching percentage.

<u>Class Code</u>	<u>Title</u>	<u>Description</u>
018	Overtime	Provides funding for full-time staff working extra hours to manage the Hazard Mitigation Assistance programs.
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040	Indirect Cost	Represents the associated amount for this request.
041	Audit Fund Set Aside	Represents the associated amount for this request.
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The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

August 4, 2015


Page 3 of 3

070	In-State Travel	Provides funding for travel to manage the Hazard Mitigation Assistance programs statewide.
072	Grants	Provides funding for grants to sub-grantees.
080	Out of State Travel	Provides funding for travel to workshops associated with managing the Hazard Mitigation Assistance programs.
246	Grantee Admin Costs	Provides funding for Grantee administrative costs.

The State of New Hampshire solicits applications statewide. Notification of the availability of HMGP funds is made to every community by e-mail and by letters sent to the chief elected official of each community. The State of New Hampshire submits all applications received for program funding to the Federal Emergency Management Agency for their final approval. Applications that are determined to be cost effective and program eligible are then funded 75% by FEMA; not every application submitted is determined to be program eligible. However, all applications that are determined to be eligible are funded at the requested dollar amount listed in their application, pending availability of adequate program funding.

There are no General funds required with this request. In the event that Federal funds are no longer available, General funds and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

Homeland Security and Emergency Management
Hazard Mitigation Grant Program

Fiscal Situation: Account 02-23-23-236010-29210000

Federal Funds Awarded:

DR4006 May 2011 Floods	\$196,371.00
DR4049 Oct 2011 Snowstorm	\$532,223.00
DR4065 May 2012 Floods	\$510,353.00
DR4139 July 2013 Rain	\$1,107,723.00
Total Grant Funds Awarded	\$2,346,670.00

Less expenses in FY 2012	\$0.00
Less expenses in FY 2013	\$0.00
Less expenses in FY 2014	\$0.00
Less expenses in FY 2015	(\$77,898.00)
Total Prior Fiscal Year Actual Expenses	(\$77,898.00)

Net Grant Funds Remaining \$2,268,772.00

Less: SFY 16 State Appropriation including prior year encumbrances: (\$31,204.00)

This Request \$2,237,568.00



FEMA

October 12, 2012

Mr. Christopher Pope, Director
NH Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-MONTH FINAL LOCK-IN
FEMA-4006-DR-NH
Hazard Mitigation Grant Program

Dear Director Pope:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the Hazard Mitigation Grant Program (HMGP) is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$187,216 in Federal funding is available for the HMGP under FEMA-4006-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 9,361
7% PROJECTS	\$ 13,105
REGULAR PROJECTS	\$ 164,750
12-MONTH LOCK-IN	\$ 187,216
STATE MANAGEMENT COST PROJECTS	\$ 9,155

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4006-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Division by July 22, 2012. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Mitigation Division Director
FEMA Region I

Cc: Lance Harbour, HSEM

U.S. Department of Homeland Security
FEMA Region I
99 High Street
Boston, MA 02110



FEMA

February 7, 2013

Perry Plummer, Acting Director
NH Department of Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-Month Final Lock-In
FEMA-4049-DR-NH
Hazard Mitigation Grant Program (HMGP)

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$507,411 in Federal funding is available for the HMGP under FEMA-4049-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 25,371
7% PROJECTS	\$ 35,519
REGULAR PROJECTS	\$ 446,521
12-MONTH LOCK-IN	\$ 507,411
STATE MANAGEMENT COST PROJECTS	\$ 24,812

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4049-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Division by **December 5, 2012**. Please forward a SF-424 to cover the funding request. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

Cc: Beth Peck, Acting SHMO, NH HSEM



FEMA

August 16, 2013

Perry Plummer, Acting Director
NH Department of Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-Month Final Lock-In
FEMA-4065-DR-NH
Hazard Mitigation Grant Program (HMGP)

Dear Director Plummer:

~~Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$486,560 in Federal funding is available for the HMGP under FEMA-4065-DR-NH. A breakdown of funding is listed below:~~

5% PROJECTS	\$ 24,328
7% PROJECTS	\$ 34,059
REGULAR PROJECTS	\$ 428,173
12-MONTH LOCK-IN	\$ 486,560
STATE MANAGEMENT COST PROJECTS	\$ 23,793

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4065-DR-NH. Final applications for new mitigation projects were submitted to the FEMA Region I Hazard Mitigation Assistance (HMA) Branch by the June 15, 2013 deadline. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

cc: Beth Peck, State Hazard Mitigation Officer, NH HSEM
Dan McElhinney, Grants Division Director, FEMA Region I
Lynn Wright, Grants Management Branch Chief, FEMA Region I



FEMA

August 28, 2014

Perry Plummer, Director
Homeland Security and Emergency Management
33 Hazen Dr.
Concord, NH 03305

Re: Section 324 Management Costs Final (12-Month) Lock-ins and
HMGP Final Lock-in Ceiling, FEMA-4139-DR-NH

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$1,056,081 in Federal funding is available for the HMGP under FEMA-4139-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 52,804
7% PROJECTS	\$ 73,926
REGULAR PROJECTS	\$ 929,351
12-MONTH LOCK-IN	\$ 1,056,081
STATE MANAGEMENT COST PROJECTS	\$ 51,642

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4139-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Assistance Branch by August 2, 2014. Please forward a SF-424 to cover the funding request. If you have any questions, please contact Richard Verville, FEMA Region I Hazard Mitigation Assistance Branch Chief, at (617) 956-7524.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

cc: Beth Peck, State Hazard Mitigation Officer, NH HSEM
Dan McElhinney, Grants Division Director, FEMA Region I
Lynn Wright, Grants Management Branch Chief, FEMA Region I

FILE COPY

U.S. Department of Homeland Security
FEMA Region I
49 High Street
Boston, MA 02110



FEMA

October 12, 2012

Mr. Christopher Pope, Director
NH Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire, 03301

Re: 12-MONTH FINAL LOCK-IN
FEMA-4006-DR-NH
Hazard Mitigation Grant Program

Dear Director Pope:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the Hazard Mitigation Grant Program (HMGP) is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$187,216 in Federal funding is available for the HMGP under FEMA-4006-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 9,361
7% PROJECTS	\$ 13,105
REGULAR PROJECTS	\$ 164,750
12-MONTH LOCK-IN	\$ 187,216
STATE MANAGEMENT COST PROJECTS	\$ 49,155

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4006-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Division by July 22, 2012. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Mitigation Division Director
FEMA Region I

Cc: Lance Harbour, HSEM

U.S. Department of Homeland Security
FEMA Region I
99 High Street
Boston, MA 02110



FEMA

February 7, 2013

Perry Plummer, Acting Director
NH Department of Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-Month Final Lock-In
FEMA-4049-DR-NH
Hazard Mitigation Grant Program (HMGP)

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$507,411 in Federal funding is available for the HMGP under FEMA-4049-DR-NH. A breakdown of funding is listed below:

5% PROJECTS	\$ 25,371
7% PROJECTS	\$ 35,519
REGULAR PROJECTS	\$ 446,521
12-MONTH LOCK-IN	\$ 507,411
STATE MANAGEMENT COST PROJECTS	\$ 24,812

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4049-DR-NH. Final applications or amendments for new mitigation projects must have been submitted to the FEMA Region I Hazard Mitigation Division by December 5, 2012. Please forward a SF-424 to cover the funding request. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

Cc: Beth Peck, Acting SHMO, NH HSEM

U.S. Department of Homeland Security
FEMA Region I
99 High Street
Boston, MA 02110



FEMA

August 16, 2013

Perry Plummer, Acting Director
NH Department of Homeland Security and Emergency Management
33 Hazen Drive
Concord, New Hampshire 03301

Re: 12-Month Final Lock-In
FEMA-4065-DR-NH
Hazard Mitigation Grant Program (HMGP)

Dear Director Plummer:

Under Section 404 of the Stafford Act, as amended, 75% Federal funding is authorized for hazard mitigation measures. As a condition of receiving Federal disaster assistance, measures must be cost-effective, environmentally acceptable and be consistent with the strategies and recommendations identified in your State Hazard Mitigation Plan. Funding for mitigation projects under the HMGP is based on 15% of the estimated aggregate amount of grants made under the Stafford Act. The 12-month final lock-in of \$486,560 in Federal funding is available for the HMGP under FEMA-4065-DR-NH. A breakdown of funding is listed below:

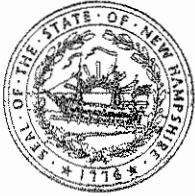
5% PROJECTS	\$ 24,328
7% PROJECTS	\$ 34,059
REGULAR PROJECTS	\$ 428,173
12-MONTH LOCK-IN	\$ 486,560
STATE MANAGEMENT COST PROJECTS	\$ 23,792
	<u>\$ 510,352</u>

This calculation is based on the 12-month estimate as reported by the authorized disaster assistance programs. This is the final 12-month lock-in for FEMA-4065-DR-NH. Final applications for new mitigation projects were submitted to the FEMA Region I Hazard Mitigation Assistance (HMA) Branch by the June 15, 2013 deadline. If you have any questions, please contact me.

Sincerely,

Dean J. Savramis
Director, Mitigation Division
FEMA Region I

cc: Beth Peck, State Hazard Mitigation Officer, NH HSEM
Dan McElhinney, Grants Division Director, FEMA Region I
Lynn Wright, Grants Management Branch Chief, FEMA Region I



State of New Hampshire

DEPARTMENT OF SAFETY
 OFFICE OF THE COMMISSIONER
 33 HAZEN DR. CONCORD, NH 03305
 603/271-2791

JOHN J. BARTHELMES
 COMMISSIONER

October 28, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a, VI, authorize the Department of Safety, Division of Fire Standards and Training and Emergency Medical Services, to amend Fiscal Item# FIS 15-166 originally approved by the Fiscal Committee on August 26, 2015 and by Governor and Council on September 16, 2015, Item# 81, by extending the end date from December 31, 2015 to June 30, 2016 to accept and expend funds from the Federal Emergency Management Agency (FEMA) in the amount of \$554,499.00 for the purpose of purchasing vehicles and equipment required for training local volunteer firefighters. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2016. Funding source: 100% Federal Funds.

Explanation

This request is to extend the end date only for Fiscal Item # FIS 15-166 and Governor and Council Item #81. These grants are for the purchase of an ambulance, mini fire pumper truck, four (4) thermal imaging cameras, two (2) smoke generator simulators, forty two (42) sets of personal protective equipment, and an adult female fire and EMS manikin.

The Federal Emergency Management Agency has opened the Assistance for Firefighters grant program to State fire training agencies. This grant provides funding to purchase the necessary equipment to ensure firefighters and emergency medical personnel continue to be trained throughout the State. Based on the size of the State of New Hampshire, there is a 15% match to this grant for which the Division will be utilizing current budgeted funding. The funding is for the purchase of an ambulance, four (4) thermal imaging cameras, two (2) smoke generator simulators, forty-two (42) sets of personal protective equipment, and an adult female fire and EMS manikin which will be utilized throughout the State to continue training firefighters and emergency responders.

The funds are to be budgeted as follows:

Funds in class 020 will be used to purchase smoke fluid for the smoke generators that are being purchased.

Funds in class 030 will be used to purchase the ambulance, thermal imaging cameras, smoke generators, SCBA and manikin.


Funds in class 040 are needed to pay indirect costs to Administrative Services on all eligible expenses.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
October 28, 2015
Page 2 of 2

Funds in class 041 are needed to pay audit fund set aside on all eligible expenses.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety



FIS 15-166

JEFFRY A. PATTISON
Legislative Budget Assistant
(603) 271-3161

MICHAEL W. KANE, MPA
Deputy Legislative Budget Assistant
(603) 271-3161

State of New Hampshire
OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

STEPHEN C. SMITH, CPA
Director, Audit Division
(603) 271-2785

August 27, 2015

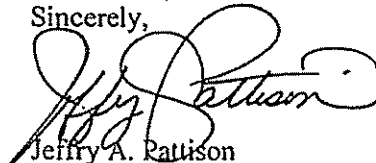
John J. Barthelmes, Commissioner
Department of Safety
33 Hazen Drive
Concord, New Hampshire 03305

Dear Commissioner Barthelmes,

The Fiscal Committee, pursuant to the provisions of RSA 14:30-a, VI, on August 26, 2015, amended and approved the request of the Department of Safety, Division of Fire Standards and Training and Emergency Medical Services, to accept and expend \$554,499 in Federal Emergency Management Agency (FEMA) funds, not the \$563,117 as requested through December 31, 2015, as specified in your letter dated August 7, 2015.

The Committee amended the request to remove Class Line 050 and Class Line 060, totaling \$8,618.

Sincerely,


Jeffrey A. Pattison
Legislative Budget Assistant

JAP/pe
Attachment

Cc: Elizabeth Bielecki
Director of Administration



State of New Hampshire

FIS 15 166

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

August 7, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a, VI, authorize the Department of Safety, Division of Fire Standards and Training and Emergency Medical Services, to accept and expend funds from the Federal Emergency Management Agency (FEMA) in the amount of \$563,117.00 for the purpose of purchasing vehicles and equipment required for training local volunteer firefighters. Effective upon Governor and Council approval through December 31, 2015. Funding source: 100% Federal Funds.

Funds are to be budgeted as follows:

02-023-023-237010-44570000 – Dept. of Safety Nat'l Fire Academy Grant

Class	Current Adjusted Authorized	Requested Action #1	Revised Adjusted Authorized
000 - 404805 Federal Funds	(43,834.00)	(563,117.00)	(606,951.00)
020 - 500200 Current Expense	28,633.00	5,329.00	33,962.00
030 - 500331 Equipment	-	545,794.00	545,794.00
040 - 500800 Indirect Costs	3,095.00	2,817.00	5,912.00
041 - 500801 Audit Fund Set Aside	15.00	559.00	574.00
050 - 500109 Personal Service Temp App	10,500.00	8,051.00	18,551.00
060 - 500601 Benefits	803.00	567.00	1,370.00
070 - 500704 In State Travel Reimbursement	788.00	0.00	788.00
4457 Totals	43,834.00	563,117.00	606,951.00

Explanation

These grants are for the purchase of an ambulance, mini fire pumper truck, four (4) thermal imaging cameras, two (2) smoke generator simulators, forty two (42) sets of personal protective equipment, an adult female fire and EMS manikin as well as funds for part-time staff and travel allowances for training local volunteer firefighters.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15, "Positions Authorized" or both:

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
August 7, 2015
Page 2 of 2

1. *Is the action required of this request a result of the Continuing Resolution for FY 2016? No.*
2. *If this request is retroactive what is the significance and importance of the action being effective from an earlier date? No, it is not retroactive.*
3. *Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.) This is a grant.*
4. *Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied? No.*
5. *Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied? No.*
6. *Does this program include either positions or consultants and, if so, are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.) No.*
7. *What would be the effect should this program be discontinued or not initiated as a result of this request being denied? This grant would not be utilized.*


The original request to accept and expend was tabled on February 19, 2015; it was presented again and approved on April 3, 2015 and then approved by Governor and Council on April 8, 2015. Due to the delay, there was not sufficient time to post a request for bid, receive responses and commence the purchase of the equipment prior to year-end closing.

The Federal Emergency Management Agency has opened the Assistance for Firefighters grant program to State fire training agencies. This grant provides funding to purchase the necessary equipment to ensure firefighters and emergency medical personnel continue to be trained throughout the State. Based on the size of the State of New Hampshire, there is a 15% match to this grant for which the Division will be utilizing current budgeted funding. The funding is for the purchase of an ambulance, four (4) thermal imaging cameras, two (2) smoke generator simulators, forty two (42) sets of personal protective equipment, and an adult female fire and EMS manikin which will be utilized throughout the State to continue training firefighters and emergency responders.

The funds are to be budgeted as follows:

- Funds in class 020 will be used to purchase smoke fluid for the smoke generators that are being purchased.
- Funds in class 030 will be used to purchase the ambulance, thermal imaging cameras, smoke generators, SCBA and manikin.
- Funds in class 040 are needed to pay indirect costs to Administrative Services on all eligible expenses.
- Funds in class 041 are needed to pay audit fund set aside on all eligible expenses.
- Funds in class 050 will be used to pay part-time instructors
- Funds in class 060 will be used to pay associated benefits for part-time instructors.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

Division of Fire Standards & Training & Emergency Medical Services
Nat'l Fire Academy Grant

Fiscal Situation: Account 02-23-23-237010-44570000

Federal Funds Awarded:

FFY 2013 Assistance to Firefighters Grant (EMW-2013-FZ-00071)	\$201,246.00
FFY 2014 State Fire Training Systems Grant (EMW-2014-GR-0001)	\$20,000.00
FFY 2014 Assistance to Firefighters Grant (EMW-2014-FZ-00160)	\$382,456.00
FFY 2015 State Fire Training Systems Grant (EMW-2015-GR-00001)	\$20,000.00
Total Grant Funds Awarded	\$623,702.00

Less State expenses on FFY 2013 AFG Grant	\$0.00
Less State expenses on FFY 2014 Nat'l Fire Grant Grant	(\$16,746.98)
Less State expenses on FFY 2014 AFG Grant	\$0.00
Less State expenses on FFY 2015 AFG Grant	\$0.00
Total Prior Fiscal Year Actual Expenditures	(\$16,746.98)

Net Grant Funds Remaining **\$606,955.02**

Less: SFY 16 State Appropriation including prior year encumbrances: **\$43,833.76**

Excess grant funds available to appropriate **\$563,121.26**

This Request **\$563,121.26**

FEDERAL EMERGENCY MANAGEMENT AGENCY
OBLIGATING DOCUMENT FOR AWARD/AMENDMENT

1a. AGREEMENT NO. EMW-2013-FZ-00071
 2. AMENDMENT NO. 0
 3. RECIPIENT NO. 02-6000618
 4. TYPE OF ACTION AWARD
 5. CONTROL NO. W518706N

6. RECIPIENT NAME AND ADDRESS
 NH Division of Fire Standards and Training and EMS
 98 Smokey Bear Blvd
 Concord
 New Hampshire, 03305-0002
 7. ISSUING OFFICE AND ADDRESS
 Grant Programs Directorate
 500 C Street, S.W.
 Washington DC, 20528-7000
 POC: Andrea Day
 8. PAYMENT OFFICE AND ADDRESS
 FEMA, Financial Services Branch
 500 C Street, S.W., Room 723
 Washington DC, 20472

9. NAME OF RECIPIENT PROJECT OFFICER
 Deborah Pendergast
 PHONE NO. 6032234200X31001
 10. NAME OF PROJECT COORDINATOR
 Catherine Patterson
 PHONE NO. 1-866-274-0960

11. EFFECTIVE DATE OF THIS ACTION
 20-JUN-14
 12. METHOD OF PAYMENT
 SF-270
 13. ASSISTANCE ARRANGEMENT
 Cost Sharing
 14. PERFORMANCE PERIOD
 From:20-JUN-14 To:19-JUN-15

Budget Period
 From:05-MAR-14 To:30-SEP-14

15. DESCRIPTION OF ACTION

a. (Indicate funding data for awards or financial changes)

PROGRAM NAME ACRONYM	CFDA NO.	ACCOUNTING DATA (ACCS CODE) XXXX-XXX-XXXXXX-XXXXX-XXXX-XXXX-X	PRIOR TOTAL AWARD	AMOUNT AWARDED THIS ACTION + OR (-)	CURRENT TOTAL AWARD	CUMMULATIVE NON-FEDERAL COMMITMENT
AFG	97.044	2014-M3-C111-P4310000-4101-D	\$0.00	\$201,246.00	\$201,246.00	\$35,514.00
TOTALS			\$0.00	\$201,246.00	\$201,246.00	\$35,514.00

b. To describe changes other than funding data or financial changes, attach schedule and check here.
 N/A

16a. FOR NON-DISASTER PROGRAMS: RECIPIENT IS REQUIRED TO SIGN AND RETURN THREE (3) COPIES OF THIS DOCUMENT TO FEMA. (See Block 7 for address)

Assistance to Firefighters Grant recipients are not required to sign and return copies of this document. However, recipients should print and keep a copy of this document for their records.

16b. FOR DISASTER PROGRAMS: RECIPIENT IS NOT REQUIRED TO SIGN.

This assistance is subject to terms and conditions attached to this award notice or by incorporated reference in program legislation cited above.

17. RECIPIENT SIGNATORY OFFICIAL (Name and Title)
 N/A

DATE
 N/A

18. FEMA SIGNATORY OFFICIAL (Name and Title)
 Andrea Day

DATE
 19-JUN-14

Amendment Package

4457

Class

0207

0307

0407

041



Federal Emergency Management Agency
Washington, D.C. 20472

Mrs. Deborah Pendergast
NH Division of Fire Standards and Training and EMS
33 Hazen Dr.
Concord, New Hampshire 03305-0002

Re: Grant No. EMW-2013-FZ-00071

Dear Mrs Pendergast:

This letter is in written response to your amendment request regarding an extension of your grant. Your request was reviewed by the Program and Grants Office and is hereby approved. As a result of this approval, your Grant Period of Performance and Article III of your Grant Agreement Articles are amended to extend your grant from June 20, 2014 through March 31, 2016. All other terms and conditions of the grant remain unchanged.

If you have any further questions and/or concerns please contact Ramesa Pitts at (202) 786-9760.

Sincerely,

Ramesa Pitts
Grants Management Specialist

**FEDERAL EMERGENCY MANAGEMENT AGENCY
OBLIGATING DOCUMENT FOR AWARD/AMENDMENT**

1a. AGREEMENT NO. 2. AMENDMENT NO. 3. RECIPIENT NO. 4. TYPE OF ACTION 5. CONTROL NO.
EMW-2014-FZ-00160 0 02-6000618 AWARD W494333N

6. RECIPIENT NAME AND ADDRESS
NH Division of Fire Standards and Training and EMS
98 Smokey Bear Blvd
Concord
New Hampshire, 03305-0002

7. ISSUING OFFICE AND ADDRESS
Grant Programs Directorate
500 C Street, S.W.
Washington DC, 20528-7000
POC: Rosalie Vega

8. PAYMENT OFFICE AND ADDRESS
FEMA, Financial Services Branch
500 C Street, S.W., Room 723
Washington DC, 20472

9. NAME OF RECIPIENT PROJECT OFFICER
Deborah Pendergast

PHONE NO.
6032234200X31001

10. NAME OF PROJECT COORDINATOR.
Catherine Patterson

PHONE NO.
1-866-274-0960

11. EFFECTIVE DATE OF THIS ACTION
03-JUN-15

12. METHOD OF PAYMENT
SF-270

13. ASSISTANCE ARRANGEMENT
Cost Sharing

14. PERFORMANCE PERIOD
From:03-JUN-15 To:02-JUN-16

Budget Period
From:23-JAN-15 To:30-SEP-15

15. DESCRIPTION OF ACTION
a. (Indicate funding data for awards or financial changes)

PROGRAM NAME ACRONYM	CFDA NO.	ACCOUNTING DATA (ACCS CODE)	PRIOR TOTAL AWARD	AMOUNT AWARDED THIS ACTION + OR (-)	CURRENT TOTAL AWARD	CUMULATIVE NON-FEDERAL COMMITMENT
AFG	97.044	2015-F4-C111-P4310000-4101-D	\$0.00	\$382,456.00	\$382,456.00	\$57,368.00
TOTALS			\$0.00	\$382,456.00	\$382,456.00	\$57,368.00

b. To describe changes other than funding data or financial changes, attach schedule and check here.
N/A

16a. FOR NON-DISASTER PROGRAMS: RECIPIENT IS REQUIRED TO SIGN AND RETURN THREE (3) COPIES OF THIS DOCUMENT TO FEMA (See Block 7 for address)

Assistance to Firefighters Grant recipients are not required to sign and return copies of this document. However, recipients should print and keep a copy of this document for their records.

16b. FOR DISASTER PROGRAMS: RECIPIENT IS NOT REQUIRED TO SIGN

This assistance is subject to terms and conditions attached to this award notice or by incorporated reference in program legislation cited above.

17. RECIPIENT SIGNATORY OFFICIAL (Name and Title)
N/A

DATE
N/A

18. FEMA SIGNATORY OFFICIAL (Name and Title)
Rosalie Vega

DATE
02-JUN-15

Go Back

Obligating Document for Award/Amendment

1a. AGREEMENT NO. 2. 3. RECIPIENT NO. 4. TYPE OF 5. CONTROL NO.
 MW-2015-GR-00001- AMENDMENTD26000618A ACTION E521623N
 S01 NO. AWARD

6. RECIPIENT NAME AND ADDRESS
 NH Div. of Fire Standards & Training and EMS
 33 Hazen Drive
 Concord, NH, 03305

7. ISSUING FEMA OFFICE AND ADDRESS
 Grant Operations
 245 Murray Lane - Building 410, SW
 Washington DC, 20528-7000
 POC: 866-927-5646

8. PAYMENT OFFICE AND ADDRESS
 Financial Services Branch
 500 C Street, S.W., Room 723
 Washington DC, 20472

9. NAME OF RECIPIENT PROJECT OFFICER
 Robert Pragoff

PHONE NO. (603) 223-4200

10. NAME OF FEMA PROJECT COORDINATOR
 Central Scheduling and Information Desk
 Phone: 800-368-6498
 Email: Askcsid@dhs.gov

11. EFFECTIVE DATE OF THIS ACTION
 08/01/2015

12. METHOD OF PAYMENT PARS

13. ASSISTANCE ARRANGEMENT
 Cost Reimbursement

14. PERFORMANCE PERIOD
 From: 08/01/2015 To: 07/31/2016
 Budget Period
 08/01/2015 07/31/2016

15. DESCRIPTION OF ACTION

a. (Indicate funding data for awards or financial changes)

PROGRAM NAME ACRONYM	CFDA NO.	ACCOUNTING DATA (ACCS CODE) XXXX-XXX-XXXXXX-XXXX-XXXX-XXXX-X	PRIOR TOTAL AWARD	AMOUNT AWARDED THIS ACTION + OR (-)	CURRENT TOTAL AWARD	CUMULATIVE NON-FEDERAL COMMITMENT
State Fire Training Systems Grant Program	97.043	2015-77-FI13-U320-W63006-4101-D:E521623N \$ 20,000.00	\$0.00	\$20,000.00	\$20,000.00	\$0.00
TOTALS			\$0.00	\$20,000.00	\$20,000.00	\$0.00

b. To describe changes other than funding data or financial changes, attach schedule and check here.
 N/A

16 a. FOR NON-DISASTER PROGRAMS: RECIPIENT IS REQUIRED TO SIGN AND RETURN THREE (3) COPIES OF THIS DOCUMENT TO FEMA (See Block 7 for address).

State Fire Training Systems Grant Program recipients are not required to sign and return copies of this document. However, recipients should print and keep a copy of this document for their records.

16b. FOR DISASTER PROGRAMS: RECIPIENT IS NOT REQUIRED TO SIGN

This assistance is subject to terms and conditions attached to this award notice or by incorporated reference in program legislation cited above.

17. RECIPIENT SIGNATORY OFFICIAL (Name and Title)
 Deborah Pendergast, Director

DATE
 07/29/2015

18. FEMA SIGNATORY OFFICIAL (Name and Title)

DATE
 07/27/2015

 NIKOLE DUPPINS, Assistance Officer

Obligating Document for Award/Amendment

1a. AGREEMENT NO. 2. 3. RECIPIENT NO. 4. TYPE OF ACTION 5. CONTROL NO.
 EMW-2014-GR-00001-S01 AMENDMENT026000618A NO. AWARD E504090N

6. RECIPIENT NAME AND ADDRESS
 NH Div. of Fire Standards & Training and EMS
 33 Hazen Drive
 Concord, NH, 03305

7. ISSUING FEMA OFFICE AND ADDRESS
 Grant Operations
 245 Murray Lane - Building 410, SW
 Washington DC, 20528-7000
 POC: 866-927-5646

8. PAYMENT OFFICE AND ADDRESS
 Financial Services Branch
 500 C Street, S.W., Room 723
 Washington DC, 20472

9. NAME OF RECIPIENT PROJECT OFFICER
 Robert Pralgoff

PHONE NO.
 (603)223-4200

10. NAME OF FEMA PROJECT COORDINATOR
 Diane Close
 Phone: 301-447-1376
 Email: Diane.Close@fema.gov

11. EFFECTIVE DATE OF THIS ACTION
 08/01/2014

12. METHOD OF PAYMENT
 PARS

13. ASSISTANCE ARRANGEMENT
 Cost Reimbursement

14. PERFORMANCE PERIOD
 From: 08/01/2014 To: 07/30/2015
 Budget Period: 08/01/2014 07/30/2015

15. DESCRIPTION OF ACTION

a. (Indicate funding data for awards or financial changes)

PROGRAM NAME ACRONYM	CFDA NO.	ACCOUNTING DATA (ACCS CODE) XXXX-XXX-XXXXXX-XXXXX-XXXX-XXXX-X	PRIOR TOTAL AWARD	AMOUNT AWARDED THIS ACTION + OR (-)	CURRENT TOTAL AWARD	CUMULATIVE NON-FEDERAL COMMITMENT
State Fire Training Systems Grant Program	97.043	2014-77-F113-U320-W63006-4101-D:E504090N \$ 20,000.00	\$0.00	\$20,000.00	\$20,000.00	\$0.00

TOTALS: \$0.00 \$20,000.00 \$20,000.00 \$0.00

b. To describe changes other than funding data or financial changes, attach schedule and check here.
 N/A

16 a. FOR NON-DISASTER PROGRAMS: RECIPIENT IS REQUIRED TO SIGN AND RETURN THREE (3) COPIES OF THIS DOCUMENT TO FEMA (See Block 7 for address)

State Fire Training Systems Grant Program recipients are not required to sign and return copies of this document. However, recipients should print and keep a copy of this document for their records.

16b. FOR DISASTER PROGRAMS: RECIPIENT IS NOT REQUIRED TO SIGN

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17. RECIPIENT SIGNATORY OFFICIAL (Name and Title)
 Deborah Pendergast, Director

DATE
 08/13/2014

18. FEMA SIGNATORY OFFICIAL (Name and Title)

DATE
 07/31/2014

 NIKOLE DUPPINS, Assistance Officer



State of New Hampshire

DEPARTMENT OF SAFETY
 OFFICE OF THE COMMISSIONER
 33 HAZEN DR. CONCORD, NH 03305
 603/271-2791

JOHN J. BARTHELMES
 COMMISSIONER

GC# 81
 09-16-2015

August 31, 2015

As amended per
 letter from LBA
 dated Aug 27th, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 14:30-a, VI, authorize the Department of Safety, Division of Fire Standards and Training and Emergency Medical Services, to accept and expend funds from the Federal Emergency Management Agency (FEMA) in the amount of \$554,499.00 for the purpose of purchasing vehicles and equipment required for training local volunteer firefighters. Effective upon Governor and Council approval through December 31, 2015. Funding source: 100% Federal Funds.

Funds are to be budgeted as follows:

02-023-023-237010-44570000 – Dept. of Safety Nat'l Fire Academy Grant

Class	Current Adjusted Authorized	Requested Action #1	Revised Adjusted Authorized
000 - 404805 Federal Funds	(43,834.00)	(554,499.00)	(598,333.00)
020 - 500200 Current Expense	28,633.00	5,329.00	33,962.00
030 - 500331 Equipment	-	545,794.00	545,794.00
040 - 500800 Indirect Costs	3,095.00	2,817.00	5,912.00
041 - 500801 Audit Fund Set Aside	15.00	559.00	574.00
050-500109 Personal Service Temp App	10,500.00	0.00	10,500.00
060-500601 Benefits	803.00	0.00	803.00
070 - 500704 In State Travel Reimbursement	788.00	0.00	788.00
4457 Totals	43,834.00	554,499.00	598,333.00

Explanation

These grants are for the purchase of an ambulance, mini fire pumper truck, four (4) thermal imaging cameras, two (2) smoke generator simulators, forty two (42) sets of personal protective equipment, an adult female fire and EMS manikin as well as funds for part-time staff and travel allowances for training local volunteer firefighters.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15, "Positions Authorized" or both:

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
August 31, 2015
Page 2 of 2

1. *Is the action required of this request a result of the Continuing Resolution for FY 2016? No.*
2. *If this request is retroactive what is the significance and importance of the action being effective from an earlier date? No, it is not retroactive.*
3. *Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.) This is a grant.*
4. *Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied? No.*
5. *Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied? No.*
6. *Does this program include either positions or consultants and, if so, are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.) No.*
7. *What would be the effect should this program be discontinued or not initiated as a result of this request being denied? This grant would not be utilized.*


The original request to accept and expend was tabled on February 19, 2015; it was presented again and approved on April 3, 2015 and then approved by Governor and Council on April 8, 2015. Due to the delay, there was not sufficient time to post a request for bid, receive responses and commence the purchase of the equipment prior to year-end closing.

The Federal Emergency Management Agency has opened the Assistance for Firefighters grant program to State fire training agencies. This grant provides funding to purchase the necessary equipment to ensure firefighters and emergency medical personnel continue to be trained throughout the State. Based on the size of the State of New Hampshire, there is a 15% match to this grant for which the Division will be utilizing current budgeted funding. The funding is for the purchase of an ambulance, four (4) thermal imaging cameras, two (2) smoke generator simulators, forty-two (42) sets of personal protective equipment, and an adult female fire and EMS manikin which will be utilized throughout the State to continue training firefighters and emergency responders.

The funds are to be budgeted as follows:

Funds in class 020 will be used to purchase smoke fluid for the smoke generators that are being purchased.
Funds in class 030 will be used to purchase the ambulance, thermal imaging cameras, smoke generators, SCBA and manikin.
Funds in class 040 are needed to pay indirect costs to Administrative Services on all eligible expenses.
Funds in class 041 are needed to pay audit fund set aside on all eligible expenses.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

Division of Fire Standards & Training & Emergency Medical Services
Nat'l Fire Academy Grant

Fiscal Situation: Account 02-23-23-237010-44570000

Federal Funds Awarded:

FFY 2013 Assistance to Firefighters Grant (EMW-2013-FZ-00071)	\$201,246.00
FFY 2014 State Fire Training Systems Grant (EMW-2014-GR-0001)	\$20,000.00
FFY 2014 Assistance to Firefighters Grant (EMW-2014-FZ-00160)	\$382,456.00
FFY 2015 State Fire Training Systems Grant (EMW-2015-GR-00001)	\$20,000.00
Total Grant Funds Awarded	\$623,702.00

Less State expenses on FFY 2013 AFG Grant	\$0.00
Less State expenses on FFY 2014 Nat'l Fire Grant	(\$16,746.98)
Less State expenses on FFY 2014 AFG Grant	\$0.00
Less State expenses on FFY 2015 AFG Grant	\$0.00
Total Prior Fiscal Year Actual Expenditures	(\$16,746.98)

Net Grant Funds Remaining \$606,955.02

Less: SFY 16 State Appropriation including prior year encumbrances: \$43,833.76

Excess grant funds available to appropriate \$563,121.26

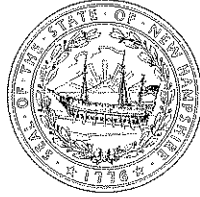
This Request as approved by the Fiscal Committee on August 26, 2015 \$554,499.00

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 15 246

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

November 5, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to accept and expend a grant from the US Department of Justice, Office for Victims of Crime, in an amount of \$142,145 to provide trainings and resources to public and non-profit agencies providing victim services, upon Fiscal Committee and Governor and Executive Council approvals through June 30, 2017. 100% Federal Funds.

Funds are to be budgeted in 02-20-20-201510-5109, Department of Justice, Grants Administration, entitled 'OVC Training Grant' as follows:

<u>Class/Object</u>	<u>Class Title</u>	<u>Current Budget</u>	<u>Increase Amount</u>	<u>Revised Budget</u>
040-500800	Indirect Costs	\$0	\$585	\$585
041-500801	Audit Fund Set Aside	\$0	\$142	\$142
072-500576	Grants-Federal	\$0	\$141,418	\$141,418
Total		\$0	\$142,145	\$142,145

Source of Funds

000-401772	Federal Funds	<u>\$142,145</u>
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EXPLANATION

The NH Department of Justice is seeking approval to accept and expend grant funding under the US Department of Justice, Office for Victims of Crime, program entitled 'OVC Training Grant for the purpose of providing victim service trainings and scholarships for trainings to prosecutors, victim advocates, law enforcement and other public and non-profit professions directly servicing victims of crime.

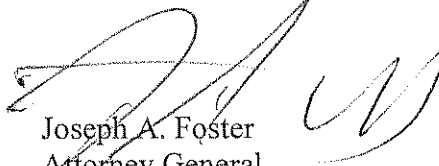
This training grant is specifically geared towards teaching and enhancing proper techniques to individuals directly responding to, or working with, victims of crime. The Department of Justice will utilize a portion of the funds to provide trainings. In addition, scholarships for specialty victim service trainings will be offered to aid direct service professionals who, otherwise, would not have the funds to attend.

These funds were not previously budgeted because the Department of Justice had not been successful in winning an award for this grant in the past. This is a discretionary grant which will, more than likely, not be awarded again.

In the event that federal funds become no longer available, general funds will not be requested to support this program.

Please let me know if you have any questions regarding this request. Your consideration is greatly appreciated.

Respectfully submitted,



Joseph A. Foster
Attorney General

JAF/jeb
Enc.

#1299369

Department of Justice
GRANTS FISCAL SITUATION FISCAL YEAR 2016
02-20-20-201510-2907 Prescription Drug Monitoring Program

Total Agency Authorization	\$ 142,145
Less Expenditures to 06/30/2015	<u>\$ -</u>
Remaining Authorizatin to Budget	\$ 142,145

Less Current Budget Authorization	<u>\$ -</u>
Total Available for Budgeting	\$ 142,145

Available to Budget at Later Date	<u>\$ -</u>
REQUESTED ACTION	<u><u>\$ 142,145</u></u>

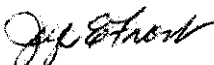

Grant Award Number	Award Amount	Expenses to 06/30/2015	Balance
2015-VF-GX-0022	\$ 142,145	\$ -	<u>\$ 142,145</u>
Prior Year Grant Award	\$ -	\$ -	<u>\$ -</u>
Total			<u><u>\$ 142,145</u></u>



Department of Justice
Office of Justice Programs
Office of Victims of Crime

Grant

PAGE 1 OF 7

1. RECIPIENT NAME AND ADDRESS (Including Zip Code) New Hampshire Department of Justice 33 Capitol Street Concord, NH 03301-6397		4. AWARD NUMBER: 2015-VF-GX-0022	
		5. PROJECT PERIOD: FROM 10/01/2014 TO 09/30/2018 BUDGET PERIOD: FROM 10/01/2014 TO 09/30/2018	
		6. AWARD DATE 09/21/2015	7. ACTION Initial
2a. GRANTEE IRS/VENDOR NO. 026002618	8. SUPPLEMENT NUMBER 00		
2b. GRANTEE DUNS NO. 808591127	9. PREVIOUS AWARD AMOUNT		\$ 0
3. PROJECT TITLE OVC FY 13 Victim Assistance Discretionary Grant Training Program for VOCA Victim Assistance Grantees	10. AMOUNT OF THIS AWARD		\$ 142,145
		11. TOTAL AWARD	\$ 142,145
12. SPECIAL CONDITIONS THE ABOVE GRANT PROJECT IS APPROVED SUBJECT TO SUCH CONDITIONS OR LIMITATIONS AS ARE SET FORTH ON THE ATTACHED PAGE(S).			
13. STATUTORY AUTHORITY FOR GRANT This project is supported under 42 U.S.C. 10603 (c)(1)(A).			
14. CATALOG OF DOMESTIC FEDERAL ASSISTANCE (CFDA Number) 16.582 - Crime Victim Assistance/Discretionary Grants			
15. METHOD OF PAYMENT GPRS			
AGENCY APPROVAL		GRANTEE ACCEPTANCE	
16. TYPED NAME AND TITLE OF APPROVING OFFICIAL Joye E. Frost Director		18. TYPED NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL Kathleen Carr Director of Administration	
17. SIGNATURE OF APPROVING OFFICIAL 		19. SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL 	19A. DATE 9/21/15
AGENCY USE ONLY			
20. ACCOUNTING CLASSIFICATION CODES FISCAL YEAR FUND CODE BUD. ACT. DIV. OFC. REG. SUB. POMS. AMOUNT X G V3 40 00 00 142145		21. PV3PGT0143	

OJP FORM 4000/2 (REV. 5-87) PREVIOUS EDITIONS ARE OBSOLETE.

OJP FORM 4000/2 (REV. 4-88)



PROJECT NUMBER 2015-VF-GX-0022

AWARD DATE 09/21/2015

SPECIAL CONDITIONS

1. Applicability of Part 200 Uniform Requirements

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by the Department of Justice (DOJ) in 2 C.F.R. Part 2800 (the "Part 200 Uniform Requirements") apply to this 2015 award from the Office of Justice Programs (OJP). For this 2015 award, the Part 200 Uniform Requirements, which were first adopted by DOJ on December 26, 2014, supersede, among other things, the provisions of 28 C.F.R. Parts 66 and 70, as well as those of 2 C.F.R. Parts 215, 220, 225, and 230.

If this 2015 award supplements funds previously awarded by OJP under the same award number, the Part 200 Uniform Requirements apply with respect to all award funds (whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this 2015 award.

Potential availability of grace period for procurement standards: Under the Part 200 Uniform Requirements, a time-limited grace period may be available under certain circumstances to allow for transition from policies and procedures that complied with previous standards for procurements under federal awards to policies and procedures that comply with the new standards (that is, to those at 2 C.F.R. 200.317 through 200.326).

For more information on the Part 200 Uniform Requirements, including information regarding the potentially-available grace period described above, see the Office of Justice Programs (OJP) website at <http://ojp.gov/funding/Part200UniformRequirements.htm>.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the recipient is to contact OJP promptly for clarification.

2. The recipient agrees to comply with the Department of Justice Grants Financial Guide as posted on the OJP website (currently, the "2015 DOJ Grants Financial Guide").
3. The recipient acknowledges that failure to submit an acceptable Equal Employment Opportunity Plan (if recipient is required to submit one pursuant to 28 C.F.R. Section 42.302) that is approved by the Office for Civil Rights is a violation of the Standard Assurances executed by the recipient, and may result in suspension of funding until such time as the recipient is in compliance, or termination of the award.
4. The recipient understands and agrees that OJP may withhold award funds, or may impose other related requirements, if the recipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.
5. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government, without the express prior written approval of OJP.
6. The recipient and any subrecipients must promptly refer to the DOJ OIG any credible evidence that a principal, employee, agent, subrecipient, contractor, subcontractor, or other person has -- (1) submitted a claim for award funds that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving award funds. Potential fraud, waste, abuse, or misconduct should be reported to the OIG by - mail: Office of the Inspector General U.S. Department of Justice Investigations Division 950 Pennsylvania Avenue, N.W. Room 4706 Washington, DC 20530 e-mail: oig.hotline@usdoj.gov hotline: (contact information in English and Spanish): (800) 869-4499 or hotline fax; (202) 616-9881 Additional information is available from the DOJ OIG website at www.usdoj.gov/oig

KC



PROJECT NUMBER 2015-VF-GX-0022

AWARD DATE 09/21/2015

SPECIAL CONDITIONS

7. Restrictions and certifications regarding non-disclosure agreements and related matters

No recipient or subrecipient under this award, or entity that receives a contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

1. In accepting this award, the recipient --

a. represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

b. certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

2. If the recipient does or is authorized to make subawards or contracts under this award --

a. it represents that --

(1) it has determined that no other entity that the recipient's application proposes may or will receive award funds (whether through a subaward, contract, or subcontract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

(2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and

b. it certifies that, if it learns or is notified that any subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

8. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of any contract or subaward to either the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries, without the express prior written approval of OJP.

9. The recipient agrees to comply with any additional requirements that may be imposed during the grant performance period if the agency determines that the recipient is a high-risk grantee. Cf. 28 C.F.R. parts 66, 70.



PROJECT NUMBER 2015-VF-GX-0022

AWARD DATE 09/21/2015

SPECIAL CONDITIONS

10. The recipient agrees to comply with applicable requirements regarding registration with the System for Award Management (SAM) (or with a successor government-wide system officially designated by OMB and OJP). The recipient also agrees to comply with applicable restrictions on subawards to first-tier subrecipients that do not acquire and provide a Data Universal Numbering System (DUNS) number. The details of recipient obligations are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/sam.htm> (Award condition: Registration with the System for Award Management and Universal Identifier Requirements), and are incorporated by reference here. This special condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).
11. Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), the Department encourages recipients and sub recipients to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this grant, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.
12. The recipient agrees to comply with all applicable laws, regulations, policies, and guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences, meetings, trainings, and other events, including the provision of food and/or beverages at such events, and costs of attendance at such events. Information on rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "2015 DOJ Grants Financial Guide").
13. The recipient understands and agrees that any training or training materials developed or delivered with funding provided under this award must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at <http://www.ojp.usdoj.gov/funding/ojptrainingguidingprinciples.htm>.
14. The recipient agrees that if it currently has an open award of federal funds or if it receives an award of federal funds other than this OJP award, and those award funds have been, are being, or are to be used, in whole or in part, for one or more of the identical cost items for which funds are being provided under this OJP award, the recipient will promptly notify, in writing, the grant manager for this OJP award, and, if so requested by OJP, seek a budget-modification or change-of-project-scope grant adjustment notice (GAN) to eliminate any inappropriate duplication of funding.
15. The recipient understands and agrees that award funds may not be used to discriminate against or denigrate the religious or moral beliefs of students who participate in programs for which financial assistance is provided from those funds, or of the parents or legal guardians of such students.
16. The recipient understands and agrees that - (a) No award funds may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography, and (b) Nothing in subsection (a) limits the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.
17. A recipient that is eligible under the Part 200 Uniform Requirements to use the "de minimis" indirect cost rate described in 2 C.F.R. 200.414(f), and that elects to use the "de minimis" indirect cost rate, must advise OJP in writing of both its eligibility and its election, and must comply with all associated requirements in the Part 200 Uniform Requirements. The "de minimis" rate may be applied only to modified total direct costs (MTDC).
18. The recipient must collect, maintain, and provide to OJP, data that measure the performance and effectiveness of activities under this award, in the manner, and within the timeframes, specified in the program solicitation, or as otherwise specified by OJP. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act, and other applicable laws.



Department of Justice
Office of Justice Programs
Office for Victims of Crime

AWARD CONTINUATION
SHEET
Grant

PAGE 5 OF 7

PROJECT NUMBER 2015-VF-GX-0022

AWARD DATE 09/21/2015

SPECIAL CONDITIONS

19. The recipient authorizes the Office for Victims of Crime (OVC) and/or the Office of the Chief Financial Officer (OCFO), and its representatives, access to and the right to examine all records, books, papers, or documents related to this grant.
20. OVC reserves the right to take appropriate action in instances when the grantee fails to initiate activity on the grant or misses multiple time tasklines. OVC action may include, but is not limited to, termination or suspension of the grant.
21. The grantee agrees to submit to OVC, within 30 days of award, a revised time-task plan. This revised time-task plan should be developed in consultation with the OVC program specialist assigned to the project, and should clearly indicate the following: (1) each of the stated project objectives and the tasks to be performed to achieve those objectives; (2) the completion dates for tasks and delivery dates for products; (3) the project staff responsible for accomplishing each task; 4) submission dates for financial and programmatic progress reports, consistent with the requirements of the OJP Financial Guide; and 5) incorporation of development schedule for print, video, and multimedia products to assure periodic OVC reviews of products (see Product Submission Requirements).
22. Grant funds may be used only for the purposes in the recipient's approved application. The recipient shall not undertake any work or activities that are not described in the grant application, and that use staff, equipment, or other goods or services paid for with OJP grant funds, without prior written approval from OJP.
23. The recipient agrees to comply with applicable requirements to report first-tier subawards of \$25,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients of award funds. Such data will be submitted to the FFATA Subaward Reporting System (FSRS). The details of recipient obligations, which derive from the Federal Funding Accountability and Transparency Act of 2006 (FFATA), are posted on the Office of Justice Programs web site at <http://ojp.gov/funding/Explore/FFATA.htm> (Award condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here. This condition, and its reporting requirement, does not apply to grant awards made to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).
24. The recipient agrees to submit a final report at the end of this award documenting all relevant project activities during the entire period of support under this award. This report will include detailed information about the project(s) funded, including, but not limited to, information about how the funds were actually used for each purpose area, data to support statements of progress, and data concerning individual results and outcomes of funded projects reflecting project successes and impacts. The final report is due no later than 90 days following the close of this award period or the expiration of any extension periods. This report will be submitted to the Office of Justice Programs, on-line through the Internet at <https://grants.ojp.usdoj.gov/>.
25. The recipient agrees that it will submit quarterly financial status reports to OJP on-line (at <https://grants.ojp.usdoj.gov/>) using the SF 425 Federal Financial Report form (available for viewing at www.whitehouse.gov/omb/grants/standard_forms/ff_report.pdf), not later than 30 days after the end of each calendar quarter. The final report shall be submitted not later than 90 days following the end of the award period.
26. The recipient shall submit semiannual progress reports. Progress reports shall be submitted within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. These reports will be submitted to the Office of Justice Programs, on-line through the Internet at <https://grants.ojp.usdoj.gov/>.



Department of Justice
Office of Justice Programs
Office for Victims of Crime

AWARD CONTINUATION
SHEET
Grant

PAGE 6 OF 7

PROJECT NUMBER 2015-VF-GX-0022

AWARD DATE 09/21/2015

SPECIAL CONDITIONS

27. With respect to this award, federal funds may not be used to pay cash compensation (salary plus bonuses) to any employee of the award recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. (An award recipient may compensate an employee at a higher rate, provided the amount in excess of this compensation limitation is paid with non-federal funds.)

This limitation on compensation rates allowable under this award may be waived on an individual basis at the discretion of the OJP official indicated in the program announcement under which this award is made.
28. Approval of this award does not indicate approval of any consultant rate in excess of \$650 per day. A detailed justification must be submitted to and approved by the Office of Justice Programs (OJP) program office prior to obligation or expenditure of such funds.
29. All procurement (contract) transactions under this award must be conducted in a manner that is consistent with applicable Federal and State law, and with Federal procurement standards specified in regulations governing Federal awards to non-Federal entities. Procurement (contract) transactions should be competitively awarded unless circumstances preclude competition. Noncompetitive (e.g., sole source) procurements by the award recipient in excess of the Simplified Acquisition Threshold (currently \$150,000) set out in the Federal Acquisition Regulation must receive prior approval from the awarding agency, and must otherwise comply with rules governing such procurements found in the current edition of the OJP Financial Guide.
30. The grantee acknowledges and agrees that for purposes of performing work under this award that involves the production of training curriculums, it, and any of its sub-grantees, are required to consult and cooperate with the Office for Victims of Crime Training and Technical Assistance Center (OVC TTAC) in coordination with the OVC program manager, in the development of all OVC-funded training curriculums to ensure compliance with OVC's instructional design standards (available at <https://www.ovcttac.gov/views/TrainingMaterials/dspCurriculumStandards.cfm>).
31. Any publication or deliverable intended for OVC production and release produced under this award must be submitted to OVC nine months prior to the award end date to provide adequate time for the OVC review process. The recipient may not obligate, expend, or drawdown more than 85 percent of award funds until OVC has assessed the draft final product; the recipient has incorporated all OVC edits; and OVC has issued a Grant Adjustment Notice (GAN) removing this condition and approving the production and release of the product.



Department of Justice
Office of Justice Programs
Office for Victims of Crime

**AWARD CONTINUATION
SHEET**
Grant

PAGE 7 OF 7

PROJECT NUMBER 2015-VF-GX-0022

AWARD DATE 09/21/2015

SPECIAL CONDITIONS

32. The recipient acknowledges that the Office of Justice Programs (OJP) reserves a royalty-free, non-exclusive, and irrevocable license to reproduce, publish, or otherwise use, and authorize others to use (in whole or in part, including in connection with derivative works), for Federal purposes: (1) any work subject to copyright developed under an award or subaward; and (2) any rights of copyright to which a recipient or subrecipient purchases ownership with Federal support.

The recipient acknowledges that OJP has the right to (1) obtain, reproduce, publish, or otherwise use the data first produced under an award or subaward; and (2) authorize others to receive, reproduce, publish, or otherwise use such data for Federal purposes. "Data" includes data as defined in Federal Acquisition Regulation (FAR) provision 52.227-14 (Rights in Data - General).

It is the responsibility of the recipient (and of each subrecipient, if applicable) to ensure that this condition is included in any subaward under this award.

The recipient has the responsibility to obtain from subrecipients, contractors, and subcontractors (if any) all rights and data necessary to fulfill the recipient's obligations to the Government under this award. If a proposed subrecipient, contractor, or subcontractor refuses to accept terms affording the Government such rights, the recipient shall promptly bring such refusal to the attention of the OJP program manager for the award and not proceed with the agreement in question without further authorization from the OJP program office.

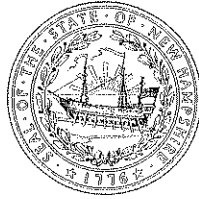
33. The recipient agrees not to obligate, expend, or draw down any funds until the recipient provides to OVC a "Budget Detail Worksheet" and "Budget Narrative" explaining how the recipient intends to spend this award, and a Grant Adjustment Notice has been issued by OVC removing this condition. A sample Budget Detail Worksheet can be found at www.ojp.gov/funding/apply/forms. For questions pertaining to budget and examples of allowable and unallowable costs, see the DOJ Financial Guide at www.ojp.usdoj.gov/financialguide/DOJ/index.htm.

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

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33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

November 4, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to accept and expend a grant in the amount of \$500,000 from the Federal Bureau of Justice Assistance, Office of Justice Programs, to be utilized to continue and enhance the Prescription Drug Monitoring Program, upon the date of Fiscal Committee and Governor and Executive Council approvals through June 30, 2017. 100% Federal Funds

Funds are to be budgeted in account #02-20-20-201510-2907, Department of Justice, Grants Administration, entitled 'Prescription Drug Monitoring Program' as follows:

<u>Class/Object</u>	<u>Class Title</u>	<u>Current Budget</u>	<u>Increase Amount</u>	<u>Revised Budget</u>
041-500801	Audit Fund Set Aside	\$0	\$500	\$500
072-500576	Grants	\$241,734	\$499,500	\$741,234
Total		\$241,734	\$500,000	\$741,734

<u>Source of Funds</u>		
000-400338	Federal Funds	<u>\$741,734</u>

Indirect Costs are not allowed, per OMB circular 2-CFR 200, on pass-through funds.

EXPLANATION

In June 2012, legislation was enacted that established a Prescription Drug Monitoring Program (PDMP) to combat prescription drug abuse and misuse by allowing practitioners to review controlled medication data collected from licensed dispensers in a secure web-based program. In the fall of 2013, the New Hampshire Department of Justice applied for and was awarded a Federal Bureau of Justice Assistance, Harold Rogers PDMP Grant. Under the guidance of the statutorily mandated Advisory Council, the New Hampshire Prescription Drug Monitoring Program was implemented and was officially launched on October 16, 2014.

The requested grant funds will allow the State to continue to combat prescription drug abuse and misuse by allowing practitioners to review controlled medication data collected from licensed dispensers in a secure web-based environment. The funds will also be utilized to enhance the current Prescription Drug Monitoring Program and maintain and/or supplement current staff needed to oversee the program.

In addition, the Prescription Drug Monitoring Program will assist prescribers in detecting possible addiction issues in patients. Over-prescribed opioid pain relievers have been proven to increase the use of heroin and other illegal opioids. Allowing prescribers to review controlled medication data collected from licensed dispensers will give them the information they need to determine if early treatment for substance use disorder is needed.

This grant was not budgeted because it is a discretionary grant and it was not anticipated that New Hampshire would receive a new grant award.

In the event that federal funds are no longer available, general funds will not be requested to support this program.

Please let me know if you have any questions concerning this request. Your consideration is greatly appreciated.

Respectfully submitted,



for Joseph A. Foster
Attorney General

MAD/jsh
Enc.

#1297084

Department of Justice
GRANTS FISCAL SITUATION FISCAL YEAR 2016
02-20-20-201510-2907 Prescription Drug Monitoring Program

Total Agency Authorization	\$ 741,734
Less Expenditures to 06/30/2015	\$ -
Remaining Authorizatin to Budget	\$ 741,734

Less Current Budget Authorization	\$ 241,734
Total Available for Budgeting	\$ 500,000

Available to Budget at Later Date	\$ -
REQUESTED ACTION	\$ 500,000

Grant Award Number	Award Amount	Expenses to 06/30/2015	Balance
2015-PM-BX-0006	\$ 500,000	\$ -	\$ 500,000
Prior Year Grant Award	\$ 241,734	\$ -	\$ 241,734
Total			\$ 741,734



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

Grant

PAGE 1 OF 7

1. RECIPIENT NAME AND ADDRESS (Including Zip Code) New Hampshire Department of Justice 33 Capital Street Concord, NH 03301-6397		4. AWARD NUMBER: 2015-PM-BX-0006				
		5. PROJECT PERIOD: FROM 10/01/2015 TO 09/30/2017 BUDGET PERIOD: FROM 10/01/2015 TO 09/30/2017				
2a. GRANTEE IRS/VENDOR NO. 026002618		6. AWARD DATE 09/18/2015	7. ACTION			
2b. GRANTEE DUNS NO. 808591127		8. SUPPLEMENT NUMBER 00	Initial			
3. PROJECT TITLE Implementation and Enhancement of Prescription Drug Monitoring		9. PREVIOUS AWARD AMOUNT	\$ 0			
		10. AMOUNT OF THIS AWARD	\$ 500,000			
		11. TOTAL AWARD	\$ 500,000			
12. SPECIAL CONDITIONS THE ABOVE GRANT PROJECT IS APPROVED SUBJECT TO SUCH CONDITIONS OR LIMITATIONS AS ARE SET FORTH ON THE ATTACHED PAGE(S).						
13. STATUTORY AUTHORITY FOR GRANT This project is supported under FY15(BJA - PDMP) Pub. L. No. 113-235, 128 Stat 2130, 2194						
14. CATALOG OF DOMESTIC FEDERAL ASSISTANCE (CFDA Number) 16.754 - Harold Rogers Prescription Drug Monitoring Program						
15. METHOD OF PAYMENT GPRS						
AGENCY APPROVAL		GRANTEE ACCEPTANCE				
16. TYPED NAME AND TITLE OF APPROVING OFFICIAL Karol Virginia Mason Assistant Attorney General		18. TYPED NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL Kathleen Carr Director of Administration				
17. SIGNATURE OF APPROVING OFFICIAL <i>Karol V. Mason</i>		19. SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL <i>Kathleen Carr</i>	19A. DATE 9/21/15			
20. ACCOUNTING CLASSIFICATION CODES		21. PPMUGT1293				
FISCAL YEAR	FUND CODE	BUD. ACT.	DIV. REG.	SUB.	POMS	AMOUNT
X	B	PM	80	00	00	500000

OJP FORM 4000/2 (REV. 5-87) PREVIOUS EDITIONS ARE OBSOLETE.

OJP FORM 4000/2 (REV. 4-88)



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET
Grant**

PAGE 2 OF 7

PROJECT NUMBER 2015-PM-BX-0006

AWARD DATE 09/18/2015

SPECIAL CONDITIONS

1. Applicability of Part 200 Uniform Requirements

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by the Department of Justice (DOJ) in 2 C.F.R. Part 2800 (the "Part 200 Uniform Requirements") apply to this 2015 award from the Office of Justice Programs (OJP). For this 2015 award, the Part 200 Uniform Requirements, which were first adopted by DOJ on December 26, 2014, supersede, among other things, the provisions of 28 C.F.R. Parts 66 and 70, as well as those of 2 C.F.R. Parts 215, 220, 225, and 230.

If this 2015 award supplements funds previously awarded by OJP under the same award number, the Part 200 Uniform Requirements apply with respect to all award funds (whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this 2015 award.

Potential availability of grace period for procurement standards: Under the Part 200 Uniform Requirements, a time-limited grace period may be available under certain circumstances to allow for transition from policies and procedures that complied with previous standards for procurements under federal awards to policies and procedures that comply with the new standards (that is, to those at 2 C.F.R. 200.317 through 200.326).

For more information on the Part 200 Uniform Requirements, including information regarding the potentially-available grace period described above, see the Office of Justice Programs (OJP) website at <http://ojp.gov/funding/Part200UniformRequirements.htm>.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the recipient is to contact OJP promptly for clarification.

2. The recipient agrees to comply with the Department of Justice Grants Financial Guide as posted on the OJP website (currently, the "2015 DOJ Grants Financial Guide").
3. The recipient acknowledges that failure to submit an acceptable Equal Employment Opportunity Plan (if recipient is required to submit one pursuant to 28 C.F.R. Section 42.302) that is approved by the Office for Civil Rights is a violation of the Standard Assurances executed by the recipient, and may result in suspension of funding until such time as the recipient is in compliance, or termination of the award.
4. The recipient understands and agrees that OJP may withhold award funds, or may impose other related requirements, if the recipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.
5. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government, without the express prior written approval of OJP.
6. The recipient and any subrecipients must promptly refer to the DOJ OIG any credible evidence that a principal, employee, agent, subrecipient, contractor, subcontractor, or other person has -- (1) submitted a claim for award funds that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving award funds. Potential fraud, waste, abuse, or misconduct should be reported to the OIG by - mail: Office of the Inspector General U.S. Department of Justice Investigations Division 950 Pennsylvania Avenue, N.W. Room 4706 Washington, DC 20530 e-mail: oig.hotline@usdoj.gov hotline: (contact information in English and Spanish): (800) 869-4499 or hotline fax: (202) 616-9881 Additional information is available from the DOJ OIG website at www.usdoj.gov/oig

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PROJECT NUMBER 2015-PM-BX-0006

AWARD DATE 09/18/2015

SPECIAL CONDITIONS

7. Restrictions and certifications regarding non-disclosure agreements and related matters

No recipient or subrecipient under this award, or entity that receives a contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

1. In accepting this award, the recipient --

a. represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

b. certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

2. If the recipient does or is authorized to make subawards or contracts under this award --

a. it represents that --

(1) it has determined that no other entity that the recipient's application proposes may or will receive award funds (whether through a subaward, contract, or subcontract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

(2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and

b. it certifies that, if it learns or is notified that any subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

8. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of any contract or subaward to either the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries, without the express prior written approval of OJP.

9. The recipient agrees to comply with any additional requirements that may be imposed during the grant performance period if the agency determines that the recipient is a high-risk grantee. Cf. 28 C.F.R. parts 66, 70.

KC



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

AWARD CONTINUATION
SHEET
Grant

PAGE 4 OF 7

PROJECT NUMBER 2015-PM-BX-0006

AWARD DATE 09/18/2015

SPECIAL CONDITIONS

10. The recipient agrees to comply with applicable requirements regarding registration with the System for Award Management (SAM) (or with a successor government-wide system officially designated by OMB and OJP). The recipient also agrees to comply with applicable restrictions on subawards to first-tier subrecipients that do not acquire and provide a Data Universal Numbering System (DUNS) number. The details of recipient obligations are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/sam.htm> (Award condition: Registration with the System for Award Management and Universal Identifier Requirements), and are incorporated by reference here. This special condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).
11. Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), the Department encourages recipients and sub recipients to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this grant, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.
12. The recipient agrees to comply with all applicable laws, regulations, policies, and guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences, meetings, trainings, and other events, including the provision of food and/or beverages at such events, and costs of attendance at such events. Information on rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "2015 DOJ Grants Financial Guide").
13. The recipient understands and agrees that any training or training materials developed or delivered with funding provided under this award must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at <http://www.ojp.usdoj.gov/funding/ojptrainingguidingprinciples.htm>.
14. The recipient agrees that if it currently has an open award of federal funds or if it receives an award of federal funds other than this OJP award, and those award funds have been, are being, or are to be used, in whole or in part, for one or more of the identical cost items for which funds are being provided under this OJP award, the recipient will promptly notify, in writing, the grant manager for this OJP award, and, if so requested by OJP, seek a budget-modification or change-of-project-scope grant adjustment notice (GAN) to eliminate any inappropriate duplication of funding.
15. The recipient understands and agrees that award funds may not be used to discriminate against or denigrate the religious or moral beliefs of students who participate in programs for which financial assistance is provided from those funds, or of the parents or legal guardians of such students.
16. The recipient understands and agrees that - (a) No award funds may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography, and (b) Nothing in subsection (a) limits the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.
17. A recipient that is eligible under the Part 200 Uniform Requirements to use the "de minimis" indirect cost rate described in 2 C.F.R. 200.414(f), and that elects to use the "de minimis" indirect cost rate, must advise OJP in writing of both its eligibility and its election, and must comply with all associated requirements in the Part 200 Uniform Requirements. The "de minimis" rate may be applied only to modified total direct costs (MTDC).
18. The recipient must collect, maintain, and provide to OJP, data that measure the performance and effectiveness of activities under this award, in the manner, and within the timeframes, specified in the program solicitation, or as otherwise specified by OJP. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act, and other applicable laws.



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET
Grant**

PAGE 5 OF 7

PROJECT NUMBER 2015-PM-BX-0006

AWARD DATE 09/18/2015

SPECIAL CONDITIONS

19. All procurement (contract) transactions under this award must be conducted in a manner that is consistent with applicable Federal and State law, and with Federal procurement standards specified in regulations governing Federal awards to non-Federal entities. Procurement (contract) transactions should be competitively awarded unless circumstances preclude competition. Noncompetitive (e.g., sole source) procurements by the award recipient in excess of the Simplified Acquisition Threshold (currently \$150,000) set out in the Federal Acquisition Regulation must receive prior approval from the awarding agency, and must otherwise comply with rules governing such procurements found in the current edition of the OJP Financial Guide.
20. Approval of this award does not indicate approval of any consultant rate in excess of \$650 per day. A detailed justification must be submitted to and approved by the Office of Justice Programs (OJP) program office prior to obligation or expenditure of such funds.
21. The recipient agrees to cooperate with any assessments, national evaluation efforts, or information or data collection requests, including, but not limited to, the provision of any information required for the assessment or evaluation of any activities within this project.
22. In order to promote information sharing and enable interoperability among disparate systems across the justice and public safety community, OJP requires the grantee to comply with DOJ's Global Justice Information Sharing Initiative (DOJ's Global) guidelines and recommendations for this particular grant. Grantee shall conform to the Global Standards Package (GSP) and all constituent elements, where applicable, as described at: http://www.it.ojp.gov/gsp_grantcondition. Grantee shall document planned approaches to information sharing and describe compliance to the GSP and appropriate privacy policy that protects shared information, or provide detailed justification for why an alternative approach is recommended.
23. The award recipient agrees to participate in a data collection process measuring program outputs and outcomes. The data elements for this process will be outlined by the Office of Justice Programs.
24. To avoid duplicating existing networks or IT systems in any initiatives funded by BJA for law enforcement information sharing systems which involve interstate connectivity between jurisdictions, such systems shall employ, to the extent possible, existing networks as the communication backbone to achieve interstate connectivity, unless the grantee can demonstrate to the satisfaction of BJA that this requirement would not be cost effective or would impair the functionality of an existing or proposed IT system.
25. Award recipients must verify Point of Contact(POC), Financial Point of Contact (FPOC), and Authorized Representative contact information in GMS, including telephone number and e-mail address. If any information is incorrect or has changed, a Grant Adjustment Notice (GAN) must be submitted via the Grants Management System (GMS) to document changes.
26. The recipient agrees to comply with OJP grant monitoring guidelines, protocols, and procedures, and to cooperate with BJA and OCFO on all grant monitoring requests, including requests related to desk reviews, enhanced programmatic desk reviews, and/or site visits. The recipient agrees to provide to BJA and OCFO all documentation necessary to complete monitoring tasks, including documentation related to any subawards made under this award. Further, the recipient agrees to abide by reasonable deadlines set by BJA and OCFO for providing the requested documents. Failure to cooperate with BJA's/OCFO's grant monitoring activities may result in sanctions affecting the recipient's DOJ awards, including, but not limited to: withholdings and/or other restrictions on the recipient's access to grant funds; referral to the Office of the Inspector General for audit review; designation of the recipient as a DOJ High Risk grantee; or termination of an award(s).



PROJECT NUMBER 2015-PM-BX-0006

AWARD DATE 09/18/2015

SPECIAL CONDITIONS

27. The recipient agrees to submit to BJA for review and approval any curricula, training materials, proposed publications, reports, or any other written materials that will be published, including web-based materials and web site content, through funds from this grant at least thirty (30) working days prior to the targeted dissemination date. Any written, visual, or audio publications, with the exception of press releases, whether published at the grantee's or government's expense, shall contain the following statements: "This project was supported by Grant No. 2015-PM-BX-0006 awarded by the Bureau of Justice Assistance. The Bureau of Justice Assistance is a component of the Department of Justice's Office of Justice Programs, which also includes the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, the Office for Victims of Crime, and the SMART Office. Points of view or opinions in this document are those of the author and do not necessarily represent the official position or policies of the U.S. Department of Justice." The current edition of the OJP Financial Guide provides guidance on allowable printing and publication activities.
28. Recipient understands and agrees that it must submit quarterly Federal Financial Reports (SF-425) and semi-annual performance reports through GMS (<https://grants.ojp.usdoj.gov>), and that it must submit quarterly performance metrics reports through BJA's Performance Measurement Tool (PMT) website (www.bjaperformancetools.org). For more detailed information on reporting and other requirements, refer to BJA's website. Failure to submit required reports by established deadlines may result in the freezing of grant funds and High Risk designation.
29. The recipient agrees to comply with applicable requirements to report first-tier subawards of \$25,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients of award funds. Such data will be submitted to the FFATA Subaward Reporting System (FSRS). The details of recipient obligations, which derive from the Federal Funding Accountability and Transparency Act of 2006 (FFATA), are posted on the Office of Justice Programs web site at <http://ojp.gov/funding/Explore/FFATA.htm> (Award condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here. This condition, and its reporting requirement, does not apply to grant awards made to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).
30. With respect to this award, federal funds may not be used to pay cash compensation (salary plus bonuses) to any employee of the award recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. (An award recipient may compensate an employee at a higher rate, provided the amount in excess of this compensation limitation is paid with non-federal funds.)

This limitation on compensation rates allowable under this award may be waived on an individual basis at the discretion of the OJP official indicated in the program announcement under which this award is made.
31. The recipient agrees to participate in BJA-sponsored training events, technical assistance events, or conferences held by BJA or its designees, upon BJA's request.
32. The recipient understands and agrees that award funds may not be used for any interstate data sharing system that has not been verified by BJA to be compliant with the PMIX architecture and specifications.
33. The recipient is authorized to incur obligations, expend, and draw down funds for travel, lodging, and per diem costs only, in an amount not to exceed \$15,000, for the sole purpose of attending a required Bureau of Justice Assistance (BJA) conference associated with this grant award. The grantee is not authorized to incur any additional obligations, or make any additional expenditures or draw downs until BJA and the Office of the Chief Financial Officer (OCFO) has reviewed and approved the recipient's budget and budget narrative, and a Grant Adjustment Notice (GAN) has been issued to remove this Special Condition.



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**AWARD CONTINUATION
SHEET
Grant**

PAGE 7 OF 7

PROJECT NUMBER 2015-PM-BX-0006

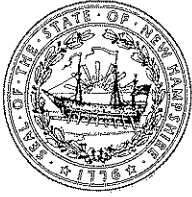
AWARD DATE 09/18/2015

SPECIAL CONDITIONS

34. Recipient may not obligate, expend or drawdown funds until the Bureau of Justice Assistance, Office of Justice Programs has received and approved the required application attachment(s) and has issued a Grant Adjustment Notice (GAN) releasing this special condition.

35. The recipient may not obligate, expend, or draw down any award funds until: (1) it has provided to the grant manager for this OJP award either an "applicant disclosure of pending applications" for federal funding or a specific affirmative statement that no such pending applications (whether direct or indirect) exist, in accordance with the detailed instructions in the program solicitation, (2) OJP has completed its review of the information provided and of any supplemental information it may request, (3) the recipient has made any adjustments to the award that OJP may require to prevent or eliminate any inappropriate duplication of funding (e.g., budget modification, project scope adjustment), (4) if appropriate adjustments to a discretionary award cannot be made, the recipient has agreed in writing to any necessary reduction of the award amount in any amount sufficient to prevent duplication (as determined by OJP), and (5) a Grant Adjustment Notice has been issued removing this special condition.

KC



STATE OF NEW HAMPSHIRE

DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6527
603-271-4741 1-800-852-3345 Ext. 4741
Fax: 603-271-4506 TDD Access: 1-800-735-2964

FIS 15 255



Nicholas A. Toumpas
Commissioner

Marcella Jordan Bobinsky
Acting Director

October 21, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services, Division of Public Health Services, Bureau of Public Health Systems, Policy and Performance, Rural Health and Primary Care to accept and expend Other funds in the amount of \$300,000 from the New Hampshire Medical Malpractice Joint Underwriting Association (JUA), a State entity established by the Commissioner of the Department of Insurance under RSA 404-C in 1975, to fund the State Loan Repayment Program effective upon date of approval by the Fiscal Committee and Governor and Council, through June 30, 2017, and further authorize the funds to be allocated as follows. 100% Other Funds

05-95-90-901010-79650000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SVS, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF PUBLIC HEALTH SYSTEMS, POLICY AND PERFORMANCE, RURAL HEALTH AND PRIMARY CARE

SFY16

Table with 5 columns: Class/Object, Class Title, Current Modified Budget, Increase (Decrease) Amount, Revised Modified Amount. Rows include Federal Funds, Agency Income, General Funds, Total Revenue, Personal Serv - Perm, Overtime, Current Expense, Organizational Dues, Equipment, and Telecommunications.

041-500801	Audit Fund Set Aside	\$455	\$0	\$455
042-500620	Transfer to COLA	\$16,504	\$0	\$16,504
046-500464	Consultants	\$1	\$0	\$1
050-500109	Personal Serv-Temp	\$1	\$0	\$1
060-500602	Benefits	\$81,207	\$0	\$81,207
070-500704	In State Travel	\$3,000	\$0	\$3,000
073-500578	Grants Non Federal	\$497,937	\$150,000	\$647,937
080-500710	Out of State Travel	\$11,050	\$0	\$11,050
102-500731	Contracts for Program Serv	\$314,744	\$0	\$314,744
103-502664	Contracts for Operational Serv	\$98,842	\$0	\$98,842
Total Expenses		\$1,200,463	\$150,000	\$1,350,463

SFY17

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Amount
000-404535	Federal Funds	\$345,041	\$0	\$345,041
009-407079	Agency Income	\$250,000	\$150,000	\$400,000
000-000000	General Funds	\$498,765	\$0	\$498,765
Total Revenue		\$1,093,806	\$150,000	\$1,243,806
010-500100	Personal Serv - Perm	\$157,936	\$0	\$157,936
018-500106	Overtime	\$1	\$0	\$1
020-500200	Current Expense	\$14,750	\$0	\$14,750
026-500251	Organizational Dues	\$1,600	\$0	\$1,600
030-500330	Equipment	\$1,450	\$0	\$1,450
039-500188	Telecommunications	\$1,500	\$0	\$1,500
041-500801	Audit Fund Set Aside	\$456	\$0	\$456
042-500620	Transfer to COLA	\$16,583	\$0	\$16,583
046-500464	Consultants	\$1	\$0	\$1
050-500109	Personal Serv-Temp	\$1	\$0	\$1
060-500602	Benefits	\$84,383	\$0	\$84,383
070-500704	In State Travel	\$3,000	\$0	\$3,000
073-500578	Grants Non Federal	\$480,966	\$150,000	\$630,966
080-500710	Out of State Travel	\$11,050	\$0	\$11,050
102-500731	Contracts for Program Serv	\$230,129	\$0	\$230,129
103-502664	Contracts for Operational Serv	\$90,000	\$0	\$90,000
Total Expenses		\$1,093,806	\$150,000	\$1,243,806

EXPLANATION

The State Loan Repayment Program provides funds to health care providers working in areas of the state designated as being medically underserved. These medically underserved areas identified as Health Care Professional Shortage Areas, Mental Health Professional Shortage Areas, Dental Health Professional Shortage Areas, Medically Underserved Areas/Populations, and Governor's Exceptional Medically Underserved Populations are indicators that a shortage of health care professionals exists, posing a barrier to access healthcare services for the residents of these areas. As one of several approaches to improve access to healthcare services, the State Loan Repayment Program has proven to be a successful short and long-term strategy to recruit and retain physicians, dentists, and other healthcare professionals into New Hampshire's underserved communities. In addition, the health care provider and practicing site that are participating in the State Loan Repayment Program agree to provide direct primary health care services especially for uninsured residents who are residing in our medically underserved areas of New Hampshire. A significant percentage of New Hampshire residents continue to face difficulty accessing primary care, mental, and oral health care services, due to workforce challenges. These additional funds may allow us to place as many as 20 additional providers in medically underserved areas throughout the state. The increase in funding is reflective of the recognition of the effectiveness of the State Loan Repayment Program as a tool for provider recruitment and retention. Only a portion of the total JUA funds are in this request in order to extend the availability of these funds into the next biennium.

These funds are to be budgeted as follows:

Funds are budgeted in Grants Non Federal (Class 073) for additional providers in medically underserved areas throughout the state.

Audit costs are not included as these are Other Funds.

These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

These funds will not change the program eligibility levels. No new program will be established with the acceptance of these funds.

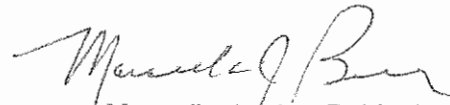
Area served: Statewide

Source of funds: These funds are 100% Other Funds from the New Hampshire Medical Malpractice Joint Underwriting Association to fund the State Loan Repayment Program. Attached is the Notice of Grant Award and award history. Notice of these funds was received on August 6, 2015, from the Department of Justice, Attorney General's office, a copy is attached. They were not added to the operating budget because these are new funds recently granted to the State and were not anticipated at the time the budget was developed.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
Page 4

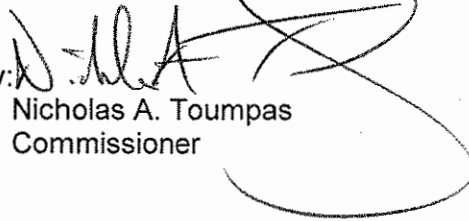
In the event that these Other Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Marcella Jordan Bobinsky, MPH
Acting Director

Approved by:



Nicholas A. Toumpas
Commissioner

MJB/cl

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

August 6, 2015

Hand Delivered

William F. Dwyer, Treasurer
State of New Hampshire
25 Capitol Street, Room 121
Concord, NH 03301

Re: Modifying the escrow account established for the NH State Loan Repayment Program

Dear Bill:

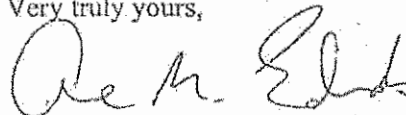
The NH Medical Malpractice Joint Underwriting Association ("JUA") is a mandatory risk sharing plan and a State entity established by the Commissioner of the Department of Insurance under RSA 404-C in 1975. On May 14, 2015, I submitted a letter, on behalf of the he JUA's Board of Directors, with oversight by the Commissioner of Insurance requesting establishment of an escrow account in the amount of \$800,000 for payments to be made to the NH State Loan Repayment Program and to be named the NHSLRP/JUA Escrow Account.

At its June 18, 2015 meeting, the JUA Board voted to add the remaining funds it had available, under RSA 404-C:14, V, to the escrow account. The total amount of funds deposited in the escrow account is in excess of \$1.6M, with the final funds being received by Treasury prior to July 1, 2015. Additionally, the JUA Board voted on June 18 to allow the NH State Loan Repayment Program ("NHSLRP") to withdraw funds from the Account at its discretion, rather than at the originally set schedule of distribution, based on the demand for loan repayment each year until the funds are exhausted.

The funds should be distributed the NHSLRP once it presents Treasury with approval from the Fiscal Committee to accept and expend these funds. The Commissioner of Health and Human Services is authorized to request distributions from the Account with the approval of the Fiscal Committee. This is a non-lapsing account.

If there are any questions regarding this request or if I can be of any assistance, please do not hesitate to contact me.

Very truly yours,



Anne M. Edwards
Associate Attorney General

cc: Rachel K. Miller, Chief Deputy Treasurer
Erin M. Zayac

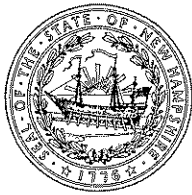
Award History
Rural Health & Primary Care / JUA Funding (Other Funds)

SFY2016

Per JUA award letter of 8/6/15 <i>Amount currently available to RHPC/SLRP via escrow</i>	1,600,000
Expended Through 6/30/15	-
Available Award Balance 7/1/15	1,600,000
SFY 16 Appropriations *	(204,009)
OYR - brought forward	-
Available To Accept	1,395,991
 Amount Requested this Action	 \$ 150,000

*** SFY 16 Appropriations:**

	2016 Budget	OYR	Total	This Action	Revised Budget
10-090-79650000 JUA (Other Funds)	204,009	-	204,009	150,000	354,009



NOV23'15 PM 2:24 DAS

FIS 15 258

STATE OF NEW HAMPSHIRE

DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6503
603-271-4612 1-800-852-3345 Ext. 4612
Fax: 603-271-4827 TDD Access: 1-800-735-2964



Nicholas A. Toumpas
Commissioner

Marcella Jordan Bobinsky
Acting Director

November 4, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services (DHHS), Division of Public Health Services, Bureau of Infectious Disease Control, to accept and expend federal funds in the amount of \$286,867 from the Centers for Disease Control and Prevention (CDC) to fund the National Syndromic Surveillance Program (NSSP) effective date of approval by the Fiscal Committee and Governor and Council through June 30, 2017, and further authorize the funds to be allocated as follows. Grant funds awarded for periods after SFY 2017 will be included in the future operating budgets for SFY 2018 and SFY 2019. 100% Federal Funds.

05-95-90-902510-50940000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SERVICES, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF INFECTIOUS DISEASE CONTROL, NATIONAL SYNDROMIC SURVEILLANCE CAP

SFY 2016

Table with 5 columns: Class/Object, Class Title, Current Modified Budget, Increase (Decrease) Amount, Revised Modified Budget. Rows include Federal Funds, Current Expense, Equipment, Audit Fund Set Aside, In-State Travel, Out-of-State Travel, Contracts for Program Services, and Total Revenue/Expenses.

SFY 2017

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
000-404533	Federal Funds	\$0.00	\$156,473	\$156,473
Total Revenue		\$0.00	\$156,473	\$156,473
020-500200	Current Expense	\$0.00	\$450	\$450
030-500311	Equipment	\$0.00	\$0	\$0
041-500801	Audit Fund Set Aside	\$0.00	\$156	\$156
070-500704	In-State Travel	\$0.00	\$1,035	\$1,035
080-500710	Out-of-State Travel	\$0.00	\$9,841	\$9,841
102-500734	Contracts for Program Services	\$0.00	\$144,991	\$144,991
Total Expenses		\$0.00	\$156,473	\$156,473

EXPLANATION

Federal funds have been awarded by the CDC to the Division of Public Health Services to improve the statewide, real-time syndromic surveillance system, which collects data from all 26 acute care hospital emergency departments to monitor for potential public health threats. The health data contained in New Hampshire's surveillance system are used to monitor for public health threats on a daily basis by the Division and are used by the DHHS Emergency Services Unit to monitor the health status of the community. For example, these data are used to rapidly detect potential infectious disease outbreaks, monitor health problems during storms or other emergencies, and monitor emerging health threats such as heroin-related health conditions. It is expected that the activities achieved through this funding will minimize the spread of infectious disease and other health risks through enhanced early event detection. Specifically, the funds will broaden surveillance by building the infrastructure to include outpatient provider office clinical practice data, urgent care center data, and over-the-counter pharmaceutical data. Additionally, a vendor will work to develop new functionality to enhance the existing surveillance system's ability to collect and analyze data and detect health threats.

Funds are budgeted for Current Expenses (Class 020) for printing and office supplies.

Funds are budgeted for Equipment (Class 030) for purchase of an LCD projector.

Funds are budgeted for Audit costs (Class 041) per state requirements.

Funds are budgeted for In-State Travel (Class 070) to cover travel expenses that staff incur while performing their duties.

Funds are budgeted for Out-of-State Travel (Class 080) to cover travel expenses in order to attend an annual International Society of Disease Surveillance (ISDS) conference and National Syndromic Surveillance Community of Practice meeting as well as national/regional surveillance training.

Funds are budgeted for Contracts for Program Services (Class 102) to contract for technical support to perform assessment and development of the current statewide syndromic surveillance system's Automated Hospital Emergency Department Data (AHEDD) functionality and to purchase access the Real-Time Outbreak Disease Surveillance System Over-The-Counter Pharmaceutical application as well as system support for one year.

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

These funds will not change the program eligibility levels. No new eligibility program will be established with the acceptance of these funds.

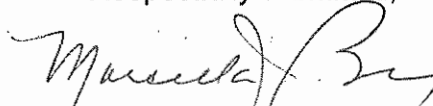
Area served: Statewide

Source of funds: These funds are 100% Federal from US Centers for Disease Control and Prevention.

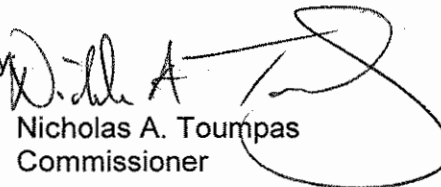
Attached are the Notice of Grant Award and award history. Notice of these funds was received on August 28, 2015. They were not added to the SFY 2016 operating budget because these are new funds recently granted to the State and were not anticipated at the time the budget was developed.

In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,


Marcella Jordan Bobinsky
Acting Director

Approved by


Nicholas A. Toumpas
Commissioner

AWARD HISTORY
Syndromic Surveillance - new A/U 5094

Grant #: 1U50OE000065 award ending 8/31/2016	156,473
Expended through 6/30/15	-
Unobligated Balance Unable to Spend	
Award Balance 11/04/15	156,473
SFY 16 Appropriation **	-
OYR	<u>-</u>
Available to Accept in SFY 16	156,473
Amount Requested this Action	<u><u>130,394</u></u>

**** SFY 16 Appropriation**

50940000 404533	Current	OYR	Total	This Action	Revised Budget
Syndromic Surveillance	-		-	130,394	130,394
	-	-	-	<u>130,394</u>	<u>130,394</u>

AWARD HISTORY
Syndromic Surveillance - new A/U 5094

Grant #: 1U50OE000065 award ending 8/31/2016	156,473
Anticipated award PE 8/31/2017	130,394
Expended through 6/30/15	(130,394)
Unobligated Balance Unable to Spend	
Award Balance 11/04/15	156,473
SFY 17 Appropriation **	-
OYR	-
Available to Accept in SFY 17	156,473
Amount Requested this Action	<u>156,473</u>

**** SFY 17 Appropriation**

50940000 404533	Current	OYR	Total	This Action	Revised Budget
Syndromic Surveillance	-	-	-	156,473	156,473
	-	-	-	156,473	156,473



Notice of Award

SPECIALIZED CTR COOPERATIVE AGREEMENT

Issue Date: 10/29/2015

Department of Health and Human Services
Centers for Disease Control and Prevention

Office OF Surveillance, Epidemiology AND Laboratory Services (OSELS)



Grant Number: 1U50OE000065-01 REVISED

FAIN: U50OE000065

Principal Investigator(s):

Marcella J Bobinsky

Project Title: ENHANCING SYNDROMIC SURVEILLANCE CAPACITY AND PRACTICE

DOLORES COOPER
FINANCIAL MGR
29 Hazen Drive
Concord, NH 033016504

Award e-mailed to: dcooper@dhhs.state.nh.us

Budget Period: 09/01/2015 – 08/31/2016

Project Period: 09/01/2015 – 08/31/2019

Dear Business Official:

The Centers for Disease Control and Prevention hereby revises this award (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPARTMENT OF HEALTH & HUMAN SERVICES in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 31 USC 6305 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Brandis Belser
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 1U500E000065-01 REVISED**Award Calculation (U.S. Dollars)**

Supplies	\$550
Travel Costs	\$10,876
Other Costs	\$156
Consortium/Contractual Cost	\$144,891

Federal Direct Costs	\$156,473
Approved Budget	\$156,473
Federal Share	\$156,473
TOTAL FEDERAL AWARD AMOUNT	\$156,473

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$0

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

02	\$156,473
03	\$156,473
04	\$156,473

Fiscal Information:

CFDA Number: 93.283
EIN: 1026000618B3
Document Number: 000065OE15

IC	CAN	2015	2016	2017	2018
OE	9391463	\$156,473	\$156,473	\$156,473	\$156,473

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
1	\$156,473	\$156,473
2	\$156,473	\$156,473
3	\$156,473	\$156,473
4	\$156,473	\$156,473

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:

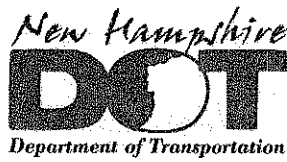
PCC: / OC: 4151 / Processed: ERAAPPS 10/29/2015

SECTION II – PAYMENT/HOTLINE INFORMATION – 1U500E000065-01 REVISED

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 1U500E000065-01 REVISED



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



Victoria F. Sheehan
 Commissioner

William Cass, P.E.
 Assistant Commissioner

November 12, 2015
 Division of Project Development

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the New Hampshire Department of Transportation to amend a previously approved request to accept and expend revenue in the amount of \$300,000 for the Strategic Highway Research Program (SHRP2) Implementation Assistance Program (IAP) by extending the completion date from December 31, 2015 to June 30, 2016 effective upon Fiscal Committee and Governor and Council approval. The original Agreement was approved by Fiscal Committee on September 25, 2015, Item #15-188 and Governor and Council on October 7, 2015, Item #21. This request is for a time extension only with no new funding.

EXPLANATION

The Department requests authorization to accept and expend SPR Federal Funds in the amount of \$300,000 for the SHRP 2 Implementation Assistance Program (IAP) award received by the Strafford Metropolitan Planning Organization (SMPO) and administered by the Department. All expenditures are federally reimbursable.

The SHRP2 award will allow SMPO staff, as a lead adopter, to implement a product entitled "Plan Works: Better Planning. Better Projects" into their newly initiated Collaborative MPO Approach to Transportation Performance Based Planning in New Hampshire project. As explained by SHRP2, "Plan Works is a Web resource that supports collaborative decision making in transportation planning and project development". With this funding, SMPO will be able to facilitate meaningful collaboration among Metropolitan Planning Organizations (MPO), Department of Transportation (DOT), Department of Environmental Services (DES), and other partners to develop a performance based transportation planning approach and a set of performance measures for New Hampshire. Performance measures simply refer to the ways in which transportation planners and the public can evaluate the transportation system to see if progress is being made toward desirable goals.

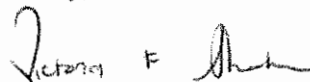
SMPO plans to use the Assessment, Application, and Library components of Plan Works, with some references to certain steps in the Long-Range Planning Decision Guide. Specifically, we will apply Plan Works toward the Performance Measure Application, and use its components, along with the Assessments to determine the current effectiveness and 'health' of the Workgroup - specifically the network of partnerships and stakeholder relationships that is associated with this process. Our innovative use of Plan Works will be transferable and adaptable to other MPOs and even DOTs nationwide. Specifically, our process and use of the Plan Works tools would provide lessons learned and guidance to other MPOs and DOTs testing this tool in the following areas:

- Interagency collaboration (between MPOs, DOTs, Federal Highway Administration, Federal Transportation Administration, etc.).
- Interdisciplinary and cross sector collaboration - We are trying to be creative and engage with stakeholders whom we don't normally engage with. Specifically we are working on including diverse 'voices' and perspectives, which in the past may have been excluded from the transportation decision-making process.
- Example of a small MPO (SMPO) leading the way for other MPOs and the state (DOT) can demonstrate that even a small MPO can be a leader in implementing effective PBP
- Integration of beyond the mainstream measures, sectors, and even approaches (e.g. public health, economic development, environment, etc.) into transportation planning. For example, SMPO is including a local MPO in a neighboring state due to its shared watershed with two other MPOs in NH, thus incorporating a watershed approach recognizing watershed-scale level decisions and implications, as well as their relation to shared infrastructure.
- The use of a qualitative and participatory research approach and analysis in transportation planning and stakeholder engagement practices to increase transparency, rigor, and validity of the decision-making and solution implementation. Specifically, results of Plan Works Assessments will be used not only for assessment of the general 'health' of the existing PBP Workgroup and its stakeholder network, but also for comparison of Assessment results to those of the current ongoing qualitative research and analysis that SMPO is conducting.

At the conclusion of this effort, New Hampshire's MPOs, Department of Transportation, and rural regional planning commissions (RPCs) will have a solid foundation for performance based transportation planning that will be scalable to all levels, adaptable for future updates, and transparent to all stakeholders. A key product of this effort will include a set of common, baseline performance metrics – derived from collaboratively established planning goals and objectives – that can be used at all levels of planning to make informed decisions about improvements to transportation systems.

Your approval is respectfully requested.

Sincerely,



Victoria F. Sheehan
Commissioner

As 15-188

21



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



William Cass, P.E.
Assistant Commissioner

August 21, 2015
Division of Project Development

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, authorize the New Hampshire Department of Transportation to accept and expend revenue in the amount of \$300,000 for the Strategic Highway Research Program (SHRP2) Implementation Assistance Program (IAP) upon the date of Fiscal Committee and Governor and Council approval through December 31, 2015. This federal program will be administered by the Department of Transportation and implemented by the Strafford Metropolitan Planning Organization (SMPO). 100% Federal Funds

04-096-096-962515-2944	Current Budget FY 2016	Requested Change	Revised Budget FY2016
SPR Planning Funds			
Expenses:			
010 500100 Personal Services – Permanent	\$2	\$0	\$2
018 500106 Overtime	121,491	0	121,491
020 500200 Current Expense	13,418	0	13,418
024 500225 Maint Other Than Build-Grn	9,022	0	9,022
030 500311 Equipment New Replacement	246,917	0	246,917
037 500174 Technology-Hardware	253,003	0	253,003
038 500175 Technology-Software	431,144	0	431,144
039 500180 Telecommunications	7,883	0	7,883
046 500463 Consulting	3,302,050	0	3,302,050
050 500109 Personal Services-Temp	83,300	0	83,300
060 500601 Benefits	25,236	0	25,236
070 500704 In-State Travel Reimbursement	3,100	0	3,100
072 500574 Grants Federal	3,799,899	300,000	4,099,899
081 509081 Out of State Travel Fed Reim	22,196	0	22,196
Total	\$8,318,661	\$300,000	\$8,618,661
Source of Funds			
Revenue:			
000-409151 Federal Funds	\$8,318,661	\$300,000	\$8,618,661

EXPLANATION

The Department requests authorization to accept and expend SPR Federal Funds in the amount of \$300,000 for the SHRP 2 Implementation Assistance Program (IAP) award received by the Strafford Metropolitan Planning Organization (SMPO) and administered by the Department. All expenditures are federally reimbursable.

The SHRP2 award will allow SMPO staff, as a lead adopter, to implement a product entitled "Plan Works: Better Planning. Better Projects" into their newly initiated Collaborative MPO Approach to Transportation Performance Based Planning in New Hampshire project. As explained by SHRP2, "Plan Works is a Web resource that supports collaborative decision making in transportation planning and project development". With this funding, SMPO will be able to facilitate meaningful collaboration among Metropolitan Planning Organizations (MPO), Department of Transportation (DOT), Department of Environmental Services (DES), and other partners to develop a performance based transportation planning approach and a set of performance measures for New Hampshire. Performance measures simply refer to the ways in which transportation planners and the public can evaluate the transportation system to see if progress is being made toward desirable goals.

SMPO plans to use the Assessment, Application, and Library components of Plan Works, with some references to certain steps in the Long-Range Planning Decision Guide. Specifically, we will apply Plan Works toward the Performance Measure Application, and use its components, along with the Assessments to determine the current effectiveness and 'health' of the Workgroup - specifically the network of partnerships and stakeholder relationships that is associated with this process. Our innovative use of Plan Works will be transferable and adaptable to other MPOs and even DOTs nationwide. Specifically, our process and use of the Plan Works tools would provide lessons learned and guidance to other MPOs and DOTs testing this tool in the following areas:

- Interagency collaboration (between MPOs, DOTs, Federal Highway Administration, Federal Transportation Administration, etc.).
- Interdisciplinary and cross sector collaboration - We are trying to be creative and engage with stakeholders whom we don't normally engage with. Specifically we are working on including diverse 'voices' and perspectives, which in the past may have been excluded from the transportation decision-making process.
- Example of a small MPO (SMPO) leading the way for other MPOs and the state (DOT) can demonstrate that even a small MPO can be a leader in implementing effective PBPP
- Integration of beyond the mainstream measures, sectors, and even approaches (e.g. public health, economic development, environment, etc.) into transportation planning. For example, SMPO is including a local MPO in a neighboring state due to its shared watershed with two other MPOs in NH, thus incorporating a watershed approach recognizing watershed-scale level decisions and implications, as well as their relation to shared infrastructure.
- The use of a qualitative and participatory research approach and analysis in transportation planning and stakeholder engagement practices to increase transparency, rigor, and validity of the decision-making and solution implementation. Specifically, results of Plan Works Assessments will be used not only for assessment of the general 'health' of the existing PBPP Workgroup and its stakeholder network, but also for comparison of Assessment results to those of the current ongoing qualitative research and analysis that SMPO is conducting.

At the conclusion of this effort, New Hampshire's MPOs, Department of Transportation, and rural regional planning commissions (RPCs) will have a solid foundation for performance based transportation planning that will be scalable to all levels, adaptable for future updates, and transparent to all stakeholders. A key product of this effort will include a set of common, baseline performance metrics

– derived from collaboratively established planning goals and objectives – that can be used at all levels of planning to make informed decisions about improvements to transportation systems.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15,"Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016? No, this is a request for new funding.
2. If this request is retroactive what is the significance and importance of the action being effective from an earlier date? This request is not retroactive.
3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.) This is a new program.
4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied? No, this is a new funding request.
5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied? No, this is a new funding request.
6. Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.) This program does not require new consultants or positions.
7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied? The Strafford Metropolitan Planning Organization would be unable to receive the \$300,000 for the program.

Your approval of this resolution is respectfully requested.

Sincerely,



William Cass, P.E.
Assistant Commissioner

November 24, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI, retroactively authorize the Department of Agriculture, Markets & Food to amend Fiscal Committee Item #FIS 14-198, approved January 23, 2015, and Governor and Executive Council Item #33, approved February 11, 2015, by changing the end date *only* from the current end date of June 30, 2015 to a new end date of June 30, 2017 to better align with the Federal grant end date, effective upon Fiscal Committee and Governor and Executive Council approvals. No increase in funding is requested with this time extension. **100% Federal Funds.**

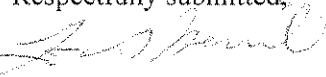
EXPLANATION

This request is to amend the end date only for the U.S. Department of Agriculture (USDA) Agricultural Marketing Service (AMS) Specialty Crop Block Grant Program. The request is retroactive because of an administrative oversight. The Department originally requested an end date of June 30, 2015; however, the funding for the program is available through September 29, 2017. The USDA awarded Specialty Crop Block Grants to state departments of agriculture for projects that help support specialty crop growers, including locally grown fruits and vegetables, through research, programs to increase demand, and more. The goal of the awards is to strengthen rural American communities by supporting local and regional markets and improving access to fresh, healthy, and nutritious high quality products for millions of Americans. The Specialty Crop Block Grant Program, administered by AMS, is designed to enhance the markets for specialty crops like fruits, vegetables, tree nuts, dried fruits, horticulture and nursery crops, including floriculture.

The grant award allowed the Department to fund nine specific projects. This no-cost time extension will enable the Department to enter into grant agreement with two of the remaining grantees, allowing the Department to meet all of the grant objectives.

In the event that Federal Funds are no longer available, General Funds will not be requested to continue the support of this project. It is anticipated that all of this grant funding will be fully committed and/or expended by June 30, 2017.

Respectfully submitted,



Lorraine S. Merrill
Commissioner

Fiscal 1/29/15
FIS 14-198
GSC # 33 7/1/15
2/25/15
app'd

New Hampshire

Department of Agriculture,
Markets & Food

Lorraine S. Merrill, Commissioner

October 27, 2014

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Dear Governor Hassan and Honorable Council:

REQUESTED ACTION

Pursuant to RSA 14:30-a, VI the New Hampshire Department of Agriculture, Markets & Food, Division of Agricultural Development (DAMF) respectfully requests authorization to accept and expend grant funds from the United States Department of Agriculture (USDA) Agricultural Marketing Service (AMS) in the amount of \$273,101.12 for the period of Fiscal Committee and Governor and Council approval through June 30, 2015. 100% Federal Funds.

Funds to be budgeted into account as follows:

02-18-18-185010-70380000 SPECIALTY CROP BLOCK GRANT #12-SCBGP-NH-0033

<u>OBJECT</u>	<u>ACCOUNT</u>	<u>FY 2015</u>
040-500800	Indirect costs	\$ 21,575.00
041-500801	Audit Fund Set aside	273.00
072-500575	Grants Federal	<u>251,253.12</u>
	Total	\$273,101.12
<u>Revenue</u>		
000-403801	Federal Funds	\$273,101.12

EXPLANATION

The New Hampshire Department of Agriculture, Markets & Food, and Division of Agricultural Development (DAMF) have been awarded a grant from the United States Department of Agriculture (USDA) Agricultural Marketing Service (AMS) Specialty Crop Block Grant Program to fund nine specific projects. The projects were solicited through the RFP process and submitted for review by USDA, Agricultural Marketing Service as part of a New Hampshire state application. USDA, AMS approved the NH State Plan and all of the included projects.

The funds from this grant will be utilized as follows:

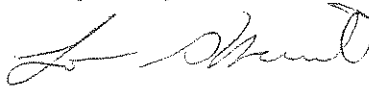
Object Class – 040 – Indirect Cost \$21,575.00. Funds budgeted at the 8% maximum allowed by USDA AMS, for both indirect and audit costs.

Object Class – 041 – Audit Set Aside \$273.00. Funds budgeted covering the required rate.

Object Class – 072 – Grants \$251,253.12. Funds for conducting agricultural specialty crop program projects as approved by USDA, AMS.

In the event that these Federal funds become no longer available, General Funds will not be requested to support this program.

Respectfully Submitted,

A handwritten signature in cursive script, appearing to read "L. Merrill", written in dark ink.

Lorraine S. Merrill
Commissioner

United States Department of Agriculture
Agricultural Marketing Service
AGREEMENT FACE SHEET

1. Accounting Code: 14142501DB62TMDC3400RTM02NH		2. Vendor I.D. (EIN): 02-6000618		3. DUNS Number: 176836583	
4. Agreement Number: 14-SCBGP-NH-0033		Amendment Number:		5. Type of Instrument: Grant	
6. CFDA Number: 10.170		7. Title of Agreement: New Hampshire Department of Agriculture, Markets and Food Specialty Crop Block Grant Program – Farm Bill Activities.			
8. Objective: To solely enhance the competitiveness of New Hampshire specialty crops.					
9. Statement of Work: This agreement shall be carried out by the organizational units or officials of the Federal Agency and the Grantee in the manner and subject to the conditions provided in the Specialty Crop Block Grant Program – Farm Bill General Awards Terms and Conditions attached hereto and made a part of this agreement.					
10. Legal Authority: Section 101 of the Specialty Crops Competitiveness Act of 2004 (7 U.S.C. 1621 note) of Public Law 108–465; amended under section 10010 of the Agricultural Act of 2014, Public Law 113-79; 7 CFR Part 1291 (published March 27, 2009; 74 FR 13313); and Section 713 of Title VII (General Provisions) of Division A of Public Law 108-7.					
11. Federal Agency (Name and Address): Specialty Crop Block Grant Program – Farm Bill Agricultural Marketing Service United States Department of Agriculture Washington, DC 20250			12. Grantee: New Hampshire Department of Agriculture, Markets and Food Concord, NH 03302		
13. Federal Agency Project Manager: John Miklozek, Acting Program Manager Telephone: (202) 720-3403 Email: John.Miklozek@ams.usda.gov			14. Grantee Project Coordinator: Gail McWilliam-Jellie, Director Telephone: (603) 271-3788 Email: gail.mcwilliam.jellie@agr.nh.gov		
15. Period of Performance: 9/30/2014 through 9/29/2017		16. Federal Agency Funding Amount: \$273,101.12		Non-Federal Matching Amount: N/A	
PROVISIONS					
This Grant Award incorporates the following:					
<ol style="list-style-type: none"> The Approved State Plan, Approved Award Budget, and any amendments thereto – incorporated by reference \$ 316.68 is reallocated to the State Agency and shall be expended on projects in the referenced State plan. The reallocated amount is reflected in block 16. Federal Agency Funding Amount. The State Agency shall submit to the Federal Agency a written request for prior approval if the reallocated funding results in changes that require prior approval in accordance with section 8.0 of the Specialty Crop Block Grant Program-Farm Bill General Awards Terms and Conditions (4/2014). The State Agency will complete activities outlined in the approved State plan hereby entitled New Hampshire Department of Agriculture, Markets and Food Fiscal Year 2014 Specialty Crop Block Grant Program – Farm Bill Application dated, 7/3/2014 Specialty Crop Block Grant Program – Farm Bill General Award Terms and Conditions (4/2014) – incorporated by reference and can be found at: http://www.ams.usda.gov/AMSv1.0/getfile?dDocName=STELPRDCS106965 7 CFR Part 3015, 7 CFR Part 3016, 7 CFR Part 3019, and 7 CFR 3052 – incorporated by reference Grant funds received under this award shall supplement the expenditure of State funds in support of specialty crops grown in that State, rather than replace State funds. The obligation of funds may be terminated without further cause unless the grantee commences the timely drawdown of funds; initial drawdown must be made within the first year of the grant period. 					
FOR THE UNITED STATES DEPARTMENT OF AGRICULTURE					
This agreement, subject to the provisions above, shall constitute an obligation of funds on behalf of the Government, unless amended or terminated by mutual consent of the parties in writing, or terminated by either party upon 60 days notice in writing.					
17. Federal Agency Representative Approval:			18. Grantee Representative Approval (Please Print):		
 ARTHUR NEAL <small>Digitally signed by ARTHUR NEAL DN: cn=US, ou=U.S. Government, ou=Department of Agriculture, cn=ARTHUR NEAL 0.9.2342.1.9200300.100.1.1=12001000408958 Date: 2014.09.22 15:48:32 -0400</small>			Name: Lorraine S. Merrill Title: Commissioner		
Deputy Administrator Agricultural Marketing Service			19. Grantee Representative Approval Signature:		Date:
					10-8-14

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 0581-0248. Public reporting burden for this collection of information is estimated to average 2 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0581-0248), Washington, DC 20503.

The U.S. Department of Agriculture (USDA) prohibits discrimination against its customers, employees, and applicants for employment on the bases of race, color, national origin, age, disability, sex, gender identity, religion, reprisal, and where applicable, political beliefs, marital status, familial or parental status, sexual orientation, or all or part of an individual's income is derived from any public assistance program, or protected genetic information in employment or in any program or activity conducted or funded by the Department. (Not all prohibited bases will apply to all programs and/or employment activities.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of discrimination, write to A, Assistant Secretary for Civil Rights, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, S.W., Stop 9410, Washington, DC 20250-9410, or call toll-free at (866) 632-9992 (English) or (800) 877-8 (TDD) or (866) 377-8642 (English Federal-relay) or (800) 845-6136 (Spanish Federal-relay). USDA is an equal opportunity provider and employer.

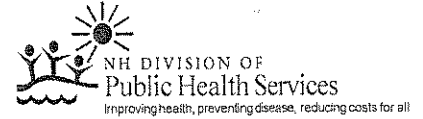
USDA Approved New Hampshire Specialty Crop Block Grant #12-SCBGP-NH-0033 Projects for 2014

- Promoting SNAP/ EBT Redemption at Merrimack County Winter Farmers' Markets, Merrimack County Conservation District
- Organic Specialty Crop Cost of Production: Assessment & Education, NOFA-NH
- NH Farmer's Market Association New Hampshire Public Radio Air Time, NH Farmers Market Association
- Specialty Crop Safety: From Field to Market, Small and Beginning Farmers of New Hampshire
- Table Grape Cultivars and Training Systems for New Hampshire, UNH Cooperative Extension
- Establishment of fertilization practices for hydroponically produced culinary herbs, UNH Cooperative Extension
- Creating a Farm Food Safety Toolbox, UNH Cooperative Extension
- Enhancing the Competitiveness of New England Specialty Crops through Regional Collaboration, Harvest New England Association
- Continuation of the Buy Local Agriculture Campaign partnership with NH Division of Travel & Tourism Development, Featuring Specialty Crop Promotion, NH Dept. of Agriculture, Markets & Food



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN
SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6527
603-271-6897 1-800-852-3345 Ext. 6897
Fax: 603-271-8705 TDD Access: 1-800-735-2964



Nicholas A. Toumpas
Commissioner

Marcella J. Bobinsky
Acting Director

FIS 15 264

November 20, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, Authorize the Department of Health and Human Services, Division of Public Health Services, Bureau of Population Health and Community Services to accept and expend federal funds in the amount of \$120,522 from the Centers for Disease Control and Prevention to further fund the New Hampshire State Cancer Registry effective upon date of approval by the Fiscal Committee and Governor and Council, through June 30, 2016, and further authorize these funds to be allocated as follows. 100% Federal Funds.

05-95-90-900510-8666 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SERVICES, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF POPULATION HEALTH AND COMMUNITY SERVICES, CANCER REGISTRY

SFY 2016

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
000-403096	Federal Funds	\$616,365	\$120,522	\$736,887
000-000000	General Funds	\$150,002		\$150,002
Total Revenue		\$766,367	\$120,522	\$886,889

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
010-500100	Personal Services Permanent	\$58,910	\$0	\$58,910
018-500106	Overtime	\$1		\$1
020-500200	Consumable Supplies	\$1,400	\$708	\$2,108
030-500301	Equipment New Replacement	\$1	\$0	\$1
039-500188	Telecommunications	\$300	\$180	\$480
041-500801	Audit Set Aside	\$537	\$350	\$887
042-500620	Additional Fringe Benefits	\$5,926	\$260	\$6,186
060-500601	Benefits	\$27,830	\$0	\$27,830
066-500543	Employee Training	\$1,000	\$1,500	\$2,500
070-700707	In-State Travel Reimbursement	\$400	\$147	\$547
080-700717	Out-of-State Travel Reimbursement	\$5,100	\$2,285	\$7,385
102-500731	Contracts for Program Services	\$495,265	\$115,092	\$610,357
601-500931	State Fund Match	\$169,697	\$0	\$169,697
Total Expenses		\$766,367	\$120,522	\$886,889

EXPLANATION

The Division of Public Health Services receives funding each year from the Centers for Disease Control and Prevention in partial support of the state cancer registry established under RSA 141-B.

The Centers for Disease Control has provided additional funding during this fiscal year in support of certain advanced activities, including the collection of additional data under the national Patient Centered Outcome Project, a CDC – funded initiative designed to increase surveillance of certain high risk cancers (breast, colon, and rectal cancers as well as chronic myeloid leukemia) in order to increase scientific knowledge about these cancers and how they may be better treated or cured.

The funds are to be budgeted as follows:

Funds in class 020-Supplies will be used for the purchase of consumable items such as copy paper and office supplies.

Funds in class 039-Telecommunications will be used to pay for the telephone costs incurred by the State Cancer Epidemiologist.

Funds in class 041-Audit Set Aside will be used to pay for the mandatory audit of federal expenditures.

Funds in class 042-Additional Fringe Benefits will be used to pay for the COLA for retirees.

Funds in class 066-Employee Training will be applied to costs associated with advanced epidemiological training for the State Cancer Epidemiologist.

Funds in class 070-In-State Travel will be used to reimburse the State Cancer Epidemiologist for travel associated with the investigation of potential cancer clusters.

Funds in class 080-Out-of-State Travel will be used to support State Cancer Epidemiologist's costs in attending technical training supported by the CDC.

Funds in class 102 will be used to support a forthcoming amendment to a contract with the Trustees of Dartmouth College to increase cancer surveillance as part of the New Hampshire Cancer Registry, subject to Governor and Council approval of the amendment.

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

These funds will not change the program eligibility levels. No new program will be established with the acceptance of these funds.

Area served: State wide

Source of funds: These funds are 100% Federal Funds from the Centers for Disease Control and Prevention.

Attached is the Notice of Grant Award and award history. Notice of these additional funds was received on 6/12/2015. They were not added to the operating budget because these are funds in addition to the funds anticipated at the time the budget was developed.

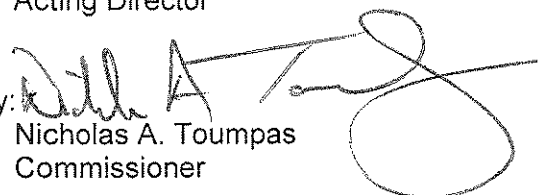
In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Marcella J Bobinsky
Acting Director

Approved by:



Nicholas A. Toumpas
Commissioner

**Award History
Cancer Prevention and Control
5NU58DP003930**

Award Ending 06/29/2016 -04	2,435,225
Award Ending 06/29/2015-03W1	1,424,956
Award Ending 06/29/2015-03	963,831
	-
Expended through 6/29/15	(1,822,588)
Unobligated Balance Unable to Spend	-
Award Balance 7/1/02015	3,001,424
SFY 16 Appropriation **	(2,384,353)
OYR	(433,649)
Adj for General fund contract within OYR	57,451
Available to Accept in SFY 16	240,874
Amount Requested this Action	120,522

**** SFY 16 Appropriation**

	Current	GF	OYR	Total	This Action	Revised Budget
010-090-5659						
Breast & Cervical Cancer Prev	1,748,231		353,506	2,101,737		2,101,737
BCCS	99,900			99,900		99,900
Other Federal	29,487			29,487		29,487
	1,877,618	-	353,506	2,231,124	-	2,231,124
010-090-8666						
Cancer Registries	536,222		80,143	616,365	120,522	736,887
EPHT				-		-
	536,222	-	80,143	616,365	120,522	736,887

1. DATE ISSUED MM/DD/YYYY 10/23/2015	2. CFDA NO. 93.752	3. ASSISTANCE TYPE Cooperative Agreement
1a. SUPERSEDES AWARD NOTICE dated 07/06/2015 except that any additions or restrictions previously imposed remain in effect unless specifically rescinded		
4. GRANT NO. 6 NU58DP003930-04-02 Formerly 5U58DP003930-03	5. ACTION TYPE Post Award Amendment	
6. PROJECT PERIOD From MM/DD/YYYY 06/30/2012	Through MM/DD/YYYY 06/29/2017	
7. BUDGET PERIOD From MM/DD/YYYY 06/30/2015	Through MM/DD/YYYY 06/29/2016	

DEPARTMENT OF HEALTH AND HUMAN SERVICES
Centers for Disease Control and Prevention
CDC Procurement and Grants Office
2920 Brandywine Road
Atlanta, GA 30341

NOTICE OF AWARD
AUTHORIZATION (Legislation/Regulations)
301A,311BC,317K2(42USC241A,243BC247BK2)

8. TITLE OF PROJECT (OR PROGRAM)
NH COMPREHENSIVE CANCER CONTROL PROGRAM & CANCER REGISTRY

9a. GRANTEE NAME AND ADDRESS
NH ST DEPT OF HEALTH & WELFARE, DIV OF PUBLIC HEALTH
29 Hazen Drive
Concord, NH 03301-6504

9b. GRANTEE PROJECT DIRECTOR
Mr. JOSE T MONTERO
DIV OF PUBLIC HLTH SRVC
29 HAZEN DRIVE
NEW HAMPSHIRE, DHHS
CONCORD, NH 03301
Phone: 603-271-4613

10a. GRANTEE AUTHORIZING OFFICIAL
Mr. JOSE T MONTERO
DIV OF PUBLIC HLTH SRVC
29 HAZEN DRIVE
NEW HAMPSHIRE, DHHS
CONCORD, NH 03301
Phone: 603-271-4613

10b. FEDERAL PROJECT OFFICER
Teri Larkins
4770 Buford Highway
Chamblee, GA 30341
Phone: 770-488-6539

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)	
I Financial Assistance from the Federal Awarding Agency Only	<input checked="" type="checkbox"/>
II Total project costs including grant funds and all other financial participation	<input type="checkbox"/>
a. Salaries and Wages	329,310.00
b. Fringe Benefits	155,968.00
c. Total Personnel Costs	485,278.00
d. Equipment	0.00
e. Supplies	8,478.00
f. Travel	16,801.00
g. Construction	0.00
h. Other	237,071.00
i. Contractual	1,687,597.00
j. TOTAL DIRECT COSTS	2,435,225.00
k. INDIRECT COSTS	0.00
l. TOTAL APPROVED BUDGET	2,435,225.00
m. Federal Share	2,435,225.00
n. Non-Federal Share	732,091.00

12. AWARD COMPUTATION	
a. Amount of Federal Financial Assistance (from item 11m)	2,435,225.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	2,435,225.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	0.00
13. Total Federal Funds Awarded to Date for Project Period	9,437,453.00

14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):			
YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 5		d. 8	
b. 6		e. 9	
c. 7		f. 10	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:	
a. DEDUCTION b. ADDITIONAL COSTS c. MATCHING d. OTHER RESEARCH (Add / Deduct Option) e. OTHER (See REMARKS)	<input checked="" type="checkbox"/>

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation
b. The grant program regulations.
c. This award notice including terms and conditions, if any, noted below under REMARKS.
d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)

GRANTS MANAGEMENT OFFICER: Pamela Render

17. OBJ CLASS 41.51	18a. VENDOR CODE 1026000618B5	18b. EIN	19. DUNS 011040545	20. CONG. DIST. 02
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
21. a. 5-93903E7	b. 003930RN15	c. DP	d. \$0.00	e. 75-X-0948
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.



Grant Number: 3U58DP003930-03W1
FAIN: U58DP003930

Principal Investigator(s):
JOSE THEIR MONTERO, MD

Project Title: NH COMPREHENSIVE CANCER CONTROL PROGRAM & CANCER REGISTRY

J T MONTERO, DIRECTOR
NEW HAMPSHIRE DHHS
29 HAZEN DRIVE
CONCORD, NH 03301

Budget Period: 06/30/2014 – 06/29/2015
Project Period: 06/30/2012 – 06/29/2017

Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$1,424,956 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPT OF HEALTH & WELFARE, DIV OF P in support of the above referenced project. This award is pursuant to the authority of 301A,311BC,317K2(42USC241A,243BC247BK2) and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Tracey M Sims
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 3U58DP003930-03W1

Award Calculation (U.S. Dollars)

Salaries and Wages	\$234,952
Fringe Benefits	\$97,562
Personnel Costs (Subtotal)	\$332,514
Supplies	\$1,200
Travel Costs	\$6,526
Other Costs	\$137,897
Consortium/Contractual Cost	\$946,819

Federal Direct Costs	\$1,424,956
Approved Budget	\$1,899,852
Federal Share	\$1,424,956
Non-Federal Share	\$474,896
TOTAL FEDERAL AWARD AMOUNT	\$1,424,956

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$1,424,956

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

04 \$0
05 \$0

Fiscal Information:

CFDA Number: 93.752
EIN: 1026000618B5
Document Number: 003930CP14

IC	CAN	2014
DP	939016N	\$1,424,956

SUMMARY TOTAL FEDERAL AWARD AMOUNT YEAR (3)	
GRANT NUMBER	TOTAL FEDERAL AWARD AMOUNT
3U58DP003930-03W1	\$1,424,956
5U58DP003930-03	\$888,490
TOTAL	\$2,313,446

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
3	\$1,424,956	\$2,313,446
4	\$0	\$2,385,778
5	\$0	\$2,385,778

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:
PCC: N / OC: 4151 / Processed: ERAAPPS 07/01/2014

SECTION II – PAYMENT/HOTLINE INFORMATION – 3U58DP003930-03W1

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such



Grant Number: 5U58DP003930-03 REVISED
FAIN: U58DP003930

Principal Investigator(s):
JOSE THEIR MONTERO, MD

Project Title: NH COMPREHENSIVE CANCER CONTROL PROGRAM & CANCER REGISTRY

J T MONTERO, DIRECTOR
NEW HAMPSHIRE DHHS
29 HAZEN DRIVE
CONCORD, NH 03301

Budget Period: 06/30/2014 – 06/29/2015
Project Period: 06/30/2012 – 06/29/2017

Dear Business Official:

The Centers for Disease Control and Prevention hereby revises this award (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPT OF HEALTH & WELFARE, DIV OF P in support of the above referenced project. This award is pursuant to the authority of 301A,311BC,317K2(42USC241A,243BC247BK2) and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Annie D Harrison-Camacho
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I - AWARD DATA - 5U58DP003930-03 REVISED

Award Calculation (U.S. Dollars)

Salaries and Wages	\$139,684
Fringe Benefits	\$75,108
Personnel Costs (Subtotal)	\$214,792
Consultant Services	\$15,938
Equipment	\$1,231
Supplies	\$10,808
Travel Costs	\$12,143
Other Costs	\$3,754
Consortium/Contractual Cost	\$613,777

Federal Direct Costs	\$872,443
Federal F&A Costs	\$91,388
Approved Budget	\$1,174,628
Federal Share	\$963,831
Non-Federal Share	\$210,797
Less Unobligated Balance	\$75,341
TOTAL FEDERAL AWARD AMOUNT	\$888,490

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$0

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

04 \$2,385,778
05 \$2,385,778

Fiscal Information:

CFDA Number: 93.283
EIN: 1026000618B5
Document Number: 003930DP14

IC	CAN	2014	2015	2016
DP	9213164		\$2,385,778	\$2,385,778
DP	921Z1RU	\$33,952		
DP	9390541	\$258,919		
DP	939ZRBL	\$595,619		

SUMMARY TOTAL FEDERAL AWARD AMOUNT YEAR (3)		
GRANT NUMBER	TOTAL FEDERAL AWARD AMOUNT	
5U58DP003930-03	\$888,490	
3U58DP003930-03S1	\$1,424,956	
TOTAL	\$2,313,446	
SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
3	\$888,490	\$2,313,446
4	\$2,385,778	\$2,385,778
5	\$2,385,778	\$2,385,778

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:
PCC: N / OC: 4151 / Processed: ERAAPPS 02/23/2015

SECTION II - PAYMENT/HOTLINE INFORMATION - 5U58DP003930-03 REVISED



STATE OF NEW HAMPSHIRE

FIS 15 272

DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6503
603-271-4612 1-800-852-3345 Ext. 4612
Fax: 603-271-4827 TDD Access: 1-800-735-2964



Nicholas A. Toumpas
Commissioner

Marcella Jordan Bobinsky
Acting Director

November 12, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

- 1. Pursuant to RSA 14:30-a, VI, authorize the Department of Health and Human Services, Division of Public Health Services, Bureau of Infectious Disease Control, to amend Fiscal item (FIS 15-169) approved on August 26, 2015 and Governor and Council Item # 8 approved on September 16, 2015, by extending the end date only from December 31, 2015 to June 30, 2017, for grants received from the US Centers for Disease Control and Prevention and from the Assistant Secretary for Preparedness to fund NH Ebola Preparedness and Response program. 100% Federal Funds
2. Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, and subject to the approval of item 1 above, authorize the Department of Health and Human Services, Division of Public Health Services, Bureau of Infectious Disease Control to accept and expend remaining federal funds in the amount of \$340,567 from the Centers for Disease Control and Prevention (CDC) and \$125,267 from the Assistant Secretary for Preparedness and Response (ASPR) for a total of \$465,834 to fund the NH Ebola Preparedness and Response Program, effective date of approval by the Fiscal Committee and Governor and Council and further authorize the funds to be allocated as follows. Grant funds awarded for periods after SFY 2017 will be included in the future operating budgets for SFY 2018 and SFY 2019. 100% Federal Funds

05-95-90-902510-50840000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SERVICES, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF INFECTIOUS DISEASE CONTROL, EBOLA GRANT

SFY 2016

Table with 5 columns: Class/Object, Class Title, Current Modified Budget, Increase (Decrease) Amount, Revised Modified Budget. Row 1: 000-404243 Federal Funds, \$1,724,196, \$374,350, \$2,098,546. Row 2: Total Revenue, \$1,724,196, \$374,350, \$2,098,546.

SFY 2016 Continued

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
020-500200	Current Expense	\$11,000	\$11,000	\$22,000
030-500311	Equipment	\$346,633	\$121,867	\$468,500
039-500188	Telecommunications	\$4,320	\$0	\$4,320
041-500801	Audit Fund Set Aside	\$2,190	\$373	\$2,563
049-500294	Transfer to Other State Agency	\$100,742	\$36,010	\$136,752
070-500704	In-State Travel	\$3,400	\$0	\$3,400
080-500710	Out-of-State Travel	\$4,080	\$0	\$4,080
102-500734	Contracts for Program Services	\$1,216,831	\$170,100	\$1,386,931
548-500396	Reagents	\$35,000	\$35,000	\$70,000
Total Expenses		\$1,724,196	\$374,350	\$2,098,546

SFY 2017

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
000-404243	Federal Funds	\$0.00	\$91,484	\$91,484
Total Revenue		\$0.00	\$91,484	\$91,484
020-500200	Current Expense	\$0.00	\$0	\$0
030-500311	Equipment	\$0.00	\$0	\$0
039-500188	Telecommunications	\$0.00	\$926	\$926
041-500801	Audit Fund Set Aside	\$0.00	\$903	\$903
049-500294	Transfer to Other State Agency	\$0.00	\$88,257	\$88,257
070-500704	In-State Travel	\$0.00	\$1,398	\$1,398
080-500710	Out-of-State Travel	\$0.00	\$0	\$0
102-500734	Contracts for Program Services	\$0.00	\$0	\$0
548-500396	Reagents	\$0.00	\$0	\$0.00
Total Expenses		\$0.00	\$91,484	\$91,484

EXPLANATION

A request to accept funds was submitted and approved through Fiscal (FIS 15-169) on August 26, 2015 and Governor and Council on September 16, 2015, Item # 8. However, the amount accepted did not include all funds required to cover the entire period of the grant due to the Continuing Resolution. This request will fully fund the project through the end of the grant period. The project is 100% federally funded with no State match requirements. No State General Funds will be used to support this award.

The federal funds awarded by the CDC and the ASPR provides for a health care system that is prepared to safely and successfully identify, isolate, assess, transport, and treat patients with Ebola or patients under investigation for Ebola. While the focus is on preparedness for Ebola, it is expected that the program activities achieved through this funding will enhance infectious disease readiness expertise and capacity in both public health and the broader health care system. Specifically, the funds will designate two hospitals, Dartmouth Hitchcock Medical Center and Frisbie Memorial as Ebola Assessment hospitals, with each hospital being prepared to manage a suspect or confirmed Ebola patient for up to 96 hours. Further, the remaining 24 hospitals will serve as Frontline hospitals, prepared to promptly identify, isolate and inform public health of a suspect Ebola patient. The Assessment and Frontline hospitals will work collaboratively with public health, EMS, and regional partners toward building a comprehensive strategy to manage Ebola and other highly infectious disease pathogens that may emerge in the future.

Funds are budgeted for Current Expenses (Class 020) for purchase of packaging and shipping supplies and other consumable testing supplies as well as money to advertise recruitment of volunteer health professionals.

Funds are budgeted for Equipment (Class 030) to fully fund the purchase of several pieces of lab equipment including a table centrifuge, FilmArray instrument, pipette calibrator, a refrigerator, freezers, lab incubator and additional card readers for security of three molecular diagnostics labs. All items will be utilized for the detection of emerging infectious disease pathogens as well as specimen testing and storage.

Funds are budgeted in Telecommunications (Class 039) for the monthly maintenance of iPhones for the Infectious Disease Public Health Nurses to perform Direct Active Monitoring and Active Monitoring of patients under investigation.

Funds are being budgeted for Audit costs (Class 041) per state requirements.

Funds are budgeted for Transfer to Other State Agency (Class 049) to work with the Bureau of Emergency Services of the Department of Safety through a memorandum of agreement. This work will include coordinating more efficient communication with all Emergency Medical Services (EMS) providers and ambulance services through an updated user management system; providing EMS with updated personal protective equipment; and providing training in infection control for all EMS.

Funds are budgeted for In-State Travel (Class 070) to cover travel expenses that staff incur while performing their duties.

Funds are budgeted for Contracts for Program Services (Class 102) to contract for several different activities approved through the awards including; risk communication assessment, sustainable occupational health program for public health responders, added functionality to the Health Alert Network (HAN) communicator to enhance information among others. Funds are not being requested for consultants.

Funds are budgeted for Reagents (Class 548) to purchase laboratory reagents and testing supplies.

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

These funds will not change the program eligibility levels. No new program will be established with the acceptance of these funds.

Area served: Statewide

Source of funds: These funds are 100% Federal from US Centers for Disease Control and Prevention and the Assistant Secretary for Preparedness and Response.

Attached are the Notice of Grant Awards and award history. Notices of these funds were received on March 31, 2015 and May 19, 2015. They were not added to the SFY 2016 operating budget because these are new funds recently granted to the State and were not anticipated at the time the budget was developed.

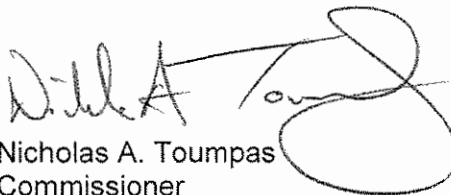
In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Marcella Jordan Bobinsky
Acting Director

Approved by:



Nicholas A. Toumpas
Commissioner

AWARD HISTORY
Ebola - new A/U 5084

Grant #: 3U90TP000535-03S2 Award Ending 9/30/2016	1,186,286
Grant #: U3REP150490-01 Award Ending 5/17/2020	1,003,744
Expended through 6/30/15	-
Unobligated Balance Unable to Spend	
Award Balance 9/16/15	2,190,030
SFY 16 Appropriation **	(1,724,196)
OYR	<u>-</u>
Available to Accept in SFY 16	465,834
Amount Requested this Action	<u><u>374,350</u></u>

**** SFY 16 Appropriation**

50840000 404243	Current	OYR	Total	This Action	Revised Budget
EBOLA	1,724,196		1,724,196	374,350	2,098,546
	<u>1,724,196</u>	<u>-</u>	<u>1,724,196</u>	<u>374,350</u>	<u>2,098,546</u>

AWARD HISTORY
Ebola - new A/U 5084

Grant #: 3U90TP000535-03S2 Award Ending 9/30/2016	1,186,286
Grant #: U3REP150490-01 Award Ending 5/17/2020	1,003,744
Anticipated expended through 6/30/15	(2,098,546)
Unobligated Balance Unable to Spend	
Award Balance 7/01/15	91,484
SFY 17 Appropriation **	-
OYR	<u>-</u>
Available to Accept in SFY 17	91,484
Amount Requested this Action	<u><u>91,484</u></u>

**** SFY 17 Appropriation**

50840000 404243	Current	OYR	Total	This Action	Revised Budget
EBOLA	-	-	-	91,484	91,484
	<u>-</u>	<u>-</u>	<u>-</u>	<u>91,484</u>	<u>91,484</u>

Avail:

EBO:



Grant Number: 3U90TP000535-03S2
FAIN: U90TP000535

Principal Investigator(s):
JOSE THEIR MONTERO, MD

Project Title: TP12-1201 HPP AND PHEP COOPERATIVE AGREEMENTS

DOLORES COOPER
NEW HAMPSHIRE DHHS
DIV. OF PUBLIC HEALTH SERVICES
29 HAZEN DRIVE
CONCORD, NH 03301

Budget Period: 04/01/2015 – 09/30/2016
Project Period: 04/01/2015 – 09/30/2016

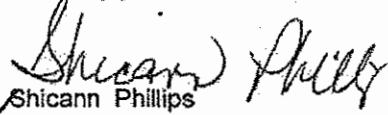
Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$1,186,286 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPT OF HEALTH & WELFARE, DIV OF P in support of the above referenced project. This award is pursuant to the authority of SEC391(A)317(K)OFPHS42U.S.C.SEC241A 247B and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,


Shicann Phillips
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 3U90TP000535-03S2

Award Calculation (U.S. Dollars)

Equipment	\$468,500
Supplies	\$85,420
Travel Costs	\$10,980
Consortium/Contractual Cost	\$605,200
Other	\$16,186

Federal Direct Costs	\$1,186,286
Approved Budget	\$1,186,286
Federal Share	\$1,186,286
TOTAL FEDERAL AWARD AMOUNT	\$1,186,286

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$1,186,286

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

04 \$0
05 \$0

Fiscal Information:

CFDA Number: 93.074
EIN: 1026000618B5
Document Number: TP00053515

IC	CAN	2015
TP	93902YK	\$1,186,286

SUMMARY TOTAL FEDERAL AWARD AMOUNT YEAR (3)		
GRANT NUMBER	TOTAL FEDERAL AWARD AMOUNT	
3U90TP000535-03S2	\$1,186,286	
5U90TP000535-03	\$5,937,785	
3U90TP000535-03S1	\$54,680	
TOTAL	\$7,178,751	
SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
3	\$1,186,286	\$7,178,751
4	\$0	\$6,510,158
5	\$0	\$6,510,158

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:

PCC: N / OC: 4151 / Processed: PHILLIPSS0 03/28/2015

SECTION II – PAYMENT/HOTLINE INFORMATION – 3U90TP000535-03S2

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they

1. DATE ISSUED MM/DD/YYYY 05/19/2015 | 2. CFDA NO. 93.817 | 3. ASSISTANCE TYPE Cooperative Agreement

DEPARTMENT OF HEALTH AND HUMAN SERVICES
 ASSISTANT SECRETARY FOR PREPAREDNESS & RESPONSE

ASPR/BARDA/AMS Office of Contracts and Grants

395 E Street, SW
 Washington, DC 20201

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
 Pub. L. 109-148 119 Stat. 2680, 2786 (2005)

1a. SUPERSEDES AWARD NOTICE dated
 except that any additions or restrictions previously imposed remain
 in effect unless specifically rescinded

4. GRANT NO. 1 U3REP150490-01-00
 Formerly

5. ACTION TYPE
 New

6. PROJECT PERIOD MM/DD/YYYY
 From 05/18/2015 Through 05/17/2020

7. BUDGET PERIOD MM/DD/YYYY
 From 05/18/2015 Through 05/17/2020

8. TITLE OF PROJECT (OR PROGRAM)
 New Hampshire's Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities for Part
 A: Health Care System Preparedness for Ebola

9a. GRANTEE NAME AND ADDRESS
 New Hampshire Dept of Health & Human Services
 29 Hazen Dr
 Public Health Services-DUP
 Concord, NH 03301-6503

9b. GRANTEE PROJECT DIRECTOR
 Denise Marie Krol
 29 Hazen Drive
 Public Health Services
 Concord, NH 03301-6504
 Phone: 603-271-4493

10a. GRANTEE AUTHORIZING OFFICIAL
 Ms. Marcella Jordan Bobinsky
 29 Hazen Drive
 CONCORD, NH 03301-6504
 Phone: 6032714110

10b. FEDERAL PROJECT OFFICER
 Ms. Patricia A Pettis
 200 Independence Ave., S.W.
 Room 638-G
 null
 Washington, DC 20201-null
 Phone: 617-599-2623

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)	
I Financial Assistance from the Federal Awarding Agency Only	
II Total project costs including grant funds and all other financial participation <input checked="" type="checkbox"/>	
a. Salaries and Wages	98,592.00
b. Fringe Benefits	7,542.00
c. Total Personnel Costs	106,134.00
d. Equipment	50,000.00
e. Supplies	45,000.00
f. Travel	2,875.00
g. Construction	0.00
h. Other	23,004.00
i. Contractual	776,731.00
j. TOTAL DIRECT COSTS	1,003,744.00
k. INDIRECT COSTS	0.00
l. TOTAL APPROVED BUDGET	1,003,744.00
m. Federal Share	1,003,744.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION	
a. Amount of Federal Financial Assistance (from item 11m)	1,003,744.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	0.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	1,003,744.00
13. Total Federal Funds Awarded to Date for Project Period	1,003,744.00

14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):			
YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2		d. 5	
b. 3		e. 6	
c. 4		f. 7	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

a. DEDUCTION	<input checked="" type="checkbox"/> b
b. ADDITIONAL COSTS	
c. MATCHING	
d. OTHER RESEARCH (Add / Deduct Option)	
e. OTHER (See REMARKS)	

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation
 b. The grant program regulations.
 c. This award notice including terms and conditions, if any, noted below under REMARKS.
 d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)

GRANTS MANAGEMENT OFFICER: Brenda Cox, Grants Management Officer

17. OBJ CLASS	41.51	18a. VENDOR CODE	1026000618B3	18b. EIN	026000618	19. DUNS	011040545	20. CONG. DIST.	02
FY-ACCOUNT NO.		DOCUMENT NO.		ADMINISTRATIVE CODE		AMT ACTION FIN ASST		APPROPRIATION	
21. a.	5-1990501	b.	U3REP0490A	c.	HOS07	d.	\$1,003,744.00	e.	75-1315-0140
22. a.		b.		c.		d.		e.	
23. a.		b.		c.		d.		e.	



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6503
603-271-4612 1-800-852-3345 Ext. 4612
Fax: 603-271-4827 TDD Access: 1-800-735-2964



Nicholas A. Toumpas
Commissioner

Marcella Jordan Bobinsky
Acting Director

G&C Approval Date: 9/16/2015

Item #8

August 6, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

[Signature]
Approved by Fiscal Committee 8/26/15 Date

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services, Division of Public Health Services, Bureau of Infectious Disease Control, to accept and expend federal funds in the amount of \$845,719 from the US Centers for Disease Control and Prevention (CDC) and \$878,477 from the Assistant Secretary for Preparedness and Response (ASPR) for a total of \$1,724,196 to fund the NH Ebola Preparedness and Response Program, effective date of approval by the Fiscal Committee and Governor and Council through December 31, 2015, and further authorize the funds to be allocated as follows. 100% Federal Funds.

05-95-90-902510-50840000 HEALTH AND SOCIAL SERVICES, DEPT OF HEALTH AND HUMAN SERVICES, HHS: DIVISION OF PUBLIC HEALTH, BUREAU OF INFECTIOUS DISEASE CONTROL, EBOLA GRANT

SFY 2016

Class/Object	Class Title	Current Modified Budget	Increase (Decrease) Amount	Revised Modified Budget
000-404243	Federal Funds	\$0.00	\$1,724,196.00	\$1,724,196.00
Total Revenue		\$0.00	\$1,724,196.00	\$1,724,196.00
020-500200	Current Expense	\$0.00	\$11,000.00	\$11,000.00
030-500311	Equipment	\$0.00	\$346,633.00	\$346,633.00
039-500188	Telecommunications	\$0.00	\$4,320.00	\$4,320.00
041-500801	Audit Fund Set Aside	\$0.00	\$2,190.00	\$2,190.00
049-500294	Transfer to Other State Agency	\$0.00	\$100,742.00	\$100,742.00
070-500704	In-State Travel	\$0.00	\$3,400.00	\$3,400.00
080-500710	Out-of-State Travel	\$0.00	\$4,080.00	\$4,080.00
102-500734	Contracts for Program Services	\$0.00	\$1,216,831.00	\$1,216,831.00
548-500396	Reagents	\$0.00	\$35,000.00	\$35,000.00
Total Expenses		\$0.00	\$1,724,196.00	\$1,724,196.00

EXPLANATION

The federal funds awarded by the CDC and the ASPR provides for a health care system that is prepared to safely and successfully identify, isolate, assess, transport, and treat patients with Ebola or patients under investigation for Ebola. While the focus is on preparedness for Ebola, it is expected that the program activities achieved through this funding will enhance infectious disease readiness expertise and capacity in both public health and the broader health care system. Specifically, the funds will designate two hospitals, Dartmouth Hitchcock Medical Center and Frisbie Memorial as Ebola Assessment hospitals, with each hospital being prepared to manage a suspect or confirmed Ebola patient for up to 96 hours. Further, the remaining 24 hospitals will serve as Frontline hospitals, prepared to promptly identify, isolate and inform public health of a suspect Ebola patient. The Assessment and Frontline hospitals will work collaboratively with public health, EMS, and regional partners toward building a comprehensive strategy to manage Ebola and other highly infectious disease pathogens that may emerge in the future.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15,"Positions Authorized" or both:

1. Is the action required of this request a result of the CR for FY2016?

No it is not. These are brand new funds to the Division.

2. If this request is retroactive what is the significance and importance of the action being effective from an earlier date?

This is not a retroactive request.

3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action?

No, this is a request to accept and expend brand new monies through two funding opportunities regarding Ebola Supplemental funds from the US Centers for Disease Control and Prevention (CDC) and the Assistant Secretary for Preparedness and Response (ASPR).

4. Was funding for this program included in the FY 2014-15 enacted Budget or requested and denied?

No, this funding was not included in the FY 2014-15 enacted Budget. We received the Notice of Awards for these funds on March 31, 2015 and May 19, 2015 respectively.

5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?

No, these monies are not included in the vetoed 2016-2017 Operating Budget. The Awards were not received in time to include these in the Division's biennium budget proposal.

6. Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending?

No, this request does not include positions or consultants.

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

The Division would lack the ability to assure that public health, hospitals, EMS, and regional partners can build a comprehensive strategy to manage Ebola and other highly infectious disease pathogens that may emerge in the future thereby putting the general public at risk of exposure and spread of these highly infectious disease pathogens and the potential of mass outbreak and numerous fatalities.

Funds are budgeted for Current Expenses (Class 020) for purchase of packaging and shipping supplies and other consumable testing supplies as well as money to advertise recruitment of volunteer health professionals.

Funds are budgeted for Equipment (Class 030) for the purchase of several pieces of lab equipment including a Real-Time PCR Instrument, GenXpert system, Pipette calibrator, FilmArray instrument, MaxAir PAPR systems (personal protective equipment for lab staff), table centrifuge, refrigerator, freezers, lab incubator and additional card readers for security of three molecular diagnostics labs. All items will be utilized for the detection of emerging infectious disease pathogens as well as specimen testing and storage.

Funds are budgeted in Telecommunications (Class 039) for the purchase of iPhones for the Infectious Disease Public Health Nurses to perform Direct Active Monitoring and Active Monitoring of patients under investigation.

Funds are budgeted for Audit costs (Class 041) per state requirements.

Funds are budgeted for Transfer to Other State Agency (Class 049) to work with the Bureau of Emergency Services of the Department of Safety through a memorandum of agreement. This work will include coordinating more efficient communication with all Emergency Medical Services (EMS) providers and ambulance services through an updated user management system; providing EMS with updated personal protective equipment; and providing training in infection control for all EMS.

Funds are budgeted for In-State Travel (Class 070) to cover travel expenses that staff incur while performing their duties.

Funds are budgeted for Out-of-State Travel (Class 080) to cover travel expenses in order to conduct a site visit to a designated Ebola Treatment Center.

Funds are budgeted for Contracts for Program Services (Class 102) to contract for several different activities approved through the awards including; multi-agency and isolation and quarantine tabletop exercises, enhancements to disease surveillance capacity within the NH Electronic Disease Surveillance System (NHEDSS), medical surge planner services, risk communication assessment, sustainable occupational health program for public health responders, hospital summit to share information and promote best practices, added functionality to the Health Alert Network (HAN) communicator to enhance information among others.

Funds are budgeted for Reagents (Class 548) to purchase laboratory reagents and testing supplies.

In response to the anticipated two-part question, "Can these funds be used to offset General Funds?" and "What is the compelling reason for not offsetting General Funds?" the Division offers the following information: These funds may not be used to offset General Funds as they are specifically granted to the State for the purpose of providing the services described above.

These funds will not change the program eligibility levels. No new program will be established with the acceptance of these funds.

Area served: Statewide

Source of funds: These funds are 100% Federal from US Centers for Disease Control and Prevention and the Assistant Secretary for Preparedness and Response.

Attached are the Notice of Grant Awards and award history. Notices of these funds were received on March 31, 2015 and May 19, 2015. They were not added to the SFY 2016 operating budget because these are new funds recently granted to the State and were not anticipated at the time the budget was developed.

In the event that these Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Marcella Jordan Bobinsky
Acting Director

Approved by:


for Nicholas A. Toumpas
Commissioner

AWARD HISTORY
Ebola - new A/U 5084

Grant #: 3U90TP000535-03S2 Award Ending 9/31/2016	1,186,286
Grant #: U3REP150490-01 Award Ending 5/17/2020	993,944
Expended through 6/30/15	-
Unobligated Balance Unable to Spend	
Award Balance 7/1/15	2,180,230
SFY 16 Appropriation **	-
OYR	-
Available to Accept in SFY 16	2,180,230
Amount Requested this Action	<u>1,724,196</u>

**** SFY 16 Appropriation**

50840000 404243	Current	OYR	Total	This Action	Revised Budget
EBOLA	-	-	-	1,724,196	1,724,196
	-	-	-	1,724,196	1,724,196



Grant Number: 3U90TP000535-03S2
FAIN: U90TP000535

Principal Investigator(s):
JOSE THEIR MONTERO, MD

Project Title: TP12-1201 HPP AND PHEP COOPERATIVE AGREEMENTS

DOLORES COOPER
NEW HAMPSHIRE DHHS
DIV. OF PUBLIC HEALTH SERVICES
29 HAZEN DRIVE
CONCORD, NH 03301

Budget Period: 04/01/2015 – 09/30/2016
Project Period: 04/01/2015 – 09/30/2016

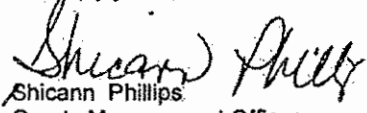
Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$1,186,286 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH ST DEPT OF HEALTH & WELFARE, DIV OF P in support of the above referenced project. This award is pursuant to the authority of SEC391(A)317(K)OPHS42U.S.C.SEC241A 247B and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,


Shicann Phillips
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 3U90TP000535-03S2

Award Calculation (U.S. Dollars)

Equipment	\$468,500
Supplies	\$85,420
Travel Costs	\$10,980
Consortium/Contractual Cost	\$605,200
Other	\$16,186

Federal Direct Costs	\$1,186,286
Approved Budget	\$1,186,286
Federal Share	\$1,186,286
TOTAL FEDERAL AWARD AMOUNT	\$1,186,286

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$1,186,286

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

04 \$0
05 \$0

Fiscal Information:

CFDA Number: 93.074
EIN: 1026000618B5
Document Number: TP00053515

IC	CAN	2015
TP	93902YK	\$1,186,286

SUMMARY TOTAL FEDERAL AWARD AMOUNT YEAR (3)	
GRANT NUMBER	TOTAL FEDERAL AWARD AMOUNT
3U90TP000535-03S2	\$1,186,286
5U90TP000535-03	\$5,937,785
3U90TP000535-03S1	\$54,680
TOTAL	\$7,178,751

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
3	\$1,186,286	\$7,178,751
4	\$0	\$6,510,158
5	\$0	\$6,510,158

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:

PCC: N / OC: 4151 / Processed: PHILLIPSS0 03/28/2015

SECTION II – PAYMENT/HOTLINE INFORMATION – 3U90TP000535-03S2

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they

1. DATE ISSUED MM/DD/YYYY 06/25/2015
 2. CFDA NO. 93.817
 3. ASSISTANCE TYPE Cooperative Agreement

DEPARTMENT OF HEALTH AND HUMAN SERVICES
 ASSISTANT SECRETARY FOR PREPAREDNESS & RESPONSE
 ASPR Acquisition Management Contracts and Grants

200 C Street, SW
 Washington, DC 20024

NOTICE OF AWARD
 AUTHORIZATION (Legislation/Regulations)
 Pub. L. 109-148 119 Stat. 2880, 2788 (2005)

1a. SUPERSEDES AWARD NOTICE dated 05/19/2015
 except that any additions or restrictions previously imposed remain
 in effect unless specifically rescinded

4. GRANT NO. 6 U3REP150490-01-01 Formerly	5. ACTION TYPE Post Award Amendment
6. PROJECT PERIOD From MM/DD/YYYY 05/18/2015	Through MM/DD/YYYY 05/17/2020
7. BUDGET PERIOD From MM/DD/YYYY 05/18/2015	Through MM/DD/YYYY 05/17/2020

8. TITLE OF PROJECT (OR PROGRAM)
 New Hampshire's Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities for Part
 A: Health Care System Preparedness for Ebola

9a. GRANTEE NAME AND ADDRESS
 New Hampshire Dept of Health & Human Services
 29 Hazen Dr
 Public Health Services-DUP
 Concord, NH 03301-6503

9b. GRANTEE PROJECT DIRECTOR
 Denise Marie Krol
 29 Hazen Drive
 Public Health Services
 Concord, NH 03301-6504
 Phone: 603-271-4493

10a. GRANTEE AUTHORIZING OFFICIAL
 Ms. Marcella Jordan Bobinsky
 29 Hazen Drive
 CONCORD, NH 03301-6504
 Phone: 6032714110

10b. FEDERAL PROJECT OFFICER
 CAPT Patricia A Pettis
 200 Independence Ave., S.W.
 Room 638-G
 null
 Washington, DC 20201-null
 Phone: 617-549-2623

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)		12. AWARD COMPUTATION	
I Financial Assistance from the Federal Awarding Agency Only		a. Amount of Federal Financial Assistance (from item 11m)	1,003,744.00
II Total project costs including grant funds and all other financial participation		b. Less Unobligated Balance From Prior Budget Periods	0.00
a. Salaries and Wages	98,592.00	c. Less Cumulative Prior Award(s) This Budget Period	1,003,744.00
b. Fringe Benefits	7,542.00	d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	0.00
c. Total Personnel Costs	106,134.00	13. Total Federal Funds Awarded to Date for Project Period	1,003,744.00
d. Equipment	50,000.00	14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):	
e. Supplies	45,000.00	YEAR	TOTAL DIRECT COSTS
f. Travel	2,875.00	a. 2	d. 5
g. Construction	0.00	b. 3	e. 6
h. Other	23,004.00	c. 4	f. 7
i. Contractual	776,731.00	15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:	
j. TOTAL DIRECT COSTS	1,003,744.00	b	
k. INDIRECT COSTS	0.00	16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY OR THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:	
l. TOTAL APPROVED BUDGET	1,003,744.00	a. The grant program legislation. b. The grant program regulations. c. This award notice including terms and conditions, if any, noted below under REMARKS. d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.	
m. Federal Share	1,003,744.00	In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.	
n. Non-Federal Share	0.00		

REMARKS (Other Terms and Conditions Attached - Yes No)
 All Conditions of Award have been satisfied. All Other Terms and Conditions of the award remain in effect.

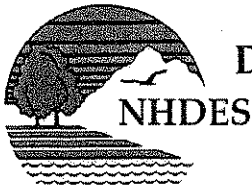
GRANTS MANAGEMENT OFFICER: Brenda Cox, Grants Management Officer

17. OBJ CLASS 41.51	18a. VENDOR CODE 1026000618B3	18b. EIN 026000618	18. DUNS 011040545	20. CONG. DIST. 02
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
21. a. 5-1990501	b. U3REP0490A	c. HOS07	d. \$0.00	e. 75-1315-0140
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.

NOTICE OF AWARD (Continuation Sheet)

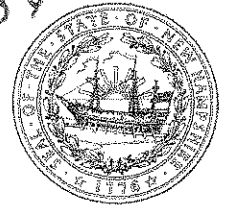
PAGE 2 of 2	DATE ISSUED 06/25/2015
GRANT NO. 6 U3REP150490-01-01	

Federal Financial Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
05/18/2015	12/31/2015	Annual	03/30/2016
01/01/2016	12/31/2016	Annual	03/31/2017
01/01/2017	12/31/2017	Annual	03/31/2018
01/01/2018	12/31/2018	Annual	03/31/2019
01/01/2019	12/31/2019	Annual	03/30/2020
01/01/2020	05/17/2020	Final	08/15/2020



The State of New Hampshire
Department of Environmental Services

FIS 15 239



Thomas S. Burack, Commissioner

October 26, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, NH 03301

REQUESTED ACTIONS

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Environmental Services (DES) to amend Fiscal Committee item# FIS 13-246 (approved on November 22, 2013) and G&C item# 22 (approved on December 4, 2013) as amended by Fiscal Committee item # FIS 15-117 (July 29, 2015) and G&C item # 61 (August 5, 2015) by extending the end date from December 31, 2015 to June 30, 2017 for the purpose of implementing the MtBE Settlement Agreements. No increase in funding is requested at this time. 100% MtBE Settlement Funds.

2. Pursuant to the provisions of RSA 124:15,I, authorize DES to extend the existing, five (5) full-time temporary positions end date from December 31, 2015 to June 30, 2017 effective upon Fiscal Committee approval. The positions were approved by the Division of Personnel on October 23, 2013. The positions were subsequently established by Fiscal Committee item# FIS 13-246, approved on November 22, 2013 and amended by Fiscal Committee item # FIS 15-117 (July 29, 2015).

Classification	Administrator IV	Administrator III	Civil Engineer VI	Environmentalist IV (2 positions)
Position #:	9T2655	9T2666	9T2666	9T2667/9T2668
Labor Grade:	33	31	32	27
Salary Range:	\$67,431 – \$92,313	\$61,484 – \$84,669	\$64,409 – \$88,491	\$51,773 – \$70,064

3. Pursuant to RSA 14:30-a, VI, authorize the Department of Environmental Services (DES) to amend Fiscal Committee item# FIS 13-246 (approved on November 22, 2013) and G&C item# 22 (approved on December 4, 2013) as amended by Fiscal Committee item # FIS 15-117 (July 29, 2015) and G&C item # 61 (August 5, 2015) to extend the end date for the reallocation of \$1,579,195 of MTBE Settlement funds from December 31, 2015 to June 30, 2017; effective upon Fiscal Committee and Governor and Council approval. 100% MTBE Settlement funds.

www.des.nh.gov

29 Hazen Drive • PO Box 95 • Concord, NH 03302-0095
 (603) 271-7174 • TDD Access: Relay NH 1-800-735-2964

EXPLANATION

The MtBE Remediation Bureau budget extension, transfer of funds, and continuation of five full time temporary positions, was approved by the Joint Legislative Fiscal Committee on July 29, 2015, FIS 15-117. The Governor and Executive Council subsequently approved the budget extension and transfer of funds on August 5, 2015, Item# 61. At the time of approval of the program's budget extension, the State was operating under a Continuing Resolution, resulting in the budget and positions being authorized for only the first six months of state Fiscal Year 2016 through December 31, 2015. Now that the State's budget for the full Fiscal Year 2016/2017 biennium has been signed into law, the approved budget, budget reallocation and temporary personnel end dates are being requested to extend through the end of the Fiscal Year 2016/2017 biennium.

The following is intended to address the seven questions required by the Fiscal Committee with respect to the acceptance of federal and other funds in which new positions are established or extended:

Q-1. List all Personnel by Classification, Labor Grade, and Salary:

See table above for temporary full-time personnel (positions originally approved on November 22, 2013 with extension approved on July 29, 2015).

Q-2. Explain the Nature, Need and Duration of the Program:

The positions subject to this request will be funded until June 30, 2017. Additional future funding requests are anticipated to fully utilize the MTBE settlement funding. The primary responsibility of the new and reassigned positions is to develop, administer, and manage a statewide MTBE corrective action plan, including work by DES contractors and distribution of financial assistance to affected parties. This requires a level of technical knowledge and skill that is consistent with the position classifications.

Q-3. What is the Relationship to Existing Programs?

The new positions are needed to provide DES with specific and dedicated expertise to implement a complex and comprehensive plan to address statewide MTBE contamination. Although current DES personnel perform MTBE contamination work in existing programs using existing funding, and are expert in that regard, their workload is too great to absorb all the new work planned for the settlement funds.

Q-4 Has a Substantially Similar Program been Requested of the Legislature and Denied?

No. This situation is unique and unprecedented.

Q-5 Why was this Program and its Funding Not Included in the Operating Budget?

At the time that the program was originally established, the State Operating Budget was being prepared, the case was still in litigation, and settlements with settling parties had not been completed. The program was established at that point in time as a temporary program and with the expectation of separate fiscal requests to reauthorize the program in each subsequent biennium until all settlement funds have been expended (currently projected to be approximately six years).

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
page 3

Q-6 Can any Portion of the Funds Be Utilized if Either, None, or Only Part of the Positions are Authorized?

Yes. However, due to existing workload, significant limitations would be placed on timely implementation of the full settlement funding plan. A much longer timeframe than the projected 6 years would be necessary.

Q-7 What is the Estimate of the Amount of Funding Required to Continue the Positions in Future Years?

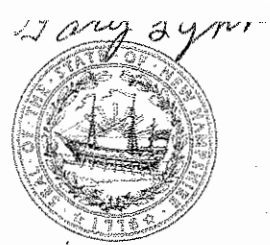
The positions created with settlement funds are not currently anticipated to be converted to permanent positions once all of the settlement funds have been expended. At this time, potential future funding needs are too difficult to predict, but in no case are they currently expected to involve any General Funds.

We respectfully request your approval.


Thomas S. Burack, Commissioner



The State of New Hampshire
Department of Environmental Services



Thomas S. Burack, Commissioner

July 1, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House

APPROVED
FISCAL COMMITTEE
DATE 7/29/15
ITEM # FIS 15-117

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, NH 03301

APPROVED G & C
DATE 8/5/15
ITEM # 61

REQUESTED ACTIONS

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Environmental Services (DES) to **retroactively** amend Fiscal Committee item# FIS 13-246 and G&C item# 22 (approved on December 4, 2013) by extending the end date from June 30, 2015 to December 31, 2015 for the purpose of implementing the MtBE Settlement Agreements. No increase in funding is requested at this time. 100% MtBE Settlement Funds.

2. Pursuant to the provisions of RSA 124:15,I, authorize DES to **retroactively** extend the existing, five (5) full-time temporary positions end date from June 30, 2015 to December 31, 2015 effective upon Fiscal Committee approval. The positions were approved by the Division of Personnel on October 23, 2013. The positions were subsequently established by Fiscal Committee item# FIS 13-246, approved on November 22, 2013.

Classification	Administrator IV	Administrator III	Civil Engineer VI	Environmentalist IV (2 positions)
Position #:	9T2655	9T2666	9T2666	9T2667/9T2668
Labor Grade:	33	31	32	27
Salary Range:	\$67,431 – \$92,313	\$61,484 – \$84,669	\$64,409 – \$88,491	\$51,773 – \$70,064

3. Pursuant to RSA 14:30-a, VI, authorize the Department of Environmental Services (DES) to amend Fiscal Committee item# FIS 13-246 and G&C item# 22 (approved on December 4, 2013) MTBE Settlement by reallocating \$1,579,195 for better utilization of funds; effective upon Fiscal Committee and Governor and Council approval through December 31, 2015. 100% MTBE Settlement funds.

Funding is to be rebudgeted as follows:

www.des.nh.gov

29 Hazen Drive • PO Box 95 • Concord, NH 03302-0095
(603) 271-7174 • TDD Access: Relay NH 1-800-735-2964

MTBE Settlement
03-44-44-444010-88930000
FY 2016/2017

Class	Budget Category	Current Budget	Requested Action	Revised Budget
Income				
009-407624	MtBE Funds	\$ (18,094,027)	-0-	\$ (18,094,027)
Expenditures				
18-500106	Overtime	6,631	3,369	10,000
20-500200	Current Expense	14,300	11,950	26,250
22-500255	Rents/Leases	4,301	3,147	7,448
24-500227	Contract Repairs	420	-0-	420
27-502799	Transfers to OIT	13,052	49,754	62,806
28-500292	Transfers to General Services	9,474	59,317	68,791
30-500320	Equipment	10,317	17,795	28,112
37-500173	Hardware	17,407	-0-	17,407
38-500175	Software	1,034	8,966	10,000
39-500188	Telecommunications	7,884	1,416	9,300
40-500800	Indirect Costs	132,057	3,203	135,260
42-500620	Additional Fringe Benefits	11,906	31,204	43,110
50-500109	Temporary Personnel	490,269	16,468	506,737
59-500117	Full Time Temporary	225,524	175,052	400,576
60-500601	Benefits	361,265	36,967	398,232
66-500543	Employee Training	1,551	7,449	9,000
70-500705	In-State Travel	127	7,071	7,198
101-500729	Medical Providers	6,622	-0-	6,622
102-500731	Contracts for Program Services	3,408,933	1,146,067	4,555,000
300-500830	Reimbursements	13,370,953	(1,579,195)	11,791,758
	Total	\$ 18,094,027	-0-	\$ 18,094,027

EXPLANATION

In 2003, the NH Department of Justice (DOJ) sued gasoline manufacturers and marketers to obtain damages for the statewide problem of MtBE contamination. DOJ has since settled with every defendant other than ExxonMobil. After deductions from the settlement for legal fees, costs, and administrative expenses, \$81,630,000 in settlement money is available for remediation of MtBE contamination in groundwater and drinking water. The MtBE Remediation Bureau is now fully staffed and is operating under the terms of a Memorandum of Understanding (MOU) with DOJ regarding the use of the settlement funds (see attached

MOU). Program related contracts and the reimbursement process are in place making it possible to accelerate the rate of cleanup and infrastructure improvements.

The purpose of this request is to extend the authorization period for the 5 full-time temporary MtBE Remediation Bureau staff, to carry forward the FY 14/15 funding authorization into the current FY 16/17 biennium and to rebudget previously approved funds to allow DES to continue to operate the new MtBE Remediation Fund and Bureau. When the FY14/15 budget for the MtBE Remediation Fund was established, many of the details and needs of the program were not yet established. Additionally, the Department did not expend funds as quickly as anticipated since the program has been fully operational less than a year instead of the anticipated year and a half. Extension of the existing funding authorization will allow DES to continue to operate the program until December 31, 2015. The extension of the personnel authorization is necessary to ensure that sufficient personnel are in place to administer the program. A supplemental funding request will be made when additional spending authorization is needed. The proposed changes have been discussed with the Department of Justice (DOJ) and DOJ approves of the changes to the budget.

DES is requesting to rebudget these funds as shown below:

- | | |
|-----------|--|
| Class 018 | To cover the cost for overtime for personnel expenses. |
| Class 020 | To cover costs for public outreach, postage, office supplies, field supplies and vehicle |
| Class 022 | To cover costs for rent related to office equipment, such as a copier/scanner, and to lease space at the Pease International Tradeport office of DES for seacoast area field work. |
| Class 027 | To cover costs for Department of Information Technology services. |
| Class 028 | To cover costs for Hazen Drive building rent. |
| Class 030 | To cover costs for a field vehicle and scientific instrumentation. |
| Class 038 | To cover the costs of database and GIS desktop software for the efficient processing, interpretation and presentation of the collected water quality data. |
| Class 039 | To cover the costs of telecommunications. |
| Class 050 | Extension of the existing budget deadline will provide funds to cover the costs for 8 full-time permanent positions currently funded in other programs but working on MtBE cleanup projects. These positions have been temporarily reassigned to the MtBE program. It will also cover the costs for 10 other staff who will perform support services and charge those costs to the MtBE program. MtBE funds will be used to supplant funding of the positions which are currently budgeted as follows: |

Vacant Positions to be Temporarily Reassigned to the MtBE Program

Position #	Title	Budget (03-44-44-)	Funding Mix	Biennium \$ Amount
40492	Hydrogeologist V	444010-25140000	100% Federal	71,497
12030	Hydrogeologist III	444010-53920000	100% Other	62,098
19746	Environmentalist III	443010-91030000	100% Other	45,929
19736	Environmentalist II	442010-38550000	100% Other	40,572
43355	Environmentalist II	442010-36730000	100% Other	38,898
43359	Environmentalist II	442010-36730000	100% Other	44,038
12105	Environmentalist II	442010-12000000	100% Other	38,898
42224	Executive Secretary	443010-90030000	100% Other	29,503
Total:				\$371,433

Existing Positions That Will Charge Limited Time to the MtBE Program

Position #	Title	Budget (03-44-44-)	Funding Mix	\$ Amount
12127	Administrator IV	444010-14000000	100% Other	7,100
16792	Civil Engineer VI	444010-14090000	100% Other	10,650
42135	Civil Engineer V	444010-14210000	100% Other	14,200
42141	Hydrogeologist III	444010-14210000	100% Other	14,200
19883	Hydrogeologist III	444010-20750000	100% Federal	10,650
18330	Hydrogeologist IV	444010-14210000	100% Other	14,200
42142	Environmentalist IV	444010-14210000	100% Other	10,650
41644	Administrator IV	441018-47900000	100% Other	10,650
19124	Hydrogeologist V	441018-47900000	100% Other	10,650
42731	Accountant III	440010-59230000	100 % Other	32,354
Total:				\$135,304


Total for Class 50: \$506,737

- Class 059 To cover the costs for the full-time, temporary bureau personnel.
- Class 060 To cover benefits for full-time personnel.
- Class 066 To cover costs for employee training.
- Class 070 To cover travel to various contaminated sites and to perform outreach and education.
- Class 101 To cover the costs for field personnel OSHA required medical monitoring.
- Class 102 To cover contracts for cleanup and drinking water contracts.
- Class 300 A reduction is requested to facilitate the above requested budget revisions without increasing the total program appropriation. The reduction in this class will not adversely affect the ability of the program to complete its work.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor ~~Margaret Wood Hassan~~
and the Honorable Council
page 5

We respectfully request your approval.


Thomas S. Burack, Commissioner



The State of New Hampshire
DEPARTMENT OF ENVIRONMENTAL SERVICES

FIS 13 246



Thomas S. Burack, Commissioner

November 22, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 and

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTIONS

- Pursuant to RSA 14:30-a VI, authorize the Department of Environmental Services (DES) to accept and expend \$22,316,661 in "MTBE" settlement funds from the Department of Justice (DOJ) to implement an MTBE corrective action plan, effective upon Fiscal Committee and Governor and Council approval through June 30, 2015.

Funding to be appropriated as follows:

100% MTBE settlement funds.

MTBE Settlement
03-44-44-444010-88930000

Class	Title	FY 14 Current Budget	Requested Action	Revised Budget
	Revenue			
009-407624	MtBE Funds	\$0	(\$22,316,661)	(\$22,316,661)
	Expenditures			
18-500106	Overtime	\$0	\$12,000	\$12,000
20-500200	Current Expense	\$0	\$32,773	\$32,773
22-500255	Rents/Leases	\$0	\$4,301	\$4,301
24-500227	Contract Repairs	\$0	\$420	\$420
27-502799	Transfers to OIT	\$0	\$74,146	\$74,146
28-500292	Transfers to General Services	\$0	\$67,906	\$67,906
30-500320	Equipment	\$0	\$52,500	\$52,500
37-500173	PC Desktop Hardware	\$0	\$25,736	\$25,736
38-500175	PC Desktop Software	\$0	\$3,237	\$3,237
39-500188	Telecommunications	\$0	\$9,750	\$9,750
40-500800	Indirect Costs	\$0	\$186,605	\$186,605
42-500620	Additional Fringe Benefits	\$0	\$40,658	\$40,658
50-500109	Temporary Personnel	\$0	\$755,201	\$755,201
59-500117	Full Time Temporary	\$0	\$599,394	\$599,394
60-500601	Benefits	\$0	\$702,001	\$702,001

Her Excellency, Margaret Wood Hassan
 And the Honorable Council
 Page 2

66-500543	Employee Training	\$0	\$3,750	\$3,750
70-500705	In-State Travel	\$0	\$4,533	\$4,533
101-500729	Medical Providers	\$0	\$6,750	\$6,750
102-500731	Contracts for Program Services	\$0	\$4,825,000	\$4,825,000
300-500830	Reimbursements	\$0	\$14,910,000	\$14,910,000
	Total:	\$0	\$22,316,661	\$22,316,661

2. Pursuant to the provisions of RSA 124:15,I, authorize DES to establish five (5) full-time, temporary positions effective upon Fiscal Committee and Governor and Council approval through June 30, 2015. The positions were approved by the Division of Personnel on October 23, 2013. A copy of the approval documentation is attached.

Classification:	Administrator IV	Administrator III	Civil Engineer VI	Environmentalist IV (2 positions)
Position #:	9T2655	9T2666	9T2669	9T2667 / 9T2668
Labor Grade:	33	31	32	27
Salary Range:	\$67,343 - \$92,209	\$61,411 - \$84,561	\$64,325 - \$88,386	\$51,696 - \$69,968

EXPLANATION

In 2003, the Department of Justice (DOJ) sued gasoline manufacturers and marketers to obtain damages for the statewide problem of MTBE contamination. DOJ has since settled with every defendant other than ExxonMobil.¹ After deductions from the settlement payments for legal fees, costs, and administrative expenses, \$81,630,000 in settlement money is available for remediation of MTBE contamination in groundwater and drinking water. The purpose of this request is to authorize DES to accept and expend \$22,316,661 in settlement funds from DOJ, to establish and begin implementation of a comprehensive MTBE corrective action plan. This includes the use of state cleanup/remediation contractors, reimbursements to affected parties for approved remedial costs, and DES personnel. Activity will be reported annually to the Fiscal Committee, Governor and Council, and House and Senate leadership.

In consultation with DOJ, DES is developing a detailed plan for use of the settlement funds that will be presented in early 2014, after public input. The estimated time period for implementing the entire plan is 6 years. The plan will include funds allocated for: (1) installation and improvement of public water supply infrastructure in areas having significant MTBE contamination, (2) investigation and remediation of existing contamination sites where private water wells are impacted, (3) testing at-risk private water wells within a location radius of contamination source sites, and (4) implementation of measures to prevent further MTBE contamination. In the near term, work will begin on plan elements that involve the most significant public-health risk concerns. This includes private water well testing and work at highly contaminated sites. Due to the significant technical complexity and expertise needed for the development and implementation of the plan, as well as annual reporting, high-level professional personnel are necessary.

The five requested full-time temporary positions will be combined with eight (8) temporarily reassigned existing vacant DES positions to form an MTBE remediation bureau that will implement the workplan. The

¹ The State also obtained a jury verdict of approximately \$236M against ExxonMobil. The verdict is currently under appeal. This request applies only to the settlement funds.

bureau's efforts will be divided between MTBE site cleanup and drinking water quality assessment. Three staff will work on the investigation and cleanup of priority MTBE-contaminated sites. Three staff will work on establishing and implementing comprehensive plans to sample at-risk private and transient public water supply wells to identify areas impacted by MTBE contamination. An additional five staff will support the field sampling efforts, and will only be hired after comprehensive sampling plans and lab analysis contracts are in place. The bureau will be led by an administrator and supported by an executive secretary. Staff in the bureau will also utilize existing DES staff in related programs on an as-needed basis to provide support to this important work.

Expenditures itemized by Class included in this request are budgeted for a partial year in FY 2014 and a full year in FY 2015, and described as follows:

- Class 018 To cover the cost of overtime for full-time temporary personnel.
- Class 020 To cover costs for public outreach, postage, office supplies, and vehicle expenses.
- Class 022 To cover costs for rented office equipment.
- Class 024 To cover costs for equipment repairs and maintenance.
- Class 027 To cover costs for Information Technology services.
- Class 028 To cover costs for building rent and related services.
- Class 030 To cover start up costs including vehicles, scientific instrumentation, and office equipment.
- Class 037 To cover the costs for desktop computers for the new staff
- Class 038 To cover the costs for desktop software for the new staff
- Class 039 To cover the costs for telecommunications.
- Class 040 To cover the program share of statewide indirect costs.
- Class 042 To cover the program share of additional fringe benefit costs.
- Class 050 To cover the costs for 8 full-time, permanent positions currently funded in other programs. These vacant positions will be filled and temporarily reassigned to the MTBE program. To cover the costs for 9 part-time equivalent personnel that work in related programs and will perform support services and charge those costs to the MTBE program. MTBE funds will be used to supplant funding of the positions, which are currently budgeted as follows:

Vacant Positions to be Temporarily Reassigned to MTBE Program

Position #	Title	Budget	Funding Mix	\$ Amount
40492	Hydrogeologist V	03-44-44-444010-25140000	100% Federal	118,191
12030	Hydrogeologist III	03-44-44-444010-53920000	100% Other	93,754
19746	Environmentalist III	03-44-44-443010-91030000	100% Other	93,754
19736	Environmentalist II	03-44-44-442010-38550000	100% Other	58,715
43355	Environmentalist II	03-44-44-442010-36730000	100% Other	58,715
43359	Environmentalist II	03-44-44-442010-36730000	100% Other	58,715
12105	Environmentalist II	03-44-44-442010-12000000	100% Other	66,082
42224	Executive Secretary	03-44-44-443010-90030000	100% Other	47,672
Total:				\$595,598

Existing Positions That Will Charge Limited Time to the MTBE Program

Position #	Title	Budget	Funding Mix	\$ Amount
12127	Administrator IV	03-44-44-444010-14000000	100% Other	12,756
16792	Civil Engineer VI	03-44-44-444010-14090000	100% Other	25,892
42135	Civil Engineer V	03-44-44-444010-14210000	100% Other	17,673

42140	Civil Engineer V	03-44-44-444010-14210000	100% Other	23,144
19883	Hydrogeologist III	03-44-44-444010-20750000	100% Federal	21,154
18330	Hydrogeologist IV	03-44-44-444010-14210000	100% Other	19,619
41644	Administrator IV	03-44-44-442010-47900000	100% Other	7,029
19124	Hydrogeologist V	03-44-44-442010-47900000	100% Other	32,336
				Total: \$159,603
				Total for Class 50: \$755,201

Funding that is budgeted in the accounts for the current positions referenced above will either be lapsed or closed-to-control.

- Class 059 To cover the costs for the 5 full-time, newly-established temporary positions for management, administration, and technical support that are listed on page 2.
- Class 060 To cover benefits for full-time and part-time personnel.
- Class 066 To cover costs for employee training.
- Class 070 To cover travel to various contaminated sites, and to perform outreach and education.
- Class 101 To cover costs for personnel medical monitoring.
- Class 102 To cover costs for DES contractors performing work at MTBE contaminated sites, work to prevent further contamination, and work to provide safe drinking water.
- Class 300 To cover costs for reimbursement of approved remedial project costs, including site investigation and remediation, and provision of safe drinking water.

The following is intended to address the seven questions required by the Fiscal Committee with respect to the acceptance of federal and other funds in which new positions are established or extended:

Q-1. List All Personnel by Classification, Labor Grade, and Salary:

See tables above for new temporary full-time personnel and temporary personnel reassignments.

Q-2. Explain the Nature, Need, and Duration of the Program:

The positions subject to this request will be funded until June 30, 2015. Additional FY funding requests are anticipated to fully utilize the MTBE settlement funding. The primary responsibility of the new and reassigned positions is to develop, administer, and manage a statewide MTBE corrective action plan, including work by DES contractors and distribution of financial assistance to affected parties. This requires a level of technical knowledge and skill that is consistent with the position classifications.

Q-3. What is the Relationship to Existing Programs?

The new positions are needed to provide DES with specific and dedicated expertise to implement a complex and comprehensive plan to address statewide MTBE contamination. Although current DES personnel perform MTBE contamination work in existing programs using existing funding, and are expert in that regard, their workload is too great to absorb all the new work planned for the settlement funds.

Q-4. Has a Substantially Similar Program been Requested of the Legislature and Denied?

No. This situation is unique and unprecedented.

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Margaret Wood Hassan
And the Honorable Council
Page 5

Q-5 Why was this Program and Its Funding Not Included in the Operating Budget?

At the time that the State Operating Budget was being prepared the case was still in litigation, and settlements with settling parties had not been completed.


Q-6 Can any Portion of the Funds Be Utilized if Either, None, or Only Part of the Positions are Authorized?

Yes. However, due to existing workload, significant limitations would be placed on timely implementation of the full settlement funding plan. A much longer timeframe than 6 years would be necessary.

Q-7 What is the Estimate of the Amount of Funding Required to Continue the Positions in Future Years?

The positions created with settlement funds will not be converted to permanent when the settlement funds are expended. At this time, potential future funding needs are too difficult to predict, but in no case would they involve any General Funds.

We respectfully request your approval.


Thomas S. Burack, Commissioner

Attachment: Division of Personnel Approval

SUPPLEMENTAL JOB DESCRIPTION

Classification: Administrator IV

Function Code: 0072-044

Position Title: MTBE Remediation Bureau Administrator

Date Established: 10/23/13

Position Number: 9T2655

Date of Last Amendment:

SCOPE OF WORK: Develops and administers programs for the investigation and cleanup of methyl-t-butyl ether (MTBE) contaminated properties/sites, including public and private water supplies and contamination source properties, and provides related technical and financial assistance to affected parties.

ACCOUNTABILITIES:

- Develops and recommends strategies to the Waste Management Division Director and Assistant Director, Commissioner, and Department of Justice for the management and allocation of special purpose funds to achieve statewide investigation and cleanup goals and objectives related to "MTBE" and other colocated contamination.
- Develops and implements investigation and cleanup projects to accomplish the annual and strategic goals of the MTBE Remediation Bureau.
- Provides technical support to the Department of Justice in all matters related to MTBE special purpose funds, including settlement negotiations and litigation support. Develops and presents testimony and annual reports to the Legislature and Governor & Council on Bureau activities, and acts as liaison to the Legislature and Department of Justice in all matters related to the management, allocation, and distribution of funds.
- Coordinates activities and develops procedures to assist the in development and implementation of budgets, service contracts and various reports to meet goals and objectives and ensure effective financial management of funds. Works in collaboration with the WMD Director and Assistant Director, other Department Bureaus and the Department Accounting Unit.
- Develops and maintains one or more detailed spreadsheets to monitor program expenditures and budgets including expenditures associated with transfer of staff costs from other supporting programs within the Department, reimbursements to eligible parties, encumbrances for approved work being completed by responsible parties, encumbrances for approved work being completed by Department contractors, reimbursements to eligible parties, and assistance grants to monitor bureau/program status relative to the current balance of the MTBE funding provided to the Department.
- Develops, organizes and coordinates outreach and education programs for local officials, property owners, and residents concerning fund allocation strategies. Conducts public meetings as necessary on such strategies and implementation plans.
- Communicates with senior federal, state, and local officials, attorneys, legislators, engineering consultants and other state agencies as necessary.
- Manages bureau staff to implement MTBE contamination investigation and cleanup strategies, goals, and objectives.
- Continuously seeks to improve practices to add quality and value in support of the Department's mission while identifying and eliminating unnecessary or redundant actions.

MINIMUM QUALIFICATIONS:

Education: Master's degree from a recognized college or university with a major concentration in civil, mechanical, environmental, sanitary or chemical engineering, geology, or hydrogeology.

Experience: Eight years' experience in the practice of civil, mechanical, environmental, sanitary, or chemical engineering, geology, or hydrogeology post Professional Engineer or Professional Geologist licensure, five years of which must have been in a management level position involving administrative or supervisory duties concerned with program administration, program planning and evaluation, business management or related management experience.

OR

Education: A Bachelor's degree from a recognized college or university with a major concentration in civil, mechanical, environmental, sanitary or chemical engineering, geology or hydrogeology.

Experience: Nine years' work experience in the practice of civil, mechanical, environmental, sanitary, or chemical engineering, geology or hydrogeology post Professional Engineer or Professional Geologist licensure, five years of which must have been in a management level position involving administrative or supervisory duties concerned with program administration, program planning and evaluation, business management or related management experience.

License/Certification: Required to be a Licensed Professional Engineer or Professional Geologist in the State of New Hampshire or in another state and obtain registration in NH within one year of employment.

SPECIAL REQUIREMENTS: The ideal candidate will have specific experience in management of petroleum contamination investigation and cleanup projects and related funds in New Hampshire, or similar programs in another state. A working knowledge and experience with EXCEL spreadsheets and graphing functions and POWERPOINT is required. For appointment consideration, applicants must successfully participate in a structured interview measuring possession of knowledge, skills and abilities identified as necessary for satisfactory job performance by this class specification.

DISCLAIMER STATEMENT: The supplemental job description lists typical examples of work and is not intended to include every job duty and responsibility specific to a position. An employee required to perform other related duties not listed on the supplemental job description provided that such duties are characteristic of that classification.

SIGNATURES:

The above is an accurate reflection of the duties of my position.

Employee's Name and Signature

Date Reviewed

Supervisor's Name and Title: H. Keith DuBois, P.G., Assistant Director, Position # 16785

The above job description accurately measures this employee's job description.

Supervisor's Signature

Date Reviewed

Jennifer J. Elberfeld MR

10-23-13

Division of Personnel

Date Approved

SUPPLEMENTAL JOB DESCRIPTION

CLASSIFICATION: Administrator III

FUNCTION CODE: 0071-044

POSITION TITLE: Drinking Water Quality Administrator

DATE ESTABLISHED: 10/23/13

POSITION NUMBER: 9T2666

DATE OF LAST AMENDMENT:

SCOPE OF WORK: Administers the drinking water well sampling program related to the contamination of groundwater with methyl-t-butyl ether (MTBE) including program planning and strategy development as well as interpreting data to draw conclusions and identify appropriate responses.

ACCOUNTABILITIES:

- Develops, authorizes and monitors statewide drinking water well sampling program related to MTBE contamination.
- Provides testimony to elected officials for the purpose of explaining the private well sampling plan and addressing inquiries relative to the agency's objectives and goals for the program.
- Formulates and implements drinking water well sampling program budgets.
- Evaluates drinking water sampling program operations for effectiveness and proper allocation of program resources and implements required fiscal and programmatic changes.
- Plans, develops and evaluates training programs for staff and contractors associated with developing and implementing the drinking water well sampling program.
- Develops scope of work and associated contractor solicitation documents and manages contracts associated with implementing the drinking water well sampling program.
- Oversees the development, design and operation of a database for the drinking water well sampling program with the Water Division – Water Supply Engineering Bureau (WSEB) to: (a) assure database compatibility with existing WSEB databases; (b) data collected is of appropriate quality for future use by WSEB; and (c) assist in facilitating field work WSEB may wish to complete concurrently with the MTBE Remediation Bureau's drinking water well sampling program activities
- Formulates and implements a public communication plan to promote and support the drinking water well sampling program.
- Oversees the development of appropriate spreadsheets and POWERPOINT presentations for tracking the MTBE water supply sampling program and presentation of data at internal and external meetings.
- Continuously seeks to improve practices to add quality and value in support of the Department's mission while identifying and eliminating unnecessary or redundant actions.

MINIMUM QUALIFICATIONS

Administrator III (Drinking Water Quality Administrator)
Position: 9T2666
Page 2

Education: Master's degree from a recognized college or university with major study in a science discipline.

Experience: Seven years' experience in a science field, four years of which must have been in a management level position involving administrative or supervisory duties concerned with program administration, program planning and evaluation or related management experience.

OR

Education: Bachelor's degree from a recognized college or university with major study in a science field.

Experience: Eight years' experience in a science field or occupation relevant to program area in which position is assigned, four years of which must have been in a management level position involving administrative or supervisory duties concerned with program administration, program planning and evaluation or related management experience.

SPECIAL REQUIREMENTS: Five years experience in an environmental resource conservation position with substantial involvement with the development or implementation of GIS, database, and spreadsheet programs to track and manage data collection, data analysis and reporting and proficiency in the use of GIS, database and spreadsheet programs. Must have excellent oral and written communication skills. Must have working knowledge of POWERPOINT. For appointment consideration, applicants must successfully participate in a structured interview measuring possession of knowledge, skills and abilities identified as necessary for satisfactory job performance by this class specification.

DISCLAIMER STATEMENT: The supplemental job description lists typical examples of work and is not intended to include every job duty and responsibility specific to a position. An employee may be required to perform other related duties not listed on the supplemental job description provided that such duties are characteristic of the classification.

SIGNATURES:

The above is an accurate reflection of the duties of my position.

Employee's Signature

Date Reviewed

Supervisor's Name and Title: Administrator IV, Position # 9T2655

The above job description accurately measures this employee's job duties.

Supervisor's Signature

Date Reviewed

Jennifer J. Elberfeld MR

10/23/13

Division of Personnel

Date Approved

SUPPLEMENTAL JOB DESCRIPTION

CLASSIFICATION: Environmentalist IV

FUNCTION CODE: 4098-044

POSTION TITLE: Environmentalist IV

DATE ESTABLISHED: 10-23-13

POSITION NUMBER: 9T2667

DATE OF LAST AMENDMENT:

SCOPE OF WORK: To supervise environmental program activities associated with the drinking water well sampling program related to the contamination of groundwater with methyl-t-butyl ether (MTBE).

ACCOUNTABILITIES:

- Supervises the drinking water sampling program for wells related to the contamination of groundwater with MTBE, planning, developing and implementing the program statewide.
- Plans and coordinates the drinking water well sampling program using databases and geographical information systems software to organize, coordinate, monitor and analyze data to conduct sampling and assess environmental conditions.
- Reviews the results of the drinking water testing program, provides well owners with information explaining the results and coordinates with applicable program staff to recommend appropriate remedial action.
- Consults with state officials, local officials, contractors and owners of drinking water wells to ensure coordination and implementation of the drinking water well sampling program.
- Provides technical and logistical support for internal and external meetings.
- Continuously seeks to improve practices to add quality and value in support of the Department's mission while identifying and eliminating unnecessary or redundant actions.

MINIMUM QUALIFICATIONS:

Education: Bachelor's degree from a recognized college or university with major study in a related environmental science. Each additional year of approved formal education may be substituted for one year of required work experience.

Experience: Six years' experience as an Environmental Engineer, Hydrogeologist, Geologist or Environmentalists in a public or private organization with responsibility for program management, research, planning, soil and water sampling for laboratory analysis, and evaluation and presentation of analytical data, two years of which shall have involved supervisory duties as well as the preparation of various environmental documents, legal briefs or related research.

License/Certification: Eligibility for N.H. driver's license.

Environmentalist IV
Position 9T2667
Page 2

SPECIAL REQUIREMENTS: Experience in GIS, database and spreadsheet programs for the purposes implementing the drinking water well sampling program. Experience in collecting environmental samples and processing data. Must have excellent oral and written communication. For appointment consideration, applicants must successfully participate in a structured interview measuring possession of knowledge, skills and abilities identified as necessary for satisfactory job performance by this class specification. skills.

DISCLAIMER STATEMENT: The supplemental job description lists typical examples of work and is not intended to include every job duty and responsibility specific to a position. An employee may be required to perform other related duties not listed on the supplemental job description provided that such duties are characteristic of that classification.

SIGNATURES:

The above is an accurate reflection of the duties of my position.

Employee's Signature

Date Reviewed

Supervisor's Name and Title: Administrator III, Position # 9T2666

The above job description accurately measures this employee's job duties.

Supervisor's Signature

Date Reviewed

Jennifer J. Elberfeld MR

10-23-13

Division of Personnel

Date Approved

SUPPLEMENTAL JOB DESCRIPTION

Classification: Environmentalist IV

Function Code: 4098 -044

Position Title: Hazardous Waste Site Risk Assessor

Date Established: 10/23/13

Position Number: 9T2668

Date of Last Amendment:

SCOPE OF WORK: Supports the Department of Environmental Services, MTBE Remediation Bureau, by assessing potential and existing human health risks associated with exposure to hazardous substances in water supply wells, formulates recommendations and guidance for reduction of those health risks, engages in risk communication with impacted supply well users, and assists in the development and maintenance of a groundwater quality sampling program and related data base.

ACCOUNTABILITIES:

- Reviews and evaluates compiled environmental sampling data and reports to identify actual and potential human health risks associated with chemical contaminants at methyl-t-butyl ether (MTBE) sites and other MTBE-impacted properties and water supplies.
- Reviews, analyzes and evaluates technical data from the sampling of drinking water wells to evaluate the human health risk of exposure to detected contaminants.
- Explains and clarifies technical information regarding well water testing results in response to inquiries from well owners and other interested parties.
- Consults with state officials, local officials, contractors and drinking water well owners/users to convey and explain drinking water analytical results in the context of potential health impacts.
- Prepares highly technical, comprehensive multi-media assessments of the effects of environmental contamination on human populations to develop risk reduction strategies.
- Researches and analyzes scientific literature to develop fact sheets and pamphlets to educate the public regarding the presence of MTBE and other hazardous substances in water supplies, and actions that can be taken to limit exposure and reduce risk.
- Provides technical logistical support for the preparation of reports and presentations for use at internal and external meetings.
- Presents technical information at public meetings to educate the public regarding potential and actual health risks associated with contaminants in drinking water, and actions that can be taken to reduce risk.
- Assists in the development and maintenance of a groundwater quality sampling program and its related data base.
- Continually seeks to improve practices and to add quality and value in support of the Department's mission while identifying and eliminating unnecessary or redundant actions.

MINIMUM QUALIFICATIONS:

Education: Bachelor's degree from a recognized college or university with major study in environmental health, public health, toxicology, chemistry, biology, or a closely related biological or chemical sciences field. Each additional year of approved formal education may be substituted for one year of required work experience.

Environmentalist IV (Hazardous Waste Site Risk Assessor)
Position #9T2668
Page 2

Experience: Six year's experience as an Environmentalist in a public or private organization with responsibility for program management, research, planning, soil and water sampling for laboratory analysis, and evaluation and presentation of analytical data, two years of which shall have involved supervisory duties as well as the preparation of various environmental documents, legal briefs or related research.

LICENSE/CERTIFICATION: Eligibility for a NH driver's license.

SPECIAL REQUIREMENTS: For appointment consideration, applicants must successfully participate in a structured interview measuring possession of knowledge, skills and abilities identified as necessary for satisfactory job performance by this class specification. The structured interview is developed and administered, according to Division of Personnel guidelines, by representatives of the state agency in which the vacancy exists. The incumbent must satisfactorily complete a baseline medical examination and the personal protection training required by the National Institute of Occupational Safety and Health or other federal and state agencies. Must have a working knowledge of EXCEL and POWERPOINT.

DISCLAIMER STATEMENT: The supplemental job description lists typical examples of work and is not intended to include every job duty and responsibility specific to a position. An employee may be required to perform other related duties not listed on the supplemental job description provided that such duties are characteristic of that classification.

SIGNATURES:

The above is an accurate reflection of the duties of my position.

Employee's Signature

Date Reviewed

Supervisor's Name and Title: Administrator III, Position # 9T2666

The above job description accurately measures this employee's job duties.

Supervisor's Signature

Date Reviewed

Jennifer J. Elberfeld MR

10/23/13

Division of Personnel

Date Approved

SUPPLEMENTAL JOB DESCRIPTION

CLASSIFICATION: Civil Engineer VI

FUNCTION CODE: 2026 - 044

POSITION TITLE: Civil Engineer VI

DATE ESTABLISHED: 10-23-13

POSITION NUMBER: 9T2669

DATE OF LAST AMENDMENT:

SCOPE OF WORK: Manages and oversees engineering-related activity for the investigation and cleanup of methyl-t-butyl ether (MTBE) contaminated properties/sites, including public and private water supplies and contamination source properties, and provides related technical assistance to affected parties.

ACCOUNTABILITIES:

- Manages and oversees the implementation of engineering activities for achieving annual and strategic statewide goals for the investigation and cleanup of "MTBE" and other gasoline contamination.
- Directs and supervises the MTBE remediation-related work of project managers (hydrogeologists and engineers) within the Site Remediation Programs of the Waste Management Division as planned and budgeted
- Provides engineering technical expertise for design, installation, operation, and maintenance of remediation systems to remedy MTBE and other gasoline contamination, and ensure compliance with technical specifications and regulatory requirements for such systems.
- Provides engineering technical expertise for design and installation of public and private water supply systems to remedy MTBE and other gasoline contamination, and ensure compliance with technical specifications and regulatory requirements for such systems.
- Provides engineering technical expertise for design, installation, operation, and maintenance of point-of-entry treatment or other temporary systems for public and private water supplies to remedy MTBE and other gasoline ether contamination, and ensure compliance with technical specifications and regulatory requirements for such systems.
- Oversees development of plans, specifications, and bid documents, and performs bid administration and other work as necessary to implement various engineered cleanup projects. Oversees cleanup work performed by state and contaminated site-owner contractors, and supervises major engineering projects in the field to assure cleanup is completed in accordance with plans, specifications, regulations, and approved budgets.
- Communicates with local and state agency representatives, property owners, residents, federal agencies and other Department staff to coordinate various engineered cleanup work. Coordinates all public water supply work with the Drinking Water & Groundwater Bureau.
- Negotiates with industry officials, attorneys, engineers, and consultants to achieve cleanup project goals and objectives, and assure compliance with state and federal technical and regulatory requirements.
- Continuously seeks to improve practices to add quality and value in support of the Department's mission while identifying and eliminating unnecessary or redundant actions.
- Provides technical support for the development of presentation material for internal and external meetings. Participates in meetings as required.

MINIMUM QUALIFICATIONS:

Education: Master's degree from a recognized college or university with a major concentration in civil engineering, or possession of a Bachelor of Science degree from a recognized college or university with a major in civil engineering and possession of a Master of Business Administration degree from a recognized college or university.

Experience: Three years' work experience in the practice of civil engineering post Professional Engineer licensure; or seven years' post baccalaureate experience in the practice of civil engineering.

OR

Education: Possession of a Bachelor of Science degree from a recognized college or university with a major concentration in civil engineering.

Experience: Five years' work experience in the practice of civil engineering post Professional Engineer licensure, or nine years' post baccalaureate experience in the practice of civil engineering.

OR

Education: Possession of an Associate degree from a recognized college or university, with a major study in civil technology.

Experience: Seven years' work experience in the practice of civil engineering post Professional Engineer registration, or nine years' experience in the practice of civil engineering at a level equivalent to Civil Engineer I (or higher), plus two additional years' experience in a position comparable to an Engineering Technician.

LICENSE/CERTIFICATION: Must be, or within one year of employment, registration as a Professional Engineer in the State of New Hampshire. Eligibility for N.H. driver's license required for performing professional field work.

SPECIAL REQUIREMENTS: The ideal candidate will have specific experience in petroleum contamination cleanup projects in New Hampshire or other states with similar geologic conditions and experience working with EXCEL spreadsheets and POWERPOINT. For appointment consideration, applicants must successfully participate in a structured interview measuring possession of knowledge, skills and abilities identified as necessary for satisfactory job performance by this class specification.. The incumbent must satisfactorily complete a baseline medical examination and the personal protection training requirements of the Occupational Safety and Health Agency or other federal and state agencies.

DISCLAIMER STATEMENT: The supplemental job description lists typical examples of work and is not intended to include every job duty and responsibility specific to a position. An employee may be required to perform other related duties not listed on the supplemental job description provided that such duties are characteristic of that classification.

SIGNATURES:

The above is an accurate reflection of the duties of my position.

Employee's Name and Signature

Date Reviewed

Supervisor's Name and Title: Administrator IV, Position #9T2655

The above job description accurately measures this employee's job duties.

Supervisor's Signature

Date Reviewed

Jennifer J. Elberfeld
MR.

10/23/13

Division of Personnel

Date Approved

MEMORANDUM OF UNDERSTANDING
STATE OF NEW HAMPSHIRE
DEPARTMENT OF JUSTICE
DEPARTMENT OF ENVIRONMENTAL SERVICES

A. Agreement

This Memorandum of Understanding between the Department of Justice (hereinafter "DOJ") and the New Hampshire Department of Environmental Services (hereinafter "DES") sets forth the terms and conditions whereby DOJ will dispense up to \$81,630,242.00 in settlement funds received in the case of *State v. Hess, et al* (hereinafter "MTBE case") to DES to implement mutually agreed-upon programs to prevent exposure to MTBE by identifying and remediating contamination of groundwater in New Hampshire, and providing safe, clean drinking water to those who have been affected.

B. Development of a Project Plan

DOJ and DES agree that the settlement funds should be used in a manner that will provide benefit to the greatest number of affected citizens, while focusing on those high priority sites that pose the most immediate danger to drinking water sources. DOJ and DES recognize that it is critical to the success of the MTBE remediation projects that the input of legislators and other stakeholders be considered as a detailed project plan is developed. DOJ and DES agree that by March 31, 2014, they will jointly schedule and attend meetings with legislators and various other stakeholders to seek input as to the use of the funds. Thereafter, DES will develop a long-range work proposal for: (1) investigation and remediation of existing contamination sites, (2) testing at-risk private drinking water wells within a location radius of contamination source sites, (3) providing safe, clean drinking water to impacted citizens, (4) installation and improvement of public water supply infrastructure in areas having significant MTBE contamination, and (5) implementation of measures to prevent further MTBE contamination. The plan must be approved by DOJ prior to implementation.

C. Scope of Work

DES will create an MTBE Remediation Bureau within the agency, which will have primary responsibility for implementing the approved work plan. The Bureau's efforts will include coordination of MTBE site cleanup, drinking water quality assessment, public and private drinking water supply improvements, and prevention work. Staff will be responsible for establishing and implementing comprehensive plans to sample at-risk private and transient public water supply wells to identify areas affected by MTBE contamination and for the investigation and cleanup of priority MTBE-contaminated sites. Where drinking water impacts are identified, staff will work to provide appropriate treatment or connection to new or existing private or public water systems. DES may engage the services of outside professionals as needed to implement the plan.

D. Payment

DOJ will reimburse DES on a quarterly basis for expenditures incurred.

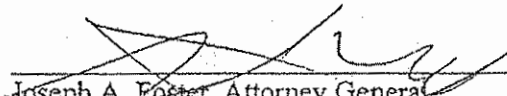
Prior to the ends of FY 2015, and FY 2017, respectively, DOJ and DES shall jointly determine the amount of funds that will be necessary to support the MTBE remediation program for the following biennium. Unless instructed otherwise by the Governor or the Legislature, DOJ will seek the approval of the Fiscal Committee and the Governor and Council to transfer that sum to DES by the beginning of the following fiscal year. Payments in total shall not exceed \$81,630,242.00.

E. Reporting

On a quarterly basis, DES will provide a report to DOJ that will include the name of each project, the total amount spent, what the money was spent on, and a copy of its appropriation summary report. DOJ may audit DES expenditures.

DES shall seek DOJ approval of any single project expenditure in excess of \$1,000,000.00.

10/31/13
Date



Joseph A. Foster, Attorney General
New Hampshire Department of Justice

10/31/2013
Date



Thomas S. Burack, Commissioner
Department of Environmental Services



STATE OF NEW HAMPSHIRE

FIS 15 240

DEPARTMENT OF HEALTH AND HUMAN SERVICES

OFFICE OF HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301
603-271-9404 1-800-852-3345 Ext. 9404

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Nicholas A. Toumpas
Commissioner

Mary Ann Cooney
Associate Commissioner

October 15, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to the provisions of RSA 14:30-a, VI, Additional Revenues, authorize the Department of Health and Human Services, Office of Human Services to accept and expend federal funds in the amount of \$362,852 from the US Department of Health and Human Services, Substance Abuse and Mental Health Services Administration (SAMHSA) for the purpose of Comprehensive Youth Treatment Strategic Planning, effective upon Fiscal Committee and Governor and Council approval through June 30, 2017, and further authorize the allocation of these funds in the accounts below. 100% Federal Funds
2. Pursuant to the provisions of NH RSA 124:15, Positions Restricted, and subject to the approval of Item 1 above, authorize the Department of Health and Human Services, Office of Human Services, to establish one full-time temporary (Class 059) position, utilizing funds from the Comprehensive Youth Treatment Strategic Planning effective upon Fiscal Committee and Governor and Council approval through June 30, 2017. The attached letter from the Division of Personnel approved the establishment of this position. 100% Federal Funds

Full-time, temporary (class 059) position – Program Specialist IV, Labor Grade 25

05-95-42-421010-5087 HEALTH AND SOCIAL SERVICES, HHS: HUMAN SERVICES, HHS: CHILD YOUTH AND FAMILIES, STATE YOUTH TREATMENT PLANNING				
SFY 2016				
Class/Object	Class Title	Current Authorized Budget	Increase/ (Decrease) Amount	Revised Modified Budget
000-400146	Federal Funds	\$ -	\$ 155,508.00	\$ 155,508.00
Total Revenue		\$ -	\$ 155,508.00	\$ 155,508.00

05-95-42-421010-5087 HEALTH AND SOCIAL SERVICES, HHS: HUMAN SERVICES, HHS: CHILD YOUTH AND FAMILIES, STATE YOUTH TREATMENT PLANNING				
SFY 2016				
Class/Object	Class Title	Current Authorized Budget	Increase/ (Decrease) Amount	Revised Modified Budget
020-500200	Current Expense	\$ -	\$3,000.00	\$3,000.00
037-500173	Technology - Hardware	\$ -	\$2,625.00	\$2,625.00
039-500188	Telecommunications	\$ -	\$675.00	\$675.00
041-500801	Audit Fund Set Aside	\$ -	\$156.00	\$156.00
059-500117	Full-Time - Benefited	\$ -	\$39,633.75	\$39,633.75
060-500601	Benefits	\$ -	\$19,878.75	\$19,878.75
070-500704	In-State Travel	\$ -	\$1,282.50	\$1,282.50
080-500710	Out-of-State Travel	\$ -	\$2,007.00	\$2,007.00
102-500731	Contracts for Program Services	\$ -	\$86,250.00	\$86,250.00
Total Expenses		\$ -	\$ 155,508.00	\$ 155,508.00

05-95-42-421010-5087 HEALTH AND SOCIAL SERVICES, HHS: HUMAN SERVICES, HHS: CHILD YOUTH AND FAMILIES, STATE YOUTH TREATMENT PLANNING				
SFY 2017				
Class/Object	Class Title	Current Authorized Budget	Increase/ (Decrease) Amount	Revised Modified Budget
000-400146	Federal Funds	\$ -	\$ 207,344.00	\$ 207,344.00
Total Revenue		\$ -	\$ 207,344.00	\$ 207,344.00
020-500200	Current Expense	\$ -	\$ 4,000.00	\$ 4,000.00
037-500173	Technology - Hardware	\$ -	\$ 3,500.00	\$ 3,500.00
039-500188	Telecommunications	\$ -	\$ 900.00	\$ 900.00
041-500801	Audit Fund Set Aside	\$ -	\$ 208.00	\$ 208.00
059-500117	Full-Time - Benefited	\$ -	\$ 52,845.00	\$ 52,845.00
060-500601	Benefits	\$ -	\$ 26,505.00	\$ 26,505.00
070-500704	In-State Travel	\$ -	\$ 1,710.00	\$ 1,710.00
080-500710	Out-of-State Travel	\$ -	\$ 2,676.00	\$ 2,676.00
102-500731	Contracts for Program Services	\$ -	\$ 115,000.00	\$ 115,000.00
Total Expenses		\$ -	\$ 207,344.00	\$ 207,344.00

EXPLANATION

The NH Department of Health and Human Services (NHDHHS) request to accept and expend federal funds from the US Department of Health and Human Services, Substance Abuse and Mental Health Administration in the amount of \$362,852.00 for State Fiscal Years 2016 and 2017.

NHDHHS, through the Office of Human Services will create an action-oriented, comprehensive 3-year Strategic Plan for increasing access to integrated evidence-based screening, assessment, treatment and recovery services and supports for adolescents (12-17) and transition age youth (18-25) with substance use and/or co-occurring substance use and mental health disorders throughout NH. This 3-year plan will include a plan of objectives and strategic activities that 1) Assess community based capacity for building a comprehensive system of substance abuse assessment, referral and treatment of transitional age youth; 2) design a sustainable funding strategy to support the objectives and activities identified; 3) design an enhanced technology data collection system that will coordinate with other data and health care technology and information exchange initiatives in NH.

NH DHHS will work collaboratively through the NH Children's Behavioral Health Collaborative and System of Care initiative and the NH Governor's Commission on Alcohol and Drug Abuse Prevention, Treatment and Recovery (the Governor's Commission) to develop the NH State Youth Treatment Planning (SYT-P) Initiative and serve adolescents (youth 12-17) and transition age youth (18-25) of all races and ethnicities living throughout NH. NH's SYT-P activities will serve a proportion of the state's overall population of 15-19 year olds (6.9%), and 20-24 year olds (6.7%) identified as aging out of juvenile justice and child protection services, as well as other youth in these age groups who demonstrate a need for substance abuse and mental health services.

NH's rate of 10% for 18-25 year olds reporting regular illicit drug (not including marijuana) use is *the highest in the country* and is 1.5 percentage points higher than the next closest state (Rhode Island, 8.6%) and higher than the national average of 6.9%. Furthermore, there were five times greater the number of heroin-related deaths in NH in 2014 than there were in 2008. Heroin-related Emergency Department (ED) visits and administrations of naloxone to prevent death from an overdose have also multiplied exponentially in the last two years.

Despite all of the supporting evidence of the substance abuse epidemic across the state among this population, the lack of accessible and appropriate treatment remains just as problematic, if not more. The Governor's Commission is working from a 5-year strategic plan, *Collective Action-Collective Impact: New Hampshire's Strategy for Reducing the Misuse of Alcohol and Other Drugs and Promoting Recovery, 2013-2017*. The two overarching goals of this five-year state plan to address alcohol and drug problems in New Hampshire through collection action are: 1) to reduce the percentage of NH residents misusing alcohol or other drugs; and 2) **to increase the percentage of individuals with substance use disorders receiving treatment and recovery support services.**

The SYT-P funds will build upon the NH Children's Behavioral Health Collaborative infrastructure that provides policy and practice guidance to local agencies and providers who provide a coordinated system of case management services to youth with mental and behavioral health conditions, as well as funded services through local case managers.

Funds in class 020 are need for the cost of general operating expenses.

Funds in class 037 are needed to purchase a laptop computer, docking stations, monitors, mouse, speakers, and a printer.

Funds in class 039 are needed to pay for the phone.

Funds in class 041 are needed to pay the audit-fee set aside costs.

Funds in class 059 are needed to fund one position requested.

Funds in class 060 are need to fund the benefits for the new temporary position.

Funds in class 070 are need to pay for in-state travel costs associated with public presenations and working with local officials.

Funds in class 080 are needed to pay for out-of-state travel costs associated with public presentations and working with federal officials.

Funds in class 102 are need to for contracted services.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

1. List of personnel involved:

Program Specialist IV, Labor Grade 25, Position # 9T2798

2. Nature, Need and Duration:

The full time Program Specialist IV project coordinator who will be responsible for coordinate grant funded activities, reporting to the federal funding agency, and monitoring contracts and agreements funded by the grant thru September 29, 2017.

3. Relationship to existing agency programs:

The position will work closely with the Bureau of Behavioral Health and Office of Human Services.

4. Has a similiar program been requested of the legislature and denied:

No

5. Why wasn't funding included in the agency's budget request?

The grant was awarded on July 16, 2015

6. Can portions of the grant fund be utilized for other purposes?

This request is 100% federally funded and can only be used for the purposes of the grant award.

7. Estimate the funds required to continue these positions:

<u>Position (Salary & Benefits)</u>	<u>FY 2016</u>	<u>FY 2017</u>
Program Specialist IV	\$79,350	\$79,350

In response to the anticipated two-part question, 'Can these funds be used to offset general funds?' and 'What is the compelling reason for not offsetting general funds?' The Office of Human Services offers the following information: these federal funds cannot be used to offset general funds, as they are restricted to the

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court, and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
Page 5

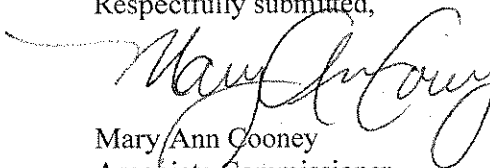
activities referenced herein. Should this request be, denied, the funds in question must be returned to the federal government.

Area served: statewide.

Source of Funds: 100% Federal.

If Federal Funds become no longer available, General Funds will not be requested to support the program expenditures.

Respectfully submitted,



Mary Ann Cooney
Associate Commissioner

Approved by:



Nicholas A. Toumpas
Commissioner



Notice of Award

State Youth Treatment-Planning
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration
Center for Substance Abuse Treatment

Issue Date: 07/16/2015

Grant Number: 1H79TI026036-01
FAIN: TI026036

Program Director:
Mary Ann Cooney MS

Project Title: Comprehensive Youth Treatment Strategic Planning

Grantee Address	Business Address
NH STATE DEPT OF HEALTH AND HUMAN SERS Associate Commissioner NH DHHS, Office of the Commissioner 105 Pleasant Street Concord, NH 033013852	Financial Manager NH Department of Health and Human Services 129 Pleasant Street Concord, NH 033013852

Budget Period: 09/30/2015 – 09/29/2016
Project Period: 09/30/2015 – 09/29/2017

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$248,564 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to NH STATE DEPT OF HEALTH AND HUMAN SERS in support of the above referenced project. This award is pursuant to the authority of Section 509 of the Public Health Service Act, as amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,

Eileen Bermudez
Grants Management Officer
Division of Grants Management

See additional information below

SECTION I – AWARD DATA – 1H79TI026036-01

Award Calculation (U.S. Dollars)

Salaries and Wages	\$52,845
Fringe Benefits	\$26,505
Personnel Costs (Subtotal)	\$79,350
Supplies	\$7,500
Consortium/Contractual Cost	\$115,000
Travel Costs	\$4,386
Other	\$900
Direct Cost	\$207,136
Indirect Cost	\$41,428
Approved Budget	\$248,564
Federal Share	\$248,564
Cumulative Prior Awards for this Budget Period	\$0
AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$248,564

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
1	\$248,564
2	\$248,564

*Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number: 93.243
 EIN: 1026000618B3
 Document Number: 15TI26036A
 Fiscal Year: 2015

IC	CAN	Amount
TI	C96N525	\$248,564

IC	CAN	2015	2016
TI	C96N525	\$248,564	\$248,564

TI Administrative Data:

PCC: SYT-P / OC: 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 1H79TI026036-01

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General,

Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW,
Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 1H79TI026036-01

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

Additional Costs

SECTION IV – TI Special Terms and Conditions – 1H79TI026036-01

REMARKS:

This award reflects approval of the budget submitted on April 6, 2015 as part of the application.

*Notes - All SAMHSA official notifications will be electronically mailed to your organization's Business Official address as identified in the HHS Checklist, Part C.

SPECIAL TERMS OF AWARD:

Disparity Impact Statement (DIS):

By November 30, 2015, you must:

Submit an electronic copy of a disparity impact statement to the Government Project Officer (GPO) and Grants Management Specialist (GMS) as identified under Contacts on this notice of award. The disparity impact statement should be consistent with information in your application regarding access, *service use and outcomes for the program and include three components as described below. Questions about the disparity impact statement should be directed to your GPO. Examples of disparity impact statements can be found on the SAMHSA website at <http://www.samhsa.gov/grants/grants-management/disparity-impact-statement>.

*Service use is inclusive of treatment services, prevention services as well as outreach, engagement, training and/or technical assistance activities.

The disparity impact statement, in response to the Special Condition of Award, consists of three components:

1. Proposed number of individuals to be [choose either: served, reached or trained] by subpopulations in the grant implementation area should be provided in a table that covers the entire grant period. The disparate population(s) should be identified in a narrative that includes a description of the population and rationale for how the determination was made.

2. A quality improvement plan for how you will use your program (GPRA) data on access, use and outcomes to monitor and manage program outcomes by race, ethnicity and LGBT status, when possible. The quality improvement plan should include strategies for how processes and/or programmatic adjustments will support efforts to reduce disparities for the identified sub-populations.

3. The quality improvement plan should include methods for the development and implementation of policies and procedures to ensure adherence to the Enhanced Culturally and Linguistically Appropriate Services (CLAS) Standards and the provision of effective care and services that are responsive to:

- a. Diverse cultural health beliefs and practices;
- b. Preferred languages; and
- c. Health literacy and other communication needs of all sub-populations within the proposed geographic region.

SPECIAL CONDITIONS OF AWARD:

Revised Budget:

You must submit by October 30, 2015 the following:

1. A revised budget with updated Personnel information and a breakdown of \$3500 allocated for the purchase of a Laptop computer. \$3500 appears excessive, please provide further cost breakdown so that we can see how the total amount was derived.
2. A budget breakdown for each contract, since contracts are to be reimbursable and not fixed price.

STANDARD TERMS OF AWARD:

Refer to the following SAMHSA website for Standard Terms of Award:

<http://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions> (Cooperative Agreement)

Key staff are listed below:

TBD, Project Director @ TBD Level of Effort
TBD, Project Evaluator @ TBD Level of Effort

All changes in key staff including level of effort must be sent electronically to the GPO including a biographical sketch and other documentation and information as stated above who will make a recommendation for approval or disapproval to the assigned Grants Management Specialist. Only the GMO, SAMHSA may approve Key Staff Changes.

REPORTING REQUIREMENTS:

Submission of a Programmatic (semi-annual) Report is due no later than the dates as follows:

1st Report - April 30, 2016
2nd Report - October 30, 2016

Please submit your Programmatic (semi-annual) Report to DGMPProgressReports@samhsa.hhs.gov and copy your Program Official. (HARD COPIES SUBMISSION IS NOT REQUIRED)

Failure to comply with the above stated terms and conditions may result in suspension, classification as High Risk status, termination of this award or denial of funding in the future.

All responses to special terms and conditions of award and post award requests may be electronically mailed to the Grants Management Specialist and to the Program Official as identified on your Notice of Award.

It is essential that the Grant Number be included in the SUBJECT line of the email.

CONTACTS:

Twyla Adams, Program Official
Phone: (240) 276-1576 Email: Twyla.Adams@samhsa.hhs.gov

Odessa Crocker, Grants Specialist
Phone: (240) 276-1078 Email: Odessa.Crocker@samhsa.hhs.gov

Award History
State Youth Treatment-Planning
1H79TI026036-01

Award Ending 9/29/2017	497,128
Anticipated Award Ending 9/30/2017	-
Anticipated Expended Through 6/30/16	(155,508)
Anticipated Allocated Expended Through 6/30/16	(30,915)
Anticipated Expended Through 6/30/17	(207,344)
Anticipated Allocated Expended Through 6/30/17	(41,220)
Available Award Balance 7/1/17	62,141
SFY 16 Appropriations *	-
OYR - brought forward	-
Available To Accept	62,141
Amount Requested this Action	362,852

* SFY 16 Appropriations:	2016 Budget	2016 CR	OYR	Total	This Action	Revised Budget
05-95-42-421010-5087	-	-	-	-	155,508	155,508
SYTP	-	-	-	-	-	-
Total	-	-	-	-	155,508	155,508
Detail Federal Funds:						
Adj for Other AU _Allocated	-	-	-	-	30,915	30,915
Total	-	-	-	-	30,915	30,915

* SFY 17 Appropriations:	2017 Budget	2016 CR	OYR	Total	This Action	Revised Budget
05-95-42-421010-5087	-	-	-	-	207,344	207,344
SYTP	-	-	-	-	-	-
Total	-	-	-	-	207,344	207,344
Detail Federal Funds:						
Adj for Other AU _Allocated	-	-	-	-	41,220	41,220
Total	-	-	-	-	41,220	41,220



State Of New Hampshire
DIVISION OF PERSONNEL
Department of Administrative Services
State House Annex – 28 School Street
Concord, New Hampshire 03301

VICKI V. QUIRAM
Commissioner
(603) 271-3201

SARA J. WILLINGHAM
Director of Personnel
(603) 271-3261

October 7, 2015

Marilyn Doe, Administrator II
Human Resources
Department of Health and Human Services
Concord, NH 03301

Regarding: Request to establish a full-time temporary Program Specialist IV, labor grade 25

Dear Ms. Doe:

The Division of Personnel approves of your request dated September 30, 2015 to establish a full-time temporary Program Specialist IV, labor grade 25 for the Division of Children, Youth & Families, Child Well-Being, State Youth Treatment Planning (SYT-P) Initiative, and have assigned the position number of 9T2798 pending approval of funding.

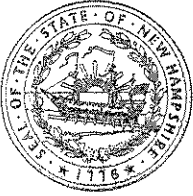
This position number will be inactive until you receive funding approval from the Fiscal Committee per RSA 124:15, and the Position Profile Form (PPF) is subsequently signed off on by the Department of Administrative Services Business Office.

It will be your responsibility to bring the request for funding before the Fiscal Committee. You may use this letter as confirmation of our decision. Once you have obtained Fiscal Committee approval, please notify the Classification Section with documentation. Thank you.

Very truly yours,

Jennifer J. Elberfeld
Classification & Compensation Administrator

Cc: Sara J. Willingham, Director of Personnel



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

October 28, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Safety, Division of Homeland Security and Emergency Management, to amend Fiscal Item# FIS 15-195 originally approved by the Fiscal Committee on September 25, 2015 and by Governor and Council on October 7, 2015, Item #61, by extending the end date **only** from December 31, 2015 to June 30, 2017 to accept and expend major Disaster Assistance funds in the amount of \$7,747,351.00 from the Federal Emergency Management Agency (FEMA) for DR-4105 February 2013 Severe Winter Storm, DR-4139 July 2013 Flooding and DR-4209 January 2015 Blizzard. Effective upon Fiscal Committee and Governor and Council approvals. Funding source: 100% Federal Funds.
2. Pursuant to RSA 124:15, authorize the Department of Safety, Division of Homeland Security and Emergency Management, to amend Fiscal Item# FIS 15-195 originally approved by the Fiscal Committee on September 25, 2015 and by Governor and Council on October 7, 2015, Item #61, by extending the end date **only** from December 31, 2015 to June 30, 2017 to establish two (2) temporary part-time, class 050 positions for the purpose of performing DR-4209 related project monitoring visits, closeout visits to confirm project completion, audit follow up, financial follow up, general administrative work, review reimbursement paperwork and monitor OMB requirements. Effective upon Fiscal Committee and Governor and Council approvals. Funding source: 100% Federal Funds.

Explanation

This request is to extend the end date **only** for Fiscal Item #FIS 15-195 and Governor and Council Item #61.

Regarding the DR 4105 funds, Governor Hassan submitted a request to the President on March 6, 2013 for a major disaster declaration due to a severe winter storm and snow storm on February 8-10, 2013 involving record snow precipitation in eight counties. The President declared the State of New Hampshire a major disaster area on March 19, 2013 and designated Belknap, Carroll, Cheshire, Hillsborough, Merrimack, Rockingham, Strafford and Sullivan counties eligible to receive public assistance.

Regarding the DR 4139 funds, Governor Hassan submitted a request to the President on July 16, 2013 for a major disaster declaration due to a severe thunderstorm, flooding and landslides that occurred from June 26 to July 3, 2013 involving three counties. The President declared the State of New Hampshire a major disaster area on August 2, 2013 and designated Cheshire, Grafton and Sullivan counties eligible to receive public assistance.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
October 28, 2015
Page 2 of 3

Regarding the DR 4209 funds, Governor Hassan submitted a request to the President on March 13, 2015 for a major disaster declaration in Hillsborough, Rockingham and Strafford counties due to a severe winter snowstorm which occurred January 26-28, 2015. The President declared the State of New Hampshire a major disaster area on March 25, 2015 and designated Hillsborough, Rockingham and Strafford counties eligible to receive public assistance.

Immediately after the initial disaster, FEMA issues funds as Public Assistance (PA) grants. These funds provide communities with reimbursement for expenses incurred for debris removal, emergency protective measures, repair of roads and bridges, water facilities, buildings and equipment utilities, etc. These PA grants can remain open to drawdown funds for as many as two to four years.

The request to accept \$7,747,351.00 represents the federal share of the public assistance and administrative cost allowances for the grantee and sub-grantees. Several New Hampshire State Agencies, including the Department of Transportation, the Department of Environmental Services, the Department of Resources and Economic Development, and the Department of Safety, along with over 100 New Hampshire municipalities are expected to apply for supplemental assistance with the Federal Emergency Management Agency.

The funding mechanism is 75% Federal /25% State/Local share. The 25% required match for State Agency projects will be paid from existing budgeted appropriations or an additional request for these funds will be made through the State Legislature. The local share is the responsibility of the local applicant. The administrative cost allowance is 100% federally funded and is awarded as a percentage of the public assistance project awards, if requested. Indirect costs are budgeted based only on the Grantee Administration portion of the funds, as all other funds are considered pass-through funds and are not subject to indirect costs.

- Class 018 The funds will be used for overtime costs associated with evening meetings, workshops, project monitoring and administration.
- Class 020 The funds will be used for supplies, materials, and operating expenses associated with administering disaster assistance projects.
- Class 030 The funds will be used to purchase office equipment identified as necessary with administration of the funds.
- Class 040 The funds will be used to pay state indirect costs.
- Class 041 The funds will be used to pay audit fund set aside expense.
- Class 050 The funds will be used to pay for part time salaries.
- Class 060 The funds will be used to pay benefits costs associated with overtime and temporary personnel.
- Class 070 The funds will be used to cover travel expenses associated with community visits.
- Class 072 The funds will be used for disaster assistance grants to communities and state agencies.
- Class 246 The funds will be used for grantee administrative costs.

The following information is provided in accordance with the comptroller's instructional memorandum dated September 12, 1981.

- 1) *List of personnel involved:* These positions have not been filled and will only be filled with temporary part time employees who will work on public assistance grant related work as needed throughout the duration of this grant.
- 2) *Nature, Need, and Duration:* The nature of this work is administrative and the work involved requires monitoring of closeout visits and monitoring of expense reports for local jurisdictions. HSEM does not have the staff to perform this work.
- 3) *Relationship to existing agency programs:* HSEM manages Public Assistance programs for local jurisdictions.
- 4) *Has a similar program been requested of the legislature and denied?* No
- 5) *Why wasn't funding included in the agency's budget request?* These funds were unanticipated at the time the budget was developed.
- 6) *Can portions of the grant funds be utilized?* Yes


The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
October 28, 2015
Page 3 of 3

7) *Estimate the funds required to continue this position:* **We do not anticipate the need for these positions beyond the duration of this grant.**

There are no General funds required with this request. In the event that Federal funds are no longer available, General funds and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelmes
Commissioner



State of New Hampshire

DEPARTMENT OF SAFETY
 OFFICE OF THE COMMISSIONER
 33 HAZEN DR. CONCORD, NH 03305
 603/271-2791

JOHN J. BARTHELMES
 COMMISSIONER

File # FIS 15-195
 09-25-2015

September 2, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

GC # 61

10-07-2015

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 14:30-a, VI, the Department of Safety, Division of Homeland Security and Emergency Management, requests authorization to accept and expend major Disaster Assistance funds in the amount of \$7,747,351.00 from the Federal Emergency Management Agency (FEMA) for DR-4105 February 2013 Severe Winter Storm, DR-4139 July 2013 Flooding and DR-4209 January 2015 Blizzard. Effective upon Fiscal Committee and Governor and Council approvals through December 31, 2015. Funding source: 100% Federal Funds.

2. Pursuant to RSA 124:15, authorize the Department of Safety, Division of Homeland Security and Emergency Management, to establish two (2) temporary part-time, class 050 positions for the purpose of performing DR-4209 related project monitoring visits, closeout visits to confirm project completion, audit follow up, financial follow up, general administrative work, review reimbursement paperwork and monitor OMB requirements. Effective upon Fiscal Committee and Governor and Council approvals through December 31, 2015. Funding source: 100% Federal Funds.

Funds will be budgeted in an account titled Declared Disasters PA:

02-23-23-236010-12320000 Dept. of Safety - Homeland Sec.-Emer. Mgmt. - Declared Disasters PA
 Revenue Source: 404805

Class	Description	Current Budget	Requested Action	Revised Budget
000	Estimated Revenue	\$0	(\$7,747,351)	(\$7,747,351)
018-500106	Overtime	\$0	\$7,000	\$7,000
020-500200	Current Expense	\$0	\$3,450	\$3,450
030-500301	Equipment	\$0	\$10,000	\$10,000
040-500800	Indirect Cost	\$0	\$13,250	\$13,250
041-500801	Audit Fund Set Aside	\$0	\$8,350	\$8,350
050-500109	Part-Time Positions	\$0	\$30,000	\$30,000
060-500601	Benefits	\$0	\$7,300	\$7,300
070-500704	In State Travel	\$0	\$5,000	\$5,000

072-500574	Grants - Federal	\$0	\$7,604,001	\$7,604,001
080-500710	Out of State Travel	\$0	\$3,000	\$3,000
246-500792	Grantee Administrative Costs	\$0	\$56,000	\$56,000
	Total		\$7,747,351	\$7,747,351

Explanation

This request is to accept and expend major disaster funds from the Federal Emergency Management Agency.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15,"Positions Authorized" or both:

1. *Is the action required of this request a result of the Continuing Resolution for FY 2016?* No.
2. *If this request is retroactive what is the significance and importance of the action being effective from an earlier date?* No, this request is not retroactive.
3. *Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)* Authorization to accept DR 4105 funds was granted by the Fiscal Committee on September 13, 2013 as item #FIS 13-181 and by the Governor and Council on September 18, 2013 as item #59. Authorization to accept DR 4139 funds was granted by the Fiscal Committee on October 18, 2013 as item #FIS 13-217 and by the Governor and Council on November 6, 2013 as item #104. Copies of these items are attached. Federal funds for DR 4209 have just recently been awarded and authorization to accept these funds is being requested.
4. *Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?* No.
5. *Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?* No.
6. *Does this program include either positions or consultants and, if so, are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)* This grant provides for two (2) temporary positions that have not been filled at this time. These positions are for part-time Program Assistants to perform project monitoring visits, closeout visits to confirm project completion, audit follow up, financial follow up, general administrative work, review reimbursement paperwork and monitor OMB requirements.
7. *What would be the effect should this program be discontinued or not initiated as a result of this request being denied?* The funds would not be utilized.

Regarding the DR 4105 funds, Governor Hassan submitted a request to the President on March 6, 2013 for a major disaster declaration due to a severe winter storm and snow storm on February 8-10, 2013 involving record snow precipitation in eight counties. The President declared the State of New Hampshire a major disaster area on March 19, 2013 and designated Belknap, Carroll, Cheshire, Hillsborough, Merrimack, Rockingham, Strafford and Sullivan counties eligible to receive public assistance.

Regarding the DR 4139 funds, Governor Hassan submitted a request to the President on July 16, 2013 for a major disaster declaration due to a severe thunderstorm, flooding and landslides that occurred from June 26 to July 3, 2013 involving three

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
September 2, 2015
Page 3 of 4

counties. The President declared the State of New Hampshire a major disaster area on August 2, 2013 and designated Cheshire, Grafton and Sullivan counties eligible to receive public assistance.

Regarding the DR 4209 Governor Hassan submitted a request to the President on March 13, 2015 for a major disaster declaration in Hillsborough, Rockingham and Strafford counties due to a severe winter snowstorm which occurred January 26-28, 2015. The President declared the State of New Hampshire a major disaster area on March 25, 2015 and designated Hillsborough, Rockingham and Strafford counties eligible to receive public assistance.

Immediately after the initial disaster, FEMA issues funds as Public Assistance (PA) grants. These funds provide communities with reimbursement for expenses incurred for debris removal, emergency protective measures, repair of roads and bridges, water facilities, buildings and equipment utilities, etc. These PA grants can remain open to drawdown funds for as many as two to four years.

The request to accept \$7,747,351.00 represents the federal share of the public assistance and administrative cost allowances for the grantee and sub-grantees. Several New Hampshire State Agencies, including the Department of Transportation, the Department of Environmental Services, the Department of Resources and Economic Development, and the Department of Safety, along with over 100 New Hampshire municipalities are expected to apply for supplemental assistance with the Federal Emergency Management Agency.

The funding mechanism is 75% Federal /25% State/Local share. The 25% required match for State Agency projects will be paid from existing budgeted appropriations or an additional request for these funds will be made through the State Legislature. The local share is the responsibility of the local applicant. The administrative cost allowance is 100% federally funded and is awarded as a percentage of the public assistance project awards, if requested. Indirect costs are budgeted based only on the Grantee Administration portion of the funds, as all other funds are considered pass-through funds and are not subject to indirect costs.

- Class 018 The funds will be used for overtime costs associated with evening meetings, workshops, project monitoring, and administration.
- Class 020 The funds will be used for supplies, materials, and operating expenses associated with administering disaster assistance projects.
- Class 030 The funds will be used to purchase office equipment identified as necessary with administration of the funds.
- Class 040 The funds will be used to pay state indirect costs.
- Class 041 The funds will be used to pay audit fund set aside expense.
- Class 050 The funds will be used to pay for part time salaries.
- Class 060 The funds will be used to pay benefits costs associated with overtime and temporary personnel.
- Class 070 The funds will be used to cover travel expenses associated with community visits.
- Class 072 The funds will be used for disaster assistance grants to communities and state agencies.
- Class 246 The funds will be used for grantee administrative costs.

The following information is provided in accordance with the comptroller's instructional memorandum dated September 12, 1981.

- 1) *List of personnel involved:* These positions have not been filled and will only be filled with temporary part time employees who will work on public assistance grant related work as needed throughout the duration of this grant.
- 2) *Nature, Need, and Duration:* The nature of this work is administrative and the work involved requires monitoring of closeout visits and monitoring of expense reports for local jurisdictions. HSEM does not have the staff to perform this work.
- 3) *Relationship to existing agency programs:* HSEM manages Public Assistance programs for local jurisdictions.
- 4) *Has a similar program been requested of the legislature and denied?* No
- 5) *Why wasn't funding included in the agency's budget request?* These funds were unanticipated at the time the budget was developed.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court


Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
September 2, 2015
Page 4 of 4

6) *Can portions of the grant funds be utilized?* Yes

7) *Estimate the funds required to continue this position:* We do not anticipate the need for these positions beyond the duration of this grant.

There are no General funds required with this request. In the event that Federal funds are no longer available, General funds and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelmes
Commissioner

Homeland Security and Emergency Management
Public Assistance Grant Program

Fiscal Situation: Account 02-23-23-236010-12320000

Federal Funds Awarded:

DR4105 Feb '13 Severe Winter Storm	\$6,127,598.07
DR4139 July 2013 Flooding	\$6,389,704.77
DR4209 Jan 2015 Blizzard	\$5,218,572.75

Total Grant Funds Awarded	\$17,735,875.59
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Less expenses in FY 2013	(\$1,863,428.65)
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Less expenses in FY 2014	(\$5,945,184.16)
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Less expenses in FY 2015	(\$2,168,744.49)
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Total Prior Fiscal Year Actual Expenses	(\$9,977,357.30)
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Grant Funds Remaining	\$7,758,518.29
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This Request	\$7,747,351.00
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Report Generated On: 09-08-2015 12:13 PM GMT

Disaster Number: 4105

Grantee Type: State Portion

Date: 09/08/2015 12:13

Federal Emergency Management Agency
Public Assistance Summary (S,5)
Disaster: FEMA-4105

Total Number of Records: 12

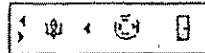
Categories	Initial 100%	Current 100%	Total Requested (100% PWs)	Federal Share Requested	Amount Obligated Federal Share	Pending Obligation in IFMIS	On Hold (100%)
A.DEBRIS REMOVAL	\$0.00	\$52,399.25	\$52,399.25	\$39,299.44	\$39,299.44	\$0.00	\$0.00
B.PROTECTIVE MEASURES	\$4,854,064.00	\$7,712,988.31	\$7,712,988.31	\$5,784,741.45	\$5,784,741.45	\$0.00	\$0.00
C.ROADS & BRIDGES	\$8,500.00	\$12,340.00	\$12,340.00	\$9,255.00	\$9,255.00	\$0.00	\$0.00
D.WATER CONTROL FACILITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
E.PUBLIC BUILDINGS	\$4,000.00	\$85,304.72	\$85,304.72	\$63,978.54	\$63,978.54	\$0.00	\$0.00
F.PUBLIC UTILITIES	\$25,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
G.RECREATIONAL OR OTHER	\$0.00	\$266,252.85	\$266,252.85	\$199,689.64	\$199,689.64	\$0.00	\$0.00
Total PWs	\$4,891,564.00	\$8,129,285.13	\$8,129,285.13	\$6,096,964.07	\$6,096,964.07	\$0.00	\$0.00
Grantee Admin Cost			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Subgrantee Admin Cost			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Z.STATE MANAGEMENT		\$203,639.00	\$30,634.00	\$30,634.00	\$30,634.00	\$0.00	\$0.00
Total Administrative Cost		\$203,639.00	\$30,634.00	\$30,634.00	\$30,634.00	\$0.00	\$0.00
Grand Total:	\$4,891,564.00	\$8,332,924.13	\$8,159,919.13	\$6,127,598.07	\$6,127,598.07	\$0.00	\$0.00

Current Cost Estimate Notes

07/01/2015 Update Current 100% Cat Z from \$271,518 to \$203,639 to reflect 12 month lock in letter-A. Galluzzo

Public Assistance Summary (S.5)
 Count: Applicants - 169
 Subdivisions - 0

Type of Applicant	APPLICANTS				SUBDIVISIONS			
	No. of Applicants	Elig			No. of Subdivisions	Elig		
		Eligible	Ineligible	Unknown		Eligible	Ineligible	Unknown
Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)	3	2	0	1	0	0	0	0
Special District Government	9	9	0	0	0	0	0	0
County Government	2	2	0	0	0	0	0	0
Independent School District	10	9	0	1	0	0	0	0
Public/State Controlled Institution of Higher Education	1	0	0	1	0	0	0	0
City or Township Government	139	139	0	0	0	0	0	0
State Government	5	5	0	0	0	0	0	0
Totals:	169	166	0	3	0	0	0	0
Number of RPAs in Eligibility Review:	0							
Number of Open RPA	2				Number of Closed RPAs:	167		
CMF Projected Large Projects:	20				Override Projected Large Projects:	0		
CMF Projected Small Projects:	151				Override Projected Small Projects:	0		
No. of PW Change Requests	0		0		By Subgrantees		By Grantees	By FEMA
		Unobligated		Obligated				Total
No. of PWs	1			170				171



Report Generated On: 09-08-2015 12:16 PM GMT

Disaster Number: 4139

Grantee Type: State Portion

State: NEW HAMPSHIRE

Date: 09/08/2015 12:16

Federal Emergency Management Agency
Public Assistance Summary (S.5)
Disaster: FEMA-4139

Total Number of Records: 12

Categories	Initial 100%	Current 100%	Total Requested (100% PWs)	Federal Share Requested	Amount Obligated Federal Share	Pending Obligation In IFAMS	On Hold (100%)
A.DEBRIS REMOVAL	\$29,500.00	\$141,446.13	\$141,446.13	\$106,084.60	\$106,084.60	\$0.00	\$0.00
B.PROTECTIVE MEASURES	\$267,602.00	\$186,635.31	\$186,635.31	\$139,976.50	\$139,976.50	\$0.00	\$0.00
C.ROADS & BRIDGES	\$5,733,698.00	\$7,734,735.72	\$7,734,735.72	\$5,801,051.84	\$5,801,051.83	\$0.00	\$0.00
D.WATER CONTROL FACILITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
E.PUBLIC BUILDINGS	\$72,000.00	\$24,293.37	\$24,293.37	\$18,220.03	\$18,220.03	\$0.00	\$0.00
F.PUBLIC UTILITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
G.RECREATIONAL OR OTHER	\$150,000.00	\$375,742.41	\$375,742.41	\$281,806.81	\$281,806.81	\$0.00	\$0.00
Total PWs	\$6,252,800.00	\$8,462,852.94	\$8,462,852.94	\$6,347,139.77	\$6,347,139.77	\$0.00	\$0.00
Grantee Admin Cost			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Subgrantee Admin Cost			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Z.STATE MANAGEMENT		\$235,154.00	\$42,565.00	\$42,565.00	\$42,565.00	\$0.00	\$0.00
Total Administrative Cost		\$235,154.00	\$42,565.00	\$42,565.00	\$42,565.00	\$0.00	\$0.00
Grand Total:	\$6,252,800.00	\$8,698,006.94	\$8,505,417.94	\$6,389,704.77	\$6,389,704.77	\$0.00	\$0.00

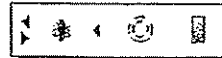
Current Cost Estimate Notes

8/27/2015 adjust current 100% for the spend plan. current 100% to equal total requested 100% for cat G.-A. Galluzzo 7/21/2014 Reduce Cat A current 100% to equal total requested-all Cat A pw's are awarded and closed.-A. Galluzzo 4/18/2014 Increase Current 100% Cat C to equal Total Requested 100% Cat C. -A. Galluzzo 3/21/2014 Increase Current 100% Cat C to equal Total Requested 100% Cat C.-A. Galluzzo 2/24/2014 Update current 100% to equal Total Requested 100%; Cat A, C, and E were increased, Cat G was decreased.-A. Galluzzo 1/23/2014 Increase Current 100% cat B to equal Total Requested 100%. -A. Galluzzo

Public Assistance Summary (5.5)
 Count: Applicants - 22
 Subdivisions - 0

Type of Applicant	APPLICANTS				SUBDIVISIONS		
	No. of Applicants	Elig			No. of Subdivisions	Elig	
		Eligible	Ineligible	Unknown		Eligible	Ineligible
Nonprofit with 501(C)3 IRS Status (Other than Institution of Higher Education)	2	2	0	0	0	0	0
State Government	2	2	0	0	0	0	0
City or Township Government	18	18	0	0	0	0	0
Totals:	22	22	0	0	0	0	0

Number of RPAs in Eligibility Review:	0		
Number of Open RPA	4	Number of Closed RPAs:	18
CMF Projected Large Projects:	13	Override Projected Large Projects:	0
CMF Projected Small Projects:	66	Override Projected Small Projects:	0
	By Subgrantees	By Grantees	By FEMA
No. of PW Change Requests	0	0	17
	Unobligated	Obligated	Total
No. of PWs	1	78	79



Report Generated On: 08-17-2015 01:52 PM GMT
 Disaster Number: 4209
 Grantee Type: State Portion
 Region: 1

Date: 08/17/2015 13:52

Federal Emergency Management Agency
 Public Assistance Summary (S.5)
 Disaster: FEMA-4209

Total Number of Records: 12

Categories	Initial 100%	Current 100%	Total Requested (100% PWs)	Federal Share Requested	Amount Obligated Federal Share	Pending Obligation in IFMIS	On Hold (100%)
A.DEBRIS REMOVAL	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
B.PROTECTIVE MEASURES	\$9,879,174.00	\$6,773,981.00	\$5,558,072.17	\$4,168,554.23	\$1,403,808.17	\$0.00	\$727,032.46
C.ROADS & BRIDGES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
D.WATER CONTROL FACILITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
E.PUBLIC BUILDINGS	\$0.00	\$60,478.00	\$572,473.12	\$429,354.84	\$840.30	\$0.00	\$0.00
F.PUBLIC UTILITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
G.RECREATIONAL OR OTHER	\$0.00	\$13,650.00	\$7,800.00	\$5,850.00	\$5,850.00	\$0.00	\$0.00
Total PWs	\$3,293,058.00	\$6,848,109.00	\$6,138,345.29	\$4,603,759.07	\$1,410,498.47	\$0.00	\$727,032.46
Grantee Admin Cost			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Subgrantee Admin Cost			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Z.STATE MANAGEMENT		\$82,491.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Administrative Cost		\$82,491.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Grand Total:	\$3,293,058.00	\$6,930,600.00	\$6,138,345.29	\$4,603,759.07	\$1,410,498.47	\$0.00	\$727,032.46

Current Cost Estimate Notes

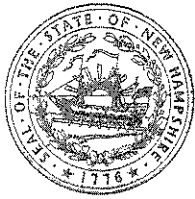
06/22/2015 Update Current 100% to account for added projects in Cat B, E, and G from \$5,271,421 to \$6,930,600-A. Galluzzo 05/29/2015 Update Current 100% Cat Z from \$0 to \$82,491 based on 30 day Preliminary Lockin from HQ-A. Galluzzo 05/22/2014 Update current 100% Cat A from \$3,293,058 to \$5,126,130 and Cat E from \$0 to \$55,000 based on updated project listing.-A. Galluzzo

FEMA Obligation at 100%	<u>\$6,848,109.00</u>
75% Federal Share Awarded	\$5,136,081.75
State Management Funds	<u>\$82,491.00</u>
Total FEMA Funds Awarded to NH	\$5,218,572.75

Public Assistance Summary (S.5)
 Count: Applicants - 132
 Subdivisions - 0

Type of Applicant	APPLICANTS				SUBDIVISIONS			
	No. of Applicants	Elig			No. of Subdivisions	Elig		
		Eligible	Ineligible	Unknown		Eligible	Ineligible	Unknown
Special District Government	11	11	0	0	0	0	0	0
Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)	4	3	0	1	0	0	0	0
County Government	2	2	0	0	0	0	0	0
Independent School District	32	32	0	0	0	0	0	0
Public/State Controlled Institution of Higher Education	1	1	0	0	0	0	0	0
State Government	5	4	0	1	0	0	0	0
City or Township Government	77	77	0	0	0	0	0	0
Totals:	132	130	0	2	0	0	0	0

Number of RPAs in Eligibility Review:	0	Number of Closed RPAs:	51
Number of Open RPA	81	Override Projected Large Projects:	0
CMF Projected Large Projects:	11	Override Projected Small Projects:	0
CMF Projected Small Projects:	152		
	By Subgrantees	By Grantees	By FEMA
No. of PW Change Requests	0	0	4
	Unobligated	Obligated	Total
No. of PWs	78	65	143



State of New Hampshire

DEPARTMENT OF SAFETY
 OFFICE OF THE COMMISSIONER
 33 HAZEN DR. CONCORD, NH 03305
 603/271-2791

JOHN J. BARTHELMES
 COMMISSIONER

October 20, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Safety (DOS), Division of State Police, to amend Fiscal Item #FIS 15-144 approved by the Fiscal Committee on July 29, 2015, and by Governor and Council on August 5, 2015, Item #79 by extending the end date **only** from December 31, 2015 to September 30, 2016 for the SAVIN Grant. This item was previously amended and approved by the Fiscal Committee as Item# FIS 14-152 on September 26, 2014, and as Governor and Council Item #51 on October 1, 2014, and amended and approved as Fiscal Item # FIS 14-034 on March 21, 2014 and by Governor and Council as Item #66 on April 9, 2014. Effective upon Fiscal Committee and Governor and Council approval. Funding Source: 100% Agency Income.
2. Pursuant to RSA 124:15, authorize the Department of Safety, Division of State Police, to amend Fiscal Item #FIS 15-144 approved by the Fiscal Committee on July 29, 2015, and by Governor and Council on August 5, 2015, Item #79 by extending the end date **only** from December 31, 2015 to September 30, 2016 for the purpose of retaining a class 046 consultant position. This item was previously amended and approved by the Fiscal Committee as Item# FIS 14-152 on September 26, 2014, and as Governor and Council Item #51 on October 1, 2014, and amended and approved as Fiscal Item # FIS 14-034 on March 21, 2014 and by Governor and Council as Item #66 on April 9, 2014. Effective upon Fiscal Committee and Governor and Council approval. Funding Source: 100% Agency Income.

Explanation

This request is to amend the end date only for a grant received through New Hampshire Department of Justice (NHDOJ) that ends on September 30, 2016 and as such is not budgeted in the 2016/2017 biennium budget.

The SAVIN Program grant is being used to fund the construction and implementation of a subscription notification service component to the NH Department of Safety's established criminal information sharing system, J-ONE. The system is scheduled to connect to the state prison, the courts, and the county jails, and will be designed to allow for future inclusion of all police departments, county attorneys, and other agencies of the state's criminal justice system, including those needing substantial technological updates to become compatible with J-ONE.

The program's dual purpose is the prevention of crime and the realization of victim's rights under the state statute NHRSA 21-M:8-k, Rights of Crime Victims. The program will lay the foundation for real-time notification to victims whose offenders are involved in the criminal justice system at any and all points, from arrest and court events through release from custody and probation and parole hearing.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
October 20, 2015
Page 2 of 2

- 1) List of personnel involved: *Temporary consultants*
- 2) Nature, Need, and Duration: *The temporary consultants will provide for the increased needs for subject matter experts beyond the limited resources of in-house personnel in the development of the SAVIN automated victim notification system.*
- 3) Relationship to existing agency programs: *Expands the capacity to achieve missions within the Division of State Police and other projects directly related to the particular project.*
- 4) Has a similar program been requested of the legislature and denied? *No.*
- 5) Why wasn't funding included in the agency's budget request? *It was unclear at that time that there would be a potential need for consultants to complete these tasks.*
- 6) Can portions of the grant funds be utilized? *This request is 100% federally funded.*
- 7) Estimate the funds required to continue this position(s): *The temporary consulting positions are estimated at \$954,140.00.*

In the event that Agency Income becomes no longer available General and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

Fiscal # FIS 15-144
07-29-2015

July 13, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

GC # 79
08-05-2015

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Safety (DOS), Division of State Police, to amend Fiscal Item #FIS14-152 approved on September 26, 2014, originally approved on March 21, 2014 (Item #FIS14-034) and Item #51 approved by Governor and Council on October 1, 2014, originally approved on April 9, 2014 (Item #66), by changing the end date only, with no increase in funding, retroactive from June 30, 2015, to a new end date of December 31, 2015 for the SAVIN grant. Effective upon Fiscal Committee and Governor and Council approval for the period July 1, 2015 through December 31, 2015. Funding Source: 100% Agency Income.

2. Pursuant to RSA 124:15, authorize the Department of Safety, Division of State Police, to amend Fiscal Item #FIS14-152 approved on September 26, 2014, originally approved on March 21, 2014 (Item #FIS14-034) and Item #51 approved by Governor and Council on October 1, 2014, originally approved on April 9, 2014 (Item #66), by changing the end date only, with no increase in funding, retroactive from June 30, 2015 to a new end date of December 31, 2015 for the purpose of retaining a class 046 consultant position. Effective upon Fiscal Committee and Governor and Council approval for the period July 1, 2015 through December 31, 2015. Funding Source: 100% Agency Income.

Funds are budgeted in the account titled:

02-23-23-234010-12440000 Dept. of Safety – Division of State Police – SAVIN Program
RSRC: 407085

Explanation

This item is retroactive as the first scheduled Fiscal Committee meeting for the new biennium occurs after the original requested end date for this grant. This request is to amend the end date only for a grant received through New Hampshire Department of Justice (NHDOJ) that ends on September 30, 2016 and as such is not budgeted in the 2016/2017 biennium budget.

The SAVIN Program grant is being used to fund the construction and implementation of a subscription notification service component to the NH Department of Safety's established criminal information sharing system, J-ONE. The system is scheduled to connect to the state prison, the courts, and the county jails, and will be designed to allow for future inclusion of all police departments, county attorneys, and other agencies of the state's criminal justice system, including those needing substantial technological updates to become compatible with J-ONE.

The program's dual purpose is the prevention of crime and the realization of victim's rights under the state statute NHRSA 21-M:8-k, Rights of Crime Victims. The program will lay the foundation for real-time notification to victims whose offenders are

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

July 13, 2015

Page 2 of 2


involved in the criminal justice system at any and all points, from arrest and court events through release from custody and probation and parole hearing.

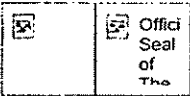
The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- 1) List of personnel involved: *Temporary consultants*
- 2) Nature, Need, and Duration: *The temporary consultants will provide for the increased needs for subject matter experts beyond the limited resources of in-house personnel in the development of the SAVIN automated victim notification system.*
- 3) Relationship to existing agency programs: *Expands the capacity to achieve missions within the Division of State Police and other projects directly related to the particular project.*
- 4) Has a similar program been requested of the legislature and denied? *No.*
- 5) Why wasn't funding included in the agency's budget request? *It was unclear at that time that there would be a potential need for consultants to complete these tasks.*
- 6) Can portions of the grant funds be utilized? *This request is 100% federally funded.*
- 7) Estimate the funds required to continue this position(s): *The temporary consulting positions are estimated at \$954,140.00.*

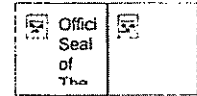
In the event that Agency Income becomes no longer available General and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety



Change Project Period GAN



[All Active](#)

[Change Requested](#)

[Approved](#)

[Denied](#)

[Draft](#)

[Create Grant Adjustment](#)

[Help/Frequently Asked Questions](#)

US DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
GRANT ADJUSTMENT NOTICE

Grantee Information					
Grantee Name:	New Hampshire Department of Justice	Project Period:	10/01/2011 - 09/30/2016	GAN Number:	008
Grantee Address:	33 CAPITOL STREET CONCORD, 03301	Program Office:	BJA	Date:	05/15/2015
Grantee DUNS Number:	80-859-1127	Grant Manager:	Ludmila Hago		
Grantee EIN:	02-6000618	Application Number(s):	2011-H0918-NH-VN		
Vendor #:	026002618	Award Number:	2011-VN-CX-0014		
Project Title:	Statewide Automated Victim Information and Notification Program	Award Amount:	\$985,141.00		

Change Project Period			
Current Grant Period:	Month: 47 Day: 29	New Grant Period:	Month: 59 Day: 29
Project Start Date:	10/01/2011	*New Project Start Date:	10/01/2011
Project End Date:	09/30/2015	*New Project End Date:	09/30/2016

***Required Justification for Change Project Period:**

The State of New Hampshire respectfully requests a 12-month 'no-cost' extension on our federal fiscal year 2011 Statewide Automated Victim Information and Notification (SAVIN) grant award, to September 30, 2016. The State has

Attachments:			
Filename:	User:	Timestamp:	Action:
SAVIN Status 2014-15.docx	RFaretra3	04/28/2015 12:12 PM	Delete Attachment
Savin Program Implementation 20150421.pdf	RFaretra3	04/23/2015 12:06 PM	Delete Attachment
Letter to Request end date extension (1).pdf	RFaretra3	04/28/2015 1:22 PM	Delete Attachment

Actions:

[Close](#)

[Printer Friendly Version](#)

Audit Trail:				
Description:	Role:	User:	Timestamp:	Note:
Approved-Final	OCFMD - Financial Analyst	SYSTEM_USER	05/15/2015 12:00 PM	View Note
Submitted	PO - Grant Manager	RFaretra3	04/28/2015 1:49 PM	View Note



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

August 25, 2014

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Fiscal # FIS 14-152
09-26-2014

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

G+C # 51
10-01-2014

Requested Action

- 1. Pursuant to RSA 14:30-a, VI authorize the Department of Safety, Division of State Police, to amend Fiscal Item #FIS 14-034, to a grant from the NH Department of Justice (NHDOJ), approved on March 21, 2014 and Item #66, approved by Governor and Council on April 9, 2014, by changing the end date only, with no increase in funding, to a new end date of June 30, 2015. Funding Source: 100% Agency Income.
2. Pursuant to RSA 124:15, authorize the Department of Safety, Division of State Police (Division), to amend Fiscal Item #FIS 14-034, to establish class 046 consultant position, approved on March 21, 2014 and Item #66, approved by Governor and Council on April 9, 2014, by changing the end date only, with no increase in funding, to a new end date of June 30, 2015. Funding Source: 100% Agency Income.

Funds are budgeted in the account titled:

02-23-23-234010-12440000 Dept. of Safety -- Division of State Police -- SAVIN Program
RSRC: 407085

Explanation

This requested action is to amend the end date only for the Federal grant received through NHDOJ that ends on September 30, 2014 and as such is not budgeted in the 2014/2015 biennium budget. (An extension of this grant from NHDOJ to the Division is also an item for consideration by the Fiscal Committee on September 26, 2014, and further action expected by Governor and Council on October 1, 2014.) The Division received notification of the grant's extension on July 2, 2014, contingent upon approval by the US Department of Justice via a submitted request by NHDOJ. As a result of the Division's request being submitted for Fiscal Committee approval at its next meeting on September 26, 2014, subsequent action taken by the Governor and Council on October 1, 2014, is retroactive.

The SAVIN Program grant is being used to fund the construction and implementation of a subscription notification service component to the NH Department of Safety's established criminal information sharing system, J-ONE. The system is scheduled to connect to the state prison, the courts, and the county jails, and will be designed to allow for future inclusion of all police departments, county attorneys, and other agencies of the state's criminal justice system, including those needing substantial technological updates to become compatible with J-ONE.

The program's dual purpose is the prevention of crime and the realization of victim's rights under the state statute NHRSA 21-M:8-k, Rights of Crime Victims. The program will lay the foundation for real-time notification to victims whose

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

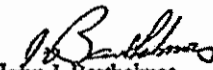
August 25, 2014

Page 2 of 2

offenders are involved in the criminal justice system at any and all points, from arrest and court events through release from custody and probation and parole hearing.

In the event that Agency Income becomes no longer available General and/or Highway funds will not be requested to support this program.

Respectfully Submitted,


John J. Barthelmes
Commissioner of Safety



US DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS

GRANT ADJUSTMENT NOTICE

Grantee Information			
Grantee Name:	New Hampshire Department of Justice	Project Period:	10/01/2011 - 09/30/2014
Grantee Address:	33 CAPITOL STREET CONCORD, 03301	Program Office:	BJA
Grantee DUNS Number:	80-859-1127	Grant Manager:	Erich Dietrich
Grantee EIN:	02-6000618	Application Number(s):	2011-H0918-NH-VN
Vendor #:	026002618	Award Number:	2011-VN-CX-0014
Project Title:	Statewide Automated Victim Information and Notification Program	Award Amount:	\$985,141.00

Change Project Period			
Current Grant Period:	Month: 35 Day: 29	New Grant Period:	Month: 47 Day: 29
Project Start Date:	10/01/2011	*New Project Start Date:	10/01/2011
Project End Date:	09/30/2014	*New Project End Date:	09/30/2015

***Required Justification for Change Project Period:**

The State of New Hampshire is respectfully requesting a 12-month 'no-cost' extension on our federal fiscal year 2011 Statewide Automated Victim Information and Notification (SAVIN) grant award, to September 30, 2015. The State

Attachments:

Filename:	User:	Timestamp:
Savin Program Implementation BCN 20140602.pdf	RFaretra3	06/13/2014 12:56 PM
Project Period GAN request letter.pdf	RFaretra3	06/13/2014 1:00 PM

[Print](#)

Audit Trail:

Description:	Role:	User:	Timestamp:
Approved-Final	OCFMD - Financial Analyst	SYSTEM_USER	07/02/2014 12:00 PM
Submitted	PO - Grant Manager	RFaretra3	06/16/2014 8:20 AM
Draft	EXTERNAL - External User	RFaretra3	06/16/2014 8:19 AM
Draft	EXTERNAL - External User	RFaretra3	06/13/2014 1:10 PM
Draft	EXTERNAL - External User	RFaretra3	06/13/2014 12:55 PM

S. ADMIN-02-2014-02



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

*Fiscal # FIS 14-034
03-21-2014*

February 24, 2014

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

*ENC # 166
04-09-2014*

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Safety, Division of State Police, to accept and expend a grant from the NH Department of Justice for the purpose of establishing a Statewide Automated Victim Information and Notification Program (SAVIN) in the amount of \$955,095.00. Effective upon Fiscal Committee and Governor and Council approvals through September 30, 2014. Funding Source: 100% Agency Income.
2. Pursuant to RSA 124:15, authorize the Department of Safety, Division of State Police, to establish class 046 consultant positions for the purpose of establishing a Statewide Automated Victim Information and Notification Program (SAVIN). Effective upon Fiscal Committee and Governor and Council approvals through September 30, 2014. Funding Source: 100% Agency Income.

Funds are to be budgeted in the account titled:

02-23-23-234010-12440000 Dept. of Safety - Division of State Police - SAVIN Program
RSRC: 407085
Amount: \$955,095.00

<u>Class</u>	<u>Description</u>	<u>Current Appropriation</u>	<u>Requested Action</u>	<u>Revised Appropriation</u>
009-407085	Agency Income	\$ -	\$ (955,095.00)	\$ (955,095.00)
040-500800	Indirect Cost	\$ -	\$ 90,207.00	\$ 90,207.00
046-500465	Consultants	\$ -	\$ 864,888.00	\$ 864,888.00
	Total	\$ -	\$ 955,095.00	\$ 955,095.00

Explanation

The SAVIN Program grant will be used to fund the construction and implementation of a subscription notification service component to the NH Department of Safety's established criminal information sharing system, J-ONE. The system is scheduled to connect to the state prison, the courts, and the county jails, and will be designed to allow for future inclusion of all police departments, county attorneys, and other agencies of the state's criminal justice system, including those needing substantial technological updates to become compatible with J-ONE.

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
February 24, 2014
Page 2 of 2

The program's dual purpose is the prevention of crime and the realization of victim's rights under the state statute NHRSA 21-M:8-k, Rights of Crime Victims. The program will lay the foundation for real-time notification to victims whose offenders are involved in the criminal justice system at any and all points, from arrest and court events through release from custody and probation and parole hearing.

The funds are to be budgeted as follows:

Funds in class 040 are needed to pay indirect costs to Administrative Services on all eligible expenses.

Funds in class 041 are not appropriated because the department that receives the Federal grant from the federal granting agency is responsible for paying the audit fund set aside.

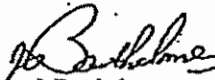
The funds in class 046 are needed for purpose of supporting the development of the SAVIN automated victim notification system.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- 1) List of personnel involved: *Temporary consultants.*
- 2) Nature, Need, and Duration: *The temporary consultants will provide for the increased needs for subject matter experts beyond the limited resources of in-house personnel in the development of the SAVIN automated victim notification system.*
- 3) Relationship to existing agency programs: *Expands the capacity to achieve missions within the Division of State Police and other projects directly related to the particular project.*
- 4) Has a similar program been requested of the legislature and denied? *No.*
- 5) Why wasn't funding included in the agency's budget request? *It was unclear at that time that there would be a potential need for consultants to complete these tasks.*
- 6) Can portions of the grant funds be utilized? *This request is 100% federally funded.*
- 7) Estimate the funds required to continue this position(s): *The temporary consulting positions are estimated at \$954,140.00.*

In the event that Agency Income becomes no longer available General and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelme
Commissioner of Safety

STATE OF NEW HAMPSHIRE
DEPARTMENT OF JUSTICE
GRANT AWARD

Agency Name: NH Department of Safety Vendor No.: 177878-8001

Program Name: Statewide Automated Victim Notification Prog Amount: \$ 955,095.00

Grant Start Date: 1/11/2012 State Grant Number: 2012SAV02

Grant End Date: 9/30/2014 Federal Grant Number: 2011-VN-CX-0014

Appropriation No.: 02-20-20-201510-1137-072-0576

Head of Agency Project Director Fiscal Officer

John J. Barthelmes Keith Lohmann Wesley Colby
Commissioner Administrator Administrator
33 Hazen Drive
Concord, NH 03301

Federal Grant Name: Statewide Automated Victim Notification Program
Federal Agency: United States Department of Justice
Bureau/Office: Office of Justice Programs
CFDA Number: 16.740

Purpose of Grant: Victim Notification
Award End Date Extension

Financial Requirements: See signed Program Conditions and Guidelines.

Match Requirements: Match must be spent on program allowable activities.

Reporting Requirements: Monthly or quarterly Financial reports
Annual performance report and audit
Adherence to State and Federal guidelines and conditions

Approval	Program Agency	NH Department of Justice
Name	<i>John J. Barthelmes</i> John J. Barthelmes	<i>Rosemary Pareta</i> Rosemary Pareta
Title	Commissioner 10-22-13	Director of Administration
Date		10-18-13

All terms of this grant award are not valid unless signed by both authorized parties.

TITLE I
THE STATE AND ITS GOVERNMENT
CHAPTER 21-M
DEPARTMENT OF JUSTICE

Section 21-M:8-k

21-M:8-k Rights of Crime Victims. --

I. As used in this section:

(a) "Victim" means a person who suffers direct or threatened physical, emotional, psychological or financial harm as a result of the commission or the attempted commission of a crime. "Victim" also includes the immediate family of any victim who is a minor or who is incompetent, or the immediate family of a homicide victim, or the surviving partner in a civil union.

(b) "Crime" means a violation of a penal law of this state for which the offender, upon conviction, may be punished by imprisonment for more than one year or an offense expressly designated by law to be a felony.

II. To the extent that they can be reasonably guaranteed by the courts and by law enforcement and correctional authorities, and are not inconsistent with the constitutional or statutory rights of the accused, crime victims are entitled to the following rights:

- (a) The right to be treated with fairness and respect for their dignity and privacy throughout the criminal justice process.
- (b) The right to be informed about the criminal justice process and how it progresses.
- (c) The right to be free from intimidation and to be reasonably protected from the accused throughout the criminal justice process.
- (d) The right to be notified of all court proceedings.
- (e) The right to attend trial and all other court proceedings the accused has the right to attend.
- (f) The right to confer with the prosecution and to be consulted about the disposition of the case, including plea bargaining.
- (g) The right to have inconveniences associated with participation in the criminal justice process minimized.
- (h) The right to be notified if presence in court is not required.
- (i) The right to be informed about available resources, financial assistance, and social services.
- (j) The right to restitution, as granted under RSA 651:62-67 or any other applicable state law, or victim's compensation, under RSA 21-M:8-h or any other applicable state law, for their losses.
- (k) The right to be provided a secure, but not necessarily separate, waiting area during court proceedings.
- (l) The right to be advised of case progress and final disposition.
- (m) The right of confidentiality of the victim's address, place of employment, and other personal information.
- (n) The right to the prompt return of property when no longer needed as evidence.
- (o) The right to have input in the probation presentence report impact statement.
- (p) The right to appear and make a written or oral victim impact statement at the sentencing of the defendant or, in the case of a plea bargain, prior to any plea bargain agreement. No victim shall be subject to questioning by counsel when giving an impact statement.
- (q) The right to be notified of an appeal, an explanation of the appeal process, the time, place and result of the appeal, and the right to attend the appeal hearing.
- (r) The right to be notified of, to attend, and to make a written or oral victim impact statement at the

sentence review hearings and sentence reduction hearings. No victim shall be subject to questioning by counsel when giving an impact statement.

(s) The right to be notified of any change of status such as prison release, permanent interstate transfer, or escape, and the date of the parole board hearing, when requested by the victim through the victim advocate.

(t) The right to address or submit a written statement for consideration by the parole board on the defendant's release and to be notified of the decision of the board, when requested by the victim through the victim advocate.

(u) The right to all federal and state constitutional rights guaranteed to all victims of crime on an equal basis, and notwithstanding the provisions of any laws on capital punishment, the right not to be discriminated against or have their rights as a victim denied, diminished, expanded, or enhanced on the basis of the victim's support for, opposition to, or neutrality on the death penalty.

(v) The right to access to restorative justice programs, including victim-initiated victim-offender dialogue programs offered through the department of corrections.

(w) The right to be informed of the filing of a petition for post-conviction DNA testing under RSA 651-D.

II-a. (a) In any case where the victim informs the court that he or she requires assistance in making an oral or written impact statement permitted under this section, the court shall allow the victim to designate a representative to write or speak on the victim's behalf.

(b) The victim's impact statement shall not be limited to the injuries, harm, or damages noted in the information or indictment, but may include all injuries, harm, and damages suffered as a result of the commission or attempted commission of the crime whether or not the injuries, harm, or damages were fully determined or discovered at the time the information or indictment was filed.

III. Nothing in this section shall be construed as creating a cause of action against the state, a county or municipality, or any of their agencies, instrumentalities, or employees. Nothing in this section shall be construed as creating any new cause of action or new remedy or right for a criminal defendant.

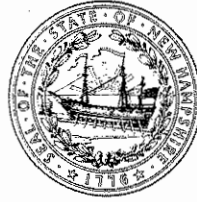
Source. 1991, 39:2. 1993, 356:2. 2003, 259:1. 2007, 225:1, 2. 2009, 283:4, 6; 312:1. 2010, 299:4, eff. Sept. 11, 2010.

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 15 247

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

November 3, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to amend Fiscal Item #15-194 paragraph one, approved by the Fiscal Committee on September 25, 2015, and Item #69 paragraph one, approved by the Governor and Executive Council on October 7, 2015, by extending the current end date from December 31, 2015 to June 30, 2017 and to accept and expend an additional \$138,902 from the New Hampshire Department of Health and Human Services, Division of Public Health Services, Bureau of Population Health and Community Services, Maternal & Child Health Section Injury Prevention Program, to continue a program that will support activities to collect and analyze non-identifiable data relevant to violent deaths occurring in the State, as part of the National Violent Death Reporting System (NVDRS) initiative, upon the Fiscal Committee and Governor and Executive Council approvals through June 30, 2017. 100% Transfers from other agency.

Funds are to be budgeted in 02-20-20-201510-59390000, Department of Justice, Grants Administration, titled "National Violent Death Reporting System Project" as follows:

<u>Class/Object</u>	<u>Class Title</u>	<u>Current SFY16 Budget</u>	<u>Increase Amount</u>	<u>Revised SFY16 Budget</u>
020-500800	Current Expense	\$15,000	\$4,950	\$19,950
022-500800	Rents	\$25,000	\$8,450	\$33,450
027-502799	Transfers to DoIT	\$18,000	\$4,000	\$22,000
030-500301	Equipment New-Replace	\$10,000	\$0	\$10,000

037-500173	PC Desktop Hardware New	\$3,000	\$0	\$3,000
038-500175	Desktop Software New	\$1,500	\$0	\$1,500
039-500188	Telecommunications	\$2,000	\$3,000	\$5,000
040-500800	Indirect Costs	\$21,820	\$12,500	\$34,320
042-500620	Additional Fringe Benefits	\$4,840	\$5,000	\$9,840
046-500466	Consultants	\$30,000	\$15,000	\$45,000
059-500123	Temp-Full Time	\$56,809	\$61,492	\$118,301
060-500612	Benefits	\$40,898	\$16,710	\$57,608
070-500704	In State Travel	\$8,500	\$4,000	\$12,500
080-500710	Out of State Travel	\$5,000	\$3,800	\$8,800
Total		\$242,367	\$138,902	\$381,269

Source of Funds

001-400146	Transfer from other agency	<u>\$381,269</u>
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2. Upon the approval of paragraph one and pursuant to RSA 124:15 authorize the Department of Justice to amend Fiscal Item #15-194 paragraph two, approved by the Fiscal Committee on September 25, 2015, and Item #69 paragraph two, approved by the Governor and Executive Council on October 7, 2015 by extending the current end date from December 31, 2015 to June 30, 2017 to maintain a (1) full-time temporary Planning Analyst/Data Systems position, LG 24 upon the Fiscal Committee and Governor and Council approvals through June 30, 2017. 100% Transfer from other agency.
3. Upon the approval of paragraph one and pursuant to RSA 124:15 authorize the Department of Justice to amend Fiscal Item #15-194 paragraph three, approved by the Fiscal Committee on September 25, 2015, and Item #69 paragraph three, approved by the Governor and Executive Council on October 7, 2015 by extending the current end date from December 31, 2015 to June 30, 2017 to maintain a consultant to upgrade computer software/hardware in order to interface with the Centers for Disease Control and Prevention (CDC) program and for a consultant for adaptation of space needed to accommodate the project upon the Fiscal Committee and Governor and Council approvals through June 30, 2017. 100% Transfer from other agency.

EXPLANATION

The Department of Justice requests authorization to continue with the NVDRS project through grant proceeds from the NH Department of Health and Human Services, Division of Public Health Services, Maternal and Child Health Section Injury Prevention Program. This grant award will continue to support activities related to the collection, analysis and interpretation of non-identifiable data in violent death situations, including suicide. The activities will inform allied professionals in prevention efforts including legislation, training, education and public awareness with the goal of reducing the number of occurrences of violent death in New Hampshire.

This funding was awarded to the NH Department of Health and Human Services by the U.S. Department of Health and Human Services, Centers for Disease Control and Prevention. It is being subgranted to the Department of Justice, Office of Chief Medical Examiner as that is the only entity with the statutory authority to easily access the data required for the National Violent Death Reporting System. Therefore, it is the appropriate entity to provide the services required. A full-time temporary position will be necessary in order to carry out the duties required under the grant program.

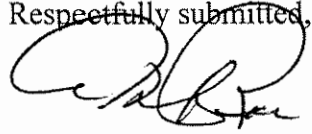
The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

1. **List of personnel involved:** One temporary, full-time classified position (Class 059) to serve as a Planning Analyst/Data Collection Specialist, LG 24.
2. **Nature, Need and Duration:** This full time position will be in effect for the period effective upon Fiscal Committee and Governor and Executive Council approval through June 30, 2017.
3. **Relationship to existing agency programs:** This funding is to be utilized specifically to establish a Planning Analyst/Data Collection position in the Department of Justice, Office of the Chief Medical Examiner. This position will improve the ability of allied professionals to focus prevention efforts on more effective measures, through analysis and interpretation of data related to violent deaths including suicides.
4. **Has a similar program been requested of the legislature and denied?** No similar program has been requested and denied.
5. **Why wasn't funding included in the agency's budget request?** This project was not included in the Department's budget request because the funds were not available at the time the budget was submitted nor were there any indications that grant funds would be available.
6. **Can portions of the funds be utilized for other purposes?** No portion of these grant funds can be used for any other purpose.
7. **Estimate the funds required to continue this position(s):** It is estimated that it will cost \$175,909 for one full time position through June 30, 2017.

In the event that these funds are no longer available, General Funds will not be requested to support this program.

Please let me know if you have any questions regarding this request. Your consideration is greatly appreciated.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Joseph A. Foster". The signature is written in a cursive style with a large, prominent initial "J".

for Joseph A. Foster
Attorney General

JAF/jeb
Enclosures

#1298073

Department of Justice
GRANTS FISCAL SITUATION FISCAL YEAR 2016
02-20-20-201510-5890 National Death Reporting System

Total Agency Authorization	\$ 381,269
Less Expenditures thru 6/30/15	\$ -
Remaining Authorization to Budget	\$ 381,269

Less Current FY17 Budget Authorization	\$ 242,367
Total Available for Budgeting	\$ 138,902

Available to Budget at Later Date	\$ -
REQUESTED ACTION	\$ 138,902

Grant Award Number	Award Amount	Expenses to 6/30/15	Balance
DHHS Job #90001869	\$ 138,902	\$ -	\$ 138,902
Prior Authorized Grant Award	\$ 242,367		\$ 242,367
Total			\$ 381,269

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 15 194

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

August 26, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to accept and expend a grant from the New Hampshire Department of Health and Human Services, Division of Public Health Services, Bureau of Population Health and Community Services, Maternal & Child Health Section Injury Prevention Program, in the amount of \$242,367, for the purposes of establishing a program that will support activities to collect and analyze data relevant to violent deaths occurring in the State, as part of the national Violent Death Reporting System (NVDRS) initiative, for the period effective upon the Fiscal Committee and Governor and Council approvals through December 31, 2015. 100% Transfers from other agency.

Funds are to be budgeted in 02-20-20-201510-59390000, Department of Justice, Grants Administration, titled "National Violent Death Reporting System Project" as follows:

Class/Expense	Class Title	Current SFY16 Budget	Increase Amount	Revised SFY16 Budget
020-500800	Current Expense	\$0	\$15,000	\$15,000
022-500800	Rents	\$0	\$25,000	\$25,000
027-502799	Transfers to DoIT	\$0	\$18,000	\$18,000
030-500301	Equipment New Replacement	\$0	\$10,000	\$10,000
037-500173	PC Desktop Hardware-New	\$0	\$3,000	\$3,000
038-500175	Desktop Software New	\$0	\$1,500	\$1,500
039-500188	Telecommunications	\$0	\$2,000	\$2,000
040-500800	Indirect Costs	\$0	\$21,820	\$21,820

042-500620	Additional Fringe Benefits	\$0	\$4,840	\$4,840
046-500466	Consultants	\$0	\$30,000	\$30,000
059-500123	Temp-Full Time	\$0	\$56,809	\$56,809
060-500612	Benefits	\$0	\$40,898	\$40,898
070-500704	In State Travel	\$0	\$8,500	\$8,500
080-500710	Out of State Travel	\$0	\$5,000	\$5,000
Total		\$0	\$242,367	\$242,367

Source of Funds

001-400146	Transfer from other agency			<u>\$242,367</u>
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2. Pursuant to RSA 124:15, and contingent upon the approval of paragraph one, authorize the Department of Justice to establish one (1) full-time temporary Planning Analyst/Data Systems position, LG 24, within budget class 059 (Full-Time Temporary) effective upon the Fiscal Committee and Governor and Council approval through December 31, 2015. 100% Transfer from other agency.

3. Pursuant to RSA 124:15, and contingent upon the approval of paragraph one, authorize the Department of Justice to provide for a consultant to upgrade computer software/hardware in order to interface with the Centers for Disease Control and Prevention (CDC) program and for a consultant for adaptation of space needed to accommodate the project effective upon the Fiscal Committee and Governor and Council approval through December 31, 2015. 100% Transfer from other agency.

EXPLANATION

The Department of Justice requests authorization to accept and expend the grant under the project title National Violent Death Reporting System, from the NH Department of Health and Human Services, Division of Public Health Services, Maternal and Child Health Section Injury Prevention Program. This grant award will support activities related to the collection, analysis and interpretation of data in violent death situations, including suicide. The activities will inform allied professionals in prevention efforts including legislation, training, education and public awareness with the goal of reducing the number of occurrences of violent death in New Hampshire.

This funding was awarded to the NH Department of Health and Human Services by the US Department of Health and Human Services, Centers for Disease Control and Prevention. It is being subgranted to the Department of Justice, Office of Chief Medical Examiner as that is the only entity with the statutory authority to easily access the data required for the National Violent Death Reporting System. Therefore, it is the appropriate entity to provide the services required. A new full-time temporary position will be necessary in order to carry out the duties required under the grant program.

Class 020 – Current Expense - to cover startup and continued office supplies, postage, and printing costs.
Class 022 – Rent for space to conduct the project.
Class 027 – Transfers to DoIT - to cover DoIT startup and support of IT functions over and above consultant costs.
Class 030 – Equipment - to cover an office station desk, work center, chair and other equipment for the NVDRS Analyst.
Class 037 – PC Desktop Hardware New-to cover a pc workstation, monitor, laptop and other accompanying pc hardware for the NVDRS Analyst.
Class 038 – Desktop Software New-to pay for necessary software for pc workstation and laptop.
Class 039 – Telecommunications – to cover telephone service charges and cell phone.
Class 040 – Indirect Cost-currently 9% rounded.
Class 042 – Post Retirement additional benefit.
Class 046 – Consultant to upgrade computer software/hardware, including initial costs and maintenance, to interface with the CDC program and for adaptation of space needed to accommodate the project.
Class 059 — Full Time/Temp - covers salary expenses for a Planning Analyst/Data Collection position to collect and analyze data relevant to violent deaths including suicides, and to inform partners of prevention efforts towards improving effectiveness of those efforts.
Class 060 – Benefit expenses to cover benefits for the Planning Analyst/Data Collection position.
Class 070 – In-State Travel expenses to cover in-state mileage for the Planning Analyst/Data Collection and/or members of the Chief Medical Examiner's Office for grant related in-state meetings, trainings, site visits, technical assistance, etc.
Class 080 – Out-of-State Travel expenses to cover travel costs related to meetings subgrantees are required to attend.

The following information is provided in accordance with the Comptroller's instructional Memorandum dated September 21, 1981.

1. **List of personnel involved:** One temporary, full-time classified position (Class 059) to serve as a Planning Analyst/Data Collection Specialist, LG 24.
2. **Nature, Need and Duration:** This full time position will be in effect for the period effective upon Fiscal Committee and Governor and Council approval through December 31, 2015.
3. **Relationship to existing agency programs:** This funding is to be utilized specifically to establish a Planning Analyst/Data Collection position in the Department of Justice, Office of the Chief Medical Examiner. This position will improve the ability of allied professionals to focus prevention efforts on more effective measures, through analysis and interpretation of data related to violent deaths including suicides.
4. **Has a similar program been requested of the legislature and denied?** No similar program has been requested and denied.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA 124:15, "Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016?
No, the Department of Justice would have gone before the Fiscal Committee to accept and expend as the funds were not budgeted in the proposed FY 2016-2017 Operating Budget.
2. If this request is **retroactive** what is the significance and importance of the action being effective from an earlier date?
N/A.
3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question).
This program item #FIS 15-021 was approved at the April 3, 2015 Fiscal Committee meeting through June 30, 2015. This program item #78 was approved at the April 22, 2015 Governor and Executive Council Meeting through June 30, 2015. Due to uncertainties regarding the FY 2016-2017 biennium budget, there were not any significant actions taken (hiring, purchases of equipment, etc).
4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?
No.
5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?
No, the opportunity to accept and expend this grant came after the Agency budget request had been submitted so it is not included in the FY 2016-2017 Operating Budget proposal.
6. Does this program include, either positions or consultants, and if so, are the positions filled, vacant, or have offers pending?
The program does request positions and consultants but the positions are not filled and there are not any offers pending.
7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?
The grant funding will be lost and New Hampshire will not have the opportunity to engage or have access to the National Violent Death Reporting System or methodologies and networking to prevent violent deaths in the State.

The funds budgeted to support the activities as follows:

5. Why wasn't funding included in the agency's budget request? This project was not included in the Department's budget request because the funds were not available at the time the budget was submitted.
6. Can portions of the funds be utilized for other purposes? No portion of these grant funds can be used for any other purpose.
7. Estimate the funds required to continue this position(s): It is estimated that it will cost \$97,707 for salary and benefits for the full time temporary position for one year.

In the event that these funds are no longer available, General Funds will not be requested to support this program.

Please let me know if you have any questions regarding this request. Your consideration is greatly appreciated.

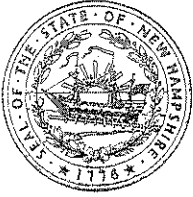
Respectfully submitted,



for Joseph A. Foster
Attorney General

JAF/jeb
Enclosures

#1247198



State of New Hampshire

FIS 15 249

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

November 9, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 14:30-a, VI, the Department of Safety, Office of the Commissioner, requests authorization to amend Fiscal Item #FIS 15-132 approved on August 26, 2015 and Item #80 approved by Governor and Council on September 16, 2015 by changing the end date from December 31, 2015 to a new end date of June 30, 2017 to align it with the 2016-2017 biennium, as well as adjusting the funding to align with the federally approved budget balance of \$870,733.00. This amendment is necessary due to having no appropriation during the continuing resolution. Once the State Budget was approved, the appropriation was added to the appropriation approved in the Fiscal Item noted above, causing an excess of funding in this account. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2017. Funding source: 100% Federal Funds.

2. Pursuant to RSA 124:15, authorize the Department of Safety, Office of the Commissioner, to amend Fiscal Item #FIS 15-132 approved on August 26, 2015 and Item #80 approved by Governor and Council on September 16, 2015 by changing the end date from December 31, 2015 to a new end date of June 30, 2017 to continue class 046, consultant positions for the purpose of providing program required, communications-related data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA and class 050, part-time personnel to provide administrative support as well as database creation and maintenance as approved by the SLIGP Grant Program. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2017. Funding Source: 100% Federal Funds.

Funds are to be budgeted in the following account:

02-23-23-231010-88960000 Dept. of Safety Office of the Commissioner Broadband Grant

Class	Description	Current SFY 2016 Adjusted Authorized	Requested Action	Revised SFY 2016 Adjusted Authorized
000-403560	Federal Funds	1,342,594.20	(471,861.00)	870,733.20
	Total Revenue	1,342,594.20	(471,861.00)	870,733.20
018-500106	Overtime	65,293.00	(7,942.00)	57,351.00
020-500200	Current Expenses	14,281.00	(12,600.00)	1,681.00
021-502668	Food Institutions	17,170.20	(511.00)	16,659.20
022-500255	Rents-Leases	13,608.00	(12,408.00)	1,200.00
038-500177	Software License/Maint. Mainframe/Network	10,882.00	(4,939.00)	5,943.00
040-500800	Indirect Costs	86,613.00	(28,261.00)	58,352.00

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
November 9, 2015
Page 2 of 3

041-500801	Audit Fund Set Aside	1,609.00	(738.00)	871.00
046-500464	Consultants	671,100.00	(310,470.00)	360,630.00
050-500109	Personal Services - Temp/Appointed	247,698.00	(41,718.00)	205,980.00
060-500602	Benefits	158,128.00	(51,919.00)	106,209.00
070-500704	In-State Travel Reimbursement	6,238.00	(4,957.00)	1,281.00
080-500710	Out-of-State Travel Reimbursement	49,974.00	4,602.00	54,576.00
	Total Expenses	1,342,594.20	(471,861.00)	870,733.20

Explanation

This request to reduce the allocations represents the remaining federal share of the State and Local Implementation Program (SLIGP) funding approved by the Fiscal Committee (Item #FIS 15-132, 08/26/15), and Governor and Council (Item #80, 9/16/15). This federal grant requires a state match equivalent to 25% of federal funds or 20% of the budget. The match requirement may be met utilizing cash match, in-kind match, or a combination thereof. This requirement will be met using a currently budgeted, non-federal, personnel line. The SLIGP grant is the initial funding mechanism trend to the Nationwide Public Safety Broadband Network Requirements and Administration by First Responder Network Authority Legislation passed by Congress and signed into law February 22, 2012. It establishes the First Responder Network Authority (FirstNet), tasked with the establishment and operation of a single, nationwide Public Safety Broadband Network (PSBN). PSBN operates in the 700 MHz radio spectrum which consists of the existing 700 MHz Public Safety broadband allocation and adds the 700 MHz "D-Block" allocation. This SLIGP grant is phased and phase one is to include this State Grant Program whereas NTIA will release up to \$135 million nationally, by formula, in State grant funds to assist regional, State, tribal, and local governments:

- To plan for a single, nationwide public safety broadband network
- To identify and plan the most effective way to utilize and integrate the infrastructure, equipment, and other architecture associated with network.

Requirements at the State level include the State's consultation with FirstNet. The single officer or governmental body is expected to consult with FirstNet during the "open grant phase", which is the planning and implementation phase of this long-range project on several policies including:

- Construction of a Core and RAN build out
- Placement of towers/coverage
- Adequacy of hardening, security, reliability, and resiliency requirements
- Assignment of priority to local users/secondary
- Training needs of local users.

The SLIGP funds will be used to support planning, consultation, and data collection activities related to the establishment of this nationwide public safety broadband (primary data) network. Activities to be completed include defining coverage needs, user requirements, network hardening, resiliency requirements, and data collection. In order to complete the activities detailed above, the State will attend national meetings and conduct statewide stakeholder meetings to provide education and outreach. Data collection will be accomplished through a combination of State-led collection efforts and utilization of independent consultant(s) as required.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- 1) List of personnel involved: Part-time personnel and temporary consultants.
- 2) Nature, Need, and Duration: The temporary consultants will provide for the increased needs for subject matter experts beyond the limited resources of in-house personnel in the areas of communications data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA. Part-time personnel will provide administrative support as well as database creation and maintenance. The part-time positions consist of one Business Systems Analyst I (LG28) who will address the data management and analyze the needs of this grant; one Program Specialist II (LG 21) who will provide administrative support for this project; and one Field Representative II (LG 21) who will address the data collection portion of this grant.


The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
November 9, 2015
Page 3 of 3

- 3) Relationship to existing agency programs: It expands the capacity to achieve missions within the Department of Safety and other projects directly related to communications needs statewide.
- 4) Has a similar program been requested of the legislature and denied? No.
- 5) Why wasn't funding included in the agency's budget request? It was unclear at the time of the SFY 2016-2017 budget that there would be a potential need for part-time personnel to complete these tasks.
- 6) Can portions of the grant funds be utilized? This request is 100% federally funded.
- 7) Estimate the funds required to continue this position(s): The temporary consulting positions are estimated at \$360,630.00. These part-time positions will not be continued beyond the grant.

Highway Funds or General Funds will not be used should federal funds become unavailable.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

Department of Safety, Office of the Commissioner
Broadband Grant

Fiscal Situation: Account 02-23-23-231010-88960000

Federal Funds Awarded:

FFY 2013 Grant 33-10-S13033 (9/1/2015-8/31/15 and Extended to 2/28/2018)	\$879,887.00
Total Grant Funds Awarded	\$879,887.00

Prior Fiscal Year(s) Actual Expenditures:

Federal Grant spending State Fiscal Year 2014	(\$2,471.17)
Federal Grant spending State Fiscal Year 2015	(\$6,682.74)
Total Prior Fiscal Year Actual Expenditures	(\$9,153.91)

Net Grant Funds Remaining	\$870,733.09
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Excess grant funds available to appropriate	\$870,733.09
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This Request	\$870,733.09
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**AMENDMENT TO
FINANCIAL ASSISTANCE AWARD**

AWARD NUMBER
33-10-S13033

CFDA NO. AND NAME

11.549 - State and Local Implementation Grant Program

PROJECT TITLE

This grant will assist multiple jurisdictions in the State of New Hampshire with the eligible planning activities related to the establishment of a

RECIPIENT NAME

New Hampshire Department of Safety

AMENDMENT NUMBER

4

STREET ADDRESS

33 Hazen Drive

EFFECTIVE DATE

SEP 21 2015

CITY, STATE ZIP

Concord, NH 03305

**EXTEND PERIOD OF PERFORMANCE TO
(IF APPLICABLE)**

COSTS ARE REVISED AS FOLLOWS:	PREVIOUS ESTIMATED COST	ADD	DEDUCT	TOTAL ESTIMATED COST
FEDERAL SHARE OF COST	\$879,887.00	\$0.00	\$0.00	\$879,887.00
RECIPIENT SHARE OF COST	\$219,973.00	\$0.00	\$0.00	\$219,973.00
TOTAL ESTIMATED COST	\$1,099,860.00	\$0.00	\$0.00	\$1,099,860.00

REASON(S) FOR AMENDMENT

This grant is hereby amended to: (1) acknowledge the receipt and approval of the Phase 2 budget modification submitted August 19, 2015, (2) release the Phase 2 reserve of \$439,944.00 (50% of the federal funds), which was previously established in Special Award Condition #6.

ALL PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT.

This Amendment Document (Form CD-451) signed by the Grants Officer constitutes an Amendment of the above-referenced Award, which may include an obligation of Federal funding. By signing this Form CD-451, the Recipient agrees to comply with the Amendment provisions checked below and attached, as well as previous provisions incorporated into the Award. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally withdraw this Amendment offer and de-obligate any associated funds.

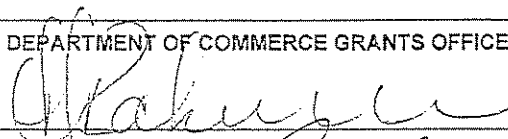
SPECIAL AWARD CONDITIONS

LINE ITEM BUDGET

OTHER(S)

SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER

Husai Rahman

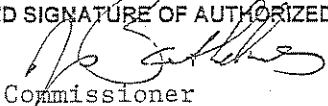


DATE

9/18/2015

TYPED NAME, TYPED TITLE, AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL

John J. Barthelmes, Commissioner



DATE

9/23/15



State of New Hampshire

DEPARTMENT OF SAFETY
 OFFICE OF THE COMMISSIONER
 33 HAZEN DR. CONCORD, NH 03305
 603/271-2791

JOHN J. BARTHELMES
 COMMISSIONER

*Fiscal # FIS 15-132
 08-26-2015*

July 8, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

*GC # 80
 09-16-2015*

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

Requested Action

- Pursuant to RSA 14:30-a, VI, the Department of Safety, Office of the Commissioner, requests authorization to accept and expend State and Local Implementation Grant Program funds in the amount of \$870,841.00 from the National Telecommunications and Information Administration (NTIA), US Department of Commerce. Effective upon Fiscal Committee and Governor and Council approvals through December 31, 2015. Funding source: 100% Federal Funds.
- Pursuant to RSA 124:15, authorize the Department of Safety, Office of the Commissioner, to establish class 046, consultant positions for the purpose of providing program required, communications-related data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA and class 050, part-time personnel to provide administrative support as well as database creation and maintenance as approved by the SLIGP Grant Program. Effective upon Fiscal Committee and Governor and Council approvals through December 31, 2015. Funding Source: 100% Federal Funds.

Funds are to be budgeted in the following account:

02-23-23-231010-88960000 Dept. of Safety Office of the Commissioner Broadband Grant

Class	Description	Current SFY 2016 Authorized	Requested Action	Revised SFY 2016 Authorized
000-403560	Federal Funds	0.00	(870,841.00)	(870,841.00)
018-500106	Overtime	0.00	32,293.00	32,293.00
020-500200	Current Expenses	0.00	7,081.00	7,081.00
021-502668	Food Institutions	0.00	6,459.00	6,459.00
022-500255	Rents-Leases	0.00	6,804.00	6,804.00
038-500177	Software License/Maint. Mainframe/Network	0.00	5,441.00	5,441.00
040-500800	Indirect Costs	0.00	50,598.00	50,598.00
041-500801	Audit Fund Set Aside	0.00	872.00	872.00
046-500464	Consultants	0.00	335,550.00	335,550.00
050-500109	Personal Services - Temp/Appointed	0.00	247,698.00	247,698.00
060-500602	Benefits	0.00	151,479.00	151,479.00
070-500704	In-State Travel Reimbursement	0.00	3,070.00	3,070.00
080-500710	Out-of-State Travel Reimbursement	0.00	23,496.00	23,496.00
	Total Expenses	0.00	870,841.00	870,841.00

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
July 8, 2015
Page 2 of 3

Explanation

This request to accept \$870,841.00 represents the remaining federal share of the State and Local Implementation Program (SLIGP) funding approved by the Fiscal Committee (FIS 13-280), and Governor and Council (1/15/14, item #53). This federal grant requires a state match equivalent to 25% of federal funds or 20% of the budget. The match requirement may be met utilizing cash match, in-kind match, or a combination thereof. This requirement will be met using a currently budgeted, non-federal, personnel line. The SLIGP grant is the initial funding mechanism trend to the Nationwide Public Safety Broadband Network Requirements and Administration by First Responder Network Authority Legislation passed by Congress and signed into law February 22, 2012. It establishes the First Responder Network Authority (FirstNet), tasked with the establishment and operation of a single, nationwide Public Safety Broadband Network (PSBN). PSBN operates in the 700 MHz radio spectrum which consists of the existing 700 MHz Public Safety broadband allocation and adds the 700 MHz "D-Block" allocation. This SLIGP grant is phased and phase one is to include this State Grant Program whereas NTIA will release up to \$135 million nationally, by formula, in State grant funds to assist regional, State, tribal, and local governments:

- To plan for a single, nationwide public safety broadband network
- To identify and plan the most effective way to utilize and integrate the infrastructure, equipment, and other architecture associated with network.

Requirements at the State level include the State's consultation with FirstNet. The single officer or governmental body is expected to consult with FirstNet during the "open grant phase", which is the planning and implementation phase of this long-range project on several policies including:

- Construction of a Core and RAN build out
- Placement of towers / coverage
- Adequacy of hardening, security, reliability, and resiliency requirements
- Assignment of priority to local users / secondary
- Training needs of local users.

The SLIGP funds will be used to support planning, consultation, and data collection activities related to the establishment of this nationwide public safety broadband (primary data) network. Activities to be completed include defining coverage needs, user requirements, network hardening, resiliency requirements, and data collection. In order to complete the activities detailed above, the State will attend national meetings and conduct statewide stakeholder meetings to provide education and outreach. Data collection will be accomplished through a combination of State-led collection efforts and utilization of independent consultant(s) as required.

Class 18	These funds will be used for overtime costs associated with evening meetings, project monitoring, and administration of the SLIGP project.
Class 20	These funds will be used for supplies, outreach and education materials, and operating expenses associated with administering the SLIGP project.
Class 21	These funds will be used to cover the cost of food at outreach and education meetings held throughout the state.
Class 22	These funds will be used to cover the cost of meeting rooms for outreach and education meetings to be held throughout the state.
Class 38	These funds will be used to purchase data collection software.
Class 40	These funds will be used to pay state indirect costs.
Class 41	These funds will be used to pay audit fund set aside expenses.
Class 46	These funds will be used to hire consultants, as necessary, to provide data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA.
Class 50	These funds will be used to pay the cost of part-time personnel working on the SLIGP Grant project requirements.
Class 60	These funds will be used to pay benefits costs associated with overtime and part-time personnel.
Class 70	These funds will be used to pay the cost of traveling in-state to provide SLIGP outreach and education meetings to be held throughout the state.
Class 80	These funds will be used to pay for the cost of traveling out-of-state to national SLIGP conferences.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

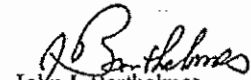
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
July 8, 2015
Page 3 of 3

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- 1) *List of personnel involved:* Part-time personnel and temporary consultants.
- 2) *Nature, Need, and Duration:* The temporary consultants will provide for the increased needs for subject matter experts beyond the limited resources of in-house personnel in the areas of communications data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA.
- 3) *Relationship to existing agency programs:* Expands the capacity to achieve missions within the Department of Safety and other projects directly related to communications needs statewide.
- 4) *Has a similar program been requested of the legislature and denied?* No.
- 5) *Why wasn't funding included in the agency's budget request?* It was unclear at the time of the SFY 2014-2015 budget that there would be a potential need for part-time personnel and consultants to complete these tasks. However, funding is included in the pending SFY 2016-2017 budget, which will be adjusted to the appropriate grant level when the SFY 2016-2017 budget is adopted.
- 6) *Can portions of the grant funds be utilized?* This request is 100% federally funded.
- 7) *Estimate the funds required to continue this position(s):* The temporary consulting positions are estimated at \$335,550.00.

Highway Funds or General Funds will not be used should federal funds become unavailable.

Respectfully submitted,

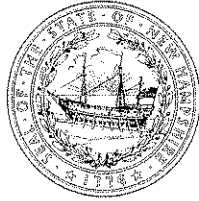

John J. Barthelmes
Commissioner of Safety

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 15 250

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

November 4, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to amend Fiscal Item #15-114 paragraph one, approved by the Fiscal Committee on July 29, 2015, and Item #94 paragraph one, approved by the Governor and Executive Council on August 5, 2015, by extending the end date from December 31, 2015 to June 30, 2017 for a grant of \$106,177 from the US Department of Justice, Office for Victims of Crime for the purposes of improving the technology of the claims processing system, known as the "Computerized Crime Victim Compensation System," utilized by the Department's Victims' Assistance Unit, upon the date of Fiscal Committee and Governor and Executive Council approvals through June 30, 2017. No additional funds are involved in this time extension. 100% Federal Funds.

Funds are budgeted in 02-20-20-201510-5890, Department of Justice, Grants Administration, entitled "OVC Vision 21 Grant".

2. Upon approval of paragraph one and Pursuant to RSA 124:15, authorize the Department of Justice to amend Fiscal Item #15-114 paragraph two, approved by the Fiscal Committee on July 29, 2015, and Item #94 paragraph two, approved by the Governor and Executive Council on August 5, 2015 to maintain a consultant class (046) for the purpose of contracting with the systems expert relative to the "Computerized Crime Victim Compensation System", by extending the end date from December 31, 2015 to June 30, 2017 effective upon the date of Fiscal Committee and Governor and Executive Council

approvals through June 30, 2017. No additional funds are involved in this time extension. 100% Federal Funds.

Funds are budgeted as follows in 02-20-20-201510, Department of Justice, Grants Administration, entitled "OVC Vision 21 Grant":

EXPLANATION

The approval of this request will allow the Department of Justice to continue to use these funds for the implementation of an updated web-based program to allow victims of crime to submit claims for compensation electronically. In addition, the upgrade will allow for a paperless and automated document filing system to improve workflow and efficiency of the Victim's Compensation Unit, thus enhancing the Unit's ability to serve victims of crime.

The following information is provided in accordance with the Comptroller's instructional Memorandum dated September 21, 1981.

1. List of personnel involved: Class 046 – contract(s) to provide technology upgrades and support for new software.
2. Nature, Need and Duration: The contract(s) will be in effect for the period effective upon Fiscal Committee and Governor and Executive Council approval through June 30, 2017.
3. Relationship to existing agency programs: This funding is to be utilized specifically to contract with the sole source vendor that is the developer of the technology to be upgraded.
4. Has a similar program been requested of the legislature and denied? No similar program has been requested and denied.
5. Why wasn't funding included in the agency's budget request? This project was not included in the Department's budget request because the funds were not available at the time the budget was crafted; nor were the funds expected to be available with any degree of certainty.
6. Can portions of the funds be utilized for other purposes? No portion of these grant funds can be used for any other purpose.
7. Estimate the funds required to continue this position(s): It is estimated that it will cost \$97,938 for the contractual services to complete the project.

In the event that federal funds are no longer available, general funds will not be requested to support this program.

Please let me know if you have any questions concerning this request. Your consideration is greatly appreciated.

Respectfully submitted,



for Joseph A. Foster
Attorney General

JAF/jeb

#1297110



Department of Justice
Office of Justice Programs
Office for Victims of Crime

Cooperative Agreement

PAGE 1 OF 8

1. RECIPIENT NAME AND ADDRESS (Including Zip Code) New Hampshire Department of Justice 33 Capitol Street Concord, NH 03301-6397		4. AWARD NUMBER: 2014-XV-BX-K021	
		5. PROJECT PERIOD: FROM 10/01/2014 TO 09/30/2017 BUDGET PERIOD: FROM 10/01/2014 TO 09/30/2017	
1A. GRANTEE IRS/VENDOR NO. 026002618		6. AWARD DATE 09/15/2014	7. ACTION Initial
		8. SUPPLEMENT NUMBER 00	
		9. PREVIOUS AWARD AMOUNT	\$ 0
3. PROJECT TITLE NH Victims' Compensation Technology Improvements Project		10. AMOUNT OF THIS AWARD	\$ 106,177
		11. TOTAL AWARD	\$ 106,177
12. SPECIAL CONDITIONS THE ABOVE GRANT PROJECT IS APPROVED SUBJECT TO SUCH CONDITIONS OR LIMITATIONS AS ARE SET FORTH ON THE ATTACHED PAGE(S).			
13. STATUTORY AUTHORITY FOR GRANT This project is supported under Department of Justice Appropriations Act, 2014, Pub. L. No. 113-76, 128 Stat 5, 63, January 17, 2014			
15. METHOD OF PAYMENT GPRS			
AGENCY APPROVAL		GRANTEE ACCEPTANCE	
16. TYPED NAME AND TITLE OF APPROVING OFFICIAL Karol Virginia Mason Assistant Attorney General		18. TYPED NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL Rosemary Faretra Director of Administration	
17. SIGNATURE OF APPROVING OFFICIAL <i>Karol V. Mason</i>		19. SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL <i>Rosemary Faretra</i>	19A. DATE 9-18-14
AGENCY USE ONLY			
20. ACCOUNTING CLASSIFICATION CODES FISCAL FUND BUD. DIV. YEAR CODE ACT. OFC. REG. SUB. POMS AMOUNT X B XV 40 00 00 106177		21. WXVPGT0144	



Department of Justice
Office of Justice Programs
Office for Victims of Crime

**AWARD CONTINUATION
SHEET**
Cooperative Agreement

PAGE 2 OF 8

PROJECT NUMBER 2014-XV-BX-K021

AWARD DATE 09/15/2014

SPECIAL CONDITIONS

1. The recipient agrees to comply with the financial and administrative requirements set forth in the current edition of the Office of Justice Programs (OJP) Financial Guide.
2. The recipient acknowledges that failure to submit an acceptable Equal Employment Opportunity Plan (if recipient is required to submit one pursuant to 28 C.F.R. Section 42.302), that is approved by the Office for Civil Rights, is a violation of its Certified Assurances and may result in suspension or termination of funding, until such time as the recipient is in compliance.
3. The recipient agrees to comply with the organizational audit requirements of OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, and further understands and agrees that funds may be withheld, or other related requirements may be imposed, if outstanding audit issues (if any) from OMB Circular A-133 audits (and any other audits of OJP grant funds) are not satisfactorily and promptly addressed, as further described in the current edition of the OJP Financial Guide.
4. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government, without the express prior written approval of OJP.
5. The recipient must promptly refer to the DOJ OIG any credible evidence that a principal, employee, agent, contractor, subgrantee, subcontractor, or other person has either 1) submitted a false claim for grant funds under the False Claims Act; or 2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving grant funds. This condition also applies to any subrecipients. Potential fraud, waste, abuse, or misconduct should be reported to the OIG by -

mail:

Office of the Inspector General
U.S. Department of Justice
Investigations Division
950 Pennsylvania Avenue, N.W.
Room 4706
Washington, DC 20530

e-mail: oig.hotline@usdoj.gov

hotline: (contact information in English and Spanish): (800) 869-4499

or hotline fax: (202) 616-9881

Additional information is available from the DOJ OIG website at www.usdoj.gov/oig.

6. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of any contract or subaward to either the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries, without the express prior written approval of OJP.
7. The recipient agrees to comply with any additional requirements that may be imposed during the grant performance period if the agency determines that the recipient is a high-risk grantee. Cf. 28 C.F.R. parts 66, 70.



Cooperative Agreement

PROJECT NUMBER 2014-XV-BX-K021

AWARD DATE 09/15/2014

SPECIAL CONDITIONS

8. The recipient agrees to comply with applicable requirements regarding registration with the System for Award Management (SAM) (or with a successor government-wide system officially designated by OMB and OJP). The recipient also agrees to comply with applicable restrictions on subawards to first-tier subrecipients that do not acquire and provide a Data Universal Numbering System (DUNS) number. The details of recipient obligations are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/sam.htm> (Award condition: Registration with the System for Award Management and Universal Identifier Requirements), and are incorporated by reference here. This special condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).
9. Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), the Department encourages recipients and sub recipients to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this grant, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.
10. The recipient agrees to comply with all applicable laws, regulations, policies, and guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences, meetings, trainings, and other events, including the provision of food and/or beverages at such events, and costs of attendance at such events. Information on pertinent laws, regulations, policies, and guidance is available in the OJP Financial Guide Conference Cost Chapter.
11. The recipient understands and agrees that any training or training materials developed or delivered with funding provided under this award must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at <http://www.ojp.usdoj.gov/funding/ojptrainingguidingprinciples.htm>.
12. The recipient agrees that if it currently has an open award of federal funds or if it receives an award of federal funds other than this OJP award, and those award funds have been, are being, or are to be used, in whole or in part, for one or more of the identical cost items for which funds are being provided under this OJP award, the recipient will promptly notify, in writing, the grant manager for this OJP award, and, if so requested by OJP, seek a budget-modification or change-of-project-scope grant adjustment notice (GAN) to eliminate any inappropriate duplication of funding.
13. The recipient understands and agrees that award funds may not be used to discriminate against or denigrate the religious or moral beliefs of students who participate in programs for which financial assistance is provided from those funds, or of the parents or legal guardians of such students.
14. The recipient understands and agrees that - (a) No award funds may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography, and (b) Nothing in subsection (a) limits the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.
15. The recipient authorizes the Office for Victims of Crime (OVC) and/or the Office of the Chief Financial Officer (OCFO), and its representatives, access to and the right to examine all records, books, papers, or documents related to this grant.
16. Within 90 days of the date of award, the grantee will submit to the Office for Victims of Crime for review and approval its policies and procedures that it has established to maintain the confidentiality of victims' names, addresses, telephone numbers, or any other identifying information, and its policies and procedures relating to information sharing between partners. In addition, the grantee must submit a signed, written certification that data privacy and sharing protocols comport with the confidentiality and privacy rights and obligations of federal law or the grantee jurisdiction's laws, court rules, or rules of professional conduct applicable to the work performed by the grantee.

R



Department of Justice
Office of Justice Programs
Office for Victims of Crime

**AWARD CONTINUATION
SHEET**
Cooperative Agreement

PAGE 4 OF 8

PROJECT NUMBER 2014-XV-BX-K021

AWARD DATE 09/15/2014

SPECIAL CONDITIONS

17. Responsibility for the coordination of topics addressed or services rendered is shared jointly with the OVC and the recipient. Where appropriate, the recipient will act jointly with OVC in accomplishing the following tasks: 1) determination of modifications to the program plan or budget; and, 2) design of data collection instruments. In executing this responsibility, OVC requires that its program specialist meet periodically with recipient (as determined by OVC) throughout the life of the project to discuss project activities, plans, problems, and solutions.
18. Responsibility for the day-to-day conduct of the project rests with the recipient. This specifically includes operations, data collection, analysis and interpretation.
19. Responsibility for general oversight and redirection of the project, if necessary, rests with OVC. OVC will review and approve all activities in the requirements under the various stages, as enumerated in the solicitation. This includes review and approval in a timely manner of all key personnel selections, consultants, assessments, plans, instruments, manuals, and documents developed or identified for use during the project, with suggestions for modifications. The program solicitation is hereby incorporated by reference into the Cooperative Agreement making it part of the overall agreement, requiring adherence to its overall design.
20. The grantee agrees that it may not disseminate drafts of training curricula and other grant-supported materials beyond pilot testing of the material, unless otherwise specifically approved by OVC in writing in advance. Such materials must be clearly marked "DRAFT" and the OVC disclaimer must be included on all such documents. The OVC logo shall not be displayed until the document is final and has been approved by OVC for final publication or electronic dissemination. Grantees are advised not to assume that products submitted to OVC will be ready for immediate release. Contact your grant monitor for more information.
21. The grantee agrees to provide summary data to OVC on individual results and outcomes of funded grants in accordance with the Government Performance and Results Act. The data should reflect program/project successes and impact. The grantee organization must provide information in progress and final reports which is consistent with outcomes identified in the solicitation and the grantee's application for funding. The OVC project specialist is available to offer guidance on performance measures to grantees.
22. OVC reserves the right to take appropriate action in instances when the grantee fails to initiate activity on the grant or misses multiple time tasklines. OVC action may include, but is not limited to, termination or suspension of the grant.
23. The recipient agrees to submit a final report at the end of this award documenting all relevant project activities during the entire period of support under this award. This report will include detailed information about the project(s) funded, including, but not limited to, information about how the funds were actually used for each purpose area, data to support statements of progress, and data concerning individual results and outcomes of funded projects reflecting project successes and impacts. The final report is due no later than 90 days following the close of this award period or the expiration of any extension periods. This report will be submitted to the Office of Justice Programs, on-line through the Internet at <https://grants.ojp.usdoj.gov/>.
24. The recipient agrees that it will submit quarterly financial status reports to OJP on-line (at <https://grants.ojp.usdoj.gov/>) using the SF 425 Federal Financial Report form (available for viewing at www.whitehouse.gov/omb/grants/standard_forms/ff_report.pdf), not later than 30 days after the end of each calendar quarter. The final report shall be submitted not later than 90 days following the end of the award period.
25. The recipient shall submit semiannual progress reports. Progress reports shall be submitted within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. These reports will be submitted to the Office of Justice Programs, on-line through the Internet at <https://grants.ojp.usdoj.gov/>.

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Department of Justice
Office of Justice Programs
Office for Victims of Crime

**AWARD CONTINUATION
SHEET**
Cooperative Agreement

PAGE 5 OF 8

PROJECT NUMBER 2014-XV-BX-K021

AWARD DATE 09/15/2014

SPECIAL CONDITIONS

26. The grantee agrees to submit to OVC, within 30 days of award, a revised time-task plan. This revised time-task plan should be developed in consultation with the OVC program specialist assigned to the project, and should clearly indicate the following: (1) each of the stated project objectives and the tasks to be performed to achieve those objectives; (2) the completion dates for tasks and delivery dates for products; (3) the project staff responsible for accomplishing each task; 4) submission dates for financial and programmatic progress reports, consistent with the requirements of the OJP Financial Guide; and 5) incorporation of development schedule for print, video, and multimedia products to assure periodic OVC reviews of products (see Product Submission Requirements).
27. All procurement (contract) transactions under this award must be conducted in a manner that is consistent with applicable Federal and State law, and with Federal procurement standards specified in regulations governing Federal awards to non-Federal entities. Procurement (contract) transactions should be competitively awarded unless circumstances preclude competition. Noncompetitive (e.g., sole source) procurements by the award recipient in excess of the Simplified Acquisition Threshold (currently \$150,000) set out in the Federal Acquisition Regulation must receive prior approval from the awarding agency, and must otherwise comply with rules governing such procurements found in the current edition of the OJP Financial Guide.
28. Approval of this award does not indicate approval of any consultant rate in excess of \$650 per day. A detailed justification must be submitted to and approved by the Office of Justice Programs (OJP) program office prior to obligation or expenditure of such funds.

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Department of Justice
Office of Justice Programs
Office for Victims of Crime

**AWARD CONTINUATION
SHEET**
Cooperative Agreement

PAGE 6 OF 8

PROJECT NUMBER 2014-XV-BX-K021

AWARD DATE 09/15/2014

SPECIAL CONDITIONS

29. Within 45 calendar days after the end of any conference, meeting, retreat, seminar, symposium, training activity, or similar event funded under this award, and the total cost of which exceeds \$20,000 in award funds, the recipient must provide the program manager with the following information and itemized costs:

- 1) name of event;
- 2) event dates;
- 3) location of event;
- 4) number of federal attendees;
- 5) number of non-federal attendees;
- 6) costs of event space, including rooms for break-out sessions;
- 7) costs of audio visual services;
- 8) other equipment costs (e.g., computer fees, telephone fees);
- 9) costs of printing and distribution;
- 10) costs of meals provided during the event;
- 11) costs of refreshments provided during the event;
- 12) costs of event planner;
- 13) costs of event facilitators; and
- 14) any other costs associated with the event.

The recipient must also itemize and report any of the following attendee (including participants, presenters, speakers) costs that are paid or reimbursed with cooperative agreement funds:

- 1) meals and incidental expenses (M&IE portion of per diem);
- 2) lodging;
- 3) transportation to/from event location (e.g., common carrier, Privately Owned Vehicle (POV)); and,
- 4) local transportation (e.g., rental car, POV) at event location.

Note that if any item is paid for with registration fees, or any other non-award funding, then that portion of the expense does not need to be reported.

Further instructions regarding the submission of this data, and how to determine costs, are available in the OJP Financial Guide Conference Cost Chapter.



Department of Justice
Office of Justice Programs
Office for Victims of Crime

**AWARD CONTINUATION
SHEET**
Cooperative Agreement

PAGE 7 OF 8

PROJECT NUMBER 2014-XV-BX-K021

AWARD DATE 09/15/2014

SPECIAL CONDITIONS

30. The grantee agrees to coordinate, through its OVC program manager, with the Office of the Chief Information Officer (OCIO) of the Office of Justice Programs on any information technology related products, including web-based systems, that will be developed in whole or in part with OVC grant funding. Grantees will obtain OCIO's review and concurrence with the proposed design and implementation to ensure compatibility with the OJP enterprise architecture.
31. The grantee agrees that OVC retains the right to make a final determination whether to publish or produce any grant-funded product as an OVC- sponsored product. Only after OVC decides against official publication, can the grantee independently release a publication or product for sale or dissemination, at its own expense. However, if the grantee releases this information, it may not include use of the OVC logo, or other indicia that it is an official OVC publication.
32. The grantee agrees to submit the final draft of any publication, product, or electronic information at least 120 days before the end date of the grant for OVC review. The grantee agrees to use grant funds to make any substantive corrections, if appropriate, to a publication or product in response to comments generated through either the OVC peer review process or the internal Department of Justice review process. Any grant-funded products (written, Web-based, audio-visual, or any other media format), whether produced at the grantee's or government's expense, shall contain the following statement: This _____ was produced by _____ under [add contract or grant number, as appropriate], awarded by the Office for Victims of Crime, Office of Justice Programs, U.S. Department of Justice. The opinions, findings, and conclusions or recommendations expressed in this _____ are those of the contributors and do not necessarily represent the official position or policies of the U.S. Department of Justice.
33. The grantee agrees to submit any print or electronic promotional materials concerning any OVC-funded project that are intended for public dissemination to OVC for review seven (7) working days in advance of release. This includes, but is not limited to: fact sheets, newsletters, press releases, Web-based materials, and listserv announcements.
34. The Project Director and key program personnel designated in the application shall be replaced only for compelling reasons. Successors to key personnel must be approved, and such approval is contingent upon submission of appropriate information, including, but not limited to, a resume. OJP will not unreasonably withhold approval. Changes in other program personnel require only notification to OJP and submission of resumes, unless otherwise designated in the award document.
35. The recipient agrees to comply with applicable requirements to report first-tier subawards of \$25,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients of award funds. Such data will be submitted to the FFATA Subaward Reporting System (FSRS). The details of recipient obligations, which derive from the Federal Funding Accountability and Transparency Act of 2006 (FFATA), are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/ffata.htm> (Award condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here. This condition, and its reporting requirement, does not apply to grant awards made to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).
36. Grant funds may be used only for the purposes in the recipient's approved application. The recipient shall not undertake any work or activities that are not described in the grant application, and that use staff, equipment, or other goods or services paid for with OJP grant funds, without prior written approval from OJP.

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Department of Justice
Office of Justice Programs
Office for Victims of Crime

**AWARD CONTINUATION
SHEET**
Cooperative Agreement

PAGE 8 OF 8

PROJECT NUMBER 2014-XV-BX-K021

AWARD DATE 09/15/2014

SPECIAL CONDITIONS

37. The recipient acknowledges that the Office of Justice Programs (OJP) reserves a royalty-free, non-exclusive, and irrevocable license to reproduce, publish, or otherwise use, and authorize others to use (in whole or in part, including in connection with derivative works), for Federal purposes: (1) any work subject to copyright developed under an award or subaward; and (2) any rights of copyright to which a recipient or subrecipient purchases ownership with Federal support.

The recipient acknowledges that OJP has the right to (1) obtain, reproduce, publish, or otherwise use the data first produced under an award or subaward; and (2) authorize others to receive, reproduce, publish, or otherwise use such data for Federal purposes. "Data" includes data as defined in Federal Acquisition Regulation (FAR) provision 52.227-14 (Rights in Data - General).

It is the responsibility of the recipient (and of each subrecipient, if applicable) to ensure that this condition is included in any subaward under this award.

The recipient has the responsibility to obtain from subrecipients, contractors, and subcontractors (if any) all rights and data necessary to fulfill the recipient's obligations to the Government under this award. If a proposed subrecipient, contractor, or subcontractor refuses to accept terms affording the Government such rights, the recipient shall promptly bring such refusal to the attention of the OJP program manager for the award and not proceed with the agreement in question without further authorization from the OJP program office.

38. With respect to this award, federal funds may not be used to pay cash compensation (salary plus bonuses) to any employee of the award recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. (An award recipient may compensate an employee at a higher rate, provided the amount in excess of this compensation limitation is paid with non-federal funds.)

This limitation on compensation rates allowable under this award may be waived on an individual basis at the discretion of the OJP official indicated in the program announcement under which this award is made.

39. The recipient may not obligate, expend or draw down funds until the Office of the Chief Financial Officer (OCFO) has approved the budget and budget narrative and a Grant Adjustment Notice (GAN) has been issued to remove this special condition.

R

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 15 114

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL

ANN M. RICE
DEPUTY ATTORNEY GENERAL



July 8, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Justice to accept and expend a grant from the US Department of Justice, Office for Victims of Crime, in the amount of \$106,177, for the purposes of improving the technology of the claims processing system, known as the "Computerized Crime Victim Compensation System," utilized by the Department's Victims' Assistance Unit, effective upon the Fiscal Committee and Governor and Executive Council approvals through December 31, 2015. 100% Federal Funds.

Funds are to be budgeted in 02-20-20-201510-5890, Department of Justice, Grants Administration, entitled "OVC Vision 21 Grant" as follows:

020-500200	Current Expense	\$400
022-500255	Rent/Lease-Office Equipment	\$5,712
040-500800	Indirect Costs	\$192
041-500801	Audit Fund Set Aside	\$107
046-500466	Consultants- IT Service Vendor	\$97,938
072-500576	Federal Grants	<u>\$1,828</u>
Total		\$106,177
Source of Funds		
000-400338	Federal Funds	\$106,177

2. Upon approval of Requested Action number one and Pursuant to RSA 124:15, authorize the Department of Justice to establish a consultant class (046) for the purpose of contracting with the systems expert relative to the "Computerized Crime Victim Compensation System" effective with the Fiscal Committee of the General Court and Governor and Executive Council approvals through December 31, 2015. 100% Federal Funds.

Funds are to be budgeted as follows in 02-20-20-201510, Department of Justice, Grants Administration, entitled "OVC Vision 21 Grant":

<u>Class</u>	<u>Description</u>	<u>Amount</u>
046-500466	Consultants – IT Service Vendor	\$98,500

EXPLANATION

The NH Department of Justice is seeking approval to accept and expend grant funding under the US Department of Justice, Office for Victims of Crime, program entitled "Vision 21: Improving State Technology" for the purpose of updating the technology in the Victims' Compensation Unit. These funds were not previously budgeted because the grants were awarded on a competitive basis with no guarantee that New Hampshire would receive an award.

The Victims' Compensation Unit reimburses crime victims for their eligible crime-related expenses. Before awarding compensation, the Victim's Compensation Commission conducts an in depth review of the underlying documentation, including police reports, insurance and billing information, and information about services for which compensation is sought. Each claim, of which the Unit processes over 500 annually, involves voluminous documentation. Technology upgrades will allow for an automated claim document filing system and paperless claims processing system, improving the work flow and efficiency of the Unit. Additionally, crime victims will have expanded access to the service with a web-based program and on-line claim filing ability.

The funds are budgeted to support the activities as follows:

- Class 020 – Current Expense to purchase office supplies related to the program.
- Class 022 – To rent a multifunctional copier-printer-scanner-fax machine for a paperless system.
- Class 040 – Indirect Costs
- Class 041 – Audit Fund Set Aside of .1%
- Class 046 – Consultants to contract with the technology vendor
- Class 072 – Grant funding for administrative costs.

The following information is provided in accordance with the Comptroller's instructional Memorandum dated September 21, 1981.


1. List of personnel involved: Class 046 – contract(s) to provide technology upgrades and support for new software.
2. Nature, Need and Duration: The contract(s) will be in effect for the period effective upon Fiscal Committee and Governor and Executive Council approval through December 31, 2015.

3. Relationship to existing agency programs: This funding is to be utilized specifically to contract with the sole source vendor that is the developer of the technology to be upgraded.
4. Has a similar program been requested of the legislature and denied? No similar program has been requested and denied.
5. Why wasn't funding included in the agency's budget request? This project was not included in the Department's budget request because the funds were not available at the time the budget was crafted; nor were the funds expected to be available with any degree of certainty.
6. Can portions of the funds be utilized for other purposes? No portion of these grant funds can be used for any other purpose.
7. Estimate the funds required to continue this position(s): It is estimated that it will cost \$97,938 for the contractual services to complete the project.

In the event that federal funds are no longer available, general funds will not be requested to support this program.

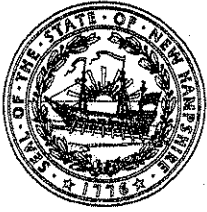
Please let me know if you have any questions concerning this request. Your consideration is greatly appreciated.

Respectfully submitted,



Joseph A. Foster
Attorney General

JAF/jeb
#1232491



STATE OF NEW HAMPSHIRE
 DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
 DIVISION OF ECONOMIC DEVELOPMENT

172 Pembroke Road Concord, New Hampshire 03301
 Phone: 603-271-2341 www.nheconomy.com

November 9, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 and
 Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Executive Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Resources and Economic Development, Division of Economic Development, Office of International Commerce (Department) to amend Fiscal Committee Item #FIS 15-181, approved on August 26, 2015, and Governor and Executive Council Item #50, approved on September 16, 2015, by changing the end date *only* from the current end date of December 31, 2015 to a new end date of May 31, 2016 to better align with the Federal grant end date, effective upon Fiscal Committee and Governor and Executive Council approval. No increase in funding is requested with this time extension. **100% Federal Funds**
2. Upon approval of Requested Action number one and pursuant to RSA 124:15, authorize the Department to amend Fiscal Committee Item #FIS 15-181, approved on August 26, 2015, and Governor and Executive Council Item #50, approved on September 16, 2015, for a consultant class (046) for the purpose of contracting with a Consortium Manager by changing the end date *only* from the current end date of December 31, 2015 to a new end date of May 31, 2016 to better align with the Federal grant end date, effective upon Fiscal Committee and Governor and Executive Council approval. No increase in funding is requested with this time extension. **100% Federal Funds**

EXPLANATION

This request is to amend the end date only for a grant awarded by the US Department of Defense through the Office of Economic Adjustment. The Department originally requested an end date of December 31, 2015 to comply with the Continuing Resolution (Chapter 158, Laws of 2015). The funding for the program is currently available through May 31, 2016. This request will allow the Department to continue to further its efforts of providing technical assistance for growth and diversification opportunities for manufacturers serving the defense sector. The grant provides NH aerospace and defense businesses with vital tools and resources that will assist them to diversify their products, elevate their position in the international market, and ultimately, be less dependent on fluctuations in US defense spending levels. The OEA grant funds support critical training for commercialized product development which is a top priority for sector growth identified by the consortium membership. The OEA grant also provides funding for a Consortium Manager consultant who will be tasked with growing the consortium, transitioning the organization to a sustainable non-profit organization, and growing the capacity of its membership.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

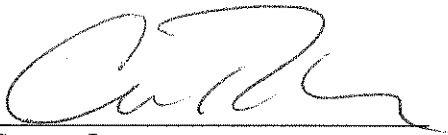
1. **List the personnel involved:** Class 046 – a contract with a consultant to provide consortium organization and expansion.

2. **Nature, need, and duration:** The contract for a Consortium Manager consultant was approved by the Governor and Executive Council (G&C) on September 16, 2015 (Item #51) with an end date of December 31, 2015. Upon Fiscal Committee and G&C approval of this OEA grant time extension request, the Department will seek G&C approval to extend that contract end date as well.
3. **Relationship to existing agency programs:** This grant funding is to be utilized specifically to contract with a Consortium Manager consultant.
4. **Has a similar program been requested of the legislature and denied?** No similar program has been requested or denied.
5. **Why wasn't funding included in the agency's budget request?** This project was not included in the Department's budget request because the funds were not available at the time the budget was being crafted; nor were the funds expected to be available with any degree of certainty.
6. **Can portions of the grant funds be utilized?** No portion of these grant funds can be used for any other purpose.
7. **Estimate the funds required to continue this position:** A contract not to exceed \$48,000 was entered into for the contractual services required to complete this project.

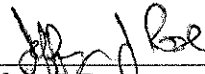
In the event that Federal Funds are no longer available, General Funds will not be requested to continue the support of this program.

Respectfully submitted,

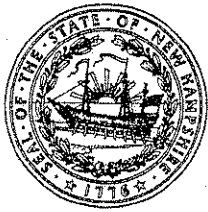
Concurred,



Carmen Lorentz
Director



Jeffrey P. Rose
Commissioner



Approved 11/15/15-181 8/26/15
b7c Item # 50 9/16/15

STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
DIVISION OF ECONOMIC DEVELOPMENT

172 Pembroke Road Concord, New Hampshire 03301
Phone: 603-271-2341 www.nheconomy.com

July 27, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

- Pursuant to RSA 14:30-a, VI, authorize the Department of Resources and Economic Development, Division of Economic Development, Office of International Commerce to accept and expend grant funds in the amount of \$319,848 awarded by the US Department of Defense through the Office of Economic Adjustment effective upon Fiscal Committee and Governor and Executive Council approval through December 31, 2015. **100% Federal Funds**

Funding to be budgeted as follows:

03-35-35-350510-52700000 OEA Grant		2016 Requested Budget
000-403944	Federal Funds	(\$319,848)
040-500800	Indirect Costs	19,098
041-500801	Audit Fund Set Aside	320
046-500464	Consultants	48,000
057-500533	Books Periodicals Subscriptions	90,000
080-500719	Out-of-State Travel Reimbursement	2,800
102-500731	Contracts for Program Services	159,630
<i>Total:</i>		\$319,848

- Upon approval of Requested Action number one and pursuant to RSA 124:15, authorize the Department of Resources and Economic Development to establish a consultant class (046) for the purpose of contracting with a Consortium Manager effective upon Fiscal Committee and Governor and Executive Council approvals through December 31, 2015. **100% Federal Funds**

EXPLANATION

The US Department of Defense, through its Office of Economic Adjustment (OEA), assists communities impacted by program changes. Founded in 1961, OEA has helped communities in all 50 states and major United States territories develop comprehensive strategies to adjust to defense industry cutbacks, base closures, personnel and logistical realignments, and incompatibilities between military operations and local development. The recently launched grant program provides states with the resources to provide technical assistance for growth and diversification opportunities for manufacturers serving the defense sector.

Aerospace and defense constitutes two of New Hampshire's largest manufacturing sectors, both of which have experienced diminished sales and activity due to the previous recession and impacts from sequestration. One solution to counter the downturn in military contracts available for New Hampshire companies is to provide businesses with the information and resources necessary so that they can expand their market base. This is achieved through identification of new market trends internationally, commercialization of products with military applications, adaptation of business processes to commercial business culture, educational programs and access to

key market research reports for global geographic markets and products trends. All of these strategies lead to entry and expansion opportunities in the global marketplace, and all of which are addressed in the grant's scope of work.

The New Hampshire Aerospace and Defense Export Consortium (NHADEC) was established in 2013 by the Office of International Commerce (OIC). In partnership with OIC, NHADEC focuses on fostering the most opportune foreign markets for its members through collaborative efforts and global brand messaging and awareness. In less than two years, the consortium developed programs, technical assistance and expertise to support and expand export activities of its members. The programs have included regular training sessions on export compliance, domestic and international trade shows, presentations by global market experts and business-to-business matchmaking. The focus of OIC's and NHADEC's efforts is on export capacity building for NH businesses-increasing sales by diversifying and building new markets.

Each activity in the grant will provide the NH aerospace and defense businesses with vital tools and resources that will assist them to diversify their products, elevate their position in the international market, and ultimately, be less dependent on fluctuations in US defense spending levels. As a result, these businesses will become more sustainable and will contribute to the growth of NH's overall economy. Most importantly, the OEA funds also support critical training for commercialized product development; a top priority for sector growth identified by the consortium membership. The OEA grant funds will also provide funding to hire a Consortium Manager consultant who will be tasked with growing the consortium, transitioning the organization to a sustainable non-profit organization, and growing the capacity of its membership.

The following appropriations are being requested for Fiscal Year 2016:

Class	Class Description	Amount	Purpose
040	Indirect Costs	\$19,098	Amount budgeted by OEA to cover portion of indirect cost rate (6.35%)
041	Audit Fund Set Aside	\$320	Amount needed to cover audit fund set aside percentage (.001)
046	Consultants	\$48,000	Amount needed to cover consultant contract for consortium organization and expansion
057	Books Periodicals Subscriptions	\$90,000	Amount needed to cover purchase of market research materials
080	Out-of-State Travel	\$2,800	Amount needed to cover travel expenses of 2 staff for OEA Grantee Training in Washington, DC
102	Contracts for Program Services	\$159,630	Amount needed to cover contractual and other services as specified in the grant award

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- List the personnel involved:** Class 046 – a contract with a consultant to provide consortium organization and expansion.
- Nature, need, and duration:** The contract will be in effect for the period effective upon Governor and Executive Council approval through December 31, 2015.
- Relationship to existing agency programs:** This grant funding is to be utilized specifically to contract with a Consortium Manager consultant.
- Has a similar program been requested of the legislature and denied?** No similar program has been requested or denied.
- Why wasn't funding included in the agency's budget request?** This project was not included in the Department's budget request because the funds were not available at the time the budget was crafted; nor were the funds expected to be available with any degree of certainty.

6. **Can portions of the grant funds be utilized?** No portion of these grant funds can be used for any other purpose.
7. **Estimate the funds required to continue this position:** It is estimated that it will cost \$48,000 for the contractual services to complete the project.

Listed below are answers to standard questions required of all Fiscal Committee item requests related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source" or RSA 124:15 "Positions Authorized" or both:

1. **Is the action required of this request a result of the Continuing Resolution for FY 2016?** This action is not required as a result of the continuing resolution.
2. **If this request is retroactive what is the significance and importance of the action being effective from an earlier date?** This is not a retroactive request.
3. **Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)** No, this is a new program being offered via a grant award of funds by the US Department of Defense through the Office of Economic Adjustment.
4. **Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?** Neither. This is a new program request and did not exist in FY 2014-2015.
5. **Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?** This is a new program request and was not part of the FY 2016-2017 operating budget as proposed.
6. **Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)** State funded positions are not included as part of the award for this program. However, a consultant will be hired as the Consortium Manager as noted above. The consulting offer has not yet been made but is pending per Fiscal Committee and Governor and Executive Council approval.
7. **What would be the effect should this program be discontinued or not initiated as a result of this request being denied?** Without these funds, the consortium will lack a manager and training resources and will not be self-sustaining; instead relying upon the support of the state to continue to meet its goals. In turn, the OIC will not have sufficient resources to continue to support the NHADEC, as well as the needs of the aerospace and defense sectors of NH, who have already been impacted by the global and national economic downturn.

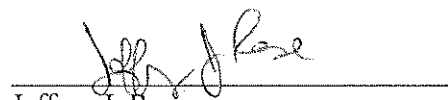
In the event that Federal Funds are no longer available, General Funds will not be requested to continue the support of this program.

Respectfully submitted,

Concurred,



Armen Lorentz
Director



Jeffrey J. Rose
Commissioner

State of New Hampshire
Department of Resources and Economic Development

FISCAL SITUATION

03-35-35-350510-52700000 OEA Grant

Fiscal Year 2016 Grant Award	\$319,848
<i>Total Request</i>	<u>\$319,848</u>

Lavoie, Leanne

From: Kasim, Tina
Sent: Friday, July 17, 2015 9:17 AM
To: Way, Christopher; Marino, Christopher; Nelson, Nathaniel; Lavoie, Leanne; Myers, Patrice
Subject: RE: OEA grant

Further to my earlier email- any suggestions?:

"Tina,

This is our standard operating procedure, so our director won't sign another letter. The letterhead is being built into our new system, but that's a few months out (changing anything in our old system causes many other errors to pop up). Does it work to show the letter is in our official eGrants system?

If not, I can see if our grant administrator can write a notification letter on our letterhead - she can't sign the award letter or agreement, but simply say the attached, digitally signed materials are notification of award.

Thanks,
Margit

Margit Myers
Email: margit.a.myers.civ@mail.mil
Project Manager
Office of Economic Adjustment
2231 Crystal Drive Suite 520
Arlington, VA 22202
Phone: 703.697.2119

Tina Kasim

Program Manager
Office of International Commerce
Division of Economic Development
New Hampshire Department of Resources and Economic Development
172 Pembroke Road
Concord NH 03301

P:+1-603-271-8444
F:+1-603-271-6784
E: tina.kasim@dred.nh.gov
W: www.exportnh.org
Facebook and Twitter: ExportNH

*Join us on the State of New Hampshire's
Trade Mission to Colombia!
October 19-21, 2015*



FAIN: HQ00051510015
OEA Locator: ST1521-15-01
State of New Hampshire

Ms. Tina Kasim
Program Manager
Office of International Commerce
New Hampshire Department of Resources
and Economic Development
172 Pembroke Road
Concord, NH 03302-1856

Dear Ms. Kasim:

I am pleased to inform you that I have approved the request for \$319,848 of Department of Defense Community Planning Assistance Funds to carry out a community economic adjustment program in the State of New Hampshire. If the provisions of the attached Agreement are acceptable, please sign the document online.

Standard Form (SF) 270, "Request for Advance or Reimbursement" is used to request Grant funds. The first request for payment may be submitted electronically once you have signed the Agreement. The SF 425, "Federal Financial Report" is used to report final outlays and obligations for the entire Grant period and must be submitted to close out the Grant.

This Grant has been assigned the Federal Award Identifier Number (FAIN) HQ00051510015. Please use this number for reporting subaward and executive compensation information into the Federal Funding Accountability and Transparency Act (FFATA) Subaward Reporting System (FSRS) as required. Please use the OEA locator on all OEA correspondence.

You may direct questions regarding this award to your OEA Project Manager, Ms. Margit Myers, at (703) 697-2119 or margit.a.myers.civ@mail.mil. We look forward to working with you.

Sincerely,

Patrick O'Brien 7/14/2015 1:03:15 PM
Patrick J. O'Brien
Director
Office of Economic Adjustment

Attachment:
As stated

OFFICE OF ECONOMIC ADJUSTMENT
DEPARTMENT OF DEFENSE

GRANT AGREEMENT
FOR
STATE OF NEW HAMPSHIRE

I. NOTICE OF AWARD

This Agreement is between the Resources and Economic Development, New Hampshire, the Grantee, and Department of Defense, through the Office of Economic Adjustment (OEA), the Grantor. The Grantee will undertake community economic adjustment activities as described in the Application for Federal Assistance, dated May 27, 2015, at the estimated cost of \$364,238, consisting of \$319,848 from the Grantor and \$44,390 from non-Grantor sources. By signing this agreement, the authorized organizational representative assures that the recipient will carry out the project/program described in its application and will comply with the terms and conditions and other requirements as specified below, of this award. The application for assistance, and the following terms and conditions and other requirements are incorporated in this award by reference with the same force and effect as if they were given in full text.

II. GRANTEE INFORMATION

- A. Grantee Name: Resources and Economic Development, New Hampshire
- B. Grantee Address: 172 Pembroke Road Concord, NH 03302-1856
- C. Grantee DUNS Number: 787504158

III. GRANT AWARD DATA

- A. Project Title: State of New Hampshire (DIA)
- B. Federal Award Identification Number (FAIN): HQ00051510015
- C. OEA Award Number: ST1521-15-01
- D. Project Period: April 1, 2015 – May 31, 2016
- E. Statutory authority for Grant: 10 U.S. Code § 2391
- F. This award is not for Research and Development, and is made under Catalog of Federal Domestic Assistance (CFDA) number 12.617, Economic Adjustment Assistance for State Governments

IV. COMPLIANCE BY THE GRANTEE

The Grantee's actions under this Grant shall comply with the terms and conditions of this agreement, and all applicable Federal, State, interstate and local laws and regulations. This award is governed by the guidance in 2 Code of Federal Regulations (CFR) Part 200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," as modified and supplemented by the Department of Defense's (DoD) interim implementation found at 2 CFR Part 1103, "Interim Grants and Cooperative Agreements Implementation of Guidance in 2 CFR Part 200" (79 Federal Register 76047, December 19, 2014), all of which are incorporated herein by reference, and OEA's Terms and Conditions as stated in this award. All applicable Federal statutes and regulations are posted on OEA's website at www.oea.gov. OEA may suspend or terminate this Agreement in whole, or in part, if the Grantee materially fails to comply with any term or condition of this Agreement. The Grantee shall not incur new obligations for the terminated portions after receiving notice of the termination, and shall cancel as many outstanding obligations as possible. Additional enforcement remedies for non-compliance and termination provisions, in 2 CFR Part 200 apply to this award. The decision of the Grantor in interpreting the Terms and Conditions of this Agreement shall be final.

V. OEA GENERAL TERMS AND CONDITIONS

A. The scope of work contained in any solicitation and/or request for proposals must be reviewed and approved by OEA prior to issuance.

B. Personnel

- (1) The Grantor must approve or disapprove the selection of key personnel as identified in the application and/or this Agreement. Any new hires or changes in key personnel require prior written approval from the Grantor. Resumes, in sufficient detail to reveal the experience, education, and other general and specific qualifications for the position, must be submitted to the Grantor for its consent prior to approval of a candidate.
- (2) The Grantee agrees to comply with the requirements regarding support of salaries and wages in 2 CFR Part 200.430, "Compensation-personal services."

C. Prior Written Approval

The purpose and scope of this award is to undertake community economic adjustment activities as specified in the grant application. Any changes in the project/program described in the application to include those identified below require prior written approval from OEA in the form of an amendment request:

- (1) Changes in the specific activities described in the application.

- (2) Changes in key personnel as specified in the application and/or this agreement.
- (3) Changes in the scope of work contained in any solicitation and/or request for proposals.
- (4) Need for additional Federal funds or changes in the non-Federal match.
- (5) Budget reallocations that exceed 10 percent of the total budget among approved direct cost categories or are transferred to new budget line items.
- (6) Changes in indirect cost rates or recertification of expired indirect cost rates during the project period unless otherwise specified in this grant agreement.
- (7) Requests to purchase equipment (including software) with an estimated acquisition cost of more than \$5,000.
- (8) Requests to use Federal and/or non-Federal match funds for food and/or beverages in conjunction with meeting costs.

D. Grant Payments

- (1) A Standard Form (SF) 270, "Request for Advance or Reimbursement," shall be submitted when requesting funds. The first request for payment may be submitted electronically once you have signed the Grant Agreement.
- (2) All financial information on the SF 270 shall be shown as: Column (a)-- Salaries and Benefits; Column (b)--Operating Expenses; Column (c)-- Contracts.
- (3) Grant payments will be made by electronic funds transfer.
- (4) Grant funds for contractual services will be disbursed on a reimbursement basis only. Advances of up to 30 days may be requested for operational support. When Grant payments are cash advances, the amount requested will be limited to that actually required.
- (5) Grantee's payments to contractors/consultants shall be contingent upon the Grantee's acceptance of deliverables.
- (6) Requests for Federal funds (SF 270's) for final payment of consultant/contractor deliverables should be submitted to the Grantor after Grantee's acceptance of the deliverables.

E. Advance Payments

(1) Any Grant funds advanced or paid and not needed for approved grant purposes shall be reported immediately to the Grantor. Grantees are to submit payments, including refunds or reimbursements, directly to the Grantor's Fiscal Agent, the Defense Finance and Accounting Service (DFAS) Cleveland through one of the following methods:

(a) Via ACH with the following information:
Bank Name: Credit Gateway
RTN: 051036706
A/C: 220031

(b) Via Wire with the following information:
Bank Name: US Treasury
City: New York, NY
Country: USA
RTN: 021030004
Swift: FRNYUS33FX1
Account Name: DFAS-Cleveland
Account Number: 00008522

(2) The Grantor will not accept any paper checks or returned funds directly.

F. Grantee Contributions

Contributions to this project by non-Grantor sources are expected to be paid out at the same general rate as Grant funds.

G. Separate Bank/Fund Accounts

(1) The Grantee is not required to establish a separate bank account but may do so. The Grantee, however, must maintain accounting records to adequately identify the source and application of Grant funds. Other considerations, such as FDIC coverage, shall be in accordance with the provisions of 2 CFR Part 200.

(2) Interest earned on Grant funds shall be reported to the Grantor and used to reduce the Federal share of this Grant. The Grantee may retain interest on any Grant funds not to exceed \$500 per year for administrative expenses. Any additional interest earned on Federal advance payments deposited in interest-bearing accounts must be remitted annually to the Department of Health and Human Services Payment Management System (PMS) through an electronic medium using either Automated Clearing House (ACH) network or a Fedwire Funds Service payment. Remittances must include pertinent information of the payee and nature of payment in the memo area (often referred to as "addenda records" by Financial Institutions) as that will assist in the timely posting of interest earned on Federal funds. Pertinent details include the Payee Account

Number (PAN) if the payment originated from PMS, or Agency information if the payment originated from ASAP, NSF or another Federal Agency payment system. The remittance must be submitted as follows:

- (a) For ACH Returns:
Routing Number: 051036706
Account number: 303000
Bank Name and Location: Credit Gateway—ACH Receiver
St. Paul, MN
- (b) For Fedwire Returns*:
Routing Number: 021030004
Account number: 75010501
Bank Name and Location: Federal Reserve Bank Treas
NYC/Funds Transfer Division New York, NY
(*Please note organization initiating payment is likely to incur
a charge from your financial institution for this type of
payment)

H. Reimbursement for Travel

Reimbursement for travel (transportation, food, and lodging) in the performance of Grant activities shall be consistent with those normally allowed in like circumstances in the non-Federally sponsored activities of the Grantee. Grantees may follow their own established rate but any travel allowance policies in excess of Federal limits must receive prior approval from the Grantor.

I. Use of Consultants/Contractors

- (1) Procurement of consultant or contractor services shall be in accordance with all standards and procedures set forth in 2 CFR Part 200. The following terms are intended merely to highlight some of these standards and are, therefore, not inclusive.
- (2) All procurement transactions, regardless of whether negotiated or advertised and without regard to dollar value, shall be conducted in a manner that provides maximum open and free competition.
- (3) Formal advertising, with adequate purchase description, sealed bids, and public openings, shall not be required for small purchase procurements that are less than the simplified acquisition threshold, currently set by the Federal Acquisition Regulation at 48 CFR Subpart 2.1 in accordance with 41 U.S.C. 1908 as \$150,000 unless otherwise required by State or local law or regulation. If small purchase procedures are used, price or rate quotations shall be obtained. Micro-purchases of supplies or services, the aggregate amount of which does not exceed the micro-purchase threshold currently set by the

Federal Acquisition Regulation at \$3,000 may be used in order to expedite the completion of lowest-dollar small purchase transactions.

- (4) The Grantee shall maintain a code or standards of conduct which shall govern the performance of its officers, employees, or agents in contracting with and expending Federal Grant funds. Grantee's officers, employees, or agents shall neither solicit nor accept gratuities, favors, or anything of monetary value from a contractor or potential contractors. To the extent permissible by State or local law, rules, or regulations, such standards shall provide for penalties, sanctions, or other disciplinary actions to be applied for violations of such standards by the Grantee's officers, employees, or agents, or by contractors.
- (5) The Grantee shall ensure that every consultant and every contractor it employs under the Grant complies with the terms of this Agreement as though the consultant or contractor were a party to this Agreement.
- (6) The Grantee is the responsible authority, without recourse to the Grantor, regarding the settlement and satisfaction of all contractual and administrative issues arising out of procurements entered into in support of the Grant.

J. Contractor Deliverables

- (1) A disclaimer statement will appear on the title page of any study prepared under this Grant. It will read:

“This study was prepared under contract with the Resources and Economic Development, New Hampshire, with financial support from the Office of Economic Adjustment, Department of Defense. The content reflects the views of the Resources and Economic Development, New Hampshire, and does not necessarily reflect the views of the Office of Economic Adjustment.”
- (2) The contractor identification will appear on the title page of the study funded by this grant.
- (3) Any final study shall be submitted electronically. The document will be dated the month and year that it is submitted to the Grantor.

K. Post-Award Monitoring

- (1) Grantee Reporting
 - (a) The Grantee shall provide interim performance reports and a final performance report. The performance reports will contain information on the following:

- (i) A comparison of actual accomplishments to the objectives established for the period.
 - (ii) The reasons for slippage if established objectives were not met.
 - (iii) Additional pertinent information when appropriate.
 - (iv) An accounting, by the budget line items approved for this project, of expenses incurred during the reporting period, including the amount of Grant funds on hand at the beginning and end, and non-Grantor share of contributions over the term.
 - (v) The final performance report must contain a summary of activities for the entire Grant period. All required deliverables should be submitted with the final performance report.
- (b) The attached "Schedule of Reports" provides reporting periods and dates due for this award.
- (2) The Grantor reserves the right to conduct on-site reviews and/or off-site desk reviews to confirm compliance with programmatic and administrative terms and conditions.

L. Activities Prohibited

- (1) Duplication of Work: The purpose and scope of work for which this Agreement is made shall not duplicate programs for which moneys have been received, are committed, or are applied for from other sources, public or private. Upon request of the Grantor, the Grantee shall submit full information about related programs that will be initiated within the Grant period.
- (2) Other Funding Sources: Grantor's funds budgeted or granted for this program shall not be used to replace any financial support previously provided or assured from any other source.
- (3) Funds for Attorney/Consultant Fees: The Grantee hereby agrees that no funds made available from this Grant shall be used, directly or indirectly, for paying attorneys' or consultants' fees in connection with securing grants or other services provided by the Grantor, for example, preparing the application for this assistance. However, attorneys' and consultants' fees incurred for meeting this Agreement's requirements may be eligible project costs and may be paid out of funds made available from this Agreement provided such costs are otherwise eligible.
- (4) The Grantee is prohibited from using funds provided from this Grant or personnel employed in the administration of this program for political

activities, sectarian or religious activities, lobbying, political patronage, or nepotism activities.

- (5) Grant funds may not be used for marketing or entertainment expenses.
- (6) Grant funds may not be used for capital assets, such as the purchase of vehicles, improvements and renovation of space, and repair and maintenance of privately owned vehicles.

M. Audits

- (1) The Grantee agrees to comply with audit requirements as specified in 2 CFR Part 200.
- (2) The Grantee shall ensure audits are properly performed, and furnish the required data collection forms and audit reporting packages to the Federal Audit Clearinghouse (FAC). The Grantee shall upload audit reports into the FAC through the Internet Data Entry System (IDES) at <https://harvester.census.gov/fac/collect/ddeindex.html#>.
- (3) The Grantee shall provide any audit with findings related to this award, with copies of the reporting package (including corrective action plans), management letters issued by an auditor, and audit working papers, to the Grantor.
- (4) The Grantor will seek to issue a management decision to the Grantee within six months of receipt of an audit report with findings, and the Grantee shall take timely and corrective action to comply with the management decision.
- (5) The Grantor reserves the right to conduct an independent follow-up audit.

VI. NATIONAL POLICY REQUIREMENTS

A. Debarment and Suspension

The Grantee agrees to comply with 2 CFR Parts 180, "OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," and 1125, "Department of Defense Nonprocurement Debarment and Suspension." The Grantee also agrees to communicate the requirement to comply with Parts 180 and 1125 to entities and persons at the next lower tier with whom the recipient enters into transactions that are "covered transactions" under Parts 180 and 1125.

B. Drug-Free Workplace

The Grantee agrees to comply with Subpart B, "Requirements for Recipients Other Than Individuals," of 32 CFR Part 26, "Governmentwide Requirements for Drug-Free Workplace (Financial Assistance)."

C. Hatch Act

The Grantee is advised that its employees may be subject to the Hatch Act (5 U.S.C. § 1501-1508). If doubt exists in particular cases, the Grantee should seek legal counsel.

D. Universal Identifier Requirements and Central Contractor Registration

The Grantee agrees to comply with the requirements of 2 CFR Part 25, as amended, "Universal Identifier and Central Contractor Registration." The System for Award Management (SAM) has replaced the CCR system.

E. Trafficking Victims Protection Act of 2000

The Grantee agrees to comply with the requirements of 2 CFR Part 175, "Award Term for Trafficking in Persons."

F. Reporting Sub-award and Executive Compensation Information

The Grantee agrees to comply with the requirements of 2 CFR Part 170, "Reporting Sub-award and Executive Compensation Information."

G. Restrictions on Lobbying

The Grantee agrees to comply with the requirements of 31 U.S.C. § 1352, "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions." Appropriated funds cannot be used to pay any person to influence or attempt to influence employees of any agency or Congress.

H. Prohibition on Using Funds under Grants and Cooperative Agreements with Entities that Require Certain Internal Confidentiality Agreements

- (a) The recipient may not require its employees, contractors, or subrecipients seeking to report fraud, waste, or abuse to sign or comply with internal confidentiality agreements or statements prohibiting or otherwise restricting them from lawfully reporting that waste, fraud, or abuse to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information.
- (b) The recipient must notify its employees, contractors, or subrecipients that the prohibitions and restrictions of any internal confidentiality agreements inconsistent with paragraph (a) of this award provision are no longer in effect.

- (c) The prohibition in paragraph (a) of this award provision does not contravene requirements applicable to Standard Form 312, Form 4414, or any other form issued by a Federal department or agency governing the nondisclosure of classified information. If the Government determines that the recipient is not in compliance with this award provision, it:
 - (1) Will prohibit the recipient's use of funds under this award, in accordance with section 743 of Division E of the Consolidated and Further Continuing Resolution Appropriations Act, 2015, (Pub. L. 113-235) or any successor provision of law; and
 - (2) May pursue other remedies available for the recipient's material failure to comply with award terms and conditions.
- I. Links to the requirements referenced above as well as the full listing of all National Policy Requirements are provided on the OEA website at www.oea.gov.

VII. PROJECT SPECIFIC TERMS AND CONDITIONS

A. BUDGET

The approved budget for this grant award is as follows:

APPROVED BUDGET			
OBJECT CLASS CATEGORY	FEDERAL	NON-FEDERAL	TOTAL PROJECT COSTS
Personnel	\$0	\$25,150	\$25,150
Fringe Benefits	\$0	\$19,240	\$19,240
Travel	\$2,800	\$0	\$2,800
Equipment	\$0	\$0	\$0
Supplies	\$0	\$0	\$0
Contractual	\$148,000	\$0	\$148,000
Construction	\$0	\$0	\$0
Other	\$149,950	\$0	\$149,950
Total Direct Charges	\$300,750	\$44,390	\$345,140
Indirect Costs: 6.35% Base: Total direct costs, less capital expenditures and passthrough funds	\$19,098	\$0	\$19,098
Total Project Costs	\$319,848	\$44,390	\$364,238
Total Project Costs	87.8%	12.2%	100%
Program Income	\$	\$	\$
Total	\$319,848	\$44,390	364,238\$

- B. The Grantee assures that 12.2 percent of the total project costs shall be contributed by non-Grantor sources.
- C. The indirect cost rate of 6.35 percent of total direct costs, less capital expenditures and passthrough funds, based on the October 27, 2014 Indirect Cost Negotiation Agreement certified by Jeffrey J. Rose, Commissioner, on behalf of the Grantee, and accepted by the cognizant agency, is accepted for the term of this award.
- D. The Grant period is from April 1, 2015, through May 31, 2016. Eligible costs incurred between April 1, 2015, and the date of this Agreement are allowable and reimbursable.

THE TERMS OF THIS GRANT ARE AGREED TO BY:

Patrick O'Brien
Patrick J. O'Brien
Director
Office of Economic Adjustment

7/14/2015 1:03:15 PM
DATE

Tina Kasim
Tina Kasim
Program Manager
Office of International Commerce
New Hampshire Resources and
Economic Development

7/15/2015
DATE

Jeffrey J. Rose
Jeffrey J. Rose
Commissioner
Department of Resources and Economic
Development
State of New Hampshire

8/3/15
DATE

Schedule of Reports
For
State of New Hampshire
FAIN: HQ00051510015

April 1, 2015 through May 31, 2016

<u>Interim Performance Reports</u>	<u>Due Date</u>
04/01/2015 through 10/31/2015	11/30/2015
11/01/2015 through 04/30/2016	05/30/2016
05/01/2016 through 05/31/2016	08/31/2016
<u>Final Performance Report</u>	
04/01/2015 through 05/31/2016	08/31/2016
<u>Final Federal Financial Report (SF 425)</u>	
04/01/2015 through 05/31/2016	08/31/2016
<u>Deliverables</u>	08/31/2016



Virginia M. Barry, Ph.D.
 Commissioner of Education
 Tel. 603-271-3144

Paul Leather
 Deputy Commissioner of Education
 Tel. 603-271-3801

STATE OF NEW HAMPSHIRE
 DEPARTMENT OF EDUCATION
 101 Pleasant Street
 Concord, N.H. 03301
 FAX 603-271-1953
 Citizens Services Line 1-800-339-9900

November 24, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
 and The Honorable Council
 State House
 Concord, NH 03301

REQUESTED ACTIONS

1. Pursuant to RSA 14:30-a, VI, authorize the New Hampshire Department of Education to accept and expend the E³ Teen Fatherhood Program (grant) funds in the amount of \$763,462.00, received from the United States Department of Health and Human Services, effective upon Fiscal Committee and Governor and Council approval through June 30, 2017 with authority to adjust encumbrances through the Budget office, if needed and justified, between the State Fiscal Years. 100% Federal Funds.
2. Pursuant to RSA 124:15, authorize the Department of Education to establish the following full time position for the period effective upon Fiscal Committee and Governor and Council approval through June 30, 2017.

<u>Title</u>	<u>Labor Grade</u>	<u>Salary Range</u>
Education Consultant I	26	\$49,588.00-\$67,060.50

Funds will be budgeted as follows:

The Teen E3 (Education, Engagement and Employment) Program
06-56-56-56-563010-50890000
RSRC: 403260

Class	Description	SFY 2016	SFY 2017
020	Current Expenses	7,834.76	8,837.44
021	Food Institutions	6,400.00	6,400.00
027	Transfers to OIT	2,500.00	2,055.00
028	Transfers to General Services	2,400.00	3,500.00
029	Intra-Agency Transfers	150.00	350.00
030	Equipment	5,000.00	500.00
039	Telecommunications	1,000.00	1,100.00

Class	Description	SFY 2016	SFY 2017
040	Indirect Costs	5,499.17	9,923.50
041	Audit	381.73	381.73
042	Additional Fringe Benefits	2,224.40	5,257.67
059	Full-Time Temporary	25,421.72	60,087.69
060	Benefits	17,136.89	36,338.87
070	In-State Travel	3,500.00	3,800.00
080	Out-of-State Travel	3,000.00	1,500.00
102	Contracts For Program Services	299,282.33	241,699.09
	Grand Total	381,731.00	381,731.00
Source of Funds:			
000 - 403260	Federal	381,731.00	381,731.00

EXPLANATION

The primary goal of the E³ Teen Fathering Program is to increase the capacity of teen fathers and, by proxy, their families, by ensuring these fathers graduate high school, obtain skilled work experience, and are provided education in the field of parenting and father involvement. These three research-based factors have been found to not only improve the lives of teen fathers and their families, but prevent them from sliding into more problematic outcomes that include incarceration, mental illness, substance abuse, and multiple partner fertility.

The E³ Teen Fathering Program (E³) focuses on three research-based factors that provide long-term support for teenage fathers and their families, including education (e.g., graduates high school, enrolls in college), employment (e.g., provide useful job experience at local agencies), and engagement with their children (e.g., family life education coursework). E³ will address these factors simultaneously by capitalizing on the unique educational context in the State of New Hampshire (i.e., competency-based standards, adult education) and leveraging community supports that are already in place (e.g., local businesses, nonprofit mentoring programs). In addition, E³ will help teen fathers work toward graduation at the same time that they are building a foundation for long-term, skilled employment and obtaining social support for engagement with their children and partners.

Over the next 5 years, the E³ Teen Fathering Program will serve 174 families that directly involve a teen father; many times that number of individuals will be served through access to the Warmline. The program will also provide a resource sheet to every guidance counselor in the State, and all materials developed will be available online through the NH Networks. The program will also support local businesses by providing a stipend for every father employed through the program. The Bureau of Integrated Programs, within the NH Department of Education (NHDOE), will facilitate a collaborative of interested parties. These parties already include the Bureau of Adult Education, Dover Children’s Home, the University of New Hampshire, the National Center for Competency-Based Learning, and the New

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and The Honorable Council
Page 3 of 5

Hampshire Parent Information Center. Several other groups who have shown interest will join the collaborative post approval (e.g., Family Resource Centers, non-profits, school districts).

The goals of the E³ Teen Fathering Program are as follows:

1. Increasing teen fathers' educational outcomes by providing support through graduation and access to an Institute of Higher Education (if desired).
2. Increasing teen father employment outcomes by providing skilled work experience that provides a basis for higher paying employment in the future.
3. Increasing positive father-child interactions through parenting education classes that are paired with individual capacity building (i.e., increased education and employment outcomes).
4. In the long term, increasing outcomes 1-3 will increase overall family well-being.

The New Hampshire Department of Education will work to accomplish these measurable objectives by:

1. Facilitating a collaborative of public and private entities that already, indirectly, serve teen fathers.
2. Co-creating a graduation compact between fathers, SEAs, and other interested stakeholders that includes employment and family life education.
3. Developing a Warmline that links fathers (and their families) to available resources.
4. Developing and distributing materials to guidance counselors and school nurses to ensure fathers are notified of the available resources.

APPROPRIATION EXPLANATION

The following appropriation authorities are being requested:

Class 020 (Current Expenses)	To cover materials and supplies that will be used by staff.
Class 021 (Food Institutions)	To cover food expenses for meetings and presentations.
Class 027 (OIT)	To cover expenses for data integration with other state agencies, data systems and LEAs students database.
Class 028 (Transfer to General Services)	To cover rent expenses.
Class 029 (Intra-Agency Transfers)	To provide for intra-agency transfers.
Class 030 (Equipment new/replacement)	To procure new equipment for staff under the grant.
Class 039 (Telecommunication)	To cover expenses for telephone and wireless communication.
Class 040 (Indirect Cost)	To cover indirect cost at 7.7% as established by the US Department of Education Indirect Cost Rate of all expenditures in classes 020, 021, 027, 028, 029, 039, 042, 059, 060, 070 and 080. This is paid to Administrative Services as State-Wide Cost Allocation Plan.
Class 041 (Audits)	To cover audit fund set aside costs based on 0.1 % of the total grant and paid to Administrative Services to offset audit costs.
Class 042 (Additional Fringe)	To cover post retirement costs.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and The Honorable Council
Page 4 of 5

Class 059 (Tem-Full Time)	To fund the requested position under the grant.
Class 060 (Benefits)	To cover the cost of health and dental insurances and FICA for the position.
Class 070 (In-State Travel)	To provide for costs related to in-state meetings and presentations.
Class 080 (Out-of-State Travel)	To provide for costs related to out-of-state meetings and presentations for staff.
Class 102 (Contracts for Program Services)	To provide federally required program evaluations of the grant.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981:

1. List All Personnel involved:

Education Consultant I (full-time) 26 \$49,588.50 - \$67,060.50

2. Nature, Need, and Duration:

The position will provide leadership and support for the grant. The position subject to this request will be funded until June 30, 2017 and then will be requested to continue as part of the biennium budget process through the duration of the project. The position will be funded by and support The E3 Teen Fathering Program grant. The Primary goal of the E³ Teen Fathering Program is to increase the capacity of teen fathers and, by proxy, their families, by ensuring these fathers graduate high school, obtain skilled work experience, and are provided education in the field of parenting and father involvement. The funding for this position will end when the grant funding ends.

3. Relationship to Existing Agency Programs:

We will accomplish these outcomes by 1) implementing a structured and proven planning and implementation framework at the state and local levels to ensure cross-agency and cross-discipline partnerships, 2) implementing a program that supports the evidence-based Positive Behavioral Interventions and Supports (PBIS) framework used in many schools, and 3) working towards similar goals as the NHDOE Office of Student Wellness in terms of student mental health and well-being.

4. Has a Similar Program been Requested of the Legislature and Denied?

No. This situation is unique and unprecedented in this State.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and The Honorable Council
Page 5 of 5

5. Why wasn't this Funding Included in the Agency's Budget Request?

At the time that the State's Operating Budget was being prepared, the Request For Proposal for the Grant had not been made public.

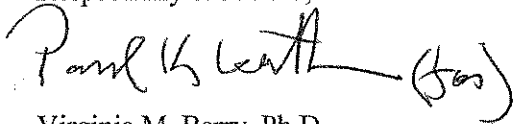
6. Can any Portion of the Grant Funds Be Utilized?

No. The position requested is necessary to fulfill the intent of the Grant proposal that was accepted by the Granting Authority.

7. Estimate the Funds Required to Continue the Position:

In the event that the Federal Funds become no longer available, General Funds will not be requested to support this program.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Virginia M. Barry" with a stylized flourish at the end, followed by the initials "(bar)" in parentheses.

Virginia M. Barry, Ph.D.
Commissioner of Education

1. DATE ISSUED MM/DD/YYYY 09/01/2015
 2. CFDA NO. 93.500
 3. ASSISTANCE TYPE Project Grant

DEPARTMENT OF HEALTH AND HUMAN SERVICES

PUBLIC HEALTH SERVICE

Office of Grants Management

1101 Wootton Parkway
 Suite 550
 Rockville, MD 20852

1a. SUPERSEDES AWARD NOTICE dated 07/27/2015
 except that any additions or restrictions previously imposed remain
 in effect unless specifically rescinded

4. GRANT NO. 6 SP1AH000037-01-01
 Formerly
 5. ACTION TYPE Post Award Amendment

6. PROJECT PERIOD MM/DD/YYYY
 From 08/01/2015 Through 07/31/2020

7. BUDGET PERIOD MM/DD/YYYY
 From 08/01/2015 Through 07/31/2016

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
 Patient Protection and Affordable Care Act PL: 111-148 SEC. 10212

8. TITLE OF PROJECT (OR PROGRAM)
 The E3 Teen Fathering Program

9a. GRANTEE NAME AND ADDRESS
 EDUCATION, NEW HAMPSHIRE DEPARTMENT OF
 101 Pleasant St
 Concord, NH 03301-3852

9b. GRANTEE PROJECT DIRECTOR
 Dr. Richard Feistman
 101 Pleasant St.
 Concord, NH 03301-3860
 Phone: 603-271-8315

10a. GRANTEE AUTHORIZING OFFICIAL
 Dr. Richard Feistman
 101 Pleasant St.
 Concord, NH 03301-3860
 Phone: 603-271-8315

10b. FEDERAL PROJECT OFFICER
 Ms. Cassandra Chess
 1101 Wootton Pkwy Ste 700
 Suite 700
 OPHS/OPA
 Rockville, MD 20852-1074
 Phone: 240-453-2824

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)	
I Financial Assistance from the Federal Awarding Agency Only	
II Total project costs including grant funds and all other financial participation <input checked="" type="checkbox"/>	
a. Salaries and Wages	62,075.00
b. Fringe Benefits	53,762.00
c. Total Personnel Costs	115,837.00
d. Equipment	5,000.00
e. Supplies	4,000.00
f. Travel	4,500.00
g. Construction	0.00
h. Other	12,832.00
i. Contractual	229,000.00
j. TOTAL DIRECT COSTS	371,169.00
k. INDIRECT COSTS	10,562.00
l. TOTAL APPROVED BUDGET	381,731.00
m. Federal Share	381,731.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION	
a. Amount of Federal Financial Assistance (from item 11m)	381,731.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	381,731.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	0.00
13. Total Federal Funds Awarded to Date for Project Period	381,731.00

14. RECOMMENDED FUTURE SUPPORT <i>(Subject to the availability of funds and satisfactory progress of the project):</i>			
YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2	381,731.00	d. 5	381,731.00
b. 3	381,731.00	e. 6	
c. 4	381,731.00	f. 7	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

a. DEDUCTION	b
b. ADDITIONAL COSTS	
c. MATCHING	
d. OTHER RESEARCH (Add / Deduct Option)	
e. OTHER (See REMARKS)	

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

- The grant program legislation
- The grant program regulations.
- This award notice including terms and conditions, if any, noted below under REMARKS.
- Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)
 This award approves the request for a significant budget revision as submitted in the correspondence dated August 24, 2015. All prior terms and conditions remain in effect unless specifically removed.

GRANTS MANAGEMENT OFFICER: Alice M Bettencourt, Grants Management Officer

17. OBJ CLASS 41.51	18a. VENDOR CODE 1026000618B2	18b. EIN 026000618	19. DUNS 808590277	20. CONG. DIST. 02
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
21. a. 5-199RE30	b. SP1AH0037A	c. SPP01	d. \$0.00	e. 75-15-0117
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.

NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 2	DATE ISSUED 09/01/2015
GRANT NO. 6 SP1AH000037-01-01	

Federal Financial Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
08/01/2015	09/30/2015	Annual	12/29/2015
10/01/2015	12/31/2015	Quarterly	01/30/2016
01/01/2016	03/31/2016	Quarterly	04/30/2016
04/01/2016	06/30/2016	Quarterly	07/30/2016
07/01/2016	09/30/2016	Annual	12/29/2016
10/01/2016	12/31/2016	Quarterly	01/30/2017
01/01/2017	03/31/2017	Quarterly	04/30/2017
04/01/2017	06/30/2017	Quarterly	07/30/2017
07/01/2017	09/30/2017	Annual	12/29/2017
10/01/2017	12/31/2017	Quarterly	01/30/2018
01/01/2018	03/31/2018	Quarterly	04/30/2018
04/01/2018	06/30/2018	Quarterly	07/30/2018
07/01/2018	09/30/2018	Annual	12/29/2018
10/01/2018	12/31/2018	Quarterly	01/30/2019
01/01/2019	03/31/2019	Quarterly	04/30/2019
04/01/2019	06/30/2019	Quarterly	07/30/2019
07/01/2019	09/30/2019	Annual	12/29/2019
10/01/2019	12/31/2019	Quarterly	01/30/2020
01/01/2020	03/31/2020	Quarterly	04/30/2020
04/01/2020	06/30/2020	Quarterly	07/30/2020
07/01/2020	07/31/2020	Final	10/29/2020



State Of New Hampshire
DIVISION OF PERSONNEL
Department of Administrative Services
State House Annex – 28 School Street
Concord, New Hampshire 03301

VICKI V. QUIRAM
Commissioner
(603) 271-3201

SARA J. WILLINGHAM
Director of Personnel
(603) 271-3261

September 23, 2015

Sheila Miller
Human Resources Administrator
Department of Education
101 Pleasant St.
Concord, NH 03301

Regarding: Request to establish a full-time temporary Education Consultant I, labor grade 26

Dear Ms. Miller:

The Division of Personnel approves of your request dated September 3, 2015 to establish a full-time temporary Education Consultant I, labor grade 26 for the Division of Educational Improvement – Bureau of Integrated Programs, and have assigned the position number of 9T2795 pending approval of funding.

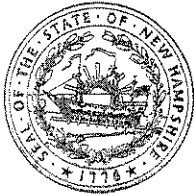
This position number will be inactive until you receive governor and council acceptance of monies for agency use and funding approval from the Fiscal Committee per RSA 124:15 as applicable, and the Position Profile Form (PPF) is subsequently signed off on by the Department of Administrative Services Business Office.

It will be your responsibility to bring the request for funding before Governor and Council and the Fiscal Committee. You may use this letter as confirmation of our decision. Once you have obtained approval, please notify me with documentation. Thank you.

Very truly yours,

Jennifer J. Elberfeld
Classification & Compensation Administrator

Cc: Sara J. Willingham, Director of Personnel



State of New Hampshire

FIS 15 265

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

November 20, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 14:30-a, VI authorize the Department of Safety, Division of State Police, to accept and expend \$165,975.00 of federal funds from the NH Highway Safety Agency, entitled NH State Police Virtual Private Network (VPN) Installation to provide assistance to departments in designing, configuring, and deploying a VPN solution. Effective upon Fiscal Committee and Governor and Council approvals through September 30, 2016. Funding Source: 100% Agency Income.

2. Pursuant to RSA 124:15, authorize the Department of Safety, Division of State Police, to establish class 046 consultant positions for the purpose of providing assistance to departments in designing, configuring, and deploying a VPN solution. Effective upon Fiscal Committee and Governor and Council approvals through September 30, 2016. Funding Source: 100% Agency Income.

Funds are to be budgeted in the account titled:

02-23-23-234010-22110000 Dept. of Safety – Division of State Police – Highway Safety Equipment and Training Grants
Amount: \$165,975.00

Class	Description	<u>Current Estimated</u>		<u>Revised Adjusted</u>
		<u>Adjusted</u>	<u>Authorized</u>	<u>Authorized</u>
009-405921	Agency Income	\$ (405,787.68)	\$ (165,975.00)	\$ (571,762.68)
018-500106	Overtime	\$ 2,000.00	\$ -	\$ 2,000.00
019-500105	Holiday Pay	\$ 1,000.00	\$ -	\$ 1,000.00
020-500221	Current Expenses	\$ 0.22	\$ -	\$ 0.22
030-500311	Equipment	\$ 0.41	\$ -	\$ 0.41
039-500180	Telecommunications	\$ 0.60	\$ -	\$ 0.60
040-500800	Indirect Cost	\$ 21,705.95	\$ 18,949.00	\$ 40,654.95
046-500465	Consultants	\$ 218,366.50	\$ 147,026.00	\$ 365,392.50
059-500117	Temp - Full Time	\$ 105,513.00	\$ -	\$ 105,513.00
060-500602	Benefits	\$ 57,201.00	\$ -	\$ 57,201.00
	Total	\$ 405,787.68	\$ 165,975.00	\$ 571,762.68

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
November 20, 2015
Page 2 of 2

Explanation

The State Police VPN Installation Assistance grant will be used to fund the hiring of a contractor with network security experience to provide assistance to departments in designing, configuring, and deploying a VPN solution. The move to VPN will expand the ability of more law enforcement agencies to take advantage of the J-One network, which includes electronic citations (E-citations) and the electronic crash records management system (eCrash). The VPN is the communications backbone of the criminal justice system in the State.

The goal with the VPN is to increase the speed and accuracy of the reporting as well as the accuracy of the reporting by capturing the data at the sources, as quickly after the event as possible, and moving it electronically through the system with a minimum of manual re-keying. This should enhance both highway and public safety and be an effective use of resources.

The funds are to be budgeted as follows:

Funds in class 040 are needed to pay indirect costs to Administrative Services on all eligible expenses.

Funds in class 041 are not appropriated because the department that receives the Federal grant from the federal granting agency is responsible for paying the audit fund set aside.


Funds in class 046 are needed to hire the contractor to provide assistance in designing, configuring, and deploying a VPN solution.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- 1) List of personnel involved: *Temporary consultants*
- 2) Nature, Need, and Duration: *The temporary consultants will provide for the increased need for subject matter experts beyond the limited resources of in-house personnel in the design, configuration, and deployment of the VPN solution.*
- 3) Relationship to existing agency programs: *Expands the capacity to achieve missions within the Division of State Police and other projects directly related to the particular project.*
- 4) Has a similar program been requested of the legislature and denied? *No.*
- 5) Why wasn't funding included in the agency's budget request? *It was unclear at that time that there would be a potential need for consultants to complete these tasks.*
- 6) Can portions of the grant funds be utilized? *This request is 100% federally funded.*
- 7) Estimate the funds required to continue this position(s): *The temporary consulting positions are estimated at \$147,026.00.*

In the event that Agency Income becomes no longer available General and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

Department of Safety
Highway Safety Equipment and Training Grants
Fiscal Situation

02-23-23-234010-22110000

SFY 2016

Federal Funds Awarded:

FFY 2015 Grant - VPN Installation Assistance - 11/15/2014 - 9/30/2015	\$143,881.00
FFY 2015 Grant - NHSP CRMS Grant - 2/1/2015- 9/30/2015	\$149,518.40
FFY 2016 Grant - NH State Police Captain Position - 10/1/2015- 9/30/2016	\$165,714.00
FFY 2016 Grant - NH State Police VPN Installation - 10/1/2015- 9/30/2016	\$165,977.65
Total Grant Funds Awarded	\$625,091.05

Less SFY 2015 expenses on FFY 2015 Grants	(\$53,327.50)
Less Balance of FFY 2014 Grants Budgeted in SFY15 Completed	\$0.00
Total	(\$53,327.50)

Net Grant Funds Remaining as of July 1, 2015 (SFY 2016) \$571,763.55

Less SFY 2016 Appropriation including prior year encumbrances
02-23-23-234010-22110000 (\$240,073.68)

Additional Funds accepted through G&C SFY 2016 (\$165,714.00)

Grant Funds Available \$165,975.87

This Request \$165,975.00

**CONTRACTUAL AGREEMENT
FOR HIGHWAY SAFETY PROJECT GRANT**

For HSA Use Only

State Of New Hampshire
Highway Safety Agency
78 Regional Drive, Building 2
Concord, NH 03301-8530

Date Received October 28, 2014	Project Number #310-15S-002
Date Approved October 28, 2014	PSP and Task # 15-04, 05

Part I

1. Project Title VPN Installation Assistance	2. Type of Application (Check One) <input checked="" type="checkbox"/> Initial <input type="checkbox"/> Revision <input type="checkbox"/> Continuation
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3. Applicant	
A. Name of Agency DUNS Number 060340564 NH Department of Safety Division of State Police	B. Address of Agency 33 Hazen Drive Concord NH 03305-0011
C. Government Unit (Check One) <input checked="" type="checkbox"/> State <input type="checkbox"/> City/Town <input type="checkbox"/> County <input type="checkbox"/> Other (specify):	D. Name Address of Governmental Unit State of New Hampshire Concord, NH 03301

4. Contract Duration	Functional Area M3DA - 405c Data Program
A. Contract Period	CFDA# 20.616
Start Date: November 15, 2014	Program Title Traffic Safety Info System Improvement Grant
Termination Date: September 30, 2015	Funding Source National Highway Traffic Safety Administratio

6. Description of Project (Describe in detail in Schedule A) and Source of Funds

Budget (Provide itemization as called for on Schedule B) and Source of Funds					
Cost Category	Total Budget	Federal Budget	Local Budget	State Budget	Other Funds
a. Personnel Services					
b. Current Expense					
c. Equipment					
d. Indirect Costs Audit	\$13,009.00	\$13,009.00			
e. Contractual Services	\$130,872.00	\$130,872.00			
f. Other					
Total Estimated Costs Including Non-Federal Share	\$143,881.00	\$143,881.00			

7. Local Benefit: It is anticipated that the federal share for local benefit will be: <u>0%</u> (\$0.00)
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**CONTRACTUAL AGREEMENT
FOR HIGHWAY SAFETY PROJECT GRANT**

State Of New Hampshire
Highway Safety Agency
78 Regional Drive, Building 2
Concord, NH 03301-8530

For HSA Use Only

Date Received December 23, 2014	Project Number 310-15S-005
Date Approved December 23, 2014	PSP and Task # 15-04, 08

Part I

1. Project Title NH State Police Crash Records Management System	2. Type of Application (Check One) <input checked="" type="checkbox"/> Initial <input type="checkbox"/> Revision <input type="checkbox"/> Continuation
--	--

3. Applicant:	
A. Name of Agency DUNS Number 060340564 NH Department of Safety Division of State Police	B. Address of Agency 33 Hazen Drive Concord NH 03305-0011
C. Government Unit (Check One) <input checked="" type="checkbox"/> State <input type="checkbox"/> City/Town <input type="checkbox"/> County <input type="checkbox"/> Other (specify):	D. Name Address of Governmental Unit State of New Hampshire Concord, NH 03301

4. Contract Duration	Functional Area K9 - 408 Data Program Incentive
A. Contract Period	CFDA# 20.610
Start Date: February 1, 2015	Program Title Traffic Safety Info System Improvements Grant
Termination Date: September 30, 2015	Funding Source National Highway Traffic Safety Administration

6. Description of Project (Describe in detail in Schedule A) and Source of Funds

Budget (Provide itemization as called for on Schedule B) and Source of Funds					
Cost Category	Total Budget	Federal Budget	Local Budget	State Budget	Other Funds
a. Personnel Services					
b. Current Expense					
c. Equipment					
d. Indirect Costs Audit	\$13,518.40	\$13,518.40			
e. Contractual Services	\$136,000.00	\$136,000.00			
f. Other					
Total Estimated Costs Including Non-Federal Share	\$149,518.40	\$149,518.40			

7. Local Benefit: It is anticipated that the federal share for local benefit will be: <u>100%</u> (\$149,518.40)
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**CONTRACTUAL AGREEMENT
FOR HIGHWAY SAFETY PROJECT GRANT**

State Of New Hampshire
Highway Safety Agency
78 Regional Drive, Building 2
Concord, NH 03301-8530

For HSA Use Only

Date Received September 29, 2015	Project Number #300-16S-002
Date Approved September 29, 2015	PSP and Task # 16-08, 01

Part I

1. Project Title NH State Police Captain Position	2. Type of Application (Check One) <input checked="" type="checkbox"/> Initial <input type="checkbox"/> Revision <input type="checkbox"/> Continuation
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3. Applicant	
A. Name of Agency DUNS Number 060340564 NH Department of Safety	B. Address of Agency 33 Hazen Drive Concord NH 03305-0011
C. Government Unit (Check One) <input checked="" type="checkbox"/> State <input type="checkbox"/> City/Town <input type="checkbox"/> County <input type="checkbox"/> Other (specify):	D. Name Address of Governmental Unit State of New Hampshire Concord, NH 03301

4. Contract Duration	Functional Area PA - Planning & Administration
A. Contract Period	CFDA# 20.600
Start Date: October 1, 2015	Program Title State & Community Highway Safety
Termination Date: September 30, 2016	Funding Source National Highway Traffic Safety Administratio

6. Description of Project (Describe in detail in Schedule A) and Source of Funds

Budget (Provide itemization as called for on Schedule B) and Source of Funds					
Cost Category	Total Budget	Federal Budget	Local Budget	State Budget	Other Funds
a. Personnel Services	\$165,714.00	\$165,714.00			
b. Current Expense					
c. Equipment					
d. Indirect Costs Audit					
e. Contractual Services					
f. Other					
Total Estimated Costs Including Non-Federal Share	\$165,714.00	\$165,714.00			

7. Local Benefit:

It is anticipated that the federal share for local benefit will be: 0% (\$0.00)

**CONTRACTUAL AGREEMENT
FOR HIGHWAY SAFETY PROJECT GRANT**

For HSA Use Only

State Of New Hampshire
Highway Safety Agency
73 Regional Drive, Building 2
Concord, NH 03301-8530

Date Received October 8, 2015	Project Number #310-16S-002
Date Approved October 8, 2015	PSP and Task # 16-04, 07

Part I

1. Project Title VPN Installation	2. Type of Application (Check One) <input checked="" type="checkbox"/> Initial <input type="checkbox"/> Revision <input type="checkbox"/> Continuation
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3. Applicant A. Name of Agency DUNS Number 060340564 NH Department of Safety Division of State Police	B. Address of Agency 33 Hazen Drive Concord NH 03305-0011
C. Government Unit (Check One) <input checked="" type="checkbox"/> State <input type="checkbox"/> City/Town <input type="checkbox"/> County <input type="checkbox"/> Other (specify):	D. Name Address of Governmental Unit State of New Hampshire Concord, NH 03301

4. Contract Duration Contract Period Start Date: October 1, 2015 Termination Date: September 30, 2016	Functional Area K9 - 408 Data Program Incentive CFDA# 20.610 Program Title Traffic Safety Info System Improvements Grant Funding Source National Highway Traffic Safety Administration
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6. Description of Project (Describe in detail in Schedule A) and Source of Funds

Budget (Provide itemization as called for on Schedule B) and Source of Funds

Cost Category	Total Budget	Federal Budget	Local Budget	State Budget	Other Funds
a. Personnel Services					
b. Current Expense					
c. Equipment					
d. Indirect Costs Audit	\$18,951.65	\$18,951.65			
e. Contractual Services	\$147,026.00	\$147,026.00			
f. Other					
Total Estimated Costs Including Non-Federal Share	\$165,977.65	\$165,977.65			

7. Local Benefit:

is anticipated that the federal share for local benefit will be: 0% (\$0.00)

Part II

BUDGET AND PERSONNEL DATA

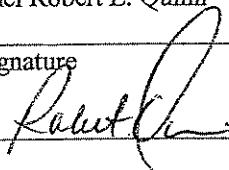

a. Personnel Services	
*See Proposed Solution (page 3) for explanation of pay rates	
b. Current Expenses	
c. Equipment	
d. Indirect Costs and Audit Expense Indirect costs @ 12.89 percent	\$ 18,951.65
e. Contractual Services VPN Installation Contractor (to provide assistance to county/local law enforcement for design, configuring, and deploying VPN)	\$147,026.00
f. Other Expenses	
Total	\$165,977.65

The In Kind Match For This Project = \$41,494.41 (408 funds)

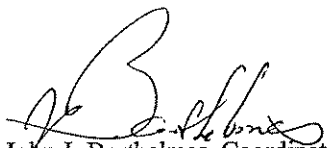
The match requirement shall be met through salary and benefits cost analysis of agency personnel performing traffic records related work using state funds.

Part III

Acceptance of Conditions. It is understood and agreed by the undersigned that a grant received as a result of this contract is subject to the regulations governing grant which have been furnished (or will be furnished upon request) to the applicant.

A. Project Director		
1) Name Colonel Robert L. Quinn	2) Title Director, Division of State Police NH Department of Safety	3) Address 33 Hazen Drive Concord, NH 03301
4) Signature X  10/15/15		5) Telephone Number 223-8813
B. Authorized Official		
1) Name Kevin O'Brien	2) Title Assistant Commissioner, NH Department of Safety	3) Address 33 Hazen Drive Concord, NH 03301
4) Signature X 		5) Telephone Number 223-3889

Part IV (For HSA Use Only)

1. Approval Date October 8, 2015	2. Signature & Title  John J. Barthelmes, Coordinator NH Highway Safety Agency
3. Federal Funds Obligated by this Agreement: \$165,977.65	

TITLE IX

ACQUISITION OF LANDS BY UNITED STATES; FEDERAL AID

CHAPTER 124 FEDERAL AID

Miscellaneous

Section 124:15

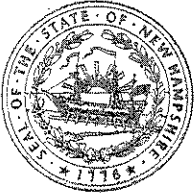
124:15 Positions Restricted. –

I. In addition to the positions authorized by law, no new personnel positions, or consultants, or both may be created by the acceptance of federal moneys or moneys from any other source unless such positions, or consultants, or both are approved by the fiscal committee of the general court; provided, however, that the governor and council may accept all moneys available for any emergency or disaster as defined by the authority awarding such moneys; and provided further that all such moneys available to the general court or to either of its houses may be accepted by the respective presiding officers with the prior approval of the fiscal committee. Nothing herein shall be construed to affect the provisions of RSA 98:17-a.

II. Every board, agency, department or commission receiving such federal or other moneys shall attempt to apply them in whole or in part to the cost of personnel positions authorized by law so as to reduce the obligation of general funds, but if the salaries of such personnel positions cannot be paid out of such moneys then such positions shall be considered as specified in paragraph I.

III. All such moneys which fund personnel positions subject to the restrictions of this section shall be used only for the purposes or programs specified in the application for approval of the positions or as otherwise authorized by law, and all such moneys which are accepted in accordance with law are hereby appropriated.

Source. 1983, 469:84, eff. July 1, 1983.



State of New Hampshire

FIS 15 266

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

November 17, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Safety, Division of Homeland Security and Emergency Management, to accept and expend the remainder of SFY 2016 sub-grant funds from the New Hampshire Department of Education (NHDOE) in an amount not to exceed \$77,170.00 for the purpose of assisting NHDOE in completing the scope of the US Department of Education grant entitled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire". Effective upon Fiscal Committee and Governor and Council approvals through March 31, 2016. Funding source: 100% Agency Income.
2. Pursuant to RSA 124:15, and contingent on the approval of request number one and three, authorize the Department of Safety, Division of Homeland Security and Emergency Management, to amend Fiscal Item# FIS 15-156 originally approved by the Fiscal Committee on August 26, 2015 and by Governor and Council on September 16, 2015, item #79, by extending the end date **only** from December 31, 2015 to March 31, 2016, to establish four (4) temporary part-time, class 050 positions for the purpose of providing training and technical assistance directly to New Hampshire school districts and their stakeholders in support of the New Hampshire Department of Education's grant entitled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire". Effective upon Fiscal Committee and Governor and Council approvals. Funding source: 100% Agency Income.
3. Authorize the Department of Safety, Division of Homeland Security, to amend item #79 approved by Governor and Council on September 16, 2015 by extending the end date **only** from December 31, 2015 to March 31, 2016, to accept and expend a sub-grant from the New Hampshire Department of Education (NHDOE) in an amount not to exceed \$85,000.00 to for the purpose of assisting NHDOE in completing the scope of the US Department of Education grant entitled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire". Effective upon Governor and Council approval. Funding source: 100% Agency Income.

Funds will be budgeted as follows:

02-23-23-236010-08590000 Dept. of Safety – Div. of Homeland Security & Emer Mgt – HSEM Agency Income-Grant Class

<u>Class</u>	<u>Description</u>	<u>SFY 16 Current Adjusted Authorized</u>	<u>Requested Action</u>	<u>Revised SFY 2016 Adjusted Authorized</u>
009-407036	Agency Income	(\$85,000.00)	(\$77,170.00)	(\$162,170.00)

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
November 17, 2015
Page 2 of 3

018-500106	Overtime	\$5,000.00	\$0.00	\$5,000.00
020-500200	Current Expense	\$6,500.00	\$0.00	\$6,500.00
030-500301	Equipment	\$1,000.00	\$0.00	\$1,000.00
040-500800	Indirect Costs	\$9,500.00	\$8,920.00	\$18,420.00
050-500109	Personal Serv - Temp	\$45,500.00	\$62,000.00	\$107,500.00
060-500601	Benefits	\$4,500.00	\$6,250.00	\$10,750.00
070-502970	In State Travel Reimbursement	\$6,000.00	\$0.00	\$6,000.00
080-500710	Out of State Travel Reimb	\$7,000.00	\$0.00	\$7,000.00
	08590000 Totals	<u>\$85,000.00</u>	<u>\$77,170.00</u>	<u>\$162,170.00</u>

Explanation

This request is not only to extend the previously approved end dates for accepting and expending a portion of the sub-grant from the NH Department of Education (NHDOE) and for establishing four temporary positions to support NHDOE's federal grant entitled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire", but also to accept and expend the remaining SFY2016 funds provided by this sub-grant. The additional funding places the year-to-date amount received from NHDOE in excess of \$100,000 necessitating Fiscal Committee approval.

This sub-grant will fund four (4) temporary part-time positions that will provide, under the HSEM School Preparedness Program, training and technical assistance directly to New Hampshire school districts, and their stakeholders. These positions will consist of one (1) School Program Coordinator (i.e., Program Specialist III), two (2) Emergency Management Trainers (i.e., Field Representative II), and one (1) Program Assistant II. The School Program Coordinator and Emergency Management Trainers will be responsible for coordinating with NH school districts for developing, planning, training, evaluating, and conducting school safety and security assessments; working with school district administration stakeholders to provide training, guidance, and assistance to conduct workshops, training drills, and exercises at the local, state, and regional levels; working closely with local, state, and federal entities, as well as other state agencies, to develop exercise design documents to support this training; and working closely with the Federal Emergency Management Agency (FEMA), serving as agency liaisons for state and federal initiatives. In addition, the School Program Coordinator will not only be responsible for developing, analyzing, interpreting, and implementing school preparedness program policies in order to comply with state and federal laws as well as grant requirements, but will also supervise the staff responsible for implementing the HSEM School Preparedness Program. The fourth position, the Program Assistant II will be responsible for performing record keeping and reporting duties, as well as performing other administrative support duties for the HSEM School Preparedness Program.

Class 018 – Overtime	Funds will be used for overtime associated with grant reporting and EOC activation.
Class 020 – Current Expense	Funds will be used for office supplies and costs associated with creating training materials, creating reports, etc.
Class 030 – Equipment	Funds will be used for equipment required to develop training materials and for training sessions.
Class 040 – Indirect Costs	Funds will be used for indirect costs.
Class 050 – Personal Serv-Temp	Funds will be used to pay salaries of four temporary part-time positions – 1 Program Specialist III – LG 21; 2 Field Representative II – LG 21; 1 Program Assistant II – LG 15.
Class 060 – Benefits	Funds will be used to pay the benefits associated with the temporary part-time positions and overtime.
Class 070 – In-State Travel	Funds will be used for in-state travel associate with the scope of work.
Class 080 – Out-of-State Travel	Funds will be used for out-of-state travel associated with working with federal entities.

The following information is provided in accordance with the comptroller's instructional memorandum dated September 21, 1981.


1) *List of personnel involved:* Four (4) temporary part-time positions of one (1) School Program Coordinator, two (2) Emergency Management Trainers, and one (1) Program Assistant II.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
November 17, 2015
Page 3 of 3

- 2) *Nature, Need, and Duration:* These positions are needed to assist the NH Department of Education with completing the scope of the grant from the US Department of Education entitled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire" through the end of the Memorandum of Agreement between HSEM and NH DOE in June 2017.
- 3) *Relationship to existing agency programs:* These positions will provide support to the HSEM School Preparedness Program.
- 4) *Has a similar program been requested of the legislature and denied?* No
- 5) *Why wasn't funding included in the agency's budget request?* These funds were unanticipated at the time the budget was created.
- 6) *Can portions of the grant funds be utilized?* Grant funds are being utilized for these positions.
- 7) *Estimate the funds required to continue this position:* Funds for these positions are estimated at \$124,465.00 for the duration of the MOA through June 30, 2017.

Respectfully submitted,


John J. Barthelmes
Commissioner

HSEM-HSEM-07-2015-01



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

*Fiscal # FIS 15-156
08-26-2015*

August 4, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

*GC # 79
09-16-2015*

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 124:15, and contingent on the approval of request number two, authorize the Department of Safety, Division of Homeland Security and Emergency Management, to establish four (4) temporary part-time, class 050 positions for the purpose of providing training and technical assistance directly to New Hampshire school districts and their stakeholders in support of the New Hampshire Department of Education's grant entitled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire". Effective upon Fiscal Committee and Governor and Council approvals through December 31, 2015. Funding source: 100% Agency Income.
2. Authorize the Department of Safety, Division of Homeland Security, to accept and expend a sub-grant from the New Hampshire Department of Education (NHDOE) in an amount not to exceed \$85,000.00 for the purpose of assisting NHDOE in completing the scope of the US Department of Education grant entitled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire". Effective upon Governor and Council approval through December 31, 2015. Funding source: 100% Agency Income.

Funds will be budgeted as follows:

02-23-23-236010-08590000 Dept. of Safety - Div. of Homeland Security & Emer Mgt - HSEM Agency Income-Grant

Class	Description	SFY 16 Current		Requested Action	Revised SFY 2016	
		Adjusted	Authorized		Adjusted	Authorized
009-407036	Agency Income		\$0.00	(\$85,000.00)		(\$85,000.00)
018-500106	Overtime		\$0.00	\$5,000.00		\$5,000.00
020-500200	Current Expense		\$0.00	\$6,500.00		\$6,500.00
030-500301	Equipment		\$0.00	\$1,000.00		\$1,000.00
040-500800	Indirect Costs		\$0.00	\$9,500.00		\$9,500.00
050-500109	Personal Serv - Temp		\$0.00	\$45,500.00		\$45,500.00
060-500601	Benefits		\$0.00	\$4,500.00		\$4,500.00
070-502970	In State Travel Reimbursement		\$0.00	\$6,000.00		\$6,000.00
080-500710	Out of State Travel Reimb		\$0.00	\$7,000.00		\$7,000.00
	08590000 Totals		\$0.00	\$85,000.00		\$85,000.00

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
August 4, 2015
Page 2 of 3

Explanation

This request is to accept and expend a sub-grant from the NH Department of Education (NHDOE) and to establish four temporary positions to support NHDOE's federal grant entitled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire".

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15, "Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016? No.
2. If this request is retroactive what is the significance and importance of the action being effective from an earlier date? No, this request is not retroactive.
3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.) This is a grant.
4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied? No.
5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied? No.
6. Does this program include either positions or consultants and, if so, are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.) This grant provides for four (4) temporary positions that have not been filled at this time. Details of these positions are provided below.
7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied? This grant would not be utilized.

This sub-grant will fund four (4) temporary part-time positions that will provide, under the HSEM School Preparedness Program, training and technical assistance directly to New Hampshire school districts, and their stakeholders. These positions will consist of one (1) School Program Coordinator (i.e., Program Specialist III), two (2) Emergency Management Trainers (i.e., Field Representative II), and one (1) Program Assistant II. The School Program Coordinator and Emergency Management Trainers will be responsible for coordinating with NH school districts for developing, planning, training, evaluating, and conducting school safety and security assessments; working with school district administration stakeholders to provide training, guidance, and assistance to conduct workshops, training drills, and exercises at the local, state, and regional levels; working closely with local, state, and federal entities, as well as other state agencies, to develop exercise design documents to support this training; and working closely with the Federal Emergency Management Agency (FEMA), serving as agency liaisons for state and federal initiatives. In addition, the School Program Coordinator will not only be responsible for developing, analyzing, interpreting, and implementing school preparedness program policies in order to comply with state and federal laws as well as grant requirements, but will also supervise the staff responsible for implementing the HSEM School Preparedness Program. The fourth position, the Program Assistant II will be responsible for performing record keeping and reporting duties, as well as performing other administrative support duties for the HSEM School Preparedness Program.

Class 018 – Overtime	Funds will be used for overtime associated with grant reporting and EOC activation.
Class 020 – Current Expense	Funds will be used for office supplies and costs associated with creating training materials, creating reports, etc.
Class 030 – Equipment	Funds will be used for equipment required to develop training materials and for training sessions.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

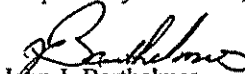
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
August 4, 2015
Page 3 of 3

Class 040 – Indirect Costs	Funds will be used for indirect costs.
Class 050 – Personal Serv-Temp	Funds will be used to pay salaries of four temporary part-time positions – 1 Program Specialist III – LG 21; 2 Field Representative II – LG 21; 1 Program Assistant II – LG 15.
Class 060 – Benefits	Funds will be used to pay the benefits associated with the temporary part-time positions.
Class 070 – In-State Travel	Funds will be used for in-state travel associate with the scope of work.
Class 080 – Out-of-State Travel	Funds will be used for out-of-state travel associated with working with federal entities.

The following information is provided in accordance with the comptroller's instructional memorandum dated September 12, 1981.

- 1) *List of personnel involved:* Four (4) temporary part-time positions of one (1) School Program Coordinator, two (2) Emergency Management Trainers, and one (1) Program Assistant II.
- 2) *Nature, Need, and Duration:* These positions are needed to assist the NH Department of Education with completing the scope of the grant from the US Department of Education entitled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire" through the end of the Memorandum of Agreement between HSEM and NH DOE in June 2017.
- 3) *Relationship to existing agency programs:* These positions will provide support to the HSEM School Preparedness Program.
- 4) *Has a similar program been requested of the legislature and denied?* No
- 5) *Why wasn't funding included in the agency's budget request?* These funds were unanticipated at the time the budget was created.
- 6) *Can portions of the grant funds be utilized?* Grant funds are being utilized for these positions.
- 7) *Estimate the funds required to continue this position:* Funds for these positions are estimated at \$124,465.00 for the duration of the MOA through June 30, 2017.

Respectfully submitted,


John J. Barthelmes
Commissioner

MEMORANDUM OF AGREEMENT

Between the Department of Education (DOE) and the Department of Safety, Division of Homeland Security and Emergency Management (HSEM) for completion of the scope of a grant the DOE received from the US Department of Education titled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire".

This Memorandum of Agreement (MOA) outlines the responsibilities of the DOE and HSEM relative to the completion of the scope of the grant, the proposed schedule for the work and the funding provided by the DOE to HSEM.

WHEREAS, the DOE has been awarded a grant from the US Department of Education titled "Developing Capacity to Improve Emergency Operations Plans in New Hampshire".

WHEREAS, the DOE and HSEM have long been working collaboratively in providing school security and safety resources, including Emergency Operation Planning (EOP).

NOW THEREFORE, in order to meet the grant scope requirements, DOE and HSEM have agreed to complete the scope of services as described below.

SCOPE OF SERVICES

DEPARTMENT OF EDUCATION TASKS

Pre-grant Self-Assessment Data Collection

DOE has disseminated the *District Self-assessment Tool*, prepared and provided by the US Department of Education, to all of the State's SAU Superintendents. This tool is designed to determine the current status and quality of SAU-level EOPs across the State so that the specific SAU needs can be identified. Once the SAU needs are compiled, DOE and HSEM will be better able to understand the training and technical assistance to be provided.

Development and Population of SAU EOP Database

DOE will develop a database, using Microsoft Access, which will be populated with pertinent EOP information from each of the State's SAUs. The data will include, but not be limited to, SAU identification information, SAU emergency management contact information, EOP development date (if any), annual review date, evaluation of quality and notation of partner agreements. At the conclusion of the grant term, this database will be maintained by DOE staff.

Review of HSEM Prepared EOP Template

HSEM will be preparing an EOP template to be available for voluntary use by the SAUs. DOE will provide comments and approval of the final EOP template prior to dissemination to the SAUs and posting on the HSEM and DOE websites.

Coordination of Five Regional Training Sessions

DOE will coordinate the content, location and timing of the five regional EOP training sessions in cooperation with HSEM. DOE will be responsible for making all facility arrangements and coordinating announcements to the SAUs and their partners. DOE will also be responsible for supplying presentation equipment, meeting handouts and other required materials as needed by HSEM to complete the training.

Direct SAU Training and Technical Assistance

DOE will support HSEM staff efforts in providing training and technical assistance directly to the SAUs and their partners.

Post-grant Self-Assessment Data Collection

DOE will disseminate the District Self-assessment Tool, prepared and provided by the US Department of Education, but only to the SAU Superintendents that responded to the Pre-grant request. The purpose of collecting this data is to evaluate the success of the work completed by DOE and HSEM in improving the quality of SAU-level EOPs. This data will be provided to the US Department of Education which in turn will provide the data to the United States Congress.

HOMELAND SECURITY AND EMERGENCY MANAGEMENT TASKS

Temporary Part-time Staff

HSEM will hire part-time, temporary staff to complete the grant scope of services. Such staff would include an Emergency Management Specialist, two Field Representatives, and a Program Assistant to provide office support. The two Field Representatives and the Program Assistant would work on the order of 29 hours per week with the Emergency Management Specialist working 15 hours per week to oversee the grant work. HSEM shall notify DOE when staff are hired and provide contact information in a timely manner.

Development of EOP Template

HSEM shall develop an EOP template using readily available commercial software that encompasses the five mission areas of EOP's (and modeled to comply with Presidential Policy Directive 8 (PPD8)) while including those items specific to New Hampshire SAUs. The EOP template shall also include examples of Memorandum of Agreements for various common partners such as the local Fire and Police Departments, etc. The EOP template shall be reviewed and approved by the DOE prior to dissemination to the SAUs. The EOP template shall be made available as a permanent downloadable document on the HSEM and DOE websites once complete.

Completion of Five Regional Training Sessions

In coordination with DOE, HSEM will create an EOP development training session to be given at five regional locations to be determined by DOE. Training shall be provided to the SAUs and their partners

on how to complete the EOP development process focusing on both the HSEM-developed EOP template and the Readiness and Emergency Management for Schools (REMS) Technical Assistance (TA) Center EOP Interactive Tools. Training shall also include discussions on the basic plan, functional annexes and threat- and hazard-specific annexes. HSEM shall provide staff to complete the training sessions. HSEM shall provide DOE with a list of required presentation equipment, meeting handouts and other materials needed to complete the training at least a week prior to each session.

Direct SAU Training and Technical Assistance

HSEM staff shall provide direct training and technical assistance to SAUs that make a request for such services. Direct training and technical assistance may include, but not be limited to, individual SAU on-site training sessions, creation of the individual SAU basic plan and annexes, coordination of partnering meetings, development of partnering agreements and finalization of the SAU EOPs. HSEM shall provide DOE with a monthly summary of SAUs for which they have provided training and technical assistance and a brief description of the services provided. The format and the required monthly submittal date of the summary will be developed by DOE and provided to HSEM.

EOP Content Development

HSEM, following authorization by DOE, may create EOP content materials in support of the SAU EOP development efforts. Such content materials may include information sheets on threat and hazard types, state of practice documents on EOP testing exercises and security assessment techniques. The EOP content materials shall be made available as a downloadable document on the HSEM and DOE websites once complete.

FUNDING

The DOE will provide funding in the amount of \$215,170 from Account # 06-56-56-563510-59880000-029-500290 to HSEM as follows; \$162,170.00 for FY 16 and \$53,000.00 for FY 17.

TERMINATION

Either party may terminate this agreement upon providing written notice to the other party, thirty (30) days prior to termination. Upon termination, HSEM will retain funds equal to that expended to the termination date. The remaining funds shall be returned to DOE.

DURATION

This agreement shall continue in effect from Governor and Council approval, but not before July 1, 2015, until June 30, 2017; unless terminated earlier by either party, or extended in writing by a subsequent agreement of the parties and acceptance by Governor and Council.

AGREEMENT

In WITNESS WHEREOF, the parties hereto have executed this Agreement, which shall become effective on the date the Governor and Council of the State of New Hampshire approve this Agreement, but not

before July 1, 2015.

NH Department of Education

Virginia M. Barry
Virginia M. Barry, Ph.D.
Commissioner

6/22/15
Date

NH Department of Safety

John J. Barthelmes
John J. Barthelmes
Commissioner

6/22/15
Date

Approved as to form, substance, and execution.

[Signature]
Department of Justice

6/26/15
Date

**US Department of Education
Washington, D.C. 20202
GRANT AWARD NOTIFICATION**

S184Q140019

1 RECIPIENT NAME New Hampshire Department of Education Program Support 101 Pleasant Street Concord, NH 03301 - 0000	2 AWARD INFORMATION PRAWARD NUMBER S184Q140019 ACTION NUMBER 0 ACTION TYPE New AWARD TYPE Discretionary						
3 PROJECT STAFF RECIPIENT PROJECT DIRECTOR Judith D Fillion (603) 271-3855 <u>judith.fillion@doe.nh.gov</u> EDUCATION PROGRAM CONTACT Amy J Banks (202) 453-6704 <u>amy.banks@ed.gov</u> EDUCATION PAYMENT HOTLINE G5 PAYEE 888-336-8930 HELPDESK <u>edcaps.user@ed.gov</u>	4 PROJECT TITLE 84.184Q Developing Capacity to Improve Emergency Operations Plans in New Hampshire						
5 KEY PERSONNEL <table border="1"> <thead> <tr> <th>NAME</th> <th>TITLE</th> <th>LEVEL OF EFFORT</th> </tr> </thead> <tbody> <tr> <td>Judith D Fillion</td> <td>Project Director</td> <td>10%</td> </tr> </tbody> </table>		NAME	TITLE	LEVEL OF EFFORT	Judith D Fillion	Project Director	10%
NAME	TITLE	LEVEL OF EFFORT					
Judith D Fillion	Project Director	10%					
6 AWARD PERIODS BUDGET PERIOD 10/01/2014 - 03/31/2016 PERFORMANCE PERIOD 10/01/2014 - 03/31/2016 FUTURE BUDGET PERIODS N/A							
7 AUTHORIZED FUNDING <table border="1"> <tbody> <tr> <td>THIS ACTION</td> <td>\$250,000.00</td> </tr> <tr> <td>BUDGET PERIOD</td> <td>\$250,000.00</td> </tr> <tr> <td>PERFORMANCE PERIOD</td> <td>\$250,000.00</td> </tr> </tbody> </table>		THIS ACTION	\$250,000.00	BUDGET PERIOD	\$250,000.00	PERFORMANCE PERIOD	\$250,000.00
THIS ACTION	\$250,000.00						
BUDGET PERIOD	\$250,000.00						
PERFORMANCE PERIOD	\$250,000.00						
8 ADMINISTRATIVE INFORMATION DUNS/SSN 808590277 REGULATIONS EDGAR AS APPLICABLE 2 CFR AS APPLICABLE ATTACHMENTS A, C, E1, E2, E4, E5, E6, E7, F, N, O, S, U, V, W							
9 LEGISLATIVE AND FISCAL DATA AUTHORITY: PL 107-110 IV-A SAFE AND DRUG-FREE SCHOOLS & COMMUNITIES ACT OF ESEA, AS AMENDED PROGRAM TITLE: SAFE AND DRUG-FREE SCHOOLS AND COMMUNITIES - NATIONAL PROGRAMS CFDA/SUBPROGRAM NO: 84.184Q							

US Department of Education
Washington, D.C. 20202

S184Q140019

GRANT AWARD NOTIFICATION

FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT
0203A	2014	2014	ES000000	B	GA6	QQQ	184	4101C	\$250,000.00

10

PR/AWARD NUMBER: S184Q140019
 RECIPIENT NAME: New Hampshire Department of Education
 Program Support

TERMS AND CONDITIONS

- (1) The Office of Management and Budget requires all Federal agencies to assign a Federal Award Identifying Number (FAIN) to each of their financial assistance awards. The PR/AWARD NUMBER identified in Block 2 is your FAIN.

If you subaward under this grant, you must document the assigned PR/AWARD NUMBER (FAIN) identified in Block 2 of this Grant Award Notification on each subaward made under this grant. The term subaward means:

1. A legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.
2. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation on the principles that can be used in identifying a procurement as distinct from a subaward, see Sec. 210(b)-(d) of OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations").
3. A subaward may be provided through any legal agreement, including an agreement that you or a subrecipient considers a contract.

- (2) THE FOLLOWING ITEMS ARE INCORPORATED IN THE GRANT AGREEMENT:
- (1) THE RECIPIENT'S APPLICATION (BLOCK 2),
 - (2) THE APPLICABLE EDUCATION DEPARTMENT REGULATIONS (BLOCK 8), AND
 - (3) THE SPECIAL TERMS AND CONDITIONS SHOWN AS ATTACHMENTS (BLOCK 8).

IN ACCORDANCE WITH 34 CFR 74.25(c)(2), OR 34 CFR 80.30(d)(3) CHANGES TO KEY PERSONNEL IDENTIFIED IN BLOCK 5 MUST RECEIVE PRIOR APPROVAL FROM THE DEPARTMENT.

THE RECIPIENT IS REQUIRED TO SUBMIT ALL NECESSARY REPORTS TO THE DEPARTMENT OF EDUCATION WITHIN 90 DAYS AFTER THE END OF FEDERAL SUPPORT (BLOCK 6).

Debra Kalnany

 AUTHORIZING OFFICIAL

9/22/14

 DATE

TITLE IX ACQUISITION OF LANDS BY UNITED STATES; FEDERAL AID

CHAPTER 124 FEDERAL AID

Miscellaneous

Section 124:15

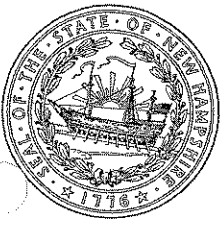
124:15 Positions Restricted. –

I. In addition to the positions authorized by law, no new personnel positions, or consultants, or both may be created by the acceptance of federal moneys or moneys from any other source unless such positions, or consultants, or both are approved by the fiscal committee of the general court; provided, however, that the governor and council may accept all moneys available for any emergency or disaster as defined by the authority awarding such moneys; and provided further that all such moneys available to the general court or to either of its houses may be accepted by the respective presiding officers with the prior approval of the fiscal committee. Nothing herein shall be construed to affect the provisions of RSA 98:17-a.

II. Every board, agency, department or commission receiving such federal or other moneys shall attempt to apply them in whole or in part to the cost of personnel positions authorized by law so as to reduce the obligation of general funds, but if the salaries of such personnel positions cannot be paid out of such moneys then such positions shall be considered as specified in paragraph I.

III. All such moneys which fund personnel positions subject to the restrictions of this section shall be used only for the purposes or programs specified in the application for approval of the positions or as otherwise authorized by law, and all such moneys which are accepted in accordance with law are hereby appropriated.

Source. 1983, 469:84, eff. July 1, 1983.



**STATE OF NEW HAMPSHIRE
BOARD OF PHARMACY**

121 South Fruit Street
Concord, NH 03301-2412
Phone: 603-271-2350 | Fax: 603-271-2856
Website: www.nh.gov/pharmacy
E-Mail: pharmacy.board@nh.gov

Board Members

Robert J. Stout, R.Ph. - President
Michael D. Bullek, R.Ph. - Vice President
John R. Genovese, R.Ph. - Treasurer
Helen C. Pervanas, PharmD, R.Ph. - Secretary
Candace C. W. Bouchard - Public Member
Charles J. Fanaras - Member, R.Ph. - Member
Gary J. Merchant, R.Ph., M.B.A. - Member

Executive Director

Michael R. Dupuis, R.Ph., MHA

December 2, 2015

FIS 15 273

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI authorize the Board of Pharmacy to amend Fiscal Item 14-015 originally approved by the Fiscal Committee on February 14, 2014 and by the Governor and Council on February 28, 2014, item #36 by extending the current end date from December 31, 2015 to March 31, 2016 to complete the implementation of the Controlled Drug Prescription Health and Safety Program. This item was previously amended by the Fiscal Committee on November 10, 2014, item #14-166 and by the Governor and Council on December 3, 2014, item #14-166 to reallocate funds between expenditure class lines, and further amended by the Fiscal Committee on April 3, 2015, item #15-045, and by the Governor and Council on April 8, 2015, item #55 to extend the end date from March 31, 2015 to June 30, 2015 and further amended by the Fiscal Committee on July 29, 2015, item # 15-137, and by the Governor and Council on August 5, 2015, item # 70. The requested approval is to March 31, 2016 and no increase in funding is requested at this time. 100% Transfer from other State agency
2. Pursuant to RSA 124:15, authorize the Board of Pharmacy to further amend the item to extend the temporary full-time position, Class 059, Administrator I, Labor Grade 27, originally approved by the Fiscal Committee on February 14, 2014, item #14-015 and by the Governor and Council on February 28, 2015, item #36 from the current end date of December 31, 2015 to March 31, 2016. This position was previously extended from June 30, 2015 to December 31, 2015 by the Fiscal Committee on July 29, 2015, item # 15-137, and by the Governor and Council on August 5, 2015, item # 70 - #1 above. 100% Transfer from other State agency
3. Pursuant to RSA 124:15, authorize the Board of Pharmacy to amend Fiscal Item #13-164 to extend the temporary part-time position, Class 50, Administrator I, Labor Grade 27, originally approved by the Fiscal Committee on February 14, 2014, item #14-015 and by the Governor and Council on February 28, 2015, item #36 from the current end date of December 31, 2015 to March 31, 2016. This position was previously extended from June 30, 2015 to December 31, 2015 by the Fiscal Committee on July 29, 2015, item # 15-137, and by the Governor and Council on August 5, 2015, item # 70 - #1 above. 100% Transfer from other State agency.

EXPLANATION

The funding for the program is currently available through March 31, 2016, through a Federal Bureau of Justice Administration (BJA) Grant (Award #: 2013-PM-BX-0005) that was awarded to the Department of Justice. This request will allow the Board of Pharmacy to continue the implementation of the Controlled Drug Prescription Health and Safety Program through March 31, 2016, with existing funding until the program can except the funds awarded by the Bureau of Justice Administration to sustain the efforts and strengthen the monitoring program in New Hampshire. On September 18, 2015, The Department of Justice, received notice of the new federal funding award.

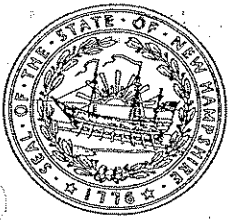
In June 2012, Governor Lynch signed legislation , Chapter Law 196, codified as RSA 318-B:32-38 to establish a Controlled Drug Prescription Health and Safety Program to combat prescription drug abuse and misuse by allowing practitioners to review controlled medication data collected from licensed dispensers in a secure web based program. Subsequent legislation (Senate Bill 83, 2013 Session) abolished the sunset provision that was in RSA 318-B. In fall of 2013, the New Hampshire Department of Justice applied for and was awarded a Federal BJA Grant, Harold Rogers Grant, on behalf of the Board of Pharmacy. These funds were subsequently awarded to the Board of Pharmacy, Controlled Drug Prescription Health and Safety Program from the Department of Justice on 2/25/14 in Fiscal item 14-015 with an end date of March 31, 2015.

The Board of Pharmacy utilized these funds to hire a program manager that started May 30, 2014. The Program Manager and the vendor were tasked to develop and launch the web based program that would allow prescribers and pharmacists to review controlled medication data to manage patient care and reduce prescription misuse and abuse. On October 14, 2014 the Controlled Drug Prescription Health and Safety Program was launched. Progress to date has included registering approximately 80% of qualified practitioners; 76% of resident pharmacies are uploading dispensed control data weekly; education and outreach to practitioners to increase utilization of the database; work on system functions to support data recovery and analysis, education reports to prescribers and dispensers and efforts to connect data with other states to increase user access to patient control history. The Board is seeking additional time to allow the monitoring program to fully operationalize, which includes continued efforts for dispenser registration, data uploading and integrity, as well as continued efforts for all qualified NH licensed providers and pharmacists to be registered with the Controlled Drug Prescription Health and Safety Program as required by statue. No General Funds will be used to sustain this program.

Respectfully submitted,



Robert J. Stout, R.Ph.
President
New Hampshire Board of Pharmacy



STATE OF NEW HAMPSHIRE
BOARD OF PHARMACY

121 South Fruit Street
Concord, NH 03301-2412
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John R. Genovese, R.Ph. - Member
Gary J. Merchant, R.Ph., M.B.A. - Member

Executive Director
Michael R. Dupuis, R.Ph., MHA

July 1, 2015

FIS 15-137 7/29/15
Approved
G:C #70 8/5/15

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI authorize the Board of Pharmacy to **retroactively** amend Fiscal Item 14-015 originally approved by the Fiscal Committee on February 14, 2014 and by the Governor and Council on February 28, 2014, item #36 by extending the current end date from June 30, 2015 to December 31, 2015 to complete the implementation of the Controlled Drug Prescription Health and Safety Program. This item was previously amended by the Fiscal Committee on November 10, 2014, item #14-166 and by the Governor and Council on December 3, 2014, item #14-166 to reallocate funds between expenditure class lines, and further amended by the Fiscal Committee on April 3, 2015, item #15-045, and by the Governor and Council on April 8, 2015, item #55 to extend the end date from March 31, 2015 to June 30, 2015. The requested approval is retroactive to June 30, 2015 and no increase in funding is requested at this time. 100% Transfer from other State agency
2. Pursuant to RSA 124:15, authorize the Board of Pharmacy to **retroactively** further amend the item to extend the temporary full-time position, Class 059, Administrator I, Labor Grade 27, originally approved by the Fiscal Committee on February 14, 2014, item #14-015 and by the Governor and Council on February 28, 2015, item #36 from the current end date from June 30, 2015 to December 31, 2015 effective retroactive to June 30, 2015. This position was previously extended from March 31, 2015 to June 30, 2015 by the Fiscal Committee on April 3, 2015, item #15-045 and by the Governor and Council on April 8, 2015, item #55#1 above. 100% Transfer from other State agency
3. Pursuant to RSA 124:15, authorize the Board of Pharmacy to **retroactively** amend Fiscal Item #13-164 to extend the temporary part-time position, Class 50, Administrator I, Labor Grade 27, originally approved by the Fiscal Committee on July 10, 2013, and the Governor and Council on July 24, 2013, item #57 from the current end date of June 30, 2015 to December 31, 2015 effective retroactive to June 30, 2015. This position item was previously extended by the Fiscal Committee on February 14, 2014, FIS 14-015, and by the Governor and Council on February 28, 2014, item #36, and subsequently extended by the Fiscal Committee on April 3, 2015, item #15-045, and by the Governor and Council on April 8, 2015, item #55 extending the end date from March 31, 2015 through June 30, 2015. 100% Transfer from other State agency

July 1, 2015

Page 2

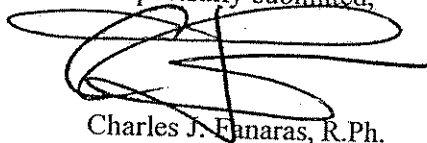
EXPLANATION

The funding for the program is currently available through March 31, 2016, through a Federal Bureau of Justice Administration (BJA) Grant (Award #: 2013-PM-BX-0005) that was awarded to the Department of Justice. Due to the short history of funding, the unique funding scenario, and the lack of certainty of future funding, the decision was made to not place the program in the 2016-2017 biennium budgets. This request is retroactive because of the inability to obligate funding beyond the biennium budget, necessitating that this item come before the Fiscal and Governor and Council meetings in July for further authorization. This request will allow the Board of Pharmacy to continue the implementation of the Prescription Drug Monitoring program through December 31, 2016, with existing funding. On January 16, 2015, The Department of Justice, received notice of federal funding through March 31, 2016. The Board of Pharmacy has worked with the Department of Justice and applied for a Bureau of Justice Administration (BJA) grant to seek additional funds beyond March 2016 and to sustain the efforts by to maintain and strengthen the PDMP in New Hampshire.

In June 2012, Governor Lynch signed legislation, Chapter Law 196, codified as RSA 318-B:32-38 to establish a Prescription Drug Monitoring Program (PDMP) to combat prescription drug abuse and misuse by allowing practitioners to review controlled medication data collected from licensed dispensers in a secure web based program. Subsequent legislation (Senate Bill 83, 2013 Session) abolished the sunset provision that was in RSA 318-B. In fall of 2013, the New Hampshire Department of Justice applied for and was awarded a Federal BJA Grant, Harold Rogers Grant, on behalf of the Board of Pharmacy. These funds were subsequently awarded to the Board of Pharmacy, Controlled Drug Prescription Health and Safety Program from the Department of Justice on 2/25/14 in Fiscal item 14-015 with an end date of March 31, 2015.

The Board of Pharmacy utilized these funds to hire a program manager that started May 30, 2014. The Program Manager and the vendor were tasked to develop and launch the web based program that would allow practitioners and pharmacists to review controlled medication data to manage patient care and reduce prescription misuse and abuse. Given the early development stage of the program, the Board is seeking additional time to allow the PDMP to fully operationalize the program, which includes getting all dispensers registered and uploading data into the system, as well as getting all NH licensed providers and pharmacists registered with the NH PDMP as required by the statute. No General Funds will be used to sustain this program.

Respectfully submitted,



Charles J. Fanaras, R.Ph.

President

New Hampshire Board of Pharmacy



US DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS

GRANT ADJUSTMENT NOTICE

Grantee Information

Grantee Name:	New Hampshire Department of Justice	Project Period:	10/01/2013 - 03/31/2016	GAN Number:	003
Grantee Address:	33 CAPITOL STREET CONCORD, 03301	Program Office:	BJA	Date:	01/16/2015
Grantee DUNS Number:	80-859-1127	Grant Manager:	Wendy Williams		
Grantee EIN:	02-6002618	Application Number(s):	2013-H3117-NH-PM		
Vendor #:	026002618	Award Number:	2013-PM-BX-0005		
Project Title:	Implementation of a Prescription Drug Monitoring Program	Award Amount:	\$400,000.00		

Change Project Period

Current Grant Period:	Month: 17 Day: 30	New Grant Period:	Month: 29 Day: 30
Project Start Date:	10/01/2013	*New Project Start Date:	10/01/2013
Project End Date:	03/31/2015	*New Project End Date:	03/31/2016

*Required Justification for Change Project Period:

See attached justification.

Attachments:

Filename:	User:	Timestamp:
2015 PDMP Extension.pdf	RFaretra2	01/12/2015 10:13 AM



Audit Trail:

Description:	Role:	User:	Timestamp:
Approved-Final	OCFMD - Financial Analyst	SYSTEM_USER	01/16/2015 12:00 PM
Submitted	PO - Grant Manager	RFaretra2	01/12/2015 10:13 AM
Draft	EXTERNAL - External User	RFaretra2	01/12/2015 10:12 AM

CHAPTER 48
SB 31 - FINAL VERSION

03/26/2015 0990s
03/26/2015 1133s

2015 SESSION

15-0961
01/04

SENATE BILL **31**

AN ACT relative to the controlled drug prescription health and safety program.

SPONSORS: Sen. Bradley, Dist 3; Sen. Lasky, Dist 13; Sen. D'Allesandro, Dist 20; Sen. Hosmer, Dist 7; Sen. Carson, Dist 14; Sen. Watters, Dist 4; Sen. Forrester, Dist 2; Sen. Feltes, Dist 15; Sen. Stiles, Dist 24; Rep. Abrami, Rock 19; Rep. Ford, Graf 3; Rep. P. Schmidt, Straf 19

COMMITTEE: Health and Human Services

AMENDED ANALYSIS

This bill makes certain changes to the controlled drug prescription health and safety program, including clarifying the registration process and confidentiality procedures.

This bill also establishes a committee to study certain issues relative to the controlled drug prescription health and safety program.

Explanation: Matter added to current law appears in *bold italics*.
Matter removed from current law appears [~~in brackets and struck through~~].
Matter which is either (a) all new or (b) repealed and reenacted appears in regular type.

CHAPTER 48
SB 31 - FINAL VERSION

03/26/2015 0990s
03/26/2015 1133s

15-0961
01/04

STATE OF NEW HAMPSHIRE

In the Year of Our Lord Two Thousand Fifteen

AN ACT relative to the controlled drug prescription health and safety program.

Be it Enacted by the Senate and House of Representatives in General Court convened:

1 48:1 Controlled Drug Prescription Health and Safety Program; Definitions. Amend RSA 318-
2 B:31, IV to read as follows:

3 IV. "Dispenser" means a person who is lawfully authorized to deliver a schedule II-IV
4 controlled substance, but does not include:

5 (a) A licensed hospital pharmacy that dispenses *less than a 48-hour supply of a*
6 *schedule II-IV controlled substance from a hospital emergency department or that*
7 *dispenses* for administration in the hospital;

8 (b) A practitioner, or other authorized person who administers such a substance; [or]

9 (c) A wholesale distributor of a schedule II-IV controlled substance or its analog;

10 (d) *A prescriber who dispenses less than a 48-hour supply of a schedule II-IV*
11 *controlled substance from a hospital emergency department to a patient; or*

12 (e) *A veterinarian who dispenses less than a 48-hour supply of a schedule II-IV*
13 *controlled substance to a patient.*

14 48:2 Controlled Drug Prescription Health and Safety Program; Definitions. Amend RSA 318-
15 B:31, VI to read as follows:

16 VI. "Practitioner" means a physician, dentist, podiatrist, veterinarian, *pharmacist, APRN,*
17 *physician assistant,* or other person licensed or otherwise permitted to prescribe, dispense, or
18 administer a controlled substance in the course of licensed professional practice.

19 48:3 Controlled Drug Prescription Health and Safety Program; Operation. Amend RSA 318-
20 B:33, II to read as follows:

21 II. All prescribers and dispensers authorized to prescribe or dispense schedule II-IV
22 controlled substances within the state shall be required to register with the program *as follows:*

23 (a) *Practitioners who prescribe but do not dispense schedule II-IV controlled*
24 *substances shall register with the program as a prescriber;*

25 (b) *Practitioners who dispense but do not prescribe schedule II-IV controlled*
26 *substances shall register with the program as a dispenser unless exempted pursuant to*
27 *RSA 318-B:31, IV; and*

28 (c) *Practitioners who prescribe and dispense schedule II-IV controlled*

1 *substances shall register with the program as both a prescriber and a dispenser unless*
2 *exempted pursuant to RSA 318-B:31, IV.*

3 *II-a.* Only registered prescribers and dispensers shall be eligible to access the program.

4 48:4 Controlled Drug Prescription Health and Safety Program; Confidentiality. Amend RSA
5 318-B:34, I to read as follows:

6 I. Information contained in the program, information obtained from it, and information
7 contained in the records of requests for information from the program, is confidential, is not a public
8 record or otherwise subject to disclosure under RSA 91-A, and is not subject to discovery, subpoena,
9 or other means of legal compulsion for release and shall not be shared with an agency or institution,
10 except as provided in this subdivision. *This paragraph shall not prevent a practitioner from*
11 *using or disclosing program information about a patient to others who are authorized by*
12 *state or federal law or regulations to receive program information.*

13 48:5 New Paragraph; Controlled Drug Prescription Health and Safety Program; Confidentiality.
14 Amend RSA 318-B:34 by inserting after paragraph II the following new paragraph:

15 III. The board may use and release information and reports from the program for program
16 analysis and evaluation, statistical analysis, public research, public policy, and educational
17 purposes, provided that the data are aggregated or otherwise de-identified.

18 48:6 New Subparagraph; Controlled Drug Prescription Health and Safety Program; Health and
19 Safety Information. Amend RSA 318-B:35, I by inserting after subparagraph (b) the following new
20 subparagraph:

21 (c) By electronic or written request on a case-by-case basis to:

22 (1) A controlled prescription drug health and safety program from another state;
23 provided, that there is an agreement in place with the other state to ensure that the information is
24 used or disseminated pursuant to the requirements of this state.

25 (2) An entity that operates a secure interstate prescription drug data exchange
26 system for the purpose of interoperability and the mutual secure exchange of information among
27 prescription drug monitoring programs, provided that there is an agreement in place with the entity
28 to ensure that the information is used or disseminated pursuant to the requirements of this state.

29 48:7 Controlled Drug Prescription Health and Safety Program; Rulemaking. Amend RSA 318-
30 B:37, V to read as follows:

31 V. The criteria for notifying [prescribers] *practitioners* of individuals that are engaged in
32 obtaining controlled substances from multiple practitioners or dispensers.

33 48:8 Controlled Drug Prescription Health and Safety Program Established; Information Deleted.
34 Amend RSA 318-B:32, IV to read as follows:

35 IV. Prescription information relating to any individual, which information does not meet the
36 level established to suggest possible drug abuse or diversion shall be deleted within [6] 36 months

CHAPTER 48
SB 31 - FINAL VERSION
- Page 3 -

1 after the initial prescription was dispensed. All other information shall be deleted after 3 years.

2 48:9 Controlled Drug Prescription Health and Safety Program; Reports Required. Amend 2012,
3 196:3, III to read as follows:

4 III. The pharmacy board shall report annually to the oversight committee on health and
5 human services, *the president of the senate, the speaker of the house representatives, the*
6 *governor, and the senate and house committees having jurisdiction over health and human*
7 *services issues*, relative to the effectiveness of the program established in section 2 of this act. *The*
8 *report shall also include the number of practitioners signed up for the program, the*
9 *percentage of practitioners using the program, and a comparison of results and progress*
10 *based on the use of the program.*

11 48:10 Committee Established.

12 I.(a) There is established a committee to study certain issues relative to the controlled drug
13 prescription health and safety program. The members of the committee shall be as follows:

14 (1) Two members of the senate, appointed by the president of the senate.

15 (2) Three members of the house of representatives, appointed by the speaker of the
16 house of representatives.

17 (b) Members of the committee shall receive mileage at the legislative rate when
18 attending to the duties of the committee.

19 II.(a) The committee's study shall include, but not be limited to, considering whether and
20 under what conditions there should be a requirement to utilize the system before prescribing any
21 schedule II-IV controlled drugs.

22 (b) The committee shall solicit information from any person or entity the committee
23 deems relevant to its study.

24 III. The members of the study committee shall elect a chairperson from among the members.
25 The first meeting of the committee shall be called by the first-named senate member. The first
26 meeting of the committee shall be held within 45 days of the effective date of this section. Three
27 members of the committee shall constitute a quorum.

28 IV. The committee shall report its findings and any recommendations for proposed
29 legislation to the president of the senate, the speaker of the house of representatives, the senate
30 clerk, the house clerk, the governor, and the state library on or before November 1, 2015.

31 48:11 Repeal. RSA 318-B:35, I(b)(4), relative to certain health and safety information, is
32 repealed.

33 48:12 Effective Date.

34 I. Section 10 of this act shall take effect upon its passage.

35 II. The remainder of this act shall take effect 60 days after its passage.

36



STATE OF NEW HAMPSHIRE
BOARD OF PHARMACY
 121 South Fruit Street
 Concord, NH 03301-2412
 Phone: 603-271-2350 | Fax: 603-271-2856

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Paula L. Smykil
 License Clerk

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E-Mail: pharmacy.board@nh.gov

February 26, 2015

FIS 4/3/15 #6
 G.C 4/8/15 #55

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, authorize the Board of Pharmacy to retroactively amend Fiscal Item 14-015 approved on February 14, 2014 and the Governor and Council on February 28, 2014, item # 36 by extending the end dates from March 31, 2015 to June 30, 2015 to complete the implementation of the Controlled Drug Prescription Health and Safety Program. This item was subsequently amended on November 10, 2014, #FIS 14-166 and the Governor and Council on December 3, 2014, item #73 to reallocate funds. No increase in funding is requested at this time. 100% Transfer from other State agency
2. Pursuant to RSA 124:15, authorize the Board of Pharmacy to retroactively amend the request to establish a temporary full-time position, Class 059, Administrator I, Labor Grade 27, approved by the Fiscal Committee on February 14, 2014, FIS 14-015 and by the Governor and Council on February 28, 2014, item #36, by extending the end date from March 31, 2015 to June 30, 2015. 100% Transfer from other State agency
3. Pursuant to RSA 124:15, authorize the Board of Pharmacy to retroactively further amend the request to extend the temporary part-time position, Class 50, Administrator I, Labor Grade 27, approved by the Fiscal Committee on February 14, 2014, FIS 14-015 and the Governor and Council on February 28, 2014, item #36 from March 31, 2015 through June 30, 2015. This position was originally approved by the Fiscal Committee on July 10, 2013, Fiscal item #13-164 and the Governor and Council on July 24, 2013, item #57. 100% Transfer from other State agency

EXPLANATION

This request is retroactive due to the delay in making the Fiscal Committee and Governor and Council meetings in February. The original end date for this item is March 31, 2015 and the next scheduled meeting for the Fiscal Committee is April 3, 2015.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

February 26, 2015

Page 2

In June 2012, Governor Lynch signed legislation, Chapter Law 196, codified as RSA 318-B:32-38 to establish a Prescription Drug Monitoring Program (PDMP) to combat prescription drug abuse and misuse by allowing practitioners to review controlled medication data collected from licensed dispensers in a secure web based program. Subsequent legislation (Senate Bill 83, 2013 Session) abolished the sunset provision that was in RSA 318-B. In fall of 2013, the New Hampshire Department of Justice applied for and was awarded a Federal BJA Grant, Harold Rogers Grant, on behalf of the Board of Pharmacy. These funds were subsequently awarded to the Board of Pharmacy, Controlled Drug Prescription Health and Safety Program from the Department of Justice on 2/25/14 in Fiscal item 14-015 with an end date of March 31, 2015.

The Board of Pharmacy utilized these funds to hire a program manager that started May 30, 2014. There was also the work of selecting a vendor through the Request for Proposal process and Health Information Designs (HID, Inc) was selected and approved by Governor and Council on 6/18/2014 Item# 110A. The Program Manager and the vendor were tasked to develop and launch the web based program that would allow practitioners and pharmacists to review controlled medication data to manage patient care and reduce prescription misuse and abuse. Given the early development stage of the program, the Board is seeking additional time to allow the PDMP to fully operationalize the program, which includes getting all dispensers registered and uploading data into the system, as well as getting all NH licensed providers and pharmacists registered with the NH PDMP as required by the statute.

This request will allow the Board of Pharmacy to continue the implementation of the Prescription Drug Monitoring program through June 30, 2015, with existing funding. This program is not budgeted for Fiscal Years 2016 and 2017; therefore, a request to extend the funding and positions will be submitted to the Fiscal Committee of the General Court and the Governor and Council in July 2015. Currently, federal funding received by the Department of Justice for this purpose is available through March 31, 2016. It is anticipated that the Board of Pharmacy will work with the Department of Justice to seek additional funds to sustain the efforts beyond March 31, 2016 to maintain and strengthen the PDMP in New Hampshire.

No General Funds will be used to sustain this program. Please let me know if you should have any questions. Your consideration of this request is greatly appreciated.

Respectfully submitted,



Charles J. Fanaras R.Ph.

President

New Hampshire Board of Pharmacy



STATE OF NEW HAMPSHIRE
BOARD OF PHARMACY

121 South Fruit Street
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G.C. 2/28/14 #30 36
FIS 14 015 15

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E-Mail:

January 23, 2014

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

[Signature]
Approved by Fiscal Committee 2/14/14 Date

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, authorize the Board of Pharmacy to accept and expend funds from the Department of Justice in the amount of \$368,871 to implement the Controlled Drug Prescription Health and Safety Program, effective upon Fiscal Committee and Governor and Council approval through March 31, 2015. This is 100% Other (Department of Justice Assistance grant).
2. Pursuant to RSA 124:15, authorize the Board of Pharmacy to establish a temporary full-time position, , Class 059, Administrator I, Labor Grade 27, effective upon Fiscal Committee and Governor and Council approval through March 31, 2015. This position would report to the New Hampshire Board of Pharmacy.
3. Pursuant to RSA 124:15 authorize the Board of Pharmacy to extend the temporary part-time position, Class 50, Administrator I, Labor Grade 27, expiring on June 30, 2014, to continue through March 31, 2015. This position would report to the Program Manager who reports to the New Hampshire Board of Pharmacy. This position was approved by the Fiscal Committee on July 10, 2013, Fiscal item #FIS-13-164, and Governor and Council on July 24, 2013, Item #57.

Funds are to be budgeted in account #05-0074-074-PHB743510-3331PDM/HEALTH AND HUMAN SERVICES/HHS: ADMIN ATTACHED BOARDS/PHARMACY BOARD/PRESCRIPTION DRUG MONITORING as follows:

STATE FISCAL YEAR 2014

CLASS	DESCRIPTION	CURRENT AUTHORIZED BUDGET	REQUESTED ACTION	REVISED AUTHORIZED
005-401716	Other (NASCSA grant)	\$ 15,517	0	\$ 15,517
009-403574	Agency Income	0	\$ 163,320	\$163,320
	TOTAL	\$ 15,517	\$ 163,320	\$ 178,837

CLASS	DESCRIPTION	CURRENT AUTHORIZED BUDGET	REQUESTED ACTION	REVISED AUTHORIZED
020-500241	Current Expenses	\$1,006	\$320	\$1,326
022-500248	Rents/Leases Other Than State	\$1	\$0	\$1
026-500251	Membership Fees	\$1	\$0	\$1
027-502799	Transfers to Doit	\$1	\$0	\$1
028-500292	Transfer to General Services	\$1	\$0	\$1
029-500290	Intra-Agency Transfers	\$1	\$0	\$1
030-500311	Equipment - General (New)	\$1	\$1,300	\$1,301
039-500188	Telecommunications	\$1	\$240	\$241
046-500464	Gen Consultants Non Ben	\$1	\$0	\$1
049-500294	Transfer to Other Agencies	\$1	\$0	\$1
050-500109	Part-Time Salaries	\$13,000	\$8,000	\$21,000
059-509059	Full-Time (Temporary)	\$0	\$25,000	\$25,000
060-500612	Benefits (Temporary)	\$995	\$7,500	\$8,495
066-500544	Educational Training	\$1	\$0	\$1
070-500704	Mileage Private Cars In State	\$501	\$960	\$1,461
072-502646	Prog Reimbursement	\$1	\$0	\$1
073-500582	Grants to other state agencies	\$1	0	\$1
080-500716	Out-of-state travel	\$1	0	\$1
102-500731	Contracts for Program Services	\$1	\$120,000	\$120,001
103-502664	Contract for Operational Services	\$1	\$0	\$1
TOTAL		\$ 15,517	\$ 163,320	\$ 178,837

STATE FISCAL YEAR 2015

CLASS	DESCRIPTION	CURRENT AUTHORIZED BUDGET	REQUESTED ACTION	REVISED AUTHORIZED
--005-401716	Other (NASCSA grant)	\$ 17	0	\$ 17
009-403574	Agency Income	\$ 0	\$ 205,551	\$ 205,551
	TOTAL	\$ 17	\$205,551	\$ 205,568

CLASS	DESCRIPTION	CURRENT AUTHORIZED BUDGET	REQUESTED ACTION	REVISED AUTHORIZED
020-500241	Current Expenses	\$1	\$0	\$1
022-500248	Rents/Leases Other Than State	\$1	\$0	\$1
026-500251	Membership Fees	\$1	\$0	\$1
027-502799	Transfers to Doit	\$1	\$0	\$1
028-500292	Transfer to General Services	\$1	\$0	\$1
029-500290	Intra-Agency Transfers	\$1	\$0	\$1
030-500311	Equipment - General (New)	\$1	\$0	\$1
039-500188	Telecommunications	\$1	\$480	\$481
046-500464	Gen Consultants Non Ben	\$1	\$0	\$1
049-500294	Transfer to Other Agencies	\$1	\$0	\$1
050-500109	Part-Time Salaries	\$0	\$15,400	\$15,400
059-509059	Full-Time (Temporary)	\$0	\$48,154	\$48,154
060-500612	Benefits (Temporary)	\$0	\$20,372	\$20,372
066-500544	Educational Training	\$1	\$0	\$1
070-500704	Mileage Private Cars In State	\$1	\$1,145	\$1,146
072-502646	Prog Reimbursement	\$1	\$0	\$1
073-500582	Grants to other state agencies	\$1	\$0	\$1
080-500716	Out-of-state travel	\$1	0	\$1
102-500731	Contracts for Program Services	\$1	\$120,000	\$120,001
103-502664	Contract for Operational Services	\$1	\$0	\$1
TOTAL		\$17	\$205,551	\$ 205,568

EXPLANATION

In June 2012, Governor Lynch signed legislation, Chapter Law 196, codified as RSA 318-B:32-38 (attached) to establish a Prescription Monitoring Program (PMP), to combat prescription drug abuse and misuse by allowing practitioners to review controlled medication data collected from licensed dispensers in a secure web based program. Subsequent legislation (Senate Bill 83, 2013 Session) abolished the sunset provision that was in RSA 318-B (attached). No general funds were allocated or allowed to establish this program. In January 2013, the Board of Pharmacy was awarded a grant from the National Association of Controlled Substance Authorities (NASCSA) for \$15,517 to support the implementation the Prescription Drug Monitoring program (PMP). Those funds were previously approved, Fiscal Committee (July 10, 2013, Item #5) and Governor and Council (July 24, 2013, Item # 57). With this funding and approval the Board of Pharmacy hired a part-time program manager to assist in the implementation of the PMP. In the fall of 2013 the New Hampshire Department of Justice applied for and was awarded a Federal BJA Grant, Harold Rogers Grant, on behalf of the Board of Pharmacy. These funds were subsequently awarded to the Board of Pharmacy, approved by Governor and Council on October 18, 2013, Item #41, for the purposes of implementing the PMP, specifically for staffing and contracting with a vendor to develop a web based program which will allow practitioners to review controlled medication data collection from licensed dispensers.

Approval of this request will allow the Board of Pharmacy:

- 1.) To accept and expend \$368,871 subgrant from the Department of Justice to establish a Prescription Monitoring Program (PMP) and to contract with a vendor, which will combat prescription drug abuse and misuse by allowing practitioners to review controlled medication data collected from licensed dispensers in a secure web based program .
- 2.) Authorize the hiring of a temporary full-time employee, Administrator I, Labor Grade 27, who will work under the direction of the Board of Pharmacy to assist with rulemaking, sustainability of the Controlled Drug Prescription Health and Safety Program, the grant writing process, training, implementation and the operation oversight to the program once fully implemented.
- 3.) Authorize the extension of the temporary part-time position Administrator I, Labor Grade 27, hired to assist with this program from June 30, 2014 to March 31, 2015. The part-time employee will work under the direction of the full-time employee, Program Manager.

If this request is not approved, the Board of Pharmacy will not be able to establish a Prescription Monitoring Program to combat prescription drug abuse and misuse which 48 other states have addressed.

In the event that these funds are no longer available, general funds will be not be used to continue this program. Any remaining funds in the grant at the end of the authorized grant period will be returned to the U.S. Department of Justice's Bureau of Justice Assistance. This grant may not be used for any other purpose other than the prescription drug monitoring program.

The funds approved will be used for the following purposes:

Class 020 - Current Expenses - will be used to purchase stationery, postage, letterhead, conference calls

Class 030 - Equipment - will be utilized to purchase a computer, printer and software for the new full-time Administrator I.

Class 039 - Telecommunications – for telephone service for the Administrator I.

Class 050 - Part-time Salaries (temporary) - to continue the extended part-time position which was established in July, 2013. (See attached.)

Class 059 - Full Time Salaries (temporary) – for hiring the Administrator I, who will oversee all aspects of the program.

Class 060 - Benefits (temporary) - health and dental insurance, state retirement, federal taxes for the full-time program manager

Class 070 - In State Travel - for training and education provided by the Administrator I and part-time employee.

Class 102 - Contract for Operational Services – contract to be used for a secure web based program that will be maintained by the vendor. This program will track Schedule II, III and IV controlled substances prescribing and dispensing, and the vendor will also manage the database of prescribers and dispensers

FY 2015

Class 039 - Telecommunications – for telephone service for program manager

Class 050 - Part-time Salaries (temporary) to continue to fill the extended part-time position to support program manager

Class 059 - Full-time Salaries (temporary) – to continue the Administrator I position who oversees all aspects of the program.

Class 060 - Benefits (temporary) health and dental insurance, state retirement, federal taxes for the full-time program manager

Class 070 - In State Travel – to be used for travel associated with the training and education provided by the project manager and part-time employee.

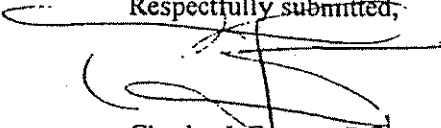
Class 102 - Contract for Operational Services - secure web based program that will be maintained by the vendor. This program will track Schedules II, III and IV controlled substances prescribing, and the vendor will also manage the database of prescribers and dispensers

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- 1) List of personnel involved: One temporary full-time position, Administrator I, Labor Grade 27; one temporary part-time position Administrator I, Labor Grade 27.

- 2) Nature, Need, and Duration: The full-time position will assist with rulemaking, sustainability, the grant writing process, training, and implementation and operation oversight. The part-time position will assist with communication, logistics, scheduling and supporting implementation and operation for the duration of the authorized grant period.
- 3) Relationship to existing agency programs: The temporary full-time position will be responsible as a project manager reporting to the Board of Pharmacy and working for the successful completion of the Prescription Drug Monitoring Program Advisory Council goals. The temporary part-time position reports under the direction of the full-time position.
- 4) Has a similar program been requested of the legislature and denied? No
- 5) Why wasn't funding included in the agency's budget request? At the time of the creation of the FY 14 budget these funds were not available.
- 6) Can portions of the grant funds be utilized? The grant funds cannot be utilized for anything other than the purpose of the prescription drug monitoring program.
- 7) Estimate the funds required to continue this position(s): The estimated funds required to continue this position is approximately \$167,856.

Respectfully submitted,



Charles J. Fanaras R.Ph.
President
New Hampshire Board of Pharmacy

STATE OF NEW HAMPSHIRE DEPARTMENT OF JUSTICE GRANT AWARD		
Agency Name:	NH Board of Pharmacy	Vendor No.: 177945B006
Program Name:	Prescription Drug Monitoring Program	Amount: \$ 368,871.00
Grant Start Date:	Upon Approval	State Grant Number: 2013PMP01
Grant End Date:	3/31/2015	Federal Grant Number: 2013-PM-BX-0005
Appropriation No.:	02-20-20-201510-2907-072	
Head of Agency	Project Director	Fiscal Officer
Charles J. Fanaras, R.Ph. Board President 121 South Fruit Street Concord, NH 03301	Michael Viggiano Coordinator 121 South Fruit Street Concord, NH 03301	Jean Barnes Administration 121 South Fruit Street Concord, NH 03301
Federal Grant Name:	Harold Rogers Prescription Drug Monitoring Program	
Federal Agency:	United States Department of Justice	
Bureau/Office:	Office of Justice Programs	
CFDA Number:	16.754	
Purpose of Grant:	Implementation of a statewide prescription drug monitoring program	
Program Requirements:	Adherence to Program Conditions and Guidelines.	
Match Requirements:	Match must be spent on program allowable activities.	
Program income Requirements:	Program Income must be reported and spent on program allowable activities.	
Reporting Requirements:	Monthly or quarterly Financial reports. Required Performance reports and audit. Adherence to Program Conditions and Guidelines. Completion of Monitoring forms and processes.	
Approval	Program Agency	NH Department of Justice
Name	Charles J. Fanaras, R.Ph.	Rosemary Faretra
Title	Board President	Director of Administration
Date		
All terms of this grant award are not valid unless signed by both authorized parties.		

Houman, Johanna

From: Houman, Johanna
Sent: Thursday, January 23, 2014 12:21 PM
To: Houman, Johanna
Subject: FW: Classification Approval, Request to Position 9T2676, Administrator I

----- Forwarded by Heidi L Acevedo/Administration/SOPS_Brown/DHHS on
01/23/2014 12:10 PM -----

'Spring, Laurie'
<Laurie.Spring@nh.gov>

01/23/2014 10:14 AM

To <Heidi.Acevedo@dhhs.state.nh.us>

cc 'Brobst, Heidi S' <Heidi.Brobst@nh.gov>

Subject Classification Approval, Request to Position 9T2676, Administrator I

Good morning Heidi,

We've received your request to establish a 9T position for Administrator I, position number 9T2676.

The Classification analysts have approved the request, and we will process the NH First work unit #92263 once we've received a signed PPF from the DAS business supervisor.

Thank you,

Laurie Spring
Hr Assistant III
Classification Section Rm 14
Division of Personnel
28 School Street
Concord NH 03301
Phone: 603-271-1424
Fax: 603-271-1422



STATE OF NEW HAMPSHIRE
 BOARD OF PHARMACY
 57 Regional Drive
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FIS 13 104 ⁹²

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57

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June 18, 2013

The Honorable Mary Jane Wallner, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

[Signature] 7/10/13
 Approved by Fiscal Committee Date

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

1. Authorize the Board of Pharmacy to accept and expend a grant from the National Association of State Controlled Substances Authorities (NASCSA), Quincy, Massachusetts, (Vendor Code # 227900) in the amount of \$15,500, to implement the Controlled Drug Prescription Health and Safety Program pursuant to Chapter 196, Laws of 2012. Effective upon Governor and Council approval through June 30, 2014. This is 100% Other (NASCSA Grant).

2. Pursuant to RSA 124:15, the Board of Pharmacy respectfully requests authorization to establish a part-time position, Class 50, Administrator I, Labor Grade 27, effective upon Joint Fiscal Committee and Governor and Council approval through June 30, 2014. This position would report to the advisory council established in the statute and the Board of Pharmacy.

Funds are to be budgeted in account #05-0074-074-PHB743510-3331PDM/HEALTH AND HUMAN SERVICES/HHS: ADMIN ATTACHED BOARDS/PHARMACY BOARD/PRESCRIPTION DRUG MONITORING as follows:

Class	Class Description	Ad' Auth	Increase/ Decrease Amount Budgeted	Revised Amount
State FY 14				
Revenue				
401716	Private Local Funds	\$ -	\$ 15,500	\$ 15,500
Expenditure				

Expenditure				
020-500200	Current Expense	\$ -	\$ 1,005	\$ 1,005
022-500248	Rents-Leases Other Than State	\$ -	\$ -	\$ -
026-500251	Organizational Dues	\$ -	\$ -	\$ -
027-502799	Transfers To DoIT	\$ -	\$ -	\$ -
028-500292	Transfers To General Services	\$ -	\$ -	\$ -
029-500290	Intra-Agency Transfers	\$ -	\$ -	\$ -
030-500310	Equipment New/Replacement	\$ -	\$ -	\$ -
039-500187	Telecommunications	\$ -	\$ -	\$ -
046-500464	Consultants	\$ -	\$ -	\$ -
049-500294	Transfer to Other State Agencies	\$ -	\$ -	\$ -
050-500109	Personal Service-Temp/Appointe	\$ -	\$ 13,000	\$ 13,000
059-500117	Temp Full Time	\$ -	\$ -	\$ -
060-500601	Benefits	\$ -	\$ 995	\$ 995
066-501802	Employee Training	\$ -	\$ -	\$ -
070-500708	In-State Travel Reimbursement	\$ -	\$ 500	\$ 500
072-500753	Grants-Federal	\$ -	\$ -	\$ -
073-500582	Grants-Non Federal	\$ -	\$ -	\$ -
080-500712	Out-Of State Travel Reimb	\$ -	\$ -	\$ -
102-500731	Contracts for Program Services	\$ -	\$ -	\$ -
103-500735	Contracts for Op Services	\$ -	\$ -	\$ -
Total Expenditures		\$ -	\$ 15,500	\$ 15,500

EXPLANATION

Explanation for Requested Action #1:

Purdue Pharma, a major pharmaceutical company, awarded National Association of State Controlled Substances Authorities (NASCSA) approximately \$2 million to pass through to states with pending or active prescription drug monitoring programs. The funds are intended to assist the states in implementation and/or the enhancement of a prescription drug monitoring program. On June 12, 2012, New Hampshire's Controlled Drug Prescription Health and Safety Program legislation, Chapter 196, Laws of 2012, was signed into law. The law tasks the Board of Pharmacy to implement the program without the use of general funds or increasing professional fees. The New Hampshire Board of Pharmacy applied for the grant from National Association of State Controlled Substances Authorities ("NASCSA") and was awarded a grant in the amount of \$15,500 from the NASCSA. The Board is actively pursuing funding in order to further comply with this law through a Harold Rogers Federal grant.

Funding in the amount of \$15,500. will be utilized as follows:

- Class 20 - To pay for photocopying, office supplies, etc.
- Class 50 - To pay one part time Administrator I, Labor Grade 27, to administer the program
- Class 60 - To pay for position's benefits
- Class 70 - To pay in-state travel required by the part time staff to administer the program

Explanation for Requested Action #2:

This grant will be utilized to hire a part time employee who will work under the direction of an advisory council and the Board of Pharmacy to assist with rulemaking, sustainability, the grant writing

process, training and implementation. One (1) part time Administrator I, Labor Grade 27, will be hired to administrate this new program. The Division of Personnel has approved the request for TMPPT3396


The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- 1) List of personnel involved: One (1) part time position, Administrator I, Labor Grade 27, Class 50.
- 2) Nature, Need, and Duration: The part time position will assist with rulemaking, sustainability, the grant writing process, training and implementation
- 3) Relationship to existing agency programs: The position will be responsible as a project manager reporting to the Board of Pharmacy and working for the successful completion of the Prescription Drug Monitoring Program Advisory Council goals.
- 4) Has a similar program been requested of the legislature and denied? No
- 5) Why wasn't funding included in the agency's budget request? At the time of the creation of the FY 14 budget this program was not established.
- 6) Can portions of the grant funds be utilized? Yes, 100% Other/Agency Income
- 7) Estimate the funds required to continue this position(s): Salary \$13,000 / Benefits \$ 995.

In the event that these funds are no longer available, no general funds will be requested to continue this programming.

Please do not hesitate to contact me if you have any questions.

Sincerely,



James M. Queenan, R.Ph. MBA
Executive Secretary/Director

CHAPTER 196

SB 286 – FINAL VERSION

03/21/12 1178s

25Apr2012... 1666h

17May2012... 2312h

2012 SESSION

12-2836

01/10

SENATE BILL 286

AN ACT relative to a controlled drug prescription health and safety program.

SPONSORS: Sen. Bradley, Dist 3; Sen. Barnes, Jr., Dist 17; Sen. Lambert, Dist 13; Sen. Odell, Dist 8; Sen. Carson, Dist 14; Sen. Boutin, Dist 16; Sen. Groen, Dist 6; Sen. Kelly, Dist 10; Sen. Merrill, Dist 21; Sen. D'Allesandro, Dist 20; Sen. Larsen, Dist 15; Sen. Rausch, Dist 19; Sen. De Blois, Dist 18; Sen. Stiles, Dist 24; Sen. Luther, Dist 12; Rep. Reagan, Rock 1; Rep. L. Ober, Hills 27; Rep. Kotowski, Merr 9; Rep. Harding, Graf 11

COMMITTEE: Health and Human Services

AMENDED ANALYSIS

This bill establishes the controlled drug prescription health and safety program. The bill grants the New Hampshire pharmacy board rulemaking authority for the purposes of the bill. Under this bill, the program is prospectively repealed on September 1, 2015.

 Explanation: Matter added to current law appears in *bold italics*.

Matter removed from current law appears [~~in brackets and struck through.~~]

Matter which is either (a) all new or (b) repealed and reenacted appears in regular type.

03/21/12 1178s

25Apr2012... 1666h

17May2012... 2312h

12-2836

01/10

STATE OF NEW HAMPSHIRE

In the Year of Our Lord Two Thousand Twelve

AN ACT relative to a controlled drug prescription health and safety program.

Be it Enacted by the Senate and House of Representatives in General Court convened:

196:1 Statement of Intent.

I. The general court recognizes that there is a significant problem with the abuse, misuse, and diversion of controlled prescription drugs, resulting in over 100 deaths annually in New Hampshire and thousands of unnecessary visits to health care practitioners and our hospital emergency rooms.

II. The controlled prescription drugs most misused are found in schedules II, III, and IV, such as the stimulants Ritalin and Adderall and pain reliever oxycodone (Oxycontin and others), all in schedule II; the pain medication Vicodin, the number one abused drug in the nation, in schedule III; and tranquilizers (benzodiazepines) such as Valium, Xanax, and Ativan, in schedule IV.

III. The general court understands that health practitioners are challenged everyday with the difficult task of discerning between patients in need of legitimate pain treatment and the "doctor shoppers" who seek a controlled drug prescription for their own addiction or for diversion on the street. Access to a controlled drug prescription health and safety program can help physicians and other health practitioners provide better care to patients truly in need of such medications. A controlled drug prescription health and safety program will also help identify health practitioners who are fraudulently prescribing controlled drugs and adding to prescription drug abuse in New Hampshire.

IV. The general court believes that a controlled drug prescription health and safety program that fully complies with all state and federal Health Insurance Portability and Accountability Act (HIPPA) privacy and security laws and regulations should be established as a tool to improve medical treatment.

V. The general court intends that a controlled drug prescription health and safety program will reduce patient morbidity and mortality associated with controlled drugs by providing a secure program through which the prescriber and the dispenser may access information on a patient's controlled drug prescription history. The program established by this act is designed to create a greater sense of safety, security, and comfort in the health practitioner-patient relationship when controlled drugs are prescribed.

VI. The general court believes, to achieve these goals, New Hampshire should join 48 other states to enact a controlled drug prescription health and safety program that physicians and other legal practitioners can access when prescribing or dispensing controlled drugs.

196:2 New Subdivision; Controlled Drug Prescription Health and Safety Program. Amend RSA 318-B by inserting after section 30 the following new subdivision:

Controlled Drug Prescription Health and Safety Program

318-B:31 Definitions. In this subdivision:

I. "Board" means the pharmacy board, established in RSA 318:2.

II. "Controlled substance" means controlled drugs as defined in RSA 318-B:1, VI.

III. "Dispense" means to deliver a controlled substance by lawful means and includes the packaging, labeling, or compounding necessary to prepare the substance for such delivery.

IV. "Dispenser" means a person who is lawfully authorized to deliver a schedule II - IV controlled substance, but does not include:

- (a) A licensed hospital pharmacy that dispenses for administration in the hospital;
- (b) A practitioner, or other authorized person who administers such a substance; or
- (c) A wholesale distributor of a schedule II-IV controlled substance or its analog.

V. "Patient" means the person or animal who is the ultimate user of a controlled substance for whom a lawful prescription is issued and for whom a controlled substance or other such drug is lawfully dispensed.

VI. "Practitioner" means a physician, dentist, podiatrist, veterinarian, or other person licensed or otherwise permitted to prescribe, dispense, or administer a controlled substance in the course of licensed professional practice.

VII. "Prescribe" means to issue a direction or authorization, by prescription, permitting a patient to lawfully obtain controlled substances.

VIII. "Prescriber" means a practitioner or other authorized person who prescribes a schedule II, III, and/or IV controlled substance.

IX. "Program" means the controlled drug prescription health and safety program that electronically facilitates the confidential sharing of information relating to the prescribing and dispensing of controlled substances listed in schedules II-IV, established by the board pursuant to RSA 318-B:32.

318-B:32 Controlled Drug Prescription Health and Safety Program Established.

I. The board shall design, establish, and contract with a third party for the implementation and operation of an electronic system to facilitate the confidential sharing of information relating to the prescribing and dispensing of schedule II-IV controlled substances, by prescribers and dispensers within the state.

II. All costs incurred by the board for the implementation and operation of the program shall be supported through grants, gifts, or user contributions. The board may charge a fee to individuals who request their own prescription information. The amount charged for an individual's request for his or her prescription information shall not exceed the actual cost of providing that information.

III. There shall be no state general funds appropriated for the implementation or operation of the program.

IV. Prescription information relating to any individual, which information does not meet the level established to suggest possible drug abuse or diversion shall be deleted within 6 months after the initial prescription was dispensed. All other information shall be deleted after 3 years.

318-B:33 Controlled Drug Prescription Health and Safety Program Operation.

I. The board shall develop a system of registration for all prescribers and dispensers of schedule II-IV controlled substances within the state. The system of registration shall be established by rules adopted by the board, pursuant to RSA 541-A.

II. All prescribers and dispensers authorized to prescribe or dispense schedule II-IV controlled substances within the state shall be required to register with the program. Only registered prescribers and dispensers shall be eligible to access the program.

III. Each dispenser shall submit to the program the information regarding each dispensing of a schedule II-IV controlled substance. Any dispenser located outside the boundaries of the state of New Hampshire and who is licensed and registered by the board shall submit information regarding each prescription dispensed to a patient who resides within New Hampshire.

IV. Each dispenser required to report under paragraph III of this section shall submit to the program by electronic means information for each dispensing that shall include, but not be limited to:

- (a) Dispenser's Drug Enforcement Administration (DEA) registration number.
- (b) Prescriber's DEA registration number.
- (c) Date of dispensing.
- (d) Prescription number.
- (e) Number of refills granted.
- (f) National Drug Code (NDC) of drug dispensed.
- (g) Quantity dispensed.
- (h) Number of days supply of drug.
- (i) Patient's name.
- (j) Patient's address.
- (k) Patient's date of birth.
- (l) Patient's telephone number, if available.
- (m) Date prescription was written by prescriber.
- (n) Whether the prescription is new or a refill.
- (o) Source of payment for prescription.

V. Each dispenser shall submit the required information in accordance with transmission methods and frequency as established by the program; but no more than 7 days from the date the prescription was dispensed.

VI. The program may issue a waiver to a dispenser that is unable to submit prescription information by electronic means. Such waiver may permit the dispenser to submit prescription information by paper form or other means, provided all information required by paragraph IV is submitted in this alternative format and within the established time limit.

VII. The program may grant a reasonable extension to a dispenser that is unable, for good cause, to submit all the information required by paragraph IV within the established time limits.

VIII. Any dispenser who in good faith reports to the program as required by paragraphs III and IV shall be immune from any civil or criminal liability as the result of such good faith reporting.

318-B:34 Confidentiality.

I. Information contained in the program, information obtained from it, and information contained in the records of requests for information from the program, is confidential, is not a public record or otherwise subject to disclosure under RSA 91-A, and is not subject to discovery, subpoena, or other means of legal compulsion for release and shall not be shared with an agency or institution, except as provided in this subdivision.

II. The board shall establish and maintain procedures to ensure the privacy and confidentiality of patients and patient information.

318-B:35 Providing Controlled Drug Prescription Health and Safety Information.

I. The program may provide information in the prescription health and safety program upon request only to the following persons:

(a) By electronic or written request to prescribers and dispensers within the state who are registered with the program:

- (1) For the purpose of providing medical or pharmaceutical care to a specific patient; or
- (2) For reviewing information regarding prescriptions issued or dispensed by the requester.

(b) By written request, to:

- (1) A patient who requests his or her own prescription monitoring information.
- (2) The board of dentistry, the board of medicine, the board of nursing, the board of registration in optometry, the board of podiatry, the board of veterinary medicine, and the pharmacy board; provided, however, that the request is pursuant to the boards' official duties and responsibilities and the disclosures to each board relate only to its licensees and only with respect to those licensees whose prescribing or dispensing activities indicate possible fraudulent conduct.
- (3) Authorized law enforcement officials on a case-by-case basis for the purpose of investigation and prosecution of a criminal offense when presented with a court order based on probable cause. No law enforcement agency or official shall have direct access to the program.
- (4) A controlled drug prescription health and safety program from another state on a case-by-case basis, if an agreement is in place with the other state to ensure that the information is used and disseminated pursuant to the requirements of this state.

II. The program shall notify the appropriate regulatory board listed in subparagraph I(b)(2) and the prescriber or dispenser at such regular intervals as may be established by the board if there is reasonable cause to believe a violation of law or breach of professional standards may have occurred. The program shall provide prescription information required or necessary for an investigation.

III. The program shall review the information to identify information that appears to indicate whether a person may be obtaining prescriptions in a manner that may represent misuse or abuse of schedule II-IV controlled substances. When such information is identified, the program shall notify the practitioner who prescribed the prescription.

318-B:36 Unlawful Act and Penalties.

I. Any person who fails to submit the information required in RSA 318-B:33 or knowingly submits incorrect information shall be subject to a warning letter and provided with an opportunity to correct the failure. Any person who subsequently fails to correct or fails to resubmit the information may be subject to discipline by the board.

II. Any person whose failure to report the dispensing of a schedule II-IV controlled substance that conceals a pattern of diversion of controlled substances into illegal use shall be guilty of a violation and subject to the penalties established under RSA 318-B:26 and the board's rules as applicable. In addition, such person may be subject to appropriate criminal charges if the failure to report is determined to have been done knowingly to conceal criminal activity.

III. Any person who engages in prescribing or dispensing of controlled substances in schedule II-IV without having registered with the program may be subject to discipline by the appropriate regulatory board.

IV. Any person authorized to receive program information who knowingly discloses such information in violation of this subdivision shall be subject to discipline by the appropriate regulatory board and to all other relevant penalties under state and federal law.

V. Any person authorized to receive program information who uses such information for a purpose in violation of this subdivision shall be subject to disciplinary action by the appropriate regulatory board and to all other relevant penalties under state and federal law.

VI. Unauthorized use or disclosure of program information shall be grounds for disciplinary action by the relevant regulatory board.

VII. Any person who knowingly accesses, alters, destroys, or discloses program information except as authorized in this subdivision or attempts to obtain such information by fraud, deceit, misrepresentation, or subterfuge shall be guilty of a class B felony.

318-B:37 Rulemaking. By June 30, 2013, the board shall adopt rules, pursuant to RSA 541-A, necessary to implement the program including:

I. The criteria for registration by dispensers and prescribers.

II. The criteria for a waiver pursuant to RSA 318-B:33, VI for dispensers with limited electronic access to the program.

III. The criteria for reviewing the prescribing and dispensing information collected by the program.

IV. The criteria for reporting matters to the applicable health care regulatory board for further investigation.

V. The criteria for notifying prescribers of individuals that are engaged in obtaining controlled substances from multiple practitioners or dispensers.

VI. Content and format of all forms required under this subdivision.

318-B:38 Advisory Council Established.

I. There is hereby established an advisory council to assist the board in carrying out its duties under this subdivision. The members of the council shall be as follows:

- (a) A representative of the board of medicine, appointed by such board.
- (b) A representative of the pharmacy board, appointed by such board.
- (c) A representative of the board of dental examiners, appointed by such board.
- (d) A representative of the New Hampshire board of nursing, appointed by such board.
- (e) A representative of the board of veterinary medicine, appointed by such board.
- (f) The attorney general, or designee.
- (g) The commissioner of the department of health and human services, or designee.
- (h) A representative of the New Hampshire Medical Society, appointed by the society.
- (i) A representative of the New Hampshire Dental Society, appointed by the society.
- (j) A representative of the New Hampshire Association of Chiefs of Police, appointed by the association.
- (k) A representative of a retail pharmacy, appointed jointly by the New Hampshire Pharmacists Association, the New Hampshire Independent Pharmacy Association, and the New Hampshire Association of Chain Drug Stores.
- (l) Two public members appointed by the governor's commission on alcohol and drug abuse prevention, intervention, and treatment, one of whom may be a member of the commission.

II. The council shall:

- (a) Develop criteria for reviewing the prescribing and dispensing information collected.
- (b) Develop criteria for reporting matters to the applicable health care regulatory board for further investigation.
- (c) Develop criteria for notifying practitioners who are engaged in obtaining controlled substances from multiple prescribers or dispensers.

(d) Collect information on the outcomes and impact of the program including: satisfaction of users of the program, impact on prescribing patterns, impact on referrals to regulatory boards, and other relevant measures.

(e) Assist the board in meeting its responsibilities in RSA 318-B:32, I to implement and operate the program.

(f) Assist the board in adopting and revising the rules under RSA 541-A to implement the program.

III. The council may meet as often as necessary to effectuate its goals. The first meeting shall be called by the representative of the pharmacy board within 45 days of the effective date of this subdivision. At the first meeting, a chairman shall be elected by the members.

196:3 Applicability; Reports Required.

I. In the event that there is not adequate funding for the controlled drug prescription health and safety program established in section 2 of this act, the pharmacy board may curtail, temporarily suspend, or cancel the program.

II. The office of the legislative budget assistant shall conduct a performance audit of the program on or before December 31, 2014 for the use of the speaker of the house of representatives, the president of the senate, and the governor, in evaluating the effectiveness of the program established in section 2 of this act, including but not limited to changes in the number and type of drug-related deaths, the number of instances of drug abuse, and the number of instances of overprescribing.

III. The pharmacy board shall report annually to the oversight committee on health and human services relative to the effectiveness of the program established in section 2 of this act.

IV. The pharmacy board shall not accept any grants which require continuation of the program established in section 2 of this act beyond September 1, 2015.

196:4 Repeal. RSA 318-B:31-RSA 318-B:38, relative to the controlled drug prescription health and safety program, is repealed.

196:5 Effective Date.

I. Section 4 of this act shall take effect September 1, 2015.

II. The remainder of this act shall take effect upon its passage.

Approved: June 12, 2012

Effective Date: I. Section 4 shall take effect September 1, 2015.

II. Remainder shall take effect June 12, 2012.

SB 83 – VERSION ADOPTED BY BOTH BODIES

2013 SESSION

13-0397

01/05

SENATE BILL 83

AN ACT relative to the controlled drug prescription health and safety program.

SPONSORS: Sen. Bradley, Dist 3; Sen. Boutin, Dist 16; Sen. Carson, Dist 14; Sen. Cataldo, Dist 6; Sen. Fuller Clark, Dist 21; Sen. Gilmour, Dist 12; Sen. Kelly, Dist 10; Sen. Larsen, Dist 15; Sen. Lasky, Dist 13; Sen. Odell, Dist 8; Sen. Pierce, Dist 5; Sen. Rausch, Dist 19; Sen. Reagan, Dist 17; Sen. Stiles, Dist 24; Sen. Watters, Dist 4; Rep. Harding, Graf 13; Rep. Rosenwald, Hills 30; Rep. L. Ober, Hills 37; Rep. Hess, Merr 24; Rep. S. Schmidt, Carr 6

COMMITTEE: Health, Education and Human Services

ANALYSIS

This bill:

- I. Adds a member to the advisory council established as part of the controlled drug prescription health and safety program.
- II. Changes the date for the performance audit to be conducted by the legislative budget assistant.
- III. Deletes the prospective repeal of the controlled drug prescription health and safety program.

Explanation: Matter added to current law appears in *bold italics*.

Matter removed from current law appears [~~in brackets and struck through~~].

Matter which is either (a) all new or (b) repealed and reenacted appears in regular type.

13-0397

01/05

STATE OF NEW HAMPSHIRE

In the Year of Our Lord Two Thousand Thirteen

AN ACT relative to the controlled drug prescription health and safety program.

Be it Enacted by the Senate and House of Representatives in General Court convened:

1 New Subparagraph; Controlled Drug Prescription Health and Safety Program; Advisory Council.
Amend RSA 318-B:38, I by inserting after subparagraph (l) the following new subparagraph:

(m) A representative of the New Hampshire Hospital Association, appointed by the association.

2 Performance Audit; Date Change. Amend 2012, 196:3, II to read as follows:

II. The office of the legislative budget assistant shall conduct a performance audit of the program on or before December 31, [2014] 2017 for the use of the speaker of the house of representatives, the president of the senate, and the governor, in evaluating the effectiveness of the program established in section 2 of this act, including but not limited to changes in the number and type of drug-related deaths, the number of instances of drug abuse, and the number of instances of overprescribing.

3 Repeal. The following are repealed:

I. 2012, 196:3, IV, relative to accepting moneys for the controlled drug prescription health and safety program after September 15, 2015.

II. 2012, 196:4, relative to the repeal of the controlled drug prescription health and safety program.

III. 2012, 196:5, I, relative to the repeal of the controlled drug prescription health and safety program.

4 Effective Date. This act shall take effect upon its passage.

YJC 12/3/14 #73
FIS 14 166



**STATE OF NEW HAMPSHIRE
BOARD OF PHARMACY**

121 South Fruit Street
Concord, NH 03301-2412
Phone: 603-271-2350 | Fax: 603-271-2856

Board Members

- Charles J. Fanaras, R.Ph. - President
- Robert J. Stout, R.Ph. - Vice President
- Helen C. Pervanas, PharmD, R.Ph. - Secretary
- Michael D. Bullek, R.Ph. - Treasurer
- John R. Genovese, R.Ph. - Member
- Gary J. Merchant, R.Ph., M.B.A. - Member
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Board Staff

James M. Queenan, R.Ph.
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Robert D. Elder, R.Ph.
Compliance Investigator

Brenda J. Langis
Licensing Assistant

Jason R. Richard
Business Administrator


Website: www.nh.gov/pharmacy
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E-Mail:

September 3, 2014

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301


Approved by Fiscal Committee 11/10/14 Date

REQUESTED ACTION

Pursuant to RSA 14:30-a VI, authorize the Board of Pharmacy to amend Fiscal item #FIS 14-015 approved by the Fiscal Committee on February 14, 2014 and by Governor and Council on February 28, 2014 item #36, by reallocating budget line items in the amount of \$107,894.88 in #05-0074-074-PHB743510-3331 effective with the date of Fiscal Committee and Governor and Council approval through March 31, 2015. This is 100% Other (Department of Justice Assistance grant).

Funds are budgeted in account #05-0074-074-PHB743510-3331 PDM/HEALTH AND HUMAN SERVICES/HHS: ADMIN ATTACHED BOARDS/PHARMACY BOARD/PRESCRIPTION DRUG MONITORING as follows:

STATE FISCAL YEAR 2015

CLASS	DESCRIPTION	CURRENT AUTHORIZED BUDGET	REQUESTED ACTION	REVISED AUTHORIZED
005-401716	Private Local Funds	(\$17.87)	\$0	(\$17.87)
009-403574	Agency Income	(\$362,486.65)	\$0	(\$362,486.65)
Totals:		(\$362,504.52)		(\$362,504.52)

CLASS	DESCRIPTION	CURRENT AUTHORIZED BUDGET	REQUESTED ACTION	REVISED AUTHORIZED
020-500241	Current Expense	\$321.05	\$4,678.95	\$5,000.00
022-500248	Rents-Leases Other Than State	1.00	0.00	1.00
026-500251	Membership Fees	1.00	1,000.00	1,001.00
027-502799	Transfers to DoIT	1.00	\$0.00	1.00
028-500292	Transfers to General Services	1.00	\$2,000.00	2,001.00
029-500290	Intra-Agency Transfers	1.00	\$0.00	1.00
030-500311	Equipment - General (New)	1,302.00	1,698.00	3,000.00
039-500188	Telecommunications	670.90	169.10	840.00
046-500464	Gen Consultants Non Ben	1.00	0.00	1.00
049-500294	Transfer to Other Agencies	1.00	0.00	1.00
050-500109	Part-Time Salaries	23,400.31	17,550.21	40,950.52
059-509059	Full-Time (Temporary)	70,789.20	43,973.80	114,763.00
060-500612	Benefits (Temporary)	23,901.18	36,824.82	60,726.00
066-500543	Employee Training	1.00	0.00	1.00
070-500704	Mileage Private Cars In-State	2,105.88	-604.88	1,501.00
072-502646	Prog Reimbursement	1.00	0.00	1.00
073-500582	Grants to other state agencies	1.00	0.00	1.00
080-500731	Out-of-state travel	1.00	0.00	1.00
102-500731	Contracts for Program Services	240,002.00	-107,290.00	132,712.00
103-502664	Contracts for Operational Services	\$1.00	\$0	1.00
TOTALS:		\$362,504.52	\$0	\$362,504.52

EXPLANATION

This grant was originally approved by the Fiscal Committee on February 14, 2014 #FIS-14-015 and subsequently by Governor and Council on February 28, 2014, item #36. At that time it was unclear to the Board of Pharmacy what the cost of each budgeted item would be. Since that time the Board of Pharmacy has hired a program manager, contracted with a vendor to implement and maintain the prescription drug monitoring program. After reviewing its budgetary needs for the program, the Board is requesting to make the necessary changes to the budget in order to fulfill its statutory obligations under the Controlled Drug Act, RSA 318-B:32-38, as follows:

Class 020 Current Expense: The review of the budget following the execution of the vendor contract revealed that some budget items originally attributed to the Board of Pharmacy or the vendor contract would instead be borne by the grant. These include educational materials for prescribers/dispensers, office supplies such as paper, printer toner, and business cards, and durable items such as waste baskets, chairs, and other office accoutrements that will be used by the grant-funded staff.

Class 026 Membership Fees: The Prescription Drug Monitoring Program has been implemented in 49 states. There are several organizations that offer assistance to new programs, such as the Alliance of States with Prescription Drug Monitoring Programs (the PMP Alliance) and the National Association of State Controlled Substances Authorities (NASCSA) which charge yearly membership

dues. There are also subscriptions to periodic publications that will be valuable to the program in order to monitor changes in the laws/rules/regulations.

Class 028 Transfers to Admin Services: When the budget was first developed it was anticipated that the program staff would utilize Board of Pharmacy space at no charge. The space that the Board of Pharmacy occupies could not accommodate the grant-funded Program Director and the executive assistant, so space was procured in another area of the building. This cost will be paid from Transfers to Admin Services and is anticipated to be \$2,000.

Class 030 Equipment: The original budget included the purchase of only one computer and its required software. It was assumed that the part time executive assistant would use a computer that is currently owned by the Board of Pharmacy. Instead, it will be necessary to buy two computers with the accompanying software because there is not a computer that is available through the Board of Pharmacy.

Class 39 Telecommunications: The Board of Pharmacy is requiring that the grant pay for two internal land line telephones, which cost between \$20 and \$30 per month. These phones are situated in the PDMP program office space.

Class 50 Part Time Salaries: Upon inspection of the contract with the vendor, it was determined that the part time executive assistant position needed an increase in hours. The volume of data entry, report generation and responding to daily inquiries from the public and the entities using the PDMP is anticipated to be much greater than initially anticipated.

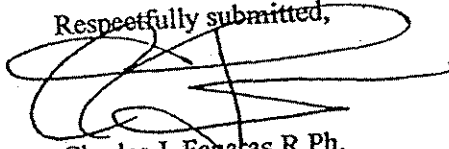
Class 59 Full Time Temporary: The PDMP Advisory Council posted the Program Coordinator (Director) position internally in April, 2014. The budget for the Program Coordinator position was listed at Labor Grade 27, Step 1. There were four responses to the internal posting. One candidate proved to be uniquely qualified in that she had vast experience managing federal grants for the state of New Hampshire, she had substantial knowledge of the workings of NH government, and she had an extensive background in substance abuse prevention. Because she was an internal candidate, state rules required that she be afforded a pay increase by virtue of the fact that she was moving from Labor Grade 25 to Labor Grade 27. The Advisory Council and the Board of Pharmacy weighed the moderate budget impact with the learning curve of a person that would be hired from outside state government and it was decided that hiring the internal candidate was infinitely more beneficial to the program.

Class 60 Benefits: The original budget set aside funds for benefits at 39% of the budgeted salary, which is standard when budgeting benefits. However, when the internal candidate was hired, her health insurance premium was significantly higher because she subscribes to the family plan.

Class 70 Mileage: It was determined after closer examination of the budget and the program that the mileage as budgeted would not be needed; the Program Director and grant manager set the mileage at 2,730, which will be more than sufficient over the life of the grant.

Class 102 Contracts for Program Services: The RFP that was issued for a vendor was done in February, 2014. A subcommittee comprised of members of the Advisory Council, the Department of Information Technology and the Board of Pharmacy was convened and a vendor was selected based on a series of rubrics. The successful vendor, Health Information Design, scored higher than the other three vendors, not the least of which they were very reasonably priced. The decrease in budget for the vendor allowed us to reallocate grant funds where they would be most effective and to evenly distribute the funds so that all aspects of the program will be funded for the same amount of time.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'Charles J. Fanaras', written over a horizontal line.

Charles J. Fanaras R.Ph.
President
New Hampshire Board of Pharmacy

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

FIS 15 267

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

November 24, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
And the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Authorize the Department of Justice to accept and expend a grant in the amount of \$60,000 from the United States Drug Enforcement Agency, Manchester District Office to be utilized to hire a Drug Prosecutor effective upon Governor and Executive Council approval through June 30, 2017. 100% Federal Funds.
2. Pursuant to RSA 124:15, authorize the Department of Justice to establish one full time salaried Drug Prosecutor within budget class 059 (Full Time Temporary) effective upon Fiscal Committee and Governor and Executive Council approval through June 30, 2017. 59% Agency Income; 41% Federal Funds.

Funds are to be budgeted in account #02-20-20-200510-2610 Activity #20DEAFY1617A, Department of Justice, Division of Public Protection, Criminal Justice Bureau, as follows:

<u>Class/Expense</u>	<u>Class Title</u>	<u>Current SFY16 Budget</u>	<u>Increase Amount</u>	<u>Revised SFY16 Budget</u>
010-500100	Personal Services Perm. Class.	\$246,018	\$0	\$246,018
013-500132	Personal Services Unclassified	\$1,390,431	\$0	\$1,390,431
014-500134	Personal Services-Unclassified	\$221,800	\$0	\$221,800
018-500106	Overtime	\$1,000	\$0	\$1,000
020-500200	Current Expense	\$35,157	\$0	\$35,157
022-500255	Rents Leases Other Than State	\$500	\$0	\$500
024-500225	Maint Other Than Build-Grnds.	\$2,400	\$0	\$2,400
027-582703	Transfers to DoIT	\$70,839	\$0	\$70,839

030-500311	Equipment	\$2,000	\$0	\$2,000
037-500173	PC Desktop Hardware New	\$1,000	\$0	\$1,000
038-500175	Desktop Software New	\$1,100	\$0	\$1,100
039-500188	Telecommunications	\$21,700	\$0	\$21,700
040-500800	Indirect Costs	\$5,400	\$0	\$5,400
041-500801	Audit Fund Set-Aside	\$60	\$0	\$60
042-500620	Additional Fringe Benefits	\$6,300	\$0	\$6,300
059-500117	Temp-Full Time	\$36,923	\$60,000	\$96,923
060-500612	Benefits	\$833,021	\$0	\$833,021
066-501709	Employee Training	\$1,500	\$0	\$1,500
070-500704	In State Travel	\$39,900	\$0	\$39,900
Total		\$2,917,049	\$60,000	\$2,977,049

Source of Funds

000-403260	Federal Funds	\$60,000
001-405936	Transfer from other agencies	\$56,918
002-406070	Transfers from Department of Transportation	\$34,725
009-402325	Agency Income	\$297,942
	General Fund	\$2,527,464
Total		\$2,977,049

EXPLANATION

The Department of Justice has been offered a grant from the United States Drug Enforcement Agency, Manchester District Office for the purpose of providing salary only funds towards hiring a Drug Prosecutor who will work with the DEA's New Hampshire Heroin Initiative Strike Force to combat the current opiate epidemic in New Hampshire. The prosecutor will provide legal assistance to the Strike Force on investigative matters and will prosecute the resulting criminal cases. The \$60,000 grant funds will be combined with federal forfeiture funds in the amount of \$79,807 to cover the position and related expenses.

The following information is provided in accordance with the Comptroller's instructional Memorandum dated September 21, 1981.

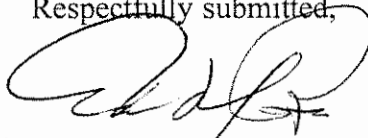
1. **List of personnel involved:** One salaried full time Attorney (Class 059) to serve as a Drug Prosecutor.
2. **Nature, Need and Duration:** This full time position will be in effect for the period effective upon Fiscal Committee and Governor and Executive Council approval through June 30, 2017.
3. **Relationship to existing agency programs:** This funding is to be utilized specifically to establish a Drug Attorney for the sole purpose of prosecuting illegal drug crimes.

4. **Has a similar program been requested of the legislature and denied?** No similar program has been requested and denied.
5. **Why wasn't funding included in the agency's budget request?** This project was introduced to the Department of Justice very recently and was not expected when the budget was formed.
6. **Can portions of the funds be utilized for other purposes?** No portion of these grant funds can be used for any other purpose.
7. **Estimate the funds required to continue this position(s):** It is estimated that it will cost \$139,807 for one full time position with benefits through June 30, 2017. \$60,000, granted by the DEA, will be used towards salary only. Federal forfeiture funds will be used to cover the remaining \$79,807 for salary, benefits and expenses related to this position through June 30, 2017.

In the event that agency income and federal funds are no longer available, general funds will not be requested to support this position.

Please let me know if you have any questions concerning this request. Your consideration is greatly appreciated.

Respectfully submitted,


for Joseph A. Foster
Attorney General

JAF/jsh
Enc.

#1309560



U. S. Department of Justice
Drug Enforcement Administration
Manchester District Office
324 South River Road
Bedford, New Hampshire 03110

www.dea.gov

November 23, 2015

The Honorable Joseph A. Foster
New Hampshire Attorney General
33 Capital Street
Concord, New Hampshire 03301
Attn: Ms. Kathleen Carr

General Foster:

As previously discussed between you and I, the U.S. Drug Enforcement Administration (DEA), Manchester District Office has received funding, in the amount of \$60,000.00, to partially pay for the salary of a Prosecutor from the Office of the Attorney General. These funds have been provided by the Executive Office of the New England High Intensity Drug Trafficking Area (HIDTA), as approved by Mr. Jay Fallon, Executive Director of the New England HIDTA. It is the intention of DEA to utilize these monies, as soon as possible, by bringing on board an attorney from the New Hampshire Attorney General's Office and assigning said attorney to DEA's New Hampshire Heroin Initiative Strike Force, based in Bedford, New Hampshire. The subject funds are available immediately and are ready to be used to facilitate this position upon request.

As a matter of clarification, this \$60,000.00 is for salary only and does not cover the expenses of fringe benefits. Moreover, this grant is for a one year period to be renewed on a year to year basis, subject to the availability of funds. Reimbursement to the Attorney General's Office, through HIDTA, will be on a monthly basis pursuant to proof of a portion of the salary expended by the Attorney General's Office and certified by DEA.

Should you have any questions regarding this agreement or the reimbursement of same and/or require additional details, please don't hesitate to contact me immediately at (603) 628-7411 x 146.

Sincerely,

A handwritten signature in black ink that reads "Jon C. DeLena".

Jon C. DeLena
Assistant Special Agent in Charge

Department of Justice
GRANTS FISCAL SITUATION FISCAL YEAR 2016
02-20-20-200510-2610; Criminal Bureau Drug Prosecutor

Total Agency Authorization	\$ 60,000
Less Expenditures to 06/30/2015	\$ -
Remaining Authorizatin to Budget	\$ 60,000

Less Current Budget Authorization	\$ -
Total Available for Budgeting	\$ 60,000

Available to Budget at Later Date	\$ -
REQUESTED ACTION	\$ 60,000

Grant Award Number	Award Amount	Expenses to 06/30/2015	Balance
Letter Dated 11/23/2015 DEA, Manchester	\$ 60,000	\$ -	\$ 60,000
Prior Year Grant Award	\$ -	\$ -	\$ -
Total			\$ 60,000



State of New Hampshire

FIS 15 268

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

November 25, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 124:15, contingent upon approval of requested action #2 by Governor and Council, authorize the Department of Safety, Office of the Commissioner, to **retroactively** establish one (1) temporary class 059 position (Program Assistant II, LG15) to provide training on interlock devices and maintain communications with associated divisions and agencies involved with the Ignition Interlock Program. Effective upon Fiscal Committee and Governor and Council approvals for the period of July 1, 2015 through September 30, 2016. Funding source: 100% Agency Income.

2. Authorize the Department of Safety, Office of the Commissioner, to **retroactively** accept and expend Interlock Ignition grant funds from the New Hampshire Highway Safety Agency in the amount of \$73,453.00. The continuation of this grant allows for training on interlock devices and to maintain communications with associated division and agencies involved with the ignition Interlock Program utilizing a previously established position. Effective upon Governor and Council for the period of July 1, 2015 through September 30, 2016. Funding Source: 100% Agency Income.

Funds are to be budgeted in SFY 2016 in the following account:

02-23-23-231010-33130000 Dept. of Safety Office of the Commissioner Ignition Interlock Grant

Class	Description	Current SFY 2016 Appropriation	Requested Action	Revised SFY 2016 Adjusted Authorized
009-402983	Federal Funds	\$0.00	(\$73,453.00)	(\$73,453.00)
020-500215	Current Expense	0.00	1,609.00	1,609.00
040-500800	Indirect Costs		8,465.00	8,465.00
059-500117	Salary Temporary Employees	0.00	51,217.00	51,217.00
060-500601	Benefits	0.00	5,362.00	5,362.00
070-500705	In State Travel Reimbursement	0.00	2,800.00	2,800.00
080-500710	Out of State Travel Reimb	0.00	4,000.00	4,000.00
	Org 3313 Totals	\$0.00	\$73,453.00	\$73,453.00

Explanation

This request is comprised of two grant periods and is **retroactive** due to the following. The grant funds for the period from October 1, 2014 through September 30, 2015 were budgeted in SFY2015 and, therefore, provided funding through the continuing resolution. However, after approval of the 2016-17 budget, it was discovered that a request to accept and expend the remaining funds from July 1, 2015 through September 30, 2015 should have been prepared, but was not. The signed grant for

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
November 25, 2015
Page 2 of 3

the period of October 1, 2015 through September 30, 2016 was received after the deadlines for the October Fiscal Committee meeting.

This grant funds a part-time employee (35 hours/week) to coordinate the Ignition Interlock Program. This individual has established continuous, on-going communications with interlock device providers and monitoring of their performance; oversees the development and deployment of an online or live training program to acquaint police and probation/parole officers with the interlock law and the devices (in cooperation with the Police Standards and Training Council); partners with the Administrative Office of the Courts to provide training for the circuit courts in the state and with local and county prosecutors to acquaint them with the Interlock Program and attempt to increase their use; provide Hearings Examiners in the Department of Safety's Bureau of Hearings with ongoing training regarding interlocks; maintains communications with the DMV's Financial Responsibility Administration to receive reports whenever a DWI conviction falls within the parameters requiring installation of an interlock but one was not ordered; monitor license restoration hearings to identify conditions wherein his/her judgment the license of a person convicted of DWI was a suitable candidate for interlock installation but none was ordered and report this information to the Assistant Commissioner of Safety; receive reports of attempts to circumvent interlocks and attempts to drive an interlock equipped vehicle by someone with a positive BAC; monitor situations where an individual claims indigence and attempt to work with interlock providers to establish financial plans that will permit the installation of an interlock on their vehicle; work with the media and the Department's public affairs officer to attempt to engender favorable publicity about the Interlock Program; reach out to the alcohol-impaired driving assessment and treatment program providers to provide them with information about interlocks and encourage them to include the installation of interlock in their treatment recommendation; and review current DWI legislation and make recommendations to the Department of Safety for future improvement to these laws.

<u>Class Code</u>	<u>Title</u>	<u>Description</u>
020	Current Expense	Provides office supplies for the position.
040	Indirect Cost	Represents the associated amount for this request.
059	Temp Full Time	Provides funding for staff to monitor violations.
060	Benefits	Provides funding associated with Class 059 expenses.
070	In State Travel	Provides funding for travel required for training and cooperative work with law enforcement agencies.
080	Out of State Travel	Provides funding for out of state travel for training.

At the time the State of New Hampshire FY 2016-17 budget was being developed, it was not anticipated that the State would receive funding for this grant.

The following information is provided in accordance with the comptroller's instructional memorandum dated September 21, 1981.

- 1) *List of personnel involved:* One (1) temporary part-time position of Program Assistant II (LG 15, A000).
- 2) *Nature, Need, and Duration:* This temporary position will work closely with the DMV's Financial Responsibility Administration, the Administrative Office of the Courts, as well as police to educate and monitor the Ignition Interlock Program. This position will end when funding ceases.
- 3) *Relationship to existing agency programs:* This position will provide Hearings Examiners in the Department of Safety's Bureau of Hearings with training regarding interlocks; maintain communications with the DMV's Financial Responsibility Administration to receive reports whenever a DWI conviction falls within the parameters requiring installation of an interlock but one was not ordered; monitor license restoration hearings to identify conditions wherein his/her judgment the license of a person convicted of DWI was a suitable candidate for interlock installation but none was ordered and report this information to the Assistant Commissioner of Safety.
- 4) *Has a similar program been requested of the legislature and denied?* No

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
November 25, 2015
Page 3 of 3

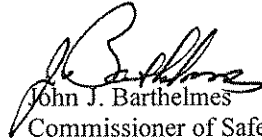
5) *Why wasn't funding included in the agency's budget request?* At the time the budget was created it was unknown that this grant would be awarded again.

6) *Can portions of the grant funds be utilized?* Yes. 100% Federal Grant Funds

7) *Estimate the funds required to continue this position:* Based upon the 2013-2015 Collective Bargaining Agreement, within the pay scale for A000 – 35 hours per week, the amount needed to fund this position (LG15, Step 5) for the period of July 1, 2015 through September 30, 2016 would be \$51,217.00 for salary and an additional \$5,362.00 for benefits for a total of \$56,579.00.

In the event that Agency Income funds are no longer available, additional General funds and/or Highway funds will not be requested to support this program.

Respectfully submitted,


John J. Barthelme
Commissioner of Safety

Department of Safety
DOS Ignition Interlock Grant
Fiscal Situation

Federal Funds Awarded:

FFY 2015 DOS /DMV Ignition Interlock Program 10/1/2014 - 9/30/2015	\$51,833.73
FFY 2016 DOS /DMV Ignition Interlock Program 10/1/2015 - 9/30/2016	\$54,973.87
Total Grant Funds Awarded	\$106,807.60

Less SFY 2015 Expenses on FFY 2015 Grant	(29,952.95)
Total	-\$29,952.95

Net Grant Funds Remaining as of Oct 1, 2015 (SFY 2016)	\$76,854.65
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Less SFY16 Appropriation 02-23-23-231010-33130000	\$0.00
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Excess grant funds available to appropriate	\$76,854.65
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This Request	\$73,453.00
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**CONTRACTUAL AGREEMENT
FOR HIGHWAY SAFETY PROJECT GRANT**

For HSA Use Only

State Of New Hampshire
Highway Safety Agency
78 Regional Drive, Building 2
Concord, NH 03301-8530

Date Received September 24, 2014	Project Number #308-15S-005
Date Approved September 24, 2014	PSP and Task # 15-02, 13

Part I

1. Project Title DOS Interlock Ignition Program	2. Type of Application (Check One) <input checked="" type="checkbox"/> Initial <input type="checkbox"/> Revision <input type="checkbox"/> Continuation
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3. Applicant	
A. Name of Agency DUNS Number 060340564 NH Department of Safety Warehouse	B. Address of Agency 33 Hazen Drive Concord NH 03305-0011
C. Government Unit (Check One) <input checked="" type="checkbox"/> State <input type="checkbox"/> City/Town <input type="checkbox"/> County <input type="checkbox"/> Other (specify):	D. Name Address of Governmental Unit State of New Hampshire Concord, NH 03301

Contract Duration A. Contract Period Start Date: October 1, 2014 Termination Date: September 30, 2015	Functional Area M6OT - 405d Impaired Driving Low CFDA# 20.616 Program Title Impaired Driving Countermeasures Grant Funding Source National Highway Traffic Safety Administratio
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6. Description of Project (Describe in detail in Schedule A) and Source of Funds

Budget (Provide itemization as called for on Schedule B) and Source of Funds					
Cost Category	Total Budget	Federal Budget	Local Budget	State Budget	Other Funds
a. Personnel Services	\$39,638.09	\$39,638.09			
b. Current Expense	\$1,000.00	\$1,000.00			
c. Equipment	\$1,000.00	\$1,000.00			
d. Indirect Costs Audit	\$4,895.64	\$4,895.64			
e. Contractual Services					
f. Travel	\$5,300.00	\$5,300.00			
Total Estimated Costs Including Non-Federal Share	\$51,833.73	\$51,833.73			

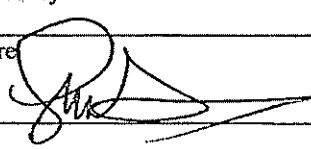
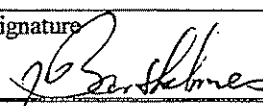
7. Local Benefit: It is anticipated that the federal share for local benefit will be: 50% (\$25,916.87)

Part II


BUDGET AND PERSONNEL DATA		
a. Personnel Services Labor Grade 19 (Part-Time) Salary @ \$18.89/hour x 35 hours/week x 52 weeks Required Annual Day Event @ \$.51 percent Benefits: \$36,133.17 x .097	\$34,379.80 1,753.37 3,504.92	\$39,638.09
b. Current Expenses Telephone, photocopying, office supplies, etc.	1,000.00	1,000.00
c. Equipment Printer and air card for laptop	1,000.00	1,000.00
d. Indirect Costs and Audit Expense Indirect costs: \$46,938.09 x 10.43 percent		4,895.64
e. Contractual Services		
f. Travel Expenses In-state travel (5,000 miles @ \$.565/mile or the IRS standard) Out-of-State (Annual Conference)	2,800.00 2,500.00	5,300.00
Total		\$51,833.73

Part III

Acceptance of Conditions. It is understood and agreed by the undersigned that a grant received as a result of this contract is subject to the regulations governing grant which have been furnished (or will be furnished upon request) to the applicant.

A. Project Director		
1) Name Earl M. Sweeney	2) Title Assistant Commissioner NH Department of Safety	3) Address 33 Hazen Drive Concord, NH 03305
4) Signature X 	5) Telephone Number 223-3888	
B. Authorized Official		
1) Name John J. Barthelmes	2) Title Commissioner, NH Department of Safety	3) Address 33 Hazen Drive Concord, NH 03305
4) Signature X 	5) Telephone Number 223-3889	

Part IV (For HSA Use Only)

1. Approval Date September 24, 2014	2. Signature & Title  Peter M. Thomson, Coordinator NH Highway Safety Agency
3. Federal Funds Obligated by this Agreement: \$51,833.73	

**CONTRACTUAL AGREEMENT
FOR HIGHWAY SAFETY PROJECT GRANT**

For HSA Use Only

State Of New Hampshire
Highway Safety Agency
78 Regional Drive, Building 2
Concord, NH 03301-8530

Date Received September 23, 2015	Project Number #308-165-003
Date Approved September 23, 2015	PSP and Task # 16-02, 13

Part I

1. Project Title DOS Interlock Ignition	2. Type of Application (Check One) <input checked="" type="checkbox"/> Initial <input type="checkbox"/> Revision <input type="checkbox"/> Continuation
--	---

3. Applicant	
A. Name of Agency DUNS Number 060340564 NH Department of Safety	B. Address of Agency 33 Hazen Drive Concord NH 03305-0011
C. Government Unit (Check One) <input checked="" type="checkbox"/> State <input type="checkbox"/> City/Town <input type="checkbox"/> County <input type="checkbox"/> Other (specify):	D. Name Address of Governmental Unit State of New Hampshire Concord, NH 03301

4. Contract Duration	Functional Area M6OT - 405d Impaired Driving Low
A. Contract Period	CFDA# 20.616
Start Date: October 1, 2015	Program Title Impaired Driving Countermeasures Grant
Termination Date: September 30, 2016	Funding Source National Highway Traffic Safety Administratio

6. Description of Project (Describe in detail in Schedule A) and Source of Funds

Budget (Provide itemization as called for on Schedule B) and Source of Funds					
Cost Category	Total Budget	Federal Budget	Local Budget	State Budget	Other Funds
a. Personnel Services	\$42,096.85	\$42,096.85			
b. Current Expense	\$1,200.00	\$1,200.00			
c. Equipment	\$400.00	\$400.00			
d. Indirect Costs Audit	\$6,277.02	\$6,277.02			
e. Contractual Services					
f. Travel	\$5,000.00	\$5,000.00			
Total Estimated Costs Including Non-Federal Share	\$54,973.87	\$54,973.87			

7. Local Benefit: It is anticipated that the federal share for local benefit will be: <u>100%</u> (\$54,973.87)
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Part II

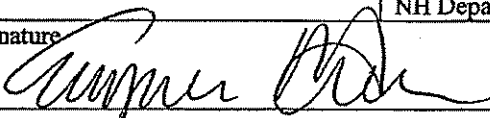
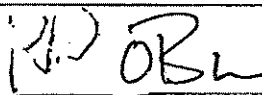
BUDGET AND PERSONNEL DATA		
a. Personnel Services Labor Grade 19 (Part-Time)		
Salary @ \$20.15/hour x 35 hours/week x 52 weeks	\$36,673.00	
Required Annual Day Event	1,701.52	
Benefits: \$38,374.52 x .097	3,722.33	\$42,096.85
b. Current Expenses		
Telephone, postage, office supplies, photocopying , etc.		1,200.00
c. Equipment		
Printer		400.00
d. Indirect Costs and Audit Expense		
Indirect costs: \$48,696.85 x 12.89 percent		6,277.02
e. Contractual Services		
f. Travel Expenses		
In-state travel (conference, lodging, meals, mileage, etc.)	1,000.00	
Out-of-State (conference, lodging, meals, mileage, etc.)	4,000.00	5,000.00
Total		\$54,973.87

In Kind Match For This Project = \$13,743.47 (405d Funds)


This match requirement shall be met primarily through Salary and benefits cost analysis of agency personnel prosecuting cases relating to violations of the interlock program law.

Part III

Acceptance of Conditions. It is understood and agreed by the undersigned that a grant received as a result of this contract is subject to the regulations governing grant which have been furnished (or will be furnished upon request) to the applicant.

A. Project Director		
1) Name Elizabeth A. Bielecki	2) Title Director of Administration NH Department of Safety	3) Address 33 Hazen Drive Concord, NH 03305
4) Signature X 		5) Telephone Number 223-8020
B. Authorized Official		
1) Name Kevin P. O'Brien	2) Title Assistant Commissioner NH Department of Safety	3) Address 33 Hazen Drive Concord, NH 03305
4) Signature X 		5) Telephone Number 223-3889

Part IV (For HSA Use Only)

1. Approval Date September 23, 2015	2. Signature & Title  John J. Barthelmes, Coordinator NH Highway Safety Agency
3. Federal Funds Obligated by this Agreement: \$54,973.87	

TITLE IX

ACQUISITION OF LANDS BY UNITED STATES; FEDERAL AID

CHAPTER 124 FEDERAL AID

Miscellaneous

Section 124:15

124:15 Positions Restricted. –

I. In addition to the positions authorized by law, no new personnel positions, or consultants, or both may be created by the acceptance of federal moneys or moneys from any other source unless such positions, or consultants, or both are approved by the fiscal committee of the general court; provided, however, that the governor and council may accept all moneys available for any emergency or disaster as defined by the authority awarding such moneys; and provided further that all such moneys available to the general court or to either of its houses may be accepted by the respective presiding officers with the prior approval of the fiscal committee. Nothing herein shall be construed to affect the provisions of RSA 98:17-a.

II. Every board, agency, department or commission receiving such federal or other moneys shall attempt to apply them in whole or in part to the cost of personnel positions authorized by law so as to reduce the obligation of general funds, but if the salaries of such personnel positions cannot be paid out of such moneys then such positions shall be considered as specified in paragraph I.

III. All such moneys which fund personnel positions subject to the restrictions of this section shall be used only for the purposes or programs specified in the application for approval of the positions or as otherwise authorized by law, and all such moneys which are accepted in accordance with law are hereby appropriated.

Source. 1983, 469:84, eff. July 1, 1983.



State of New Hampshire
DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

VICKI V. QUIRAM
Commissioner
(603)-271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603)-271-3204

November 23, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
and the Executive Council
State House
Concord, NH 03301

REQUESTED ACTION

1. Pursuant to RSA 124:15, and contingent on the approval of request number two, **retroactively** authorize the Department of Administrative Services, Bureau of Plant/Property Management, Plant-Property Administration, to establish one (1) temporary part-time, class 050 position for the purpose of supporting the State Energy Manager's office for programs which reduce energy consumption and reduce costs in New Hampshire State buildings. Effective upon Fiscal Committee and Governor and Council approval for the period of July 1, 2015 through May 31, 2016. **100% Agency Income**

2. Authorize the Department of Administrative Services, Bureau of Plant/Property Management, Plant-Property Administration, to **retroactively** accept and expend a grant from the New Hampshire Office of Energy and Planning in an amount not to exceed \$48,800 for the purpose of supporting the State Energy Manager's office for programs which reduce energy consumption and reduce costs in New Hampshire State buildings. Effective upon Governor and Council approval for the period of July 1, 2015 through May 31, 2016. **100% Agency Income**

Funds will be budgeted as follows:

01-14-14-141500-14400000 Department of Administrative Services, Bureau of Plant/Property Management, Plant-Property Administration

<u>Class</u>	<u>Description</u>	<u>FY16 Current</u>		<u>Requested</u>	<u>Revised FY2016</u>	
		<u>Adjusted</u>	<u>Authorized</u>		<u>Action</u>	<u>Adjusted</u>
009-407017	Agency Income		\$0	(\$48,800)		(\$48,800)
010-500100	Personal Services		\$210,267	\$ 0		\$210,267
011-500126	Unclassified Personal Serv		\$ 94,375	\$ 0		\$ 94,375
020-500200	Current Expense		\$ 600	\$ 0		\$ 600
037-500165	Technology Hardware		\$ 1	\$ 0		\$ 1
038-500175	Technology Software		\$ 1	\$ 0		\$ 1
039-500180	Telecommunications		\$ 1,500	\$ 0		\$ 1,500
050-500109	Personal Serv – Temp		\$ 0	\$ 27,984		\$ 27,984
060-500601	Benefits		\$135,045	\$ 2,141		\$137,186
070-500704	In-State Travel Reimb		\$ 829	\$ 1,783		\$ 2,612
080-500710	Out-of-State Travel		\$ 1			\$ 1
103-502507	Contracts Program Serv		\$ 0	\$ 16,892		\$ 16,892
	Totals		<u>\$442,619</u>	<u>\$ 48,800</u>		<u>\$491,419</u>

EXPLANATION

The reason for this **retroactive** request is due to funds being budgeted in class 050, part-time salaries for SFY2015 and therefore provided funding through the continuing resolution. However, after approval of the 2016-2017 budget, it was discovered that a request to accept and expend these funds should have been processed but had not been done.

This grant will fund one (1) existing part-time position that will provide support for programs to reduce energy consumption and reduce costs in New Hampshire State buildings. The Planning Analyst/Data Systems position duties include improving the functionality of the state energy database and investigate to enhance its quality and its utility as a planning tool for energy efficiency projects. It will also fund additional travel expenditures and contracted services for energy efficiency in state-owned buildings

Class 050 – Personal Serv. Part-Time Temporary funds will be used to pay the salary of one part-time Planning Analyst/Data Systems position

Class 060 – Benefits funds will be used to pay the benefits associated with the temporary part-time position

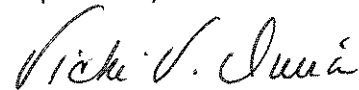
Class 070 – In-State Travel funds will be used for travel to other agencies to train employees on the energy database, as well as the attendance of the NEEP Energy Summit or other similar training

Class 103 – Contracts for Program Services will be used for the services of a weatherization vendor to improve energy efficiency in smaller state-owned buildings

The following information is provided in accordance with the comptroller's instructional memorandum dated September 21, 1981.

- 1) *List of personnel involved:* One (1) temporary part-time Planning Analyst/Data Systems position
- 2) *Nature, Need, and Duration:* To provide support for programs to reduce energy consumption and reduce costs in New Hampshire State buildings, through May 31, 2016
- 3) *Relationship to existing agency programs:* This position will provide support to the DAS State Energy Manager's office
- 4) *Has a similar program been requested of the legislature and denied?* No
- 5) *Why wasn't funding included in the agency's budget request?* The funds were unanticipated at the time the budget was created
- 6) *Can portions of the grant funds be utilized?* Grant funds are being utilized for this position
- 7) *Estimate the funds required to continue this position:* Funds for this position are estimated at \$30,125 through the duration of the MOA through May 31, 2016.

Respectfully submitted,



Vicki V. Quiram
Commissioner



MARGARET WOOD HASSAN
GOVERNOR

STATE OF NEW HAMPSHIRE
OFFICE OF ENERGY AND PLANNING
107 Pleasant Street, Johnson Hall
Concord, NH 03301-3834
Telephone: (603) 271-2155
Fax: (603) 271-2615



May 27, 2015

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Approved by the Governor
and Council on 06.24.15
Agency Item 96
PO 1041699

REQUESTED ACTION

The Office of Energy and Planning (OEP) requests authorization to amend a Memorandum of Agreement (Contract #1041699) with the Department Administrative Services (DAS), (VC # 177875), Concord, NH, by extending the end date from June 30, 2015 to May 31, 2016, and by increasing the contract amount by \$30,000.00 from \$20,000.00 to \$50,000.00 to support energy efficiency in state buildings, effective July 1, 2015, upon Governor and Council approval. This contract was originally approved by Governor and Council on December 23, 2014, Item #24. 100% Federal Funds (State Energy Program).

Funding is available in the following account, contingent upon the availability and continued appropriation of funds in the FY 2016 operating budget, as follows:


<u>Office of Energy & Planning, State Energy Programs</u>	<u>FY 2016</u>
01-02-02-024010-6510	
102-500731 Contracts for Program Services	\$30,000.00

EXPLANATION


The intent of this amendment is to continue providing support to the Department of Administrative Services' State Energy Manager's office for programs which reduce energy consumption and reduce costs in New Hampshire State buildings. Additional funding will support DAS's highly productive retro-commissioning work, which identifies specific energy-reduction strategies for State buildings; improvements to the state energy database, a tool that helps managers track energy use in hundreds of State owned buildings and identify priorities for cost-saving conservation; training the DAS Energy Management leaders in energy benchmarking; and performance contracting.

These projects will be administered by DAS. In the event Federal Funds are no longer available, General Funds will not be requested to support this program.

Respectfully submitted,



Meredith A. Hatfield
Director
Office of Energy and Planning



Vicki V. Quiram
Commissioner
Department of Administrative Services

**MEMORANDUM OF AGREEMENT
BETWEEN
OFFICE OF ENERGY AND PLANNING
AND
DEPARTMENT OF ADMINISTRATIVE SERVICES**

This Amendment dated May 27, 2015, is between the State of New Hampshire, Office of Energy and Planning (hereinafter referred to as "OEP") and the Department of Administrative Services (hereinafter referred to as "DAS").


Pursuant to an Agreement (hereinafter referred to as the "Agreement"), as approved by Governor and Council on December 23, 2014 (Item #24), DAS has agreed to provide certain Services, per the terms and conditions specified in the Agreement and in consideration of payment by OEP of certain sums as specified therein.

WHEREAS, OEP and DAS have agreed to amend the Agreement in certain respects;

NOW THEREFORE, in consideration of the foregoing and of the covenants and conditions in the Agreement as set forth herein, the parties agree to the following:

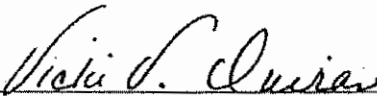
1. **Amendment and Modification of Agreement.** The Agreement is amended and modified as follows:
 - A) Amend Agreement completion date by striking the current contract ending date of June 30, 2015, and inserting May 31, 2016, wherever it occurs.
 - B) Amend Agreement price limitation by striking the current sum of \$20,000.00 and inserting in place thereof the total sum of \$50,000.00.
 - C) Program funds may also be used to expand the State's use of retro-commissioning analyses to identify energy and cost-saving measures in State buildings.
 - D) An additional \$1,500.00 of funds provided may be used for educational purposes in FY 2016 as approved by OEP.
 - E) The Program Plan may include definition of goals, milestones, deliverables and outcomes for expanding the use of retro-commissioning analyses in State buildings.
 - F) DAS shall submit quarterly reports to OEP that include the following, if applicable: the number of technical assistance contacts with state agencies relative to ESPC's and retro-commissioning (# agencies).

IN WITNESS WHEREOF, the respective parties have hereunto set their hands on the dates indicated.



Meredith A. Hatfield, Director
NH Office of Energy and Planning

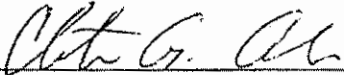
5/27/15
Date



Vicki V. Quiram, Commissioner
NH Department of Administrative Services

5/27/15
Date

OFFICE OF THE ATTORNEY GENERAL


By: 

Assistant Attorney General

Date: June 3, 2015

I hereby certify that the foregoing agreement was approved by the Governor and Council of the State of New Hampshire at their meeting on June 24, 2015.

OFFICE OF THE SECRETARY OF STATE

By: 

DEPUTY SECRETARY OF STATE



MARGARET WOOD HASSAN
GOVERNOR

STATE OF NEW HAMPSHIRE
OFFICE OF ENERGY AND PLANNING
107 Pleasant Street, Johnson Hall
Concord, NH 03301-3834
Telephone: (603) 271-2155
Fax: (603) 271-2615



www.nh.gov/oep

November 26, 2014

Approval by the Governor
and Council on 12-23-14
Agenda Item 24

PO 1041699

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Authorize the Office of Energy and Planning (OEP) to enter into a Memorandum of Agreement (MOA) with the Department of Administrative Services (DAS, Vendor #177875), Concord, NH in the amount of \$20,000 to support energy efficiency in state buildings, effective December 23, 2014 upon Governor & Council approval, through June 30, 2015. 100% Federal Funds (State Energy Program).

Funding is available in the following account:

<u>Office of Energy & Planning, State Energy Programs</u>	<u>FY 2015</u>
01-02-02-024010-6510	
102-500731 Contracts for Program Services	\$20,000

EXPLANATION


The intent of this Agreement is to provide support for programs to reduce energy consumption and reduce costs in New Hampshire's State buildings by supporting the Department of Administrative Services' State Energy Manager's office. Planned efforts including improving the functionality of the state energy database, investigating how the State can take better advantage of performance contracting, and providing educational opportunities for the Energy Management office. Targeted improvements to the energy database, which tracks energy use in state buildings, will enhance its quality and its utility as a planning tool for energy efficiency projects. This project will also provide education for the State's energy management leaders involved with energy savings performance contracts, enhancing their capacity to define, contract and manage projects using this important vehicle to implement energy efficiency measures in State buildings.

G&C 12/23/14
Page 1 of 2

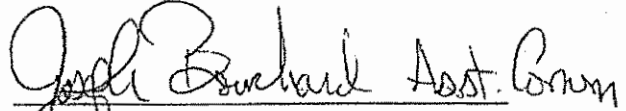
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

These projects will be administered by DAS. In the event that Federal Funds are no longer available, General Funds will not be requested to support this program.

Sincerely,



Meredith A. Hatfield, Director
NH Office of Energy and Planning



Linda M. Hodgdon, Commissioner
NH Department of Administrative Services

**MEMORANDUM OF AGREEMENT
BETWEEN
OFFICE OF ENERGY AND PLANNING
AND
DEPARTMENT OF ADMINISTRATIVE SERVICES**


This MOA describes activities that have been agreed to between the New Hampshire Office of Energy and Planning (OEP) and Department of Administrative Services (DAS) to utilize funding provided by OEP to reduce energy consumption and costs in state buildings. This agreement will become effective December 23, 2014, upon approval by Governor and Council. The project completion date is June 30, 2015.

For the purposes of this Agreement, OEP and DAS agree as follows:

1. The agencies shall assign appropriate staff to oversee the implementation of this Agreement.
2. The program funds may be used to:
 - a. Streamline the State's Energy Saving Performance Contracting (ESPC) process. This may include developing tools such as standardized RFP's, contracts and State project management guidelines;
 - b. Education for State Energy Manager or other appropriate DAS Staff; and
 - c. State Energy Database upgrade. This may provide support for implementation of an updated online interface designed to make the state energy database more useful for studying and planning for energy use in State buildings, or to convert the current State Database to a standardized program that requires less internal support, in order to increase analytical functions and facilitate comparison of energy use in like buildings.
3. Up to \$1,500 of funds provided may be used for educational purposes as approved by OEP.
4. DAS shall submit a detailed Program Plan for approval by OEP within 30 days of G&C approval of this agreement. The Program Plan may include:
 - a. Definition of goals, milestones, deliverables and outcomes for streamlining and improving the efficiency of ESPC for State buildings;
 - b. Proposal for education for State Energy Manager and staff;
 - c. Definition of goals, milestones, deliverables and outcomes for upgrades to the state energy database; and
 - d. Other appropriate opportunities to utilize the US DOE funding that DAS wishes to propose.

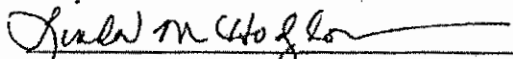
5. OEP shall respond to the proposed Program Plan within 15 days of receipt and either approve the plan or recommend changes. Subsequent submittals and approvals shall be subject to the same 15 day time period.
6. DAS shall administer the program(s).
7. DAS shall submit quarterly reports to OEP that include the following, if applicable:
 - a. The number of technical assistance contacts with state agencies relative to ESPC's (# agencies).
 - b. The number of technical assistance contacts with state agencies relative to the database upgrade (# agencies).
 - c. A description of tools developed for state energy database visualization or conversion to Portfolio Manager (# tools).
 - d. A description of workshops, training and education sessions offered for performance contracting and the state energy database; including number of participants in each.
 - e. A description of any education sessions attended by DAS ; and
8. PROJECT COST: OEP shall provide funding in an amount up to \$20,000. Funding is available for DAS use, through a system of invoicing and reimbursement, until June 30, 2015.
9. INVOICING: DAS will submit detailed invoices and related backup documentation within 15 days following the close of each fiscal quarter.
10. TERMINATION: Either party may terminate this agreement upon providing written notice to the other thirty (30) days prior to termination. Upon termination, DAS will be paid for all qualifying work completed prior to termination.
11. DURATION: The project duration extends from, December 23, 2014, the date of approval by Governor and Council, through June 30, 2015 for program activities, invoicing and program year closeout, unless terminated by either party, or extended in writing by subsequent agreement of the parties and acceptance by Governor and Council.

IN WITNESS WHEREOF, the respective parties have hereunto set their hands on the dates indicated.



Meredith A. Hatfield, Director
NH Office of Energy and Planning

11/25/14
Date

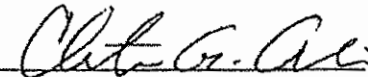


Linda M. Hodgdon, Commissioner
NH Department of Administrative Services

11/25/14
Date

OFFICE OF THE ATTORNEY GENERAL

Approved as to form, substance and execution


By: 

Assistant Attorney General

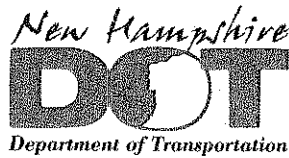
Date: 12/11/2014

I hereby certify that the foregoing agreement was approved by the Governor and Council of the State of New Hampshire at their meeting on DEC 23 2014.

OFFICE OF THE SECRETARY OF STATE

By: 

DEPUTY SECRETARY OF STATE



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



Victoria F. Sheehan
 Commissioner

William Cass, P.E.
 Assistant Commissioner

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Bureau of Rail and Transit
 November 12, 2015

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Transportation, Bureau of Rail & Transit to amend a previously approved request to Budget and Expend prior carry-forward funds from the Special Railroad fund for the maintenance and repair of State-owned railroad lines, by extending the completion date from December 31, 2015 to June 30, 2016, effective upon Fiscal Committee and Governor and Council approval. The original Agreement was approved by Fiscal Committee on August 28, 2015, Item #15-177 and Governor and Council on September 16, 2015, Item #19. Time extension only, no new funding.
2. Pursuant to RSA 228:69, I (b), authorize the Department of Transportation, Bureau of Rail & Transit to amend a previously approved request to expend an amount not to exceed \$750,000 from the Special Railroad Fund for maintenance and repair of State-owned railroad lines and bridges, by extending the completion date from December 31, 2015 to June 30, 2016, effective upon Fiscal Committee and Governor and Council approval. The original Agreement was approved by Fiscal Committee on August 28, 2015, Item #15-177 and Governor and Council on September 16, 2015, Item #19. Time extension only, no new funding.

EXPLANATION

RSA 228:68 establishes the Special Railroad Fund for the deposit of revenues produced on the state-owned railroad corridors through user fees paid by railroads, leases and fees paid by other landowners, and other revenues. This dedicated fund is required to comply with Federal regulations that require lease or other income on property acquired with Federal funds to be used to maintain those properties.

RSA 228:69, I (a) requires that 20 percent of the 2015 calendar year railroad user fees deposited in the Special Railroad Fund be paid to the cities and towns through which the state-owned railroad lines pass. These amounts will be paid out to the respective towns at the end of fiscal year 2016.

RSA 228:69, I (b) authorizes the Department of Transportation to expend funds from the Special Railroad Fund on maintenance and repair of state-owned railroad lines. The Department will use these funds to continue repairs and maintenance activities including track, minor bridge and drainage projects on state-owned lines in 2016. The cost of engineering and construction administration will also be paid from these funds. The Special Railroad Fund receives revenues derived from railroad user fees, leases and crossing agreements, and a portion of the state railroad tax. The Department of Transportation, Bureau of Rail and Transit, will reimburse the railroads operating on the State-owned rail lines and other responsible parties for their infrastructure construction and maintenance expenses on the State-owned rail lines and bridges. The operating railroads on the State owned rail corridors and the estimated construction funds (400 500871) to be encumbered and expended on their operating lines are:

Department of Transportation	(Vendor 177927)	\$ 30,000
Plymouth & Lincoln Railroad	(Vendor 157711)	\$ 205,000
New Hampshire Central Railroad	(Vendor 157337)	\$ 30,000
Conway Scenic Railroad	(Vendor 154819)	\$ 205,000
New England Southern Railroad	(Vendor 161682)	\$ 40,000
Milford-Bennington Railroad	(Vendor 156441)	\$ 40,000
Claremont-Concord Railroad	(Vendor 156559)	\$ 30,000
TOTAL CLASS 400		\$ 580,000

To resolve unanticipated events and circumstances on the State-owned rail lines, the estimated amounts listed above are subject to adjustment by the Administrator of the Bureau of Rail & Transit.

Approximately \$30,000 of the funds budgeted in Class 046 Consultants is to address an ongoing environmental hazard pertaining to the Frankenstein Trestle that the Department has been addressing with the NH Department of Environmental Services (NHDES). The Frankenstein Trestle continues to excrete creosote from the bridge timbers as it has done since installation of the timbers in 2008. Due to the existing environmental hazard, NHDES is now requiring the Department to complete a site-specific risk assessment, conduct additional soil testing, and complete a remedial action plan within state fiscal year 2016. An existing environmental consultant will complete these tasks, but as their completion will take at least ten months, immediate access to funds will be required to meet the NHDES deadline. The Bureau of Environment has been actively involved in the project, has been the liaison with NHDES, and has a statewide consultant that is able to complete these tasks upon funding availability.

Consultant costs will be from statewide contracts; therefore, new consultants will not be contracted.

Your approval is respectfully requested.

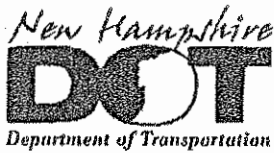
Sincerely,



Victoria F. Sheehan
Commissioner

Attachment

AS 15-177



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



WILLIAM CASS, P.E.
ASSISTANT COMMISSIONER

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Bureau of Rail and Transit
August 3, 2015

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to RSA 14:30-a, VI, authorize the Department of Transportation to Budget and Expend prior year carry-forward funds in the amount of \$750,000 from the Special Railroad Fund for the maintenance and repair of State-owned railroad lines effective upon Fiscal Committee and Governor and Council approval through December 31, 2015.

Funds are to be budgeted as follows: 100% Other Funds (Special Railroad Funds).

04-096-096-960010-2991 Special Railroad Fund	FY 2016 Budget	Requested Change	FY 2016 Revised Budget
Expenses:			
020 500241 Current Expense	\$0	\$10,000	\$10,000
022 500242 Rents-Leases Other than State	0	\$30,000	\$30,000
046 500463 Engineering Consultants	0	\$65,000	\$65,000
073 500579 Grants Non Federal	0	\$65,000	\$65,000
400 500871 Construction	296,919	\$580,000	\$876,919
Total	\$296,919	\$750,000	\$1,019,919
Source of Funds			
Revenue:			
009 407323 Agency Income	\$296,919	\$750,000	\$1,019,919
Total	\$296,919	\$750,000	\$1,019,919

2. Pursuant to RSA 228:69, I (b), authorize the Department of Transportation, Bureau of Rail & Transit, to expend an amount not to exceed \$750,000 from the Special Railroad Fund for maintenance and repair of State-owned railroad lines and bridges effective upon Fiscal Committee and Governor and Council approval through December 31, 2015.

EXPLANATION

RSA 228:68 establishes the Special Railroad Fund for the deposit of revenues produced on the state-owned railroad corridors through user fees paid by railroads, leases and fees paid by other landowners, and other revenues. This dedicated fund is required to comply with Federal regulations that require lease or other income on property acquired with Federal funds to be used to maintain those properties.

RSA 228:69, I (a) requires that 20 percent of the 2015 calendar year railroad user fees deposited in the Special Railroad Fund be paid to the cities and towns through which the state-owned railroad lines pass. These amounts will be paid out to the respective towns at the end of fiscal year 2016.

RSA 228:69, I (b) authorizes the Department of Transportation to expend funds from the Special Railroad Fund on maintenance and repair of state-owned railroad lines. The Department will use these funds to continue repairs and maintenance activities including track, minor bridge and drainage projects on state-owned lines in 2016. The cost of engineering and construction administration will also be paid from these funds. The Special Railroad Fund receives revenues derived from railroad user fees, leases and crossing agreements, and a portion of the state railroad tax. The Department of Transportation, Bureau of Rail and Transit, will reimburse the railroads operating on the State-owned rail lines and other responsible parties for their infrastructure construction and maintenance expenses on the State-owned rail lines and bridges. The operating railroads on the State owned rail corridors and the estimated construction funds (400 500871) to be encumbered and expended on their operating lines are:

Department of Transportation	(Vendor 177927)	\$ 30,000
Plymouth & Lincoln Railroad	(Vendor 157711)	\$ 205,000
New Hampshire Central Railroad	(Vendor 157337)	\$ 30,000
Conway Scenic Railroad	(Vendor 154819)	\$ 205,000
New England Southern Railroad	(Vendor 161682)	\$ 40,000
Milford-Bennington Railroad	(Vendor 156441)	\$ 40,000
Claremont-Concord Railroad	(Vendor 156559)	\$ 30,000
<hr/>		
TOTAL CLASS 400		\$ 580,000

To resolve unanticipated events and circumstances on the State-owned rail lines, the estimated amounts listed above are subject to adjustment by the Administrator of the Bureau of Rail & Transit.

Approximately \$30,000 of the funds budgeted in Class 046 Consultants is to address an ongoing environmental hazard pertaining to the Frankenstein Trestle that the Department has been addressing with the NH Department of Environmental Services (NHDES). The Frankenstein Trestle continues to excrete creosote from the bridge timbers as it has done since installation of the timbers in 2008. Due to the existing environmental hazard, NHDES is now requiring the Department to complete a site-specific risk assessment, conduct additional soil testing, and complete a remedial action plan within state fiscal year 2016. An existing environmental consultant will complete these tasks, but as their completion will take at least ten months, immediate access to funds will be required to meet the NHDES deadline. The Bureau of Environment has been actively involved in the project, has been the liaison with NHDES, and has a statewide consultant that is able to complete these tasks upon funding availability.

Consultant costs will be from statewide contracts; therefore, new consultants will not be contracted.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15, "Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016?

No, this request is the result of RSA 228:68, 228:69, I (a), and 228:69, I(b) as described above.

2. If this request is retroactive what is the significance and importance of the action being effective from an earlier date?

No, this is not a retroactive request.

3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)

No, this is a non-budgeted, non-lapsing special fund established by RSA 228:68. Authorization is requested annually of Governor and Council and the joint legislative Fiscal Committee to expend funds as described in the request.

4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?

No, this is a non-budgeted, non-lapsing special fund established by RSA 228:68.

5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?

No, this is a non-budgeted, non-lapsing special fund established by RSA 228:68.

6. Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)

No, this program does not include positions. Consultant costs will be from statewide contracts; therefore, new consultants will not be contracted.

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

RSA 228:69 as established, distributes funds from this special account to cities and towns annually and upon approval of the Governor and Council and the joint legislative Fiscal Committee, funds the operation and maintenance of state owned railroad properties.

Your approval is respectfully requested.

Sincerely,

Patrick M. Kane, For

William Cass, P.E.
Assistant Commissioner

Attachment

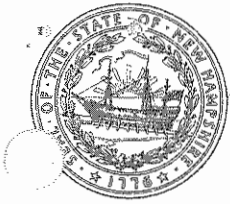
Department of Transportation
Fiscal Situation Fiscal Year 2016
04-096-096-960010-2991

Special Railroad Fund

Estimated revenue budgeted YTD:	\$	11,892
Prior year carryforward revenue:	\$	779,965
Additional non-budgeted revenue:	\$	<u>-</u>
Amount available to budget:	\$	791,857
Less current FY16 Budget Authorization	\$	<u>-</u>
Total available for budgeting:	\$	791,857
Amount to be budgeted this request:	\$	<u>750,000</u>
Amount available to budget with future requests:	\$	<u>41,857</u>

Source of Non-Budgeted Revenue	Amount	Expenses through 6/30/15	Balance
Prior year carry forward	\$ 779,965	\$ -	\$ 779,965
Revenue received up to 8/8/2015	\$ 11,892	\$ -	\$ 11,892
Totals	\$ 791,857	\$ -	\$ 791,857

Amounts from Statement of Appropriations for AJU 2991 Dated 8-08-2015



State of New Hampshire FIS 15 278

DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

VICKI V. QUIRAM
Commissioner
(603) 271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603) 271-3204

November 24, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I:19-g III- Use of State-Owned Passenger Automobiles, there are 71 vehicles which fall below the break-even mileage for fiscal year 2015. Of those 71 vehicles, the impacted agencies would like to request a waiver from the Fiscal Committee for 69 of the vehicles. Upon the Committee's approval or denial of the waivers, the Department shall declare all vehicles whose waivers are denied as surplus and either sell or transfer such vehicles.

EXPLANATION

For the Committee's consideration, the Department of Administrative Services has completed an independent analysis of the 69 vehicles for which waivers have been requested. (See Attached Documentation). RSA 21-I: 19-g - Use of State-Owned Passenger Automobiles requires:

- I. The department of administrative services shall determine for each 2-year budget cycle the minimum number of miles required to justify retaining a state-owned vehicle referred to as the break-even mileage. The break-even miles shall take into account operational costs, depreciation, and mileage reimbursement rates for use of personal vehicles.
- II. The department of administrative services shall make this determination by September 1 of the first year of each biennium. The break-even mileage shall only apply to vehicles in service by an agency for an entire fiscal year.
- III. If state-owned passenger vehicles are assigned to a state agency and such vehicles are not used for travel at or above the break-even mileage requirement during such year, the director of procurement and support services shall declare them surplus and transfer or otherwise dispose of such vehicle or vehicles. An agency may within 90 days after the end of the fiscal year apply to the fiscal committee of the general court to

retain such vehicle or vehicles. If such agency presents a clear and convincing case for the continued assignment of a vehicle or vehicles to the agency, the fiscal committee may permit the agency to retain a vehicle or vehicles.

Since Fiscal Year 2010, break-even mileage actions have resulted in approximately 40 vehicles reassigned or removed from the fleet completely due to a combination of agency surrenders and denied waiver requests.

This action item details the following:

1. Executive Summary – Data summary of all state owned passenger autos, passenger autos at or below the break-even mileage, agency waivers requested and the department's recommendations for each requested waiver.
2. Exhibit A – Recommendations for Fiscal Committee on Break-Even Mileage Waiver Requests – copies of narrative recommendation by the department for each waiver request.
3. Exhibit B – Passenger Automobile Break-Even Mileage Report Fiscal Year 2015 – including a five year mileage history for all passenger automobiles in service in Fiscal Year 2015
4. Exhibit C – Agency Break-Even Mileage Waiver Requests for Passenger Automobiles

Vehicle information referenced and contained in the attachments is supported by each agency's FY 2015 Motor Vehicle Record Reports.

Respectfully submitted,



Vicki V. Quiram
Commissioner

RSA 21-I: 19 – g Break-Even Mileage Executive Summary

21-I: 19 - g requires agencies to meet a specific mileage benchmark with their passenger automobiles (PassAUTOs) or they must submit a waiver to request permission to retain the vehicle for the following fiscal year. For fiscal year 2015 (FY15), the Break-Even Mileage (BEM) benchmark was 9,109 miles.

Of the 30 agencies that are impacted by this legislation:

- 12 were exempt because they had no vehicles below 9,109 miles,
- 18 have responded with waiver requests and/or voluntary surrenders,

Within the 18 agencies there were 71 PassAUTOs that did not meet the BEM threshold. The Department of Administrative Services has received:

- 69 vehicle waivers requesting to retain vehicles
 - 65 vehicle waivers are recommended to be approved (joint recommendation from Fixed and Mobile Assets (FMA) and Business Supervisors)
 - 2 vehicle waivers are recommended to be approved with the caveat they not be replaced when they are ready for surplus.
 - 2 vehicle waivers are recommended to be approved with the caveat the agencies repurpose the vehicles internally, with assistance of DAS Fleet Management.
 - 2 vehicles are being voluntarily surrendered

See page 2 for the requested action summary.

Requested Action Summary

INFORMATIONAL

REQUESTED ACTION

Agency	Total Passenger Autos in Agency Fleet	Passenger Autos Below BEM	% of Passenger Auto Fleet Below BEM	Number of Vehicles Voluntarily Surrendered	No Vehicle Waivers Received	Request for Vehicle Waiver	Waiver Comments		FMA Recommendations
							Recommendation: Approve Waiver	Recommendation: Deny Waiver	
Adjutant General	1	1	100%	0	0	1	1	0	
Administrative Services, Department of	5	1	20%	0	0	1	1	0	
Agriculture, Department of	13	1	8%	0	0	1	1	0	
Banking Commission	2	0	0%						
Correctional Industries	0	0	0%						
Corrections	31	11	35%	0	0	11	11	0	
Cosmetology	2	0	0%						
Cultural Resources	1	0	0%						
DRED (Resources & Economic Development)	15	7	47%	1	0	6	6	0	
Education, Department of	0	0	0%						
Employment Security	0	0	0%						
Energy and Planning, Office of	1	1	100%	1	0	0	0	0	
Environmental Services	29	2	7%	0	0	2	2	0	
Fish & Game, Department of	4	4	100%	0	0	4	4	0	
Health & Human Services	44	8	18%	0	0	8	8	0	
Highway Safety	0	0	0%						
Insurance, Department of	1	1	100%	0	0	1	1	0	
Justice, Department of				0	0	2	2	0	
Labor, Department of	28	1	4%	0	0	1	1	0	
Liquor Commission	35	0	0%						
Lottery Commission	0	0	0%						
Pharmacy Board	2	0	0%						
Police Standards & Training	10	8	80%	0	0	8	8	0	
Public Utilities Commission	2	2	100%	0	0	2	2	0	
Racing and Charitable Gaming Revenue	17	0	0%						
Safety/State Police	462	13	3%	0	0	13	13	0	
Transportation	116	4	3%	0	0	4	4	0	
Veteran's Home	2	1	50%	0	0	1	1	0	
Veteran's Services	3	3	100%	0	0	3	3	0	

845

71

8%

2

0

69

69

0

2 vehicles projected for redistribution or surplus.

Exhibit A

Recommendations for Fiscal Committee on Break-Even Mileage Waiver Requests

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Waiver #1 - Adjutant General

Vehicle Information: 2304641; 2000 Ford Crown Victoria; 69,270 miles as of June 30, 2015; assigned to Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 1,075 miles

Recommendation: Recommend Approval (Agency's only PassAUTO; was approved by Fiscal after FY2010).

Waiver #2 - Administrative Services, Department of
Vehicle Information: A21; 2006 Chevrolet Malibu; 175,500 miles as of
June 30, 2015; assigned to Courts - Maintenance / Pool; garaged at the
office overnight.

Fiscal Year 2015 Mileage: 8,126 miles

Recommendation: Recommend Approval (Review after FY2016).

Waiver #3 - Agriculture, Department of

Vehicle Information: AGR89; 2007 Chevrolet Malibu; 96,342 miles as of June 30, 2015; assigned to Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 3,017 miles

Recommendation: Recommend Approval (Review after FY2016).

Waiver #4 - Corrections, Department of

Vehicle Information: COR45; 2005 Ford Taurus; 128,695 miles as of June 30, 2015; assigned to NHSP-M Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 3,052 miles

Recommendation: Recommend Approval (Specialty Use: Perimeter Patrol)

.....

Vehicle Information: COR91; 2006 Chevrolet Impala LS; 96,429 miles as of June 30, 2015; assigned to Field Svs-Nashua; garaged at the office overnight.

Fiscal Year 2015 Mileage: 5,547 miles

Recommendation: Recommend Approval (Specialty Use: Investigations).

.....

Vehicle Information: COR2; 2006 Chevrolet Impala LS; 82,905 miles as of June 30, 2015; assigned to Field Svs-Dover; garaged at the office overnight.

Fiscal Year 2015 Mileage: 7,713 miles

Recommendation: Recommend Approval (Specialty Use: Investigations).

.....

Vehicle Information: COR68; 2006 Chevrolet Impala LS; 162,699 miles as of June 30, 2015; assigned to Field Svs-Dover; garaged at the office overnight.

Fiscal Year 2015 Mileage: 7,130 miles

Recommendation: Recommend Approval (Specialty Use: Investigations).

.....

Vehicle Information: COR85; 2006 Chevrolet Impala LS; 162,383 miles as of June 30, 2015; assigned to NHSP-M Security; garaged at the office overnight.

Fiscal Year 2015 Mileage: 8,482 miles

Recommendation: Recommend Approval (Specialty Use: Perimeter Patrol).
.....

Vehicle Information: COR39; 2006 Chevrolet Impala LS; 112,865 miles as of June 30, 2015; assigned to Field Svs-Berlin; garaged at the office overnight.

Fiscal Year 2015 Mileage: 6,128 miles

Recommendation: Recommend Approval (Specialty Use: Investigations).
.....

Vehicle Information: COR44; 2007 Chevrolet Impala; 59,642 miles as of June 30, 2015; assigned to Field Svs-Manchester; garaged at the office overnight.

Fiscal Year 2015 Mileage: 2,532 miles

Recommendation: Recommend Approval (work with agency to reallocate and monitor in FY2016).
.....

Vehicle Information: COR4; 2007 Chevrolet Impala; 83,933 miles as of June 30, 2015; assigned to Field Svs-Laconia; garaged at the office overnight.

Fiscal Year 2015 Mileage: 8,492 miles

Recommendation: Recommend Approval (Specialty Use: Investigations).
.....

Vehicle Information: COR74; 2007 Chevrolet Impala; 54,053 miles as of June 30, 2015; assigned to Field Svs-Manchester; garaged at the office overnight.

Fiscal Year 2015 Mileage: 6,016 miles

Recommendation: Recommend Approval (Specialty Use: Investigations).
.....

Vehicle Information: COR29; 2008 Chevrolet Impala; 67,493 miles as of June 30, 2015; assigned to Field Svs-Nashua; garaged at the office overnight.

Fiscal Year 2015 Mileage: 5,886 miles

Recommendation: Recommend Approval (Specialty Use: Investigations).

Vehicle Information: COR7; 2011 Chevrolet Impala; 36,667 miles as of June 30, 2015; assigned to Field Svs-Manchester; garaged at the office overnight.

Fiscal Year 2015 Mileage: 7,366 miles

Recommendation: Recommend Approval (Specialty Use: Investigations).

Waiver #5 - DRED (Resources & Economic Development)

Vehicle Information: D2; 2008 Ford Fusion I4; 88,742 miles as of June 30, 2015; assigned to Bryce, Philip; garaged at the office overnight.

Fiscal Year 2015 Mileage: 7,490 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: D4; 2008 Chevrolet Impala LS; 65,163 miles as of June 30, 2015; assigned to DED Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 5,627 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: D478; 2006 Chevrolet Malibu LT; 104,826 miles as of June 30, 2015; assigned to DED Office Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 6,197 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: D401; 2005 Chevrolet Impala; 132,920 miles as of June 30, 2015; assigned to Harrington, Cynthia; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 8,689 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: D402; 2005 Chevrolet Impala; 161,204 miles as of June 30, 2015; assigned to DED Office Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 5,429 miles

Recommendation: Voluntary Surrender.

Vehicle Information: D403; 2006 Chevrolet Impala LS; 195,291 miles as of June 30, 2015; assigned to Rolfe, Scott; garaged at the office overnight.

Fiscal Year 2015 Mileage: 4,921 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: D500; 2008 Ford Fusion I4; 68,963 miles as of June 30, 2015; assigned to Travel & Tourism Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 6,206 miles

Recommendation: Recommend Approval (Review after FY2016).

Waiver #6 - Energy and Planning, Office of

Vehicle Information: M83; 2003 Ford Taurus; 164,617 miles as of June 30, 2015; assigned to OEP / Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 4,756 miles

Recommendation: Voluntary Surrender.

Waiver #7 - Environmental Services, Department of

Vehicle Information: ES179; 2001 Honda Insight(Hybrid); 169,273 miles as of June 30, 2015; assigned to Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 8,201 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: ES40; 2004 Chevrolet Impala; 144,380 miles as of June 30, 2015; assigned to Water Division; garaged at the office overnight.

Fiscal Year 2015 Mileage: 7,680 miles

Recommendation: Recommend Approval (Review after FY2016).

Waiver #8 - Fish & Game, Department of

Vehicle Information: F1; 2006 Chevrolet IMPALA; 135,941 miles as of June 30, 2015; assigned to SPARE; garaged at the office overnight.

Fiscal Year 2015 Mileage: 1,630 miles

Recommendation: Recommend Approval (Vehicle is fully depreciated; operate until surplus but do not replace).

Vehicle Information: F8; 2006 Ford FOCUS WAGON; 80,813 miles as of June 30, 2015; assigned to POOL; garaged at the office overnight.

Fiscal Year 2015 Mileage: 5,221 miles

Recommendation: Recommend Approval (Recommend sharing across divisions).

Vehicle Information: F9; 2006 Ford FOCUS WAGON; 74,889 miles as of June 30, 2015; assigned to POOL; garaged at the office overnight.

Fiscal Year 2015 Mileage: 3,442 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: F78; 2003 Chevrolet IMPALA; 140,441 miles as of June 30, 2015; assigned to SPARE; garaged at the office overnight.

Fiscal Year 2015 Mileage: 4,533 miles

Recommendation: Recommend Approval (Review after FY2016).

Waiver #9 - Health and Human Services

Vehicle Information: Y18; 2000 Chevrolet Cavalier; 175,669 miles as of June 30, 2015; assigned to DJJS / Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 2,572 miles

Recommendation: Recommend Approval (Agency reassignment).
.....

Vehicle Information: Y16; 2000 Chevrolet Cavalier; 143,711 miles as of June 30, 2015; assigned to DJJS / Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 3,800 miles

Recommendation: Recommend Approval (Agency reassignment).
.....

Vehicle Information: Y12; 2001 Chevrolet Cavalier; 157,817 miles as of June 30, 2015; assigned to DJJS / Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 4,267 miles

Recommendation: Recommend Approval (specialty use: resident transport).
.....

Vehicle Information: Y14; 2001 Chevrolet Cavalier; 135,264 miles as of June 30, 2015; assigned to DJJS / Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 3,941 miles

Recommendation: Recommend Approval (specialty use: resident transport).
.....

Vehicle Information: P189; 2002 Chevrolet Impala; 213,993 miles as of June 30, 2015; assigned to DJJS / Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 4,465 miles

Recommendation: Recommend Approval (specialty use: resident transport).
.....

Vehicle Information: Y15; 2003 Chevrolet Impala; 203,276 miles as of June 30, 2015; assigned to DJJS / Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 6,935 miles

Recommendation: Recommend Approval (specialty use: resident transport).
.....

Vehicle Information: P158; 2003 Chevrolet Malibu; 139,331 miles as of June 30, 2015; assigned to Behavioral Health / Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 4,141 miles

Recommendation: Recommend Approval (specialty use: resident transport).
.....

Vehicle Information: P65; 2003 Ford Crown Victoria; 127,128 miles as of June 30, 2015; assigned to DJJS / Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 5,651 miles

Recommendation: Recommend Approval (specialty use: resident transport).
.....

Waiver #10 - Insurance, Department of

Vehicle Information: 2648647; 2011 Ford Fusion; 20,241 miles as of June 30, 2015; assigned to Admin / Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 1,797 miles

Recommendation: Recommend Approval (Vehicle is fully depreciated; operate until surplus but do not replace).

Waiver #11 - Justice, Department of

Vehicle Information: UND; [REDACTED]; 93,854 miles as of June 30, 2015; assigned to Undercover; garaged at [REDACTED] overnight.

Fiscal Year 2015 Mileage: 7,298 miles

Recommendation: Recommend Approval (special use: investigations).
.....

Vehicle Information: UND; [REDACTED]; 84,568 miles as of June 30, 2015; assigned to Undercover; garaged at [REDACTED] overnight.

Fiscal Year 2015 Mileage: 4,774 miles

Recommendation: Recommend Approval (special use: investigations).
.....

Waiver #12 - Labor, Department of

Vehicle Information: LA-16; 2008 Ford Fusion; 91,347 miles as of June 30, 2015; assigned to Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 7,612 miles

Recommendation: Recommend Approval (Review after FY2016).

Waiver #13 - Police Standards & Training Council

Vehicle Information: PS56; 1999 Ford Crown Victoria; 67,363 miles as of June 30, 2015; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2015 Mileage: 1 miles

Recommendation: Recommend Approval (Specialty Use: Training vehicle).

.....

Vehicle Information: PS69; 2005 Chevrolet Impala; 20,410 miles as of June 30, 2015; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2015 Mileage: 403 miles

Recommendation: Recommend Approval (Specialty Use: Training vehicle).

.....

Vehicle Information: PS70; 2006 Chevrolet Impala; 50,553 miles as of June 30, 2015; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2015 Mileage: 144 miles

Recommendation: Recommend Approval (Specialty Use: Training vehicle).

.....

Vehicle Information: PS59; 2008 Dodge Charger; 61,780 miles as of June 30, 2015; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2015 Mileage: 660 miles

Recommendation: Recommend Approval (Specialty Use: Training vehicle).

.....

Vehicle Information: PS10; 2010 Chevrolet Impala; 20,425 miles as of June 30, 2015; assigned to Pool - PSTC; garaged at the office overnight.

Fiscal Year 2015 Mileage: 3,893 miles

Recommendation: Recommend Approval (Specialty Use: Training vehicle).

.....

Vehicle Information: PS55; 2012 Ford Interceptor - Sedan; 5,887 miles as of June 30, 2015; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2015 Mileage: 1,176 miles

Recommendation: Recommend Approval (Specialty Use: Training vehicle).

.....

Vehicle Information: PS57; 2013 Ford Interceptor; 5,474 miles as of June 30, 2015; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2015 Mileage: 1,243 miles

Recommendation: Recommend Approval (Specialty Use: Training vehicle).

.....

Vehicle Information: PS64; 2014 Ford Interceptor - Utility; 1,583 miles as of June 30, 2015; assigned to Trng - PSTC; garaged at the office overnight.

Fiscal Year 2015 Mileage: 1,234 miles

Recommendation: Recommend Approval (Specialty Use: Training vehicle).

.....

Waiver #14 - Public Utilities Commission

Vehicle Information: M10; 2010 Chevrolet Cobalt; 65,285 miles as of June 30, 2015; assigned to PUC / Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 8,847 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: M73; 2011 Chevrolet Malibu; 41,066 miles as of June 30, 2015; assigned to PUC / Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 9,068 miles

Recommendation: Recommend Approval (Review after FY2016).

Waiver #15 - Safety, Department of

Vehicle Information: 4158; 2004 FORD CROWN VICTORIA; 139,517 miles as of June 30, 2015; assigned to CAMPUS POLICE; garaged at the office overnight.

Fiscal Year 2015 Mileage: 2,075 miles

Recommendation: Recommend Approval (Review after FY2016).
.....

Vehicle Information: 4275; 2005 FORD CROWN VICTORIA; 104,143 miles as of June 30, 2015; assigned to HQ SPARE; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 5,682 miles

Recommendation: Recommend Approval (Work with agency to reallocate and monitor in FY2016).
.....

Vehicle Information: 4482; 2007 CHEVROLET IMPALA; 80,520 miles as of June 30, 2015; assigned to HQ SPARE; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 6,770 miles

Recommendation: Recommend Approval (Review after FY2016).
.....

Vehicle Information: 4506; 2007 DODGE CHARGER; 112,567 miles as of June 30, 2015; assigned to PARKER, BRIAN; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 8,280 miles

Recommendation: Recommend Approval (Review after FY2016).
.....

Vehicle Information: 4510; 2007 DODGE CHARGER; 175,106 miles as of June 30, 2015; assigned to TROOP E SPARE; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 7,626 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: 4530; 2007 DODGE CHARGER; 132,925 miles as of June 30, 2015; assigned to ELLIS, SCOTT; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 8,240 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: 4670; 2009 DODGE CHARGER; 56,101 miles as of June 30, 2015; assigned to CONTE, RUSS; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 7,217 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: 4675; 2010 DODGE CHARGER; 43,584 miles as of June 30, 2015; assigned to HENNESSY, PATRICK; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 7,239 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: 4688; 2010 DODGE CHARGER; 45,522 miles as of June 30, 2015; assigned to GRAHAM, WM; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 8,956 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: 4708; 2010 DODGE CHARGER; 69,103 miles as of June 30, 2015; assigned to MARINE PATROL; garaged at the office overnight.

Fiscal Year 2015 Mileage: 2,252 miles

Recommendation: Recommend Approval (Specialty use: prisoner transportation).

Vehicle Information: 4753; 2010 DODGE CHARGER; 49,623 miles as of June 30, 2015; assigned to DITOLLA, GERARD; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 8,583 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: 4859; 2012 DODGE CHARGER; 14,993 miles as of June 30, 2015; assigned to ARMAGANIAN, NICOLE; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 6,286 miles

Recommendation: Recommend Approval (Review after FY2016).

Vehicle Information: 4930; 2013 DODGE CHARGER; 5,721 miles as of June 30, 2015; assigned to ARMAGANIAN, MARK; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 5463 miles

Recommendation: Recommend Approval (Review after FY2016).

Waiver #16 - Transportation

Vehicle Information: H00170; 2006 CHEVROLET MALIBU; 195,888 miles as of June 30, 2015; assigned to BUREAU 16 POOL VEHICLE; garaged at the office overnight.

Fiscal Year 2015 Mileage: 7,459 miles

Recommendation: Recommend Approval (Review after FY2016; agency should consider replacement).

.....

Vehicle Information: H00206; 2007 CHEVROLET MALIBU; 123,541 miles as of June 30, 2015; assigned to BUREAU 34 POOL VEHICLE; garaged at the office overnight.

Fiscal Year 2015 Mileage: 7,633 miles

Recommendation: Recommend Approval (Review after FY2016; agency should consider replacement).

.....

Vehicle Information: H00066; 2011 FORD FUSION; 32,921 miles as of June 30, 2015; assigned to LOUIS BARKER; garaged at the office overnight.

Fiscal Year 2015 Mileage: 7,269 miles

Recommendation: Recommend Approval (Review after FY2016).

.....

Vehicle Information: H00084; 2011 TOYOTA MATRIX; 43,108 miles as of June 30, 2015; assigned to BUREAU 10 POOL VEHICLE; garaged at the office overnight.

Fiscal Year 2015 Mileage: 8,755 miles

Recommendation: Recommend Approval (Review after FY2016).

.....

Waiver #17 - Veterans Home

Vehicle Information: M76; 2003 Ford Crown Victoria; 219,154 miles as of June 30, 2015; assigned to Veterans Home / Pool; garaged at the office overnight.

Fiscal Year 2015 Mileage: 6,810 miles

Recommendation: Recommend Approval (specialty use: resident transport; agency should consider replacement).

Waiver #18 - Veterans Services

Vehicle Information: M124; 2006 Chevrolet Malibu; 95,258 miles as of June 30, 2015; assigned to Higginbotham, Carter; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 4,902 miles

Recommendation: Recommend Approval (Review after FY2016; work with Fleet Management to examine utilization).

.....

Vehicle Information: M125; 2007 Chevrolet Cobalt; 137,058 miles as of June 30, 2015; assigned to Toney, Brian; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 7,868 miles

Recommendation: Recommend Approval (Review after FY2016; work with Fleet Management to examine utilization).

.....

Vehicle Information: M80; 2014 Ford Focus; 6,236 miles as of June 30, 2015; assigned to Kyle Cunha; garaged at a residence overnight.

Fiscal Year 2015 Mileage: 6,097 miles

Recommendation: Recommend Approval (Review after FY2016; work with Fleet Management to examine utilization).

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Exhibit B

Fiscal Year 2015 Passenger Automobile Break-Even Mileage Report

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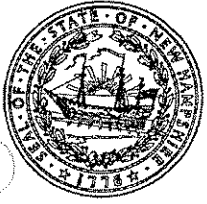
Agency Name	Fleet Unit Subtype	Model Year	Make	Model	Current Odometer	Plate or ID	Person Assigned	FY2015 Mileage	FY2014 Mileage	FY2013 Mileage	FY2012 Mileage	FY2011 Mileage	5 Year Average	F&MA Recommendations	F&MA Comments
Adjutant General	PassAUTO	2000	Ford	Crown Victoria	69,270	2304641	Pool	1,075	3,452	5,621	4,884	4,819	3,970	Recommend Approval	Agency's only PassAUTO; was approved by Fiscal after FY2010
Adjutant General								1							
Administrative Services, Department of	PassAUTO	2006	Chevrolet	Malibu	175,500	A21	Courts - Maintenance / Pool	8,126	6,461	15,567	20,129	20,913	14,239	Recommend Approval	Review after FY2016
Administrative Services, Department of								1							
Agriculture, Department of	PassAUTO	2007	Chevrolet	Malibu	96,342	AGR89	Pool	3,017	10,568	13,857	11,312	13,442	10,439	Recommend Approval	Review after FY2016
Agriculture, Department of								1							
Corrections, Department of	PassAUTO	2005	Ford	Taurus	128,695	COR45	NHSP-M Pool	3,052	2,453				2,753	Recommend Approval	Specialty Use: Perimeter Patrol
Corrections, Department of	PassAUTO	2006	Chevrolet	Impala LS	96,429	COR91	Field Svs-Nashua	5,547	5,408	7,545	8,273	10,860	7,527	Recommend Approval	Specialty Use: Investigations
Corrections, Department of	PassAUTO	2006	Chevrolet	Impala LS	82,905	COR2	Field Svs-Dover	7,713	7,672	5,902	8,858	10,981	8,225	Recommend Approval	Specialty Use: Investigations
Corrections, Department of	PassAUTO	2006	Chevrolet	Impala LS	162,699	COR68	Field Svs-Dover	7,130	6,741	9,128	6,905	9,711	7,923	Recommend Approval	Specialty Use: Investigations
Corrections, Department of	PassAUTO	2006	Chevrolet	Impala LS	162,383	COR85	NHSP-M Security	8,482	15,633	15,946	20,730	20,141	16,186	Recommend Approval	Specialty Use: Perimeter Patrol
Corrections, Department of	PassAUTO	2006	Chevrolet	Impala LS	112,856	COR39	Field Svs-Berlin	6,128	4,647	8,182	8,206	11,103	7,653	Recommend Approval	Specialty Use: Investigations
Corrections, Department of	PassAUTO	2007	Chevrolet	Impala	59,642	COR44	Field Svs-Manchester	2,532	2,907	3,010	6,970	10,869	5,258	Recommend Approval	Work with agency to reallocate and monitor in FY2016
Corrections, Department of	PassAUTO	2007	Chevrolet	Impala	83,933	COR4	Field Svs-Laonia	8,492	10,974	8,663	12,289	10,597	10,203	Recommend Approval	Specialty Use: Investigations
Corrections, Department of	PassAUTO	2007	Chevrolet	Impala	54,053	COR74	Field Svs-Manchester	6,016	6,633	6,537	6,051	7,077	6,463	Recommend Approval	Specialty Use: Investigations
Corrections, Department of	PassAUTO	2008	Chevrolet	Impala	67,493	COR29	Field Svs-Nashua	5,886	6,222	8,750	9,569	8,471	7,780	Recommend Approval	Specialty Use: Investigations
Corrections, Department of	PassAUTO	2011	Chevrolet	Impala	36,667	COR7	Field Svs-Manchester	7,366	8,730	7,559	10,475	2,454	7,317	Recommend Approval	Specialty Use: Investigations
Corrections, Department of								11							
DRED (Resources & Economic Development)	PassAUTO	2008	Ford	Fusion I4	88,742	D2	Bryce, Philip	7,490	14,047	9,939	14,010	11,457	11,389	Recommend Approval	Review after FY2016
DRED (Resources & Economic Development)	PassAUTO	2008	Chevrolet	Impala LS	65,163	D4	DED Pool	5,627	7,202	6,966	9,813	11,291	8,180	Recommend Approval	Review after FY2016
DRED (Resources & Economic Development)	PassAUTO	2006	Chevrolet	Malibu LT	104,826	D478	DED Office Pool	6,197	9,960	10,883	13,243	11,530	10,363	Recommend Approval	Review after FY2016
DRED (Resources & Economic Development)	PassAUTO	2005	Chevrolet	Impala	132,920	D401	Harrington, Cynthia	8,689	9,356	9,401	9,141	10,452	9,408	Recommend Approval	Review after FY2016
DRED (Resources & Economic Development)	PassAUTO	2005	Chevrolet	Impala	161,204	D402	DED Office Pool	5,429	7,439	10,169	12,023	20,092	11,030	Voluntary Surrender	
DRED (Resources & Economic Development)	PassAUTO	2006	Chevrolet	Impala LS	195,291	D403	Rolfe, Scott	4,921	10,473	10,526	23,701	25,980	15,120	Recommend Approval	Review after FY2016
DRED (Resources & Economic Development)	PassAUTO	2008	Ford	Fusion I4	68,963	D500	Travel & Tourism Pool	6,206	9,954	9,669	14,329	7,650	9,562	Recommend Approval	Review after FY2016
DRED (Resources & Economic Development)								7							
Energy and Planning, Office of	PassAUTO	2003	Ford	Taurus	164,617	M83	OEP / Pool	4,756	3,392	4,595	9,380	14,227	7,270	Voluntary Surrender	
Energy and Planning, Office of								1							
Environmental Services, Department of	PassAUTO	2001	Honda	Insight(Hybrid)	169,273	ES179	Pool	8,201	11,072	10,049	7,957	10,351	9,526	Recommend Approval	Review after FY2016
Environmental Services, Department of	PassAUTO	2004	Chevrolet	Impala	144,380	ES40	Water Division	7,680	11,740	10,298	9,003	12,373	10,219	Recommend Approval	Review after FY2016
Environmental Services, Department of								2							
Fish & Game, Department of	PassAUTO	2006	Chevrolet	IMPALA	135,941	F1	SPARE	1,630	5,439	5,143	12,662	22,405	9,456	Recommend Approval	Vehicle is fully depreciated; operate until surplus but do not replace
Fish & Game, Department of	PassAUTO	2006	Ford	FOCUS WAGON	80,813	F8	POOL	5,221	7,021	4,818	10,294	8,923	7,255	Recommend Approval	Review after FY2016; recommend sharing across divisions
Fish & Game, Department of	PassAUTO	2006	Ford	FOCUS WAGON	74,889	F9	POOL	3,442	4,009	4,661	10,956	10,753	6,764	Recommend Approval	Review after FY2016
Fish & Game, Department of	PassAUTO	2003	Chevrolet	IMPALA	140,441	F78	SPARE	4,533	4,000	12,009	10,135	8,044	7,744	Recommend Approval	Review after FY2016
Fish & Game, Department of								4							
Health and Human Services	PassAUTO	2000	Chevrolet	Cavalier	175,669	Y18	DJJS / Pool	2,572	5,293	7,568	7,702	5,205	5,668	Recommend Approval	Agency reassignment
Health and Human Services	PassAUTO	2000	Chevrolet	Cavalier	143,711	Y16	DJJS / Pool	3,800	5,487	13,814	6,238	4,531	6,774	Recommend Approval	Agency reassignment
Health and Human Services	PassAUTO	2001	Chevrolet	Cavalier	157,817	Y12	DJJS / Pool	4,267	5,866	7,180	6,908	6,751	6,194	Recommend Approval	specialty use: resident transport
Health and Human Services	PassAUTO	2001	Chevrolet	Cavalier	135,264	Y14	DJJS / Pool	3,941	4,743	8,076	10,253	13,940	8,191	Recommend Approval	specialty use: resident transport
Health and Human Services	PassAUTO	2002	Chevrolet	Impala	213,993	P189	DJJS / Pool	4,465	2,839	7,250	8,905	15,926	7,877	Recommend Approval	specialty use: resident transport
Health and Human Services	PassAUTO	2003	Chevrolet	Impala	203,276	Y15	DJJS / Pool	6,935	9,507	9,010	13,476	15,422	10,870	Recommend Approval	specialty use: resident transport
Health and Human Services	PassAUTO	2003	Chevrolet	Malibu	139,331	P158	Behavioral Health / Pool	4,141	3,245	10,489	9,331	11,274	7,696	Recommend Approval	specialty use: resident transport
Health and Human Services	PassAUTO	2003	Ford	Crown Victoria	127,128	P65	DJJS / Pool	5,651	751				3,201	Recommend Approval	specialty use: resident transport
Health and Human Services								8							
Insurance, Department of	PassAUTO	2011	Ford	Fusion	20,241	2648647	Admin / Pool	1,797	6,536	2,632			4,167	Recommend Approval	Vehicle is fully depreciated; operate until surplus but do not replace
Insurance, Department of								1							
Justice, Department of	PassAUTO					UND	Undercover	7,298	9,322	10,403	15,939	15,090	11,610	Recommend Approval	special use: investigations
Justice, Department of	PassAUTO					UND	Undercover	4,774	5,457	15,061	17,939	18,024	12,251	Recommend Approval	special use: investigations
Justice, Department of								2							
Labor, Department of	PassAUTO	2008	Ford	Fusion	91,347	LA-16	Pool	7,612	13,037	14,498	15,090	10,709	12,189	Recommend Approval	Review after FY2016
Labor, Department of								1							
Police Standards & Training Council	PassAUTO	1999	Ford	Crown Victoria	67,363	PS56	Trng - PSTC	1	252	434	24	374	217	Recommend Approval	Specialty Use: Training vehicle
Police Standards & Training Council	PassAUTO	2005	Chevrolet	Impala	20,410	PS69	Trng - PSTC	403	372	569	515	938	559	Recommend Approval	Specialty Use: Training vehicle
Police Standards & Training Council	PassAUTO	2006	Chevrolet	Impala	50,553	PS70	Trng - PSTC	144	217	452	421	2,034	654	Recommend Approval	Specialty Use: Training vehicle
Police Standards & Training Council	PassAUTO	2008	Dodge	Charger	61,780	PS59	Trng - PSTC	660	638	661	1,009	12,306	3,055	Recommend Approval	Specialty Use: Training vehicle
Police Standards & Training Council	PassAUTO	2010	Chevrolet	Impala	22,425	PS10	Pool - PSTC	3,893	2,934	3,654	5,332	5,180	4,199	Recommend Approval	Specialty Use: Training vehicle
Police Standards & Training Council	PassAUTO	2012	Ford	Interceptor - Sedan	5,887	PS55	Trng - PSTC	1,176	866	1,320	2,517		1,470	Recommend Approval	Specialty Use: Training vehicle
Police Standards & Training Council	PassAUTO	2013	Ford	Interceptor	5,474	PS57	Trng - PSTC	1,243	1,274	2,949			1,822	Recommend Approval	Specialty Use: Training vehicle
Police Standards & Training Council	PassAUTO	2014	Ford	Interceptor - Utility	1,583	PS64	Trng - PSTC	1,234	349				792	Recommend Approval	Specialty Use: Training vehicle
Police Standards & Training Council								8							
Public Utilities Commission	PassAUTO	2010	Chevrolet	Cobalt	65,285	M10	PUC / Pool	8,847	11,632	13,904	10,946	11,848	11,435	Recommend Approval	Review after FY2016
Public Utilities Commission	PassAUTO	2011	Chevrolet	Malibu	41,066	M73	PUC / Pool	9,068	9,152	11,025	11,775	0	8,204	Recommend Approval	Review after FY2016
Public Utilities Commission								2							
Safety, Department of	PassAUTO	2004	FORD	CROWN VICTORIA	139,517	4158	CAMPUS POLICE	2,075	4,228	3,432	11,706	21,098	8,508	Recommend Approval	Review after FY2016
State Police, Division of	PassAUTO	2005	FORD	CROWN VICTORIA	104,143	4275	HQ SPARE	5,682	4,641	10,222	10,224	15,691	9,292	Recommend Approval	Work with agency to reallocate and monitor in FY2016
Safety, Department of	PassAUTO	2007	CHEVROLET	IMPALA	80,520	4482	HQ SPARE	6,770	10,420	11,296	16,588	13,914	11,798	Recommend Approval	Review after FY2016
State Police, Division of	PassAUTO	2007	DODGE	CHARGER	112,567	4506	PARKER, BRIAN	8,280	12,972	14,558	15,098	19,053	13,992	Recommend Approval	Review after FY2016
State Police, Division of	PassAUTO	2007	DODGE	CHARGER	175,106	4510	TROOP E SPARE	7,626	8,112	28,444	36,327	34,754	23,053	Recommend Approval	Review after FY2016

Agency Name	Fleet Unit Subtype	Model Year	Make	Model	Current Odometer	Plate or ID	Person Assigned	FY2015 Mileage	FY2014 Mileage	FY2013 Mileage	FY2012 Mileage	FY2011 Mileage	5 Year Average	F&MA Recommendations	F&MA Comments
State Police, Division of	PassAUTO	2007	DODGE	CHARGER	132,925	4530	ELLIS, SCOTT	8,240	9,898	20,847	20,749	25,223	16,991	Recommend Approval	Review after FY2016
State Police, Division of	PassAUTO	2009	DODGE	CHARGER	56,101	4670	CONTE, RUSS	7,217	9,139	12,170	13,346	14,219	11,218	Recommend Approval	Review after FY2016
State Police, Division of	PassAUTO	2010	DODGE	CHARGER	43,584	4675	HENNESSY, PATRICK	7,239	8,848	20,433	7,014	0	8,707	Recommend Approval	Review after FY2016
State Police, Division of	PassAUTO	2010	DODGE	CHARGER	45,522	4688	GRAHAM, WM	8,956	9,017	13,084	13,070	1,199	9,065	Recommend Approval	Review after FY2016
State Police, Division of	PassAUTO	2010	DODGE	CHARGER	69,103	4708	MARINE PATROL	2,252	3,180	17,325	26,153	20,143	13,811	Recommend Approval	Specialty use: prisoner transportation
State Police, Division of	PassAUTO	2010	DODGE	CHARGER	49,623	4753	DITOLLA, GERARD	8,583	11,205	17,084	12,701	0	9,915	Recommend Approval	Review after FY2016
State Police, Division of	PassAUTO	2012	DODGE	CHARGER	14,993	4859	ARMAGANIAN, NICOLE	6,286	7,560	1,142	0		3,747	Recommend Approval	Review after FY2016
State Police, Division of	PassAUTO	2013	DODGE	CHARGER	5,721	4930	ARMAGANIAN, MARK	5,463	213	0			1,892	Recommend Approval	Review after FY2016
Safety / State Police								13							
Transportation	PassAUTO	2006	CHEVROLET	MALIBU	195,888	H00170	BUREAU 16 POOL VEHICLE	7,459	5,325	17,738	29,754	29,362	17,928	Recommend Approval	Review after FY2016; agency should consider replacement
Transportation	PassAUTO	2007	CHEVROLET	MALIBU	123,541	H00206	BUREAU 34 POOL VEHICLE	7,633	14,069	13,579	17,824	14,642	13,549	Recommend Approval	Review after FY2016; agency should consider replacement
Transportation	PassAUTO	2011	FORD	FUSION	32,921	H00066	LOUIS BARKER	7,269	8,853	9,555	7,195	0	6,574	Recommend Approval	Review after FY2016
Transportation	PassAUTO	2011	TOYOTA	MATRIX	43,108	H00084	BUREAU 10 POOL VEHICLE	8,755	8,468	13,914	11,932		10,767	Recommend Approval	Review after FY2016
Transportation								4							
Veterans Home	PassAUTO	2003	Ford	Crown Victoria	219,154	M76	Veterans Home / Pool	6,810	6,908	7,261	19,015	15,388	11,076	Recommend Approval	specialty use: resident transport; agency should consider replacement
Veterans Home								1							
Veterans Services	PassAUTO	2006	Chevrolet	Malibu	95,258	M124	Higginbotham, Carter	4,902	6,673	6,677	7,390	8,581	6,845	Recommend Approval	Review after FY2016; work with Fleet Management to examine utilization
Veterans Services	PassAUTO	2007	Chevrolet	Cobalt	137,058	M125	Toney, Brian	7,868	7,933	13,930	16,987	16,100	12,564	Recommend Approval	Review after FY2016; work with Fleet Management to examine utilization
Veterans Services	PassAUTO	2014	Ford	Focus	6,236	M80	Kyle Cunha	6,097	82				3,090	Recommend Approval	Review after FY2016; work with Fleet Management to examine utilization
Veterans Services								3							

Exhibit C

Fiscal Year 2015 Agency Waiver Requests

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STATE OF NEW HAMPSHIRE
THE ADJUTANT GENERAL'S DEPARTMENT

BUSINESS ADMINISTRATION
STATE MILITARY RESERVATION
4 PEMBROKE ROAD
CONCORD, NEW HAMPSHIRE 03301-5652

William N. Reddel, III, Major General
The Adjutant General

Stephanie L. Milender
Administrator

Phone: 603-225-1360
Fax: 603-225-1341
TDD Access: 1-800-735-2964

August 17, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-1: 19-g, authorization is requested for the Adjutant General's Department to retain vehicle number 2304641 which has traveled less than the required break-even mileage (9,109) during Fiscal Year 2015.

EXPLANATION

Vehicle number 2304641, a 2000 Ford Crown Victoria was driven 1,075 miles for use as a liaison vehicle to conduct official travel to and from our seventeen (17) state facilities, other state and federal agencies, National Guard headquarters throughout New England, and in-state and out-of-state National Guard training sites. Other department personnel also use this vehicle for official travel within and out-of-state.

The Adjutant General must be able to conduct business in the State of New Hampshire, particularly in state emergencies and have a state vehicle available. Although the department did not exceed the 9,109 break-even mileage, it does not negate the need for the department to have this sedan in its inventory for use in official travel and state emergencies. The department has consistently received a waiver in prior years to retain this vehicle. The cost to operate this vehicle in Fiscal Year 2015 was only sixty cents (\$0.60) per mile.

Respectfully submitted,

William N. Reddel III
Major General, NH National Guard
The Adjutant General



State of New Hampshire
DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

VICKI V. QUIRAM
Commissioner
(603)-271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603)-271-3204

August 18, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-l: 19-g, authorization is requested for the Department of Administrative Services, Bureau of Court Facilities to retain vehicle A21, which traveled less than the required break-even mileage of (9,109 miles) during fiscal year 2015.

EXPLANATION

A21, a 2006, Chevrolet, Malibu was driven 8,126 miles for the business purpose of travelling to courthouses throughout the state. The mileage was 983 miles less than the required 9,109 miles because the Bureau of Court Facilities had a vacant position for the majority of FY 2015, leaving the car under-utilized and the mileage artificially low. In addition, the Bureau of Court Facilities recently implemented a reorganization plan which will require more staff travel to the various courthouses and an increased use of A-21. As evident by the FY 2015 Fleet Report, there was a significant uptick in mileage in the 4th Quarter of 2015. The Bureau of Court Facilities expects this mileage increase of A-21 to be the future norm. If the waiver were not granted, then BCF would be required to utilize in-state travel reimbursement payments which exceed the current operational cost of A21.

Respectfully submitted,

A handwritten signature in cursive script that reads "Vicki V. Quiram".

Vicki V. Quiram
Commissioner

New Hampshire

Department of Agriculture,
Markets & Food

Lorraine S. Merrill, Commissioner

August 14, 2015

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-i: 19-g, authorization is requested for the Department of Agriculture, Markets & Food, Office of Commissioner to retain vehicle's AGR 89 which traveled less than the required break-even mileage (9,109 miles) during fiscal year 2015.

EXPLANATION

AGR 89, 2007 Chevy Malibu was driven 3,017 miles for the business purposes of attending meetings, conferences, and workshops and to complete field work as needed. This vehicle has low mileage as it was a pooled car for the first half of FY 2015; it was issued in QTR 3 to a driver that will be using it for substantial field work. This vehicle is issued to a regular driver and will have mileage on it for FY 2016.

Respectfully submitted,



Beth A. Kiley
Administrative Assistant

Approved by:



Lorraine S. Merrill
Commissioner

EJm



STATE OF NEW HAMPSHIRE
 DEPARTMENT OF CORRECTIONS
 OFFICE OF THE COMMISSIONER
 P.O. BOX 1806
 CONCORD, NH 03302-1806
 603-271-5610 FAX: 603-271-5643
 TDD Access: 1-800-735-2964

William L. Wrenn
 Commissioner

Christopher H. Kench
 Director of Security &
 Training

September 22, 2015

The Honorable Neal Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g, authorization is requested for the Department of Corrections to retain vehicle's COR2, COR4, COR7, COR29, COR39, COR44, COR45, COR68, COR74, COR85 and COR91 which traveled less than the required break-even mileage (9101 miles) during fiscal year 2015.

COR2, a 2006 Chevy Impala was driven **7713** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Manchester District Office and being used predominately in the local area. This vehicle is one of three vehicles shared by twelve probation and parole officers and support staff. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Dover.

COR4, a 2007 Chevy Impala was driven **8492** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Manchester District Office and being used predominately in the local area. This vehicle is one of three vehicles shared by twelve probation and parole officers and support staff. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Laconia.

COR7, a 2011 Chevy Impala was driven **7366** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job

checks of offenders, attending meetings and attending training. The vehicle is assigned to the Manchester District Office and being used predominately in the local area. This vehicle is one of three vehicles shared by twelve probation and parole officers and support staff. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Manchester.

COR29, a 2008 Chevy Impala was driven **5886** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Nashua District Office and being used predominately in the local area. This vehicle is one of two vehicles shared by six probation and parole officers and support staff. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Nashua.

COR39, a 2006 Chevy Impala was driven **6128** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Berlin District Office and being used predominately in the local area. This vehicle is the only vehicle assigned to that office and is shared by three probation and parole officers and support staff. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Berlin.

COR44, a 2007 Chevy Impala was driven **2532** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Manchester District Office and being used predominately in the local area. This vehicle is one of three vehicles shared by twelve probation and parole officers and support staff. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Manchester.

COR45, a 2005 Ford Taurus assigned to the NH State Prison for Men was driven **3052** miles for the purpose of providing roving patrol security for the NH State Prison in Concord, NH. This vehicle is one of three vehicles assigned to the NHSP/men exclusively for perimeter patrol security which involves two vehicles patrolling the prison grounds 24 hours a day and 7 days a week. Loss of this vehicle would result in a major disruption in prison security operations.

COR68, a 2006 Chevy Impala was driven **7130** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Dover District Office and being used predominately in the local area. This vehicle is one of two vehicles shared by eight probation and parole officers and support staff. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly

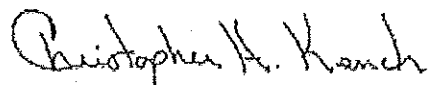
supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Nashua.

COR74, a 2007 Chevy Impala was driven **6016** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Manchester District Office and being used predominately in the local area. This vehicle is one of three vehicles shared by twelve probation and parole officers and support staff. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Manchester. This vehicle was granted a previous waiver in 2014.

COR85, a 2006 Chevy Impala was driven **8482** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Manchester District Office and being used predominately in the local area. This vehicle is one of three vehicles shared by twelve probation and parole officers and support staff. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of No. Haverhill.

COR91, a 2006 Chevy Impala was driven **5,547** miles for the business purpose of supervising probationers and parolees in the community, appearing in court, completing home and job checks of offenders, attending meetings and attending training. The vehicle is assigned to the Nashua District Office and being used predominately in the local area. This vehicle is one of two vehicles shared by six probation and parole officers and support staff. Loss of this vehicle would result in a serious decrease in public safety due to staff being unable to properly supervise offenders out in the community. Loss of this vehicle would also increase mileage expenditures for staff appearing in court and attending meetings and/or training. The low mileage is attributed to the vehicle being used primarily for local use in the city of Nashua.

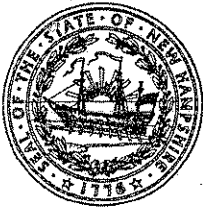
Respectfully submitted,



Christopher H. Kench
Director of Security and Training



Approved by: William L. Wrenn
Commissioner



STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
OFFICE OF THE COMMISSIONER

172 Pembroke Road Concord, New Hampshire 03301
Phone: 271-2411 Fax: 271-2629

September 28, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I:19-g, authorization is respectfully requested for the Department of Resources and Economic Development to retain the following vehicles which traveled less than the required breakeven mileage of 9,109 miles during Fiscal Year 2015.

Plate #	Vehicle Description	Miles Driven	Division
D2	2008 Ford Fusion I4	7,490	Parks and Recreation
D4	2008 Chevrolet Impala LS	5,627	Economic Development
D401	2005 Chevrolet Impala	8,689	Economic Development
D402	2005 Chevrolet Impala	5,429	Economic Development
D403	2006 Chevrolet Impala LS	4,921	Forests and Lands
D478	2006 Chevrolet Malibu LT	6,197	Economic Development
D500	2008 Ford Fusion I4	6,206	Travel and Tourism

EXPLANATION

D2, a 2008 Ford Fusion I4, was driven 7,490 miles primarily by the Director of the Division of Parks and Recreation. The demand for travel by the Director varies year to year based upon the nature and location of initiatives and obligations of the agency. Park properties extend from the seacoast and Connecticut River to the Canadian border and access to a state vehicle is essential to immediately and directly address issues in any of the 93 parks and communities in which they operate. In addition, when not in use by the Director and where normally other division staff would occasionally be using this vehicle, another vehicle was available due to a current vacancy having an assigned vehicle. In the future, the other vehicle will be re-assigned when the vacancy is filled and the Director's vehicle will be more frequently used due to the higher number of staff.

D4, a 2008 Chevrolet Impala LS, driven 5,627 miles and D478, a 2006 Chevrolet Malibu LT, driven 6,197 miles are shared vehicles used primarily by the regional business resource specialists. In FY 2015 that team shrank from 6 to 4 people. We are in the process of cycling out older vehicles from our fleet and reducing the number of our fleet vehicles overall, which will increase the annual mileage on the remaining vehicles including these 2 in future years.

D401, a 2005 Chevrolet Impala, was driven 8,689 miles solely by one of our business recruiters. It is an unmarked vehicle (waiver of MOP Chapter 2100 approved 6/6/11) because our recruiter uses it to visit companies in other states considering relocating to New Hampshire. Near the close of FY 2015, this vehicle was out of service for a period of time due to a major repair (transmission work) combined with additional previous mechanical repairs (brakes) needed during the year. Another significant contributing factor was the limited travel performed by the recruiter last winter who was unable to

travel to various business meetings that were cancelled because of the severity of the weather. Since the mileage accrued for this vehicle was close to the breakeven limit and because we do not anticipate these issues to recur for this vehicle, we request to retain it for continued purpose of use as an unmarked vehicle.

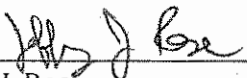
D402, a 2005 Chevrolet Impala, driven 5,429 miles is shared by Division staff as well as other Department employees. During FY 2015 there were continuous mechanical repairs and it was becoming too costly to maintain therefor undependable for business trips. It was determined at the last state inspection that the corrosion was increasing underneath the vehicle and it was advised that the vehicle be replaced in the near future. This past August the decision was made to send this vehicle to State Surplus, thereby decreasing the Division of Economic Development's fleet. This is the third vehicle in a year (D186 on 8/21/14 and D400 on 6/17/15) that has been declared surplus property due to the age, condition, and high mileage.

D403, a 2006 Chevrolet Impala LS, was driven 4,921 miles primarily by the Division of Economic Development staff for economic development business type purposes and activities. As this is an older vehicle with high mileage (190,370) it is not chosen by Division staff for taking longer trips. In May 2015, this vehicle was transferred to the Division of Forests and Lands and since its transfer the vehicle has been driven an additional 2,500 miles. However, due to its high mileage and unreliability, the Division has now decided to surplus this vehicle.

D500, a 2008 Ford Fusion I4, was driven 6,206 miles for travel and tourism purposes and activities. The vehicle was underutilized for a couple of reasons. The Division of Travel and Tourism was not fully staffed for nearly six months. Also, Welcome and Information Center employees primarily utilized vehicles that could transport large equipment. We are requesting the retention of this vehicle so it may be available for expanded use as a pooled vehicle for the full Travel and Tourism division staff. Additionally, the Division will review the use of its passenger vehicle fleet, as well as, expanding the availability of the vehicle to other Divisions within the Department.

We will continue to assess overall use of our passenger vehicle fleet to be as efficient as possible.

Respectfully submitted,



Jeffrey J. Rose
Commissioner



The State of New Hampshire
DEPARTMENT OF ENVIRONMENTAL SERVICES



Thomas S. Burack, Commissioner

September 1, 2015

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I:19-g, authorization is requested for the Department of Environmental Services (DES) to retain passenger vehicles ES-40 and ES-179, which traveled less than the required state mileage goal of 9,109 miles during Fiscal Year 2015.

EXPLANATION

DES makes a concerted effort to use state vehicles in the most efficient way. DES monitors vehicle usage closely and adjusts vehicle use in order to comply with the mileage requirement. DES operates a Motor Vehicle Reservation Program, which ensures that passenger vehicles are used to maximize fuel economy, while at the same time meeting the minimum annual mileage requirement. DES also encourages car-pooling, teleconferencing, and the overall reduction of vehicle miles traveled from all vehicles to reduce fuel use and motor vehicle emissions.

For the entire fleet of conventional passenger and light duty vehicles, the average miles traveled in FY 2015 was 11,794 miles per vehicle. The DES motor vehicle pool consists of thirty-three passenger vehicles and forty-two light duty trucks which are necessary to retain in the vehicle pool in order to facilitate the work of our employees. Unfortunately, two vehicles failed to make the minimum mileage for the following reasons discussed below.

ES-40 is a 2004 Chevrolet Impala with 144,380 miles as of June 30, 2015. During a mileage review in late March of 2015, DES discovered that the vehicle was not being used by the then assigned program to meet the required mileage. Discussions were held with the program and the vehicle was reassigned to another program to increase its mileage but there was insufficient time to make the minimum mileage. ES40 traveled 7,680 miles of the required 9,109 in FY15 and, therefore, fell short by 1,429 of the state mileage goal. Accordingly, effective July 1, 2015, ES40 was assigned to a different program. In just the first two months of FY 2016, the new program has placed 4,606 miles on ES-40, which constitutes more than half the required annual mileage needed for FY16.

DES Web site: www.des.nh.gov

P.O. Box 95, 29 Hazen Drive, Concord, New Hampshire 03302-0095

Telephone: (603) 271-3503 • Fax: (603) 271-2867 • TDD Access: Relay NH 1-800-735-2964

ES-179 is a 2001 Honda Insight (Hybrid) with 169,273 miles as of June 30, 2015. This vehicle has a standard transmission and, therefore, can be driven only by DES personnel who have experience driving a manual transmission vehicle. Because of the vehicle's low ground clearance, it cannot be driven as frequently during the winter season; and, moreover, due to visibility concerns associated with high snowbanks, this vehicle was not assigned out for travel during the winter of 2014-2015. Despite these seasonal limitations, ES-179 traveled 8,201 of the required 9,109 miles in FY15, falling 908 miles short of the state mileage goal. If road conditions had not been such a significant factor this past winter, this vehicle would have met the minimum mileage in FY15, as it has in each of the past 14 years.

In FY 2016, DES will ensure that these vehicles will meet or exceed the state mileage goals by redistributing and monitoring vehicle use within the department. For these reasons, we request approval to retain the above vehicles.

Respectfully Submitted,


Thomas S. Burack, Commissioner

mac



New Hampshire Fish and Game Department

HEADQUARTERS: 11 Hazen Drive, Concord, NH 03301-6500
(603) 271-3421
FAX (603) 271-1438

www.WildNH.com
e-mail: info@wildlife.nh.gov
TDD Access: Relay NH 1-800-735-2964

September 30, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-1: 19-g, authorization is requested for the New Hampshire Fish and Game Department to retain vehicles F1, F8, F9 and F78 which all traveled less than the required break-even mileage (9,109 miles) during fiscal year 2015.

EXPLANATION

F1, a 2006 Chevrolet Impala, with an odometer reading of 135,941, was driven 1,630 miles in FY 2015. It is assigned to the Law Enforcement Division and is used as a daily use vehicle for the Executive Director. This vehicle is used by the Executive Director to conduct agency business on a day to day basis. If this waiver is not granted, the Executive Director will not have a vehicle at his disposal to attend meetings and conduct other agency business.

F8, a 2006 Ford Focus Wagon, with an odometer reading of 80,813, was driven 5,221 miles in FY 2015. It is assigned to the Public Affairs Division and is used as a pool vehicle by division staff to travel to department facilities, meetings and public events throughout the State. If this waiver is not granted, it would result in hardship for divisional staff to conduct their field duties.

F9, a 2006 Ford Focus Wagon, with an odometer reading of 74,889, was driven 3,442 miles in FY 2015. It is assigned to the Business Office and is used as a pool vehicle for all department staff to provide courier duties, attend meetings at the Legislature and for both in-state and out-of-state travel to meetings. If this waiver is not granted, it would result in hardship for various department staff to perform their duties.

F78, a 2003 Chevrolet Impala, with an odometer reading of 140,441, was driven 4,533 miles in FY 2015. It is assigned to the Law Enforcement Division and is used as a spare vehicle for the Division when other vehicles are being serviced. If this waiver is not granted, it would result in hardship for the Division to perform their duties in the absence of their assigned vehicle.

Respectfully submitted,

Glenn Normandeau
Executive Director

Kathy Ann LaBonte, Chief
Business Division

REGION 1

629B Main Street
Lancaster, NH 03584-3612
(603) 788-3164
FAX (603) 788-4823
email: reg1@wildlife.nh.gov

REGION 2

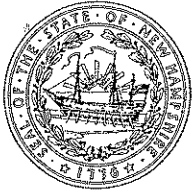
PO Box 417
New Hampton, NH 03256
(603) 744-5470
FAX (603) 744-6302
email: reg2@wildlife.nh.gov

REGION 3

225 Main Street
Durham, NH 03824-4732
(603) 868-1095
FAX (603) 868-3305
email: reg3@wildlife.nh.gov

REGION 4

15 Ash Brook Court
Keene, NH 03431
(603) 352-9669
FAX (603) 352-8798
email: reg4@wildlife.nh.gov



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF BUSINESS OPERATIONS

BUREAU OF FINANCE

Nicholas A. Toumpas
Commissioner

Sheri L. Rockburn
Chief Financial Officer

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9334 1-800-852-3345 Ext. 9334
Fax: 603-271-2896 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

September 22, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g, authorization is requested for the Department of Health and Human Services (DHHS) to retain vehicle's P65, P189, Y12, Y14, Y15 and P158 which all traveled less than the required break-even mileage (9,109 miles) during fiscal year 2015.

EXPLANATION

P65, a 2003, Ford, Crown Victoria currently has 122,504 miles and was driven 5,651 miles for business purposes of transporting residents to various appointments. This vehicle is a secure vehicle for additional security to transport Sununu Youth Services Center residents when needed, and, therefore, was usually used for short trips. However, this vehicle saves from using secure transportation (Law Enforcement) and requires less staff.

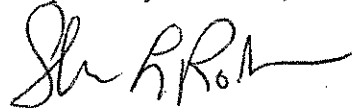
P189, a 2002, Chevrolet, Impala currently has 210,317 miles and was driven 4,465 miles for the business purposes of traveling northern New Hampshire area to help juvenile offenders transition back into society by Juvenile Probation and Parole Officers in the Conway District Office. This vehicle was out of service often for significant periods of time waiting for parts and /or needed extensive repairs. This vehicle is less reliable and used for shorter trips.

Y12, a 2001, Chevrolet, Cavalier currently has 154,843 miles and was driven 4,267, Y14, a 2001, Chevrolet, Cavalier currently has 132,265 miles and was driven 3,941 miles and Y15, a 2003, Chevrolet, Impala currently has 198,386 miles and was driven 6,935 miles. All three vehicles were driven for the business purposes of transporting Sununu Youth Services Center residents to various appointments. These vehicles were out of service often for the periods of time waiting for parts and /or needed extensive repairs. These vehicles are less reliable and used for shorter trips.

P158, a 2003, Chevrolet, Malibu currently has 140,037 miles and was driven 3,245 miles for the business purpose of transporting clients of the Designated Receiving Facility (DRF) in Laconia to and from clinical appointments, recreational trips, and client personal errands. This vehicle is utilized for Facility staff for administrative and programmatic trips such as attending meetings, trainings, and facility business also use this vehicle. Without use of this vehicle, clients could not be transported to their necessary appointments. P158 is the only vehicle at the Facility available for this purpose.

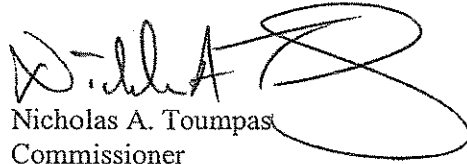
We recently reassigned two pooled vehicles (Y16 and Y18) from the DHHS/SYSC location to other areas within DHHS to reduce the in-state mileage reimbursement. These two vehicles had a combined mileage usage for FY15 of approximately 6,375 miles; therefore, the mileage placed on these two vehicles will be spread between other vehicles that are located at the SYSC facility, which will make other vehicles closer meet their Break Even Mileage.

Respectfully submitted,



Sheri L. Rockburn,
Chief Financial Officer

Approved by:



Nicholas A. Toumpas
Commissioner



The State of New Hampshire
Insurance Department

21 South Fruit Street, Suite 14
Concord, NH 03301

Roger A. Sevigny
Commissioner

Alexander K. Feldvebel
Deputy Commissioner

August 20, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g, authorization is requested for the NH Insurance Department to retain vehicle with plate number 264 8647 which has traveled less than the required break-even mileage (9,109 miles) during fiscal year 2015.

EXPLANATION

An owned and fully paid 2011, Ford Fusion, plate number 264 8647, was driven 1,797 miles for the business purposes of transporting staff to attend and present at public educational sessions in furtherance of our Department's mission. The vehicle is used to car-pool teams of employees to public hearings, meetings, out-reach programs and informational sessions. Without the vehicle, the staff would be required to car-pool co-workers in their personal vehicles throughout the state or the Department would have to pay two to three times the cost for in-state travel reimbursement for each employee.

We expect the vehicle will continue to be used to an even further extent this fiscal year and in the coming years ahead as we continue our consumer outreach and education programs throughout the state. This vehicle did receive a waiver after fiscal year 2014 due to the increased need for the Department's staff to present at statutorily required information sessions and outreach programs surrounding the implementation of the new rating and network adequacy laws, the Affordable Care Act and the Federally Facilitated Insurance Exchange Marketplace in New Hampshire.

Respectfully submitted,

Barbara Richardson
Director of Operations

Approved by:

Roger Sevigny
Commissioner



State of New Hampshire

SEP 11 15 PM 1:48:50

mac

Department of Labor

Hugh J. Gallen
State Office Park
Spaulding Building
95 Pleasant Street
Concord, NH 03301
603/271-3176
TDD Access: Relay NH
1-800-735-2964
FAX: 603/271-6149
<http://www.nh.gov/labor>

James W. Craig
Commissioner of Labor

Kathryn J. Barger
Deputy Labor
Commissioner

September 9, 2015

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-l: 19-g, authorization is requested for the Department of Labor, Inspection Division to retain vehicle's LA-16 which all traveled less than the required break-even mileage (9,109 miles) during fiscal year 2015

EXPLANATION

LA-16, a 2008 Ford Fusion, was driven 7,614 for business purposes across the state to inspect work establishments to ensure compliance with state and federal labor laws and statutes pertaining to youth employment, minimum wage, protective legislation, boiler and elevator inspection certification, workers compensation and workplace safety, health and injury prevention. This vehicle stood idle for approximately 6 weeks in May/June due to repairs from an accident. It would have been driven the required mileage had it not been out of commission at the end of the fiscal year. It is now fixed and back on the road. Therefore, we feel that this vehicle should not be surpluses. Your approval to maintain LA-16 would be greatly appreciated.

Respectfully submitted,

Bonnie Sweatt
Business Administrator

Approved by:

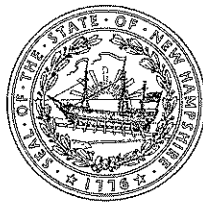
James W. Craig
Commissioner of Labor

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

SEP 30 '15 PM 2:15 DAS

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

September 30, 2015

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

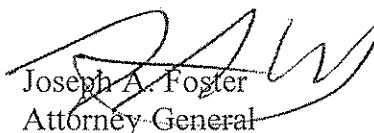
REQUESTED ACTION

Pursuant to RSA 21-1: 19-g, authorization is requested for the Department of Justice to retain an undercover vehicle which traveled less than the required break-even mileage (9,109 miles) during fiscal year 2015.

EXPLANATION

The vehicle was driven a total of 4,774 miles for the business purposes of conducting surveillance and other activities related to undercover investigations by the Department of Justice. It is part of a rotation of vehicles in undercover investigations. If this waiver is not approved, the safety of the investigators may be jeopardized as well as the implementation of planned investigative operations.

Respectfully submitted,


Joseph A. Foster
Attorney General

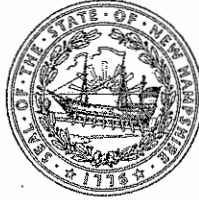
Approved by:

JAF/jc
#1276770

ATTORNEY GENERAL
DEPARTMENT OF JUSTICE

33 CAPITOL STREET
CONCORD, NEW HAMPSHIRE 03301-6397

JOSEPH A. FOSTER
ATTORNEY GENERAL



ANN M. RICE
DEPUTY ATTORNEY GENERAL

September 30, 2015

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

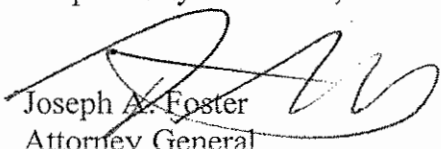
REQUESTED ACTION

Pursuant to RSA 21-1: 19-g, authorization is requested for the Department of Justice to retain an undercover vehicle which traveled less than the required break-even mileage (9,109 miles) during fiscal year 2015.

EXPLANATION

The vehicle was driven a total of 7,298 miles for the business purposes of conducting surveillance and other activities related to undercover investigations by the Department of Justice. It is part of a rotation of vehicles in undercover investigations. If this waiver is not approved, the safety of the investigators may be jeopardized as well as the implementation of planned investigative operations.

Respectfully submitted,


Joseph A. Foster
Attorney General

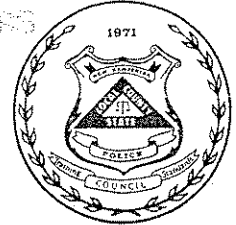
Approved by:

JAF/jc
#1276779



Chief Anthony F. Colarusso, Jr.
Chairman

State of New Hampshire
POLICE STANDARDS & TRAINING COUNCIL
ARTHUR D. KEHAS
LAW ENFORCEMENT TRAINING FACILITY & CAMPUS
17 Institute Drive — Concord, N.H. 03301-7413
603-271-2133 FAX 603-271-1785
TDD Access: Relay NH 1-800-735-2964



Donald L. Vittum
Director

September 28, 2015

The Honorable Neal Kurk, Chairperson
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-l: 19-g, authorization is requested for the NH Police Standards and Training Council to retain vehicle's PS10, PS55, PS56, PS57, PS59, PS64, PS69, and PS70 which all traveled less than the required break-even mileage (9,109 miles) during fiscal year 2015.

EXPLANATION

PS10, a 2010 Chevy Impala, was driven 3,893 miles for the business purposes of a primary pool vehicle and as a backup police driver training and tactics vehicle. This vehicle is our only primary pool vehicle and is used by our staff to perform compliance investigations, background investigations, meeting attendance, and the transportation of instructors, bulky audio-visual equipment such as LCD projectors, computers, easels and training materials to our regional locations and off-site training locations. This vehicle is not equipped with emergency lighting so that it may be used by our civilian staff as our police package vehicles can only be driven by active law enforcement officers. This vehicle also serves as a back-up vehicle to the driver training pool should the other Impala be out of service. Without this vehicle, we would have to rely on the use of those from the driver training pool, which depending on the academy or training class in session may not be available.

PS55, a 2012 Ford Interceptor Sedan, was driven 1176 miles for the business purposes of a police driver training vehicle. In order to insure the most effective training to NH law enforcement officers in vehicle operations and police tactics, we maintain various makes and models of police vehicles currently used by law enforcement. These vehicles are equipped with "police packages" and are used by police and corrections officers within our in-service driver training classes and training academies. These vehicles may also be used by authorized staff members to conduct off-site demonstrations and training exercises as well as for general business purposes as necessary. Based on the number of officers that receive training, this vehicle is necessary to insure that officers will be able to utilize a vehicle that most closely matches that of the ones used by their agency. Without this

IN PARTNERSHIP WITH THE COMMUNITY COLLEGE SYSTEM OF NH
"Law Enforcement's Strategic Partner"

vehicle, it would be harder to insure that comparability and it would also reduce the amount of driving time that we could make available to each officer being trained.

PS56, a 1999 Ford Crown Victoria, was out of service for the reporting period due to a transmission issue. Due to the age of the vehicle, the necessary replacement part was not available and had to be fabricated in house. This vehicle is mounted on a special hydraulic platform and used to demonstrate and teach skid control to recruit and in-service police officers. It does not leave the grounds of the training facility because it can't be driven off-site due to the mounted platform. With the travel limitation it is fueled on site. The transmission has been repaired and this vehicle is back into service. This vehicle has not been replaced with a newer vehicle due to the limited mileage that skid control vehicles accumulate and the costs associated converting the mount to accept a different vehicle. Without this vehicle we would be unable to continue effective skid control training for officers putting the officers and public at risk.

PS57, a 2013 Ford Interceptor Sedan, was driven 1,243 miles for the business purposes of a police driver training and tactics vehicle. In order to insure the most effective training to NH law enforcement officers in vehicle operations and police tactics, we maintain various makes and models of police vehicles currently used by law enforcement. These vehicles are equipped with "police packages" and are used by police and corrections officers within our in-service driver training classes and training academies. These vehicles may also be used by authorized staff members to conduct off-site demonstrations and training exercises as well as for general business purposes as necessary. Based on the number of officers that receive training, this vehicle is necessary to insure that officers will be able to utilize a vehicle that most closely matches that of the ones used by their agency. Without this vehicle, it would be harder to insure that comparability and it would also reduce the amount of driving time that we could make available to each officer being trained.

PS59, a 2008 Dodge Charger, was driven 660 miles for the business purposes of a police driver training and tactics vehicle. In order to insure the most effective training to NH law enforcement officers in vehicle operations and police tactics, we maintain various makes and models of police vehicles currently used by law enforcement. These vehicles are equipped with "police packages" and are used by police and corrections officers within our in-service driver training classes and training academies. These vehicles may also be used by authorized staff members to conduct off-site demonstrations and training exercises as well as for general business purposes as necessary. Based on the number of officers that receive training, this vehicle is necessary to insure that officers will be able to utilize a vehicle that most closely matches that of the ones used by their agency. Without this vehicle, it would be harder to insure that comparability and it would also reduce the amount of driving time that we could make available to each officer being trained.

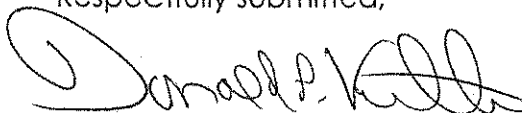
PS64, a 2014 Ford Police Interceptor SUV Utility Vehicle, was driven 1234 miles for the business purposes of a police driver training and tactics vehicle. In order to insure the most effective training to NH law enforcement officers in vehicle operations and police tactics, we maintain various makes and models of police vehicles currently used by law enforcement. These vehicles are equipped with "police packages" and are used by police and corrections officers within our in-service driver training classes and training academies.

These vehicles may also be used by authorized staff members to conduct off-site demonstrations and training exercises as well as for general business purposes as necessary. Based on the number of officers that receive training, this vehicle is necessary to insure that officers will be able to utilize a vehicle that most closely matches that of the ones used by their agency. Without this vehicle, it would be harder to insure that comparability and it would also reduce the amount of driving time that we could make available to each officer being trained.

PS69, a 2005 Chevy Impala, was driven 403 miles for the business purposes of a police driver training and tactics vehicle. In order to insure the most effective training to NH law enforcement officers in vehicle operations and police tactics, we maintain various makes and models of police vehicles currently used by law enforcement. These vehicles are equipped with "police packages" and are used by police and corrections officers within our in-service driver training classes and training academies. These vehicles may also be used by authorized staff members to conduct off-site demonstrations and training exercises as well as for general business purposes as necessary. Based on the number of officers that receive training, this vehicle is necessary to insure that officers will be able to utilize a vehicle that most closely matches that of the ones used by their agency. Without this vehicle, it would be harder to insure that comparability and it would also reduce the amount of driving time that we could make available to each officer being trained.

PS70, a 2006 Chevy Impala, was driven 144 miles for the business purposes of a police driver training vehicle. This vehicle is mounted on a special hydraulic platform and used to demonstrate and teach skid control to recruit and in-service police officers. It does not leave the grounds of the training facility because it can't be driven off-site due to the mounted platform. With the travel limitation it is fueled on site. Without this vehicle we would be unable to continue effective skid control training for officers putting the officers and public at risk.

Respectfully submitted,



Donald L. Vittum
Director

THE STATE OF NEW HAMPSHIRE

CHAIRMAN
Martin P. Honigberg

COMMISSIONERS
Robert R. Scott
Thryn M. Bailey

EXECUTIVE DIRECTOR
Debra A. Howland



PUBLIC UTILITIES COMMISSION
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Concord, N.H. 03301-2429

TDD Access: Relay NH
1-800-735-2964

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FAX (603) 271-3878

Website:
www.puc.nh.gov

September 2, 2015

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g, authorization is requested for the New Hampshire Public Utilities Commission (Commission) to retain vehicles with plate numbers M10 and M73 which both traveled less than the required break-even mileage (9,109 miles) during fiscal year 2015.

EXPLANATION

Plate number M10, a 2010, Chevrolet Cobalt was driven 8,847 miles for the primary business purpose of conducting field inspections by the Commission's Safety Division. The Commission typically sees a reduction in the number of inspections during the winter months. This was especially true in February and March where the average mileage was less than 450 miles. During the other months of the year, the average monthly mileage was approximately 800 miles.

Plate number M73, a 2011, Chevrolet Malibu was driven 9,068 miles for the business purposes including, but not limited to, representing New Hampshire in various regional meetings conducted by NEPOOL, NECPUC, FERC, ISO-NE, etc., inspecting renewable energy installations, conducting on-sight utility audits, attendance at legislative sessions, state budget reviews, and Fiscal Committee and Governor and Executive Council meetings, and some field inspections by the Commission's Safety Division.

Although these vehicles did not exceed the 9,109 break-even mileage, it does not diminish the need for the Commission to have these vehicles available for use in official travel and state emergencies.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Martin P. Honigberg".

Martin P. Honigberg
Chairman



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

August 14, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to RSA 21-I: 19-g, authorization is requested for the Department of Safety, Division of State Police, to retain vehicles, EQ#4158, EQ#4275, EQ#4482, EQ# 4506, EQ#4510, EQ#4530, EQ#4670, EQ#4675, EQ#4688, EQ#4708, EQ#4753, EQ#4859 and EQ#4930, which all traveled less than the required break-even mileage (9,109 miles) during fiscal year 2015.

Explanation

EQ#4158, a 2004 Ford Crown Victoria, was driven 2,075 miles for the business purpose of a patrol vehicle. This vehicle is assigned as a patrol vehicle for the New Hampshire State Hospital Security. The patrol area is limited to the campus of the State Hospital in Concord. This vehicle did receive a waiver after fiscal year 2014 due to not exceeding the break-even mileage. If this waiver is not granted, a business hardship would be created by forcing the hospital security force to change their patrol operations to accommodate a reduction in their fleet numbers.

EQ#4275, a 2005 Ford Crown Victoria, was driven 5,682 miles for the business purpose of a spare patrol vehicle. This vehicle is assigned as a spare patrol vehicle for State Police Headquarters to be utilized in the case of a Trooper's assigned vehicle becoming disabled. This vehicle did not receive a waiver after fiscal year 2013 due to exceeding the break-even mileage. A waiver for this vehicle would enable the State Police to provide alternate transportation to Troopers in the event of a mechanical failure of their assigned vehicle so that patrols can be continued unimpeded.

EQ#4482, a 2007 Chevrolet Impala, was driven 6,770 miles for the business purpose of a spare patrol vehicle. This vehicle is assigned as a spare patrol vehicle for State Police Headquarters to be utilized in the case of a Trooper's assigned vehicle becoming disabled. This vehicle has not received a waiver due to exceeding the break-even mileage year. A waiver for this vehicle would enable the State Police to provide alternate transportation to Troopers in the event of a mechanical failure of their assigned vehicle so that patrols can be continued unimpeded.

EQ#4506, a 2007 Dodge Charger, was driven 8,280 miles for the business purpose of a patrol vehicle. This vehicle is assigned as a patrol vehicle for the New Hampshire State Police, being specifically assigned to Trooper Brian Parker. The vehicle was out of service for a total of three months during the fiscal year for accident repair, as well as frame rail repair. Based on the usage amount, this lost time would have put the vehicle over the break-even amount for the year. This vehicle is needed so that Trooper Parker can continue to perform his assigned duties and conduct necessary patrols to maintain the current safety level the State provides to its residents.

EQ#4510, 2007 Dodge Charger, was driven 7,626 miles for the business purpose of a spare patrol vehicle. This vehicle is assigned as a spare patrol vehicle for State Police Troop E barracks to be utilized in the case of a Trooper's assigned vehicle becoming disabled. This vehicle did receive a waiver for fiscal year 2014 due to not exceeding the break-even mileage. A waiver for this vehicle would enable the State Police to provide alternate transportation to Troopers in the event of a mechanical failure of their assigned vehicle so that patrols can be continued unimpeded.

EQ#4530, 2007 Dodge Charger, was driven 8,240 miles for the business purpose of a patrol vehicle. This vehicle is assigned as a patrol vehicle for the New Hampshire State Police, being specifically assigned to Trooper Scott Ellis. The vehicle has been out of service since March 20 for frame rail repair. This is a warranty repair by the manufacturer at no cost to the State but is

an extensive repair. Base on the usage amount, this lost time would have put the vehicle over the break-even amount for the year. This vehicle is needed so that Trooper Ellis can continue to perform his assigned duties and conduct necessary patrols to maintain the current safety level the State provides to its residents.

EQ#4670, 2009 Dodge Charger, was driven 7,217 miles for the purpose of a patrol vehicle. This vehicle is assigned to

Major Russell Conte. The vehicle was stationary for a total of nine weeks during the fiscal year due to medical leave. Based on the usage amount, this lost time would have put the vehicle over the break-even amount for the year. This vehicle is needed so that Major Conte can continue to perform his assigned duties and conduct necessary business to maintain the current safety level the State provides to its residents.

EQ#4675, 2010 Dodge Charger, was driven 7,239 miles for the purpose of a patrol vehicle. This vehicle is assigned as a patrol vehicle for the New Hampshire State Police, being specifically assigned to Trooper Patrick Hennessy. The vehicle was stationary for a total of 30 days during the fiscal year for training. Based on the usage amount, this lost time would have put the vehicle over the break-even amount for the year. This vehicle is needed so that Trooper Hennessy can continue to perform his assigned duties and conduct necessary patrols to maintain the current safety level the State provides to its residents.

EQ#4688, a 2010 Dodge Charger, was driven 8,956 miles for the purpose of a patrol vehicle. This vehicle is assigned as a patrol vehicle for the New Hampshire State Police, being specifically assigned to Trooper William Graham. The vehicle was out of service for a total of 14 weeks during the fiscal year for accident repair. Base on the usage amount, this lost time would have put the vehicle over the break-even amount for the year. This vehicle is needed so that Trooper Graham can continue to perform his assigned duties and conduct necessary patrols to maintain the current safety level the State provides to its residents.

EQ#4708, a 2010 Dodge Charger, was driven 2,252 miles for the purpose of a patrol vehicle. This vehicle is assigned as a patrol vehicle for the New Hampshire State Police Marine Patrol Division. The patrol vehicle is limited to providing a means to transport prisoners from the barracks in Gilford to the County Jail in Laconia. This vehicle is the only one in the Marine Patrol fleet that has a prisoner cage installed. An officer safety concern would be created if this waiver is not granted by forcing the Marine Patrol officers to transport in vehicles not equipped properly.

EQ#4753, a 2010 Dodge Charger, was driven 8,583 miles for the purpose of a patrol vehicle. This vehicle is assigned as a patrol vehicle for the New Hampshire State Police, being specifically assigned to Trooper Gerard Ditolla. The vehicle was stationary for a total of 30 days during the fiscal year for training. Based on the usage amount, this lost time would have put the vehicle over the break-even amount for the year. This vehicle is needed so that Trooper Ditolla can continue to perform his assigned duties and conduct necessary patrols to maintain the current safety level the State provides to its residents.

EQ#4859, 1 2012 Dodge Charger, was driven 6,286 miles for the purpose of a patrol vehicle. This vehicle is assigned as a patrol vehicle for the New Hampshire State Police, being specifically assigned to Lieutenant Nicole Armaganian. The vehicle was stationary for a total of 40 days during the fiscal year for training. Based on the usage amount, this lost time would have put the vehicle over the break-even amount for the year. This vehicle is needed so that Trooper N. Armaganian can continue to perform his assigned duties and conduct necessary patrols to maintain the current safety level the State provides to its residents.

EQ#4930, a 2013 Dodge Charger, was driven 5,463 miles for the purpose of a patrol vehicle. This vehicle is assigned as a patrol vehicle for the New Hampshire State Police, being specifically assigned to Captain Mark Armaganian. The vehicle was stationary for a total of 25 days of training as well as 30 days of medical leave during the fiscal year. Based on the usage amount, this lost time would have put the vehicle over the break-even amount for the year. This vehicle is needed so that Trooper M. Armaganian can continue to perform his assigned duties and conduct necessary patrols to maintain the current safety level the State provides to its residents.

Respectfully submitted,


John J. Barthelmes
Commissioner



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



WILLIAM CASS, P.E.
ASSISTANT COMMISSIONER

Executive Office
September 01, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to RSA 21-I: 19-g, Use of State-Owned Vehicles, authorization is requested for the Department Of Transportation, to retain vehicle's H170, H206, H66, and H84 which all traveled less than the required break-even mileage (9,109 miles) during fiscal year 2015.

EXPLANATION

H170, a 2006 Chevrolet Malibu sedan, was driven 7,459 miles in FY 2015, falling 1,650 miles short of the required break even mileage of 9,109. H170 is a pool vehicle assigned to the Bureau of Environment and was at the Bureau of Mechanical Services for repairs several times for extended periods during FY 2015, making it unavailable for use.

H206, a 2007 Chevrolet Malibu sedan, was driven 7,633 miles in FY 2015, falling 1,476 miles short of the required break even mileage of 9,109. H206 is a pool vehicle assigned to the Bureau of Highway Design and was in and out of service for a total of ten (10) weeks over the past year. This created a lack of reliability in the vehicle so it was getting signed out as a last resort.

H66, a 2011 Ford Fusion sedan, was driven 7,269 miles in FY 2015, falling 1,840 miles short of the required break even mileage of 9,109. H66 is assigned to the Rail Planner, who is responsible for statewide property management, onsite property investigation activities, and railroad operator oversight. During the course of FY 2015 the Rail Planner and other rail staff utilized a specialized vehicle, H1558, a hi-rail vehicle that is capable of driving on the railroad tracks. This specialized vehicle amassed 12,765 miles, which was high for this vehicle, but the particular nature of the rail projects and property management issues in FY 2015 necessitated a vehicle that could also travel on the railroad tracks. If access to the railroad tracks was not required, additional mileage, in an amount greater than H66's mileage shortfall, would have been amassed on H66. The vehicle should meet the minimum mileage requirement for FY 2016 given the planned activities by the Rail Planner and other bureau staff.

H84, a 2011 Toyota Matrix sedan, was driven 8,755 miles in FY 2015, falling 354 miles short of the required break even mileage of 9,109. H84 is a pool vehicle assigned to the Commissioner's office and is frequently used for short trips in the Concord area, so while it is often used, it does not often accumulate a lot of miles. The Department will work to better balance the utilization of this vehicle with the rest of the fleet by further encouraging other bureaus to use it for longer trips in place of other higher pool vehicles with significantly higher utilization.

Respectfully submitted,



David Rodrigue
Assistant Director of Operations

Approved by:



William Cass, P.E.
Assistant Commissioner



Office of Veterans Services

Mary E. Morin, Director

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

October 5, 2015

REQUESTED ACTION

Pursuant to RSA 21-l: 19-g, authorization is requested for the New Hampshire Office of Veterans Services, to retain vehicle's M80, M124, and M125 which all traveled less than the required break-even mileage (9,109 miles) during fiscal year 2015.

EXPLANATION

M80, a 2014, Ford Focus was driven for the business purposes of meeting with veterans and their family members at itinerant sites for benefits counseling. This vehicle experienced low mileage due to a vacant Veterans Service Officer (VSO) position that was filled twice with unsatisfactory results. The position involves 4-6 months training before allowing the VSO to travel to our itinerant sites to allow singular one-on-one counseling. The VSO who covers the seacoast area spent a significant amount of time within our home base office in Manchester training the new hires. Travel to our Manchester office requires travel in a personal vehicle rather than allowing state vehicle usage. First and second attempts with training were unsuccessful and the individuals were terminated prior to release for travel. We have recently been extremely successful with our most recent hire and intend to allow travel for this VSO in the November time frame. This will significantly increase our travel time to the seacoast area locations. These locations will include travel from Concord to Portsmouth, Rochester, and Somersworth four times monthly at each location. Additionally, We recently completed negotiations with the Portsmouth Naval Shipyard and are currently in talks with Pease ANG Base to add those locations to our travel schedule twice monthly at each location.

EXPLANATION

M124, a 2006 Chevrolet Malibu was driven for the business purposes of meeting with veterans and their family members at itinerant sites for benefits counseling. This vehicle experienced low mileage due to maintenance periods in October, 2014 (recall items), January, and March 2015, vacation/medical leave periods through the FY when the vehicle was not transferred to other individuals, as well as a significantly heavy winter weather season. As this vehicle travels from Canterbury NH to Laconia, Keene, Tilton, Boscawen and Concord, on occasion, the heavy weather season prompted the operator to choose to utilize a personal

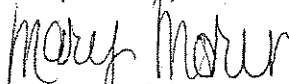
4 wheel drive vehicle to travel to itinerant sites at his own expense, rather than to risk possible personal injury and/or damage to the state vehicle. We anticipate an increase in this mileage should the weather and/or other contributing factors that limit usage permit.

EXPLANATION

M125, a 2007 Chevrolet Cobalt, was driven for the business purposes of meeting with veterans and their family members at itinerant sites for benefits counseling. This vehicle experienced low mileage due to maintenance periods in October, 2014, April and June 2015 respectively. Additionally, a significantly heavy winter weather season limited vehicle usage. As this vehicle travels from Monroe NH to Berlin, Claremont, Woodsville, Littleton, Conway, Lebanon, and Plymouth the heavy weather season prompted the operator to choose to utilize a personal 4 wheel drive vehicle to travel to itinerant sites at his own expense, rather than to risk possible personal injury and/or damage to the state vehicle. Additionally, we recently concluded negotiations with the Tamworth ServiceLink to add that location to our visitation schedule twice monthly. We anticipate an increase in this mileage should the weather and/or other contributing factors that limit usage permit.

We continuously and conscientiously monitor and adjust vehicle usage to meet state requirements and budget constraints. In the previous biennium we made significant adjustments to our travel schedule to meet imposed budget restraints. We believe we have met the goal of working on behalf of the taxpayers to meet our mission while maintaining the best possible economic outcome. We also believe that calculations in break even mileage will result in lower numbers this budget cycle based on the previous biennium that resulted in adjustments to our driving cycle. Loss of use of our 3 part vehicle fleet would severely hamper our efforts to meet with veterans across the state to provide benefits counseling and advocacy. Loss of our vehicle fleet would result in veterans across the state being forced to travel to Manchester to obtain assistance; an unacceptable situation at best for some veterans in our state. Thank you for your consideration in this matter. Please feel free to contact me with further questions or concerns

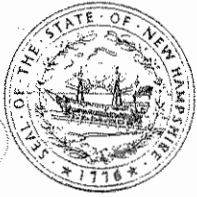
Respectfully submitted,



Mary Morin
Director

Approved by:

Vicki V. Quiram
Commissioner



State of New Hampshire

FIS 15 284

DEPARTMENT OF HEALTH AND HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9200 FAX: 603-271-4912 TDD ACCESS: RELAY NH 1-800-735-2964

NICHOLAS A. TOUMPAS
COMMISSIONER

December 9, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
Legislative Office Building
104 North State Street
Concord, NH 03301

Requested Action

Pursuant to the requirements of the New Hampshire Health Protection Act (SB 413), codified at RSA 126-A:5, XXIII-XXV, the New Hampshire Department of Health and Human Services requests approval of two amendments to the New Hampshire State Medicaid Plan in order to implement the Premium Assistance Program for the New Hampshire Health Protection Program. One amendment makes a change to the Alternative Benefit Plan SPA in order to specify the use of Individual Health Plans for NHHPP enrollees. The second amendment makes a change to the Cost Sharing SPA in order to implement point of service co-payments for all NHHPP enrollees above 100% of the federal poverty level. These amendments are explained below.

Explanation

New Hampshire's current State Medicaid Plan for the New Hampshire Health Protection population, as approved by the Fiscal Committee in May 2014, specifies that enrollees will receive their health coverage through the Voluntary Bridge to Marketplace Program delivered by the two managed care companies under contract with the State through December 2015. The proposed ABP SPA will change our current State plan to specify that beginning on January 1, 2016, all NHHPP enrollees, except those who self-attest to being medically frail, will obtain their health coverage in Individual Qualified Health Plans on the federal Marketplace in New Hampshire. The ABP SPA also removes coverage of non-emergency use of hospital emergency departments as a covered benefit because this benefit is not covered by the commercial market in New Hampshire. These changes are required by the Centers for Medicare and Medicaid Services.

The amendment to the Cost Sharing SPA presented for approval with this request will implement certain point of service co-payments for the NHHPP population and for certain members of the standard Medicaid population. Currently, the NHHPP population with income of over 100% of the FPL has co-payments for preferred and non-preferred drugs. These co-payments are \$1 dollar for preferred drugs and \$2 dollars for non-preferred drugs. These co-payments were approved by the Fiscal Committee in May 2014 in advance of the implementation of the Voluntary Bridge to Marketplace Program.

The Department, working with the insurance carriers and its actuary, Milliman, increased the point of service co-payments for the NHHPP population in the Premium Assistance Program for those enrollees over 100% of the FPL in order to comply with the actuarial requirements of the federal Marketplace program. The point of service co-payments for the Premium Assistance Program, as with the Voluntary Bridge Program, are within the allowable Medicaid limit of 5% of annual household income. For those enrollees over 100% of FPL, the co-payments were increased so that those persons would (i) maintain personal responsibility for a portion of the cost of their health coverage and (ii) pay the portion of their health coverage that is not being subsidized by the State with federal funds for 2016.

December 9, 2015

The point of service co-payments listed on the attached Revised Cost Sharing Chart were determined by Milliman working with the State so that the required actuarial value of the plans for those persons above 100% FPL was maintained at the required level of under 95% AV.

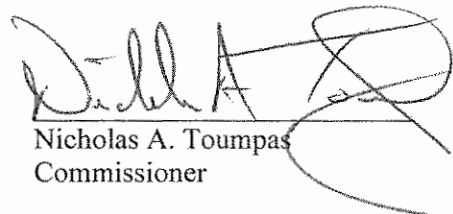
For the Premium Assistance Program for calendar year 2016, the State, utilizing 100% of federal funds, will be paying the premium cost and the deductible for all Premium Assistance enrollees. The enrollee will pay the point of service co-payments up to a quarterly limit of \$147.00. NHHPP enrollees under 100% of FPL will have no point of service co-payments. The full cost of their coverage is paid for with federal funds.

Persons who are medically frail and are exempted from enrollment in QHPs on the federal marketplace will be covered under managed care with an option to choose ABP services (including SUD services) or State plan medical services. Those who are medically frail and are over 100% FPL will also be subject to the point of service co-payments, as the rest of the NHHPP population.

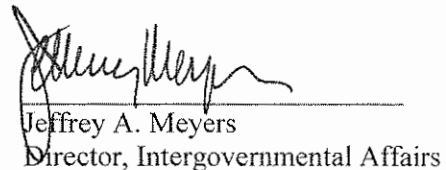
Because CMS did not waiver comparability for the NHHPP population and the standard Medicaid population, New Hampshire is required to implement the point of service co-payments to the standard Medicaid population over 100% of FPL, as well. There are approximately 5,500 persons in that category.

Fiscal approval of the amendments to the ABP and Cost Sharing SPAs are required in order for the Department to submit them to CMS for approval for the PAP program. SB 413 requires that CMS approve all SPAs and waivers for a New Hampshire Health Protection Act program prior to the commencement of that program. Delay of approval of these SPAs would delay the commencement of PAP program.

Sincerely,



Nicholas A. Toumpas
Commissioner



Jeffrey A. Meyers
Director, Intergovernmental Affairs

Enclosures

cc: Michael Kane
Members, Fiscal Committee



Alternative Benefit Plan

State Name:

Attachment 3.1-L-

OMB Control Number: 0938-1148

Transmittal Number: - -

OMB Expiration date: 10/31/2014

Alternative Benefit Plan Populations ABP1

Identify and define the population that will participate in the Alternative Benefit Plan.

Alternative Benefit Plan Population Name:

Identify eligibility groups that are included in the Alternative Benefit Plan's population, and which may contain individuals that meet any targeting criteria used to further define the population.

Eligibility Groups Included in the Alternative Benefit Plan Population:

	Eligibility Group:	Enrollment is mandatory or voluntary?	
+	Adult Group	Mandatory	X

Enrollment is available for all individuals in these eligibility group(s).

Geographic Area

The Alternative Benefit Plan population will include individuals from the entire state/territory.

Any other information the state/territory wishes to provide about the population (optional)

Under the New Hampshire Health Protection Premium Assistance Section 1115(a) Medicaid Research and Demonstration waiver, # 11-W-00298/1, approved by CMS on March 4, 2015, New Hampshire will use individual premium assistance to support the purchase of commercial coverage for adults eligible under Section 1902(a)(10)(A)(i)(VIII) of the Social Security Act. This coverage will be provided by certain qualified health plans (QHPs), certified for sale in the individual market in New Hampshire's federally facilitated Marketplace, from January 1, 2016 to December 31, 2016. Adults in the demonstration will receive the 10 Essential Health Benefits through the QHPs and required wrap benefits through Medicaid fee-for-service.

Those adults excluded from the demonstration are those who identify as medically frail, or those who are enrolled in New Hampshire's HIPP program. Those adults who identify as medically frail, or those who elect to opt-out of the demonstration as American Indian/Alaskan Native or due to pregnancy, will receive either the Alternative Benefit Plan or Medicaid state plan services through Medicaid Managed Care organizations. Those adults excluded from the demonstration due to their enrollment in HIPP will receive coverage through cost-effective employer sponsored insurance.

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 5 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.



Alternative Benefit Plan

State Name:

Attachment 3.1-L-

OMB Control Number: 0938-1148

Transmittal Number: _____

OMB Expiration date: 10/31/2014

Voluntary Benefit Package Selection Assurances - Eligibility Group under Section 1902(a)(10)(A)(i)(VIII) of the Act

ABP2a

The state/territory has fully aligned its benefits in the Alternative Benefit Plan using Essential Health Benefits and subject to 1937 requirements with its Alternative Benefit Plan that is the state's approved Medicaid state plan that is not subject to 1937 requirements. Therefore the state/territory is deemed to have met the requirements for voluntary choice of benefit package for individuals exempt from mandatory participation in a section 1937 Alternative Benefit Plan.

These assurances must be made by the state/territory if the Adult eligibility group is included in the ABP Population.

The state/territory shall enroll all participants in the "Individuals at or below 133% FPL Age 19 through 64" (section 1902(a)(10)(A)(i)(VIII)) eligibility group in the Alternative Benefit Plan specified in this state plan amendment, except as follows: A beneficiary in the eligibility group at section 1902(a)(10)(A)(i)(VIII) who is determined to meet one of the exemption criteria at 45 CFR 440.315 will receive a choice of a benefit package that is either an Alternative Benefit Plan that includes Essential Health Benefits and is subject to all 1937 requirements or an Alternative Benefit Plan that is the state/territory's approved Medicaid state plan not subject to 1937 requirements. The state/territory's approved Medicaid state plan includes all approved state plan programs based on any state plan authority, and approved 1915(c) waivers, if the state has amended them to include the eligibility group at section 1902(a)(10)(A)(i)(VIII).

The state/territory must have a process in place to identify individuals that meet the exemption criteria and the state/territory must comply with requirements related to providing the option of enrollment in an Alternative Benefit Plan defined using section 1937 requirements, or an Alternative Benefit Plan defined as the state/territory's approved Medicaid state plan that is not subject to section 1937 requirements.

Once an individual is identified, the state/territory assures it will effectively inform the individual of the following:

- a) Enrollment in the specified Alternative Benefit Plan is voluntary;
- b) The individual may disenroll from the Alternative Benefit Plan defined subject to section 1937 requirements at any time and instead receive an Alternative Benefit Plan defined as the approved state/territory Medicaid state plan that is not subject to section 1937 requirements; and
- c) What the process is for transferring to the state plan-based Alternative Benefit Plan.

The state/territory assures it will inform the individual of:

- a) The benefits available as Alternative Benefit Plan coverage defined using section 1937 requirements as compared to Alternative Benefit Plan coverage defined as the state/territory's approved Medicaid state plan and not subject to section 1937 requirements; and
- b) The costs of the different benefit packages and a comparison of how the Alternative Benefit Plan subject to 1937 requirements differs from the Alternative Benefit Plan defined as the approved Medicaid state/territory plan benefits.

How will the state/territory inform individuals about their options for enrollment? (Check all that apply)

- Letter
- Email
- Other



Alternative Benefit Plan

Describe:

The state gives beneficiaries the option to receive all official communications through an online portal, rather than a paper notice. Individuals who elect this option receive an email notifying them that a new notice has been uploaded to the portal. When the individuals log on to the portal, they see a PDF of a notice. The text of the notice is identical to the hard copy notice sent to other individuals.

Provide a copy of the letter, email text or other communication text that will be used to inform individuals about their options for enrollment.

An attachment is submitted.

When did/will the state/territory inform the individuals?

During the application process, if a member answers "yes" to the following question: "Do you have a physical, mental, or emotional health condition that causes limitations in activities (like bathing, dressing, daily chores, etc) or live in a medical facility or nursing home?" it will trigger a "Medical Frailty Notice" along with the Medicaid eligibility determination notice informing them they have a right to choose between the Alternative Benefit Plan (ABP) and the ABP that is the Medicaid State Plan and informing them of the differences in the benefits.

Every enrollee will receive a copy of their Rights and Responsibilities including information about medical frailty and how to get more information regarding their coverage options. A copy of this document is also provided to every member at the time of their annual redetermination or in the event they have an eligibility category change.

Information about how to identify as medically frail for demonstration enrollees is also at www.dhhs.state.nh.us/ombp/pap.

Please describe the state/territory's process for allowing individuals in the Section 1902(a)(10)(A)(i)(VIII) eligibility group who meet exemption criteria to disenroll from the Alternative Benefit Plan defined using section 1937 requirements and enroll in the Alternative Benefit Plan defined as the state/territory's approved Medicaid state plan.

During the application process, if a member answers "yes" to the following question: "Do you have a physical, mental, or emotional health condition that causes limitations in activities (like bathing, dressing, daily chores, etc) or live in a medical facility or nursing home?" it will trigger a "Medical Frailty Notice" along with the Medicaid eligibility determination notice informing them they have a right to choose between the Alternative Benefit Plan (ABP) and the ABP that is the Medicaid State Plan and informing them of the differences in the benefits.

Every enrollee will receive a copy of their Rights and Responsibilities including information about medical frailty and how to get more information regarding their coverage options. A copy of this document is also provided to every member at the time of their annual redetermination or in the event they have an eligibility category change.

Additionally, a Medicaid member can self-identify at any time as having a chronic substance use disorder, serious and complex medical condition, or physical, behavioral, intellectual, or developmental disorder and can discuss coverage options with their doctor, or contact Member Services at 1-844-275-3447 or go to www.nheasy.nh.gov for additional information.

The state/territory assures it will document in the exempt individual's eligibility file that the individual:

- a) Was informed in accordance with this section prior to enrollment;
- b) Was given ample time to arrive at an informed choice; and
- c) Chose to enroll in Alternative Benefit Plan coverage subject to section 1937 requirements or defined as the state/territory's approved Medicaid state plan, which is not subject to section 1937 requirements.

Where will the information be documented? (Check all that apply)



Alternative Benefit Plan

- In the eligibility system.
- In the hard copy of the case record.
- Other

What documentation will be maintained in the eligibility file? (Check all that apply)

- Copy of correspondence sent to the individual.
- Signed documentation from the individual consenting to enrollment in the Alternative Benefit Plan.
- Other
- The state/territory assures that it will maintain data that tracks the total number of individuals who have voluntarily enrolled in either Alternative Benefit Plan coverage subject to section 1937 requirements or Alternative Benefit Plan coverage defined as the state/territory's approved Medicaid state plan, which is not subject to section 1937 requirements.

Other information related to benefit package selection assurances for exempt participants (optional):

Members can work with Maximus, our enrollment broker; ServiceLink, our Aging and Disability Resource Center (ADRC); and our district office staff to select the appropriate benefit plan available to them.

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 5 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

V.20140415



Alternative Benefit Plan

State Name:

Attachment 3.1-L-

OMB Control Number: 0938-1148

Transmittal Number: - -

OMB Expiration date: 10/31/2014

Enrollment Assurances - Mandatory Participants

ABP2c

These assurances must be made by the state/territory if enrollment is mandatory for any of the target populations or sub-populations.

When mandatorily enrolling eligibility groups in an Alternative Benefit Plan (Benchmark or Benchmark-Equivalent Plan) that could have exempt individuals, prior to enrollment:

- The state/territory assures it will appropriately identify any individuals in the eligibility groups that are exempt from mandatory enrollment in an Alternative Benefit Plan or individuals who meet the exemption criteria and are given a choice of Alternative Benefit Plan coverage defined using section 1937 requirements or Alternative Benefit Plan coverage defined as the state/territory's approved Medicaid state plan, not subject to section 1937 requirements.

How will the state/territory identify these individuals? (Check all that apply)

- Review of eligibility criteria (e.g., age, disorder/diagnosis/condition)

Describe:

The state will review to ensure the person is eligible under Section 1902(a)(10)(A)(i)(VIII) and is not in any of the following categories: children; currently eligible parents; blind or disabled; pregnant women; or foster children

- Self-identification

Describe:

During the application process, if a member answers "yes" to the following question: "Do you have a physical, mental, or emotional health condition that causes limitations in activities (like bathing, dressing, daily chores, etc) or live in a medical facility or nursing home?" it will trigger a "Medical Frailty Notice" along with the Medicaid eligibility determination notice informing them they have a right to choose between the Alternative Benefit Plan (ABP) and the ABP that is the Medicaid State Plan.

Every enrollee will receive a copy of their Rights and Responsibilities including information about medical frailty and how to get more information regarding their coverage options. A copy of this document is also provided to every member at the time of their annual redetermination or in the event they have an eligibility category change.

Additionally, a Medicaid member can self-identify at any time during their eligibility period as having a chronic substance use disorder, serious and complex medical condition, or physical, behavioral, intellectual, or developmental disorder by contacting Member Services at 844-275-3447 or visiting www.nheasy.nh.gov for additional information.

Member Services staff will have a script for providing choice counseling to people who identify themselves as medically frail.

- Other

Describe:

If a PAP enrollee requests benefits that are not covered in the PAP, but which are covered through other portions of New Hampshire's Medicaid program, the QHP carrier may inform DHHS of the potential need for options counseling for that enrollee.

The QHP Carrier will be able to inform DHHS of these requests by contacting designated staff at DHHS Client Services who have been designated to receive medical frailty referrals from QHP carriers.



Alternative Benefit Plan

DHHS will contact the identified enrollees by phone or mail and offer benefits options counseling as appropriate.

- The state/territory must inform the individual they are exempt or meet the exemption criteria and the state/territory must comply with all requirements related to voluntary enrollment or, for beneficiaries in the "Individuals at or below 133% FPL Age 19 through 64" eligibility group, optional enrollment in Alternative Benefit Plan coverage defined using section 1937 requirements or Alternative Benefit Plan coverage defined as the state/territory's approved Medicaid state plan.
- The state/territory assures that for individuals who have become exempt from enrollment in an Alternative Benefit Plan, the state/territory must inform the individual they are now exempt and the state/territory must comply with all requirements related to voluntary enrollment or, for beneficiaries in the "Individuals at or below 133% FPL Age 19 through 64" eligibility group, optional enrollment in Alternative Benefit Plan coverage defined using section 1937 requirements, or Alternative Benefit Plan coverage defined as the state/territory's approved Medicaid state plan.

How will the state/territory identify if an individual becomes exempt? (Check all that apply)

- Review of claims data
- Self-identification
- Review at the time of eligibility redetermination
- Provider identification
- Change in eligibility group
- Other

How frequently will the state/territory review the Alternative Benefit Plan population to determine if individuals are exempt from mandatory enrollment or meet the exemption criteria?

- Monthly
- Quarterly
- Annually
- Ad hoc basis
- Other

- The state/territory assures that it will promptly process all requests made by exempt individuals for disenrollment from the Alternative Benefit Plan and has in place a process that ensures exempt individuals have access to all standard state/territory plan services or, for beneficiaries in the "Individuals at or below 133% FPL Age 19 through 64" eligibility group, optional enrollment in ~~Alternative Benefit Plan coverage defined using section 1937 requirements, or Alternative Benefit Plan coverage defined as the state/territory's approved Medicaid state plan.~~

Describe the process for processing requests made by exempt individuals to be disenrolled from the Alternative Benefit Plan:

Individuals who self-identify as medically frail at the time of application will return the notice included with their eligibility determination in order to notify the State that they would like to be disenrolled from the ABP administered through the Premium Assistance Program and enrolled in (a) the ABP that is administered through the Medicaid Managed Care Organizations (MCO's) or (b) the Medicaid state plan services administered through the MCO's. Instructions for completing this process are included in their eligibility determination notice.

Individuals seeking exemption from the Alternative Benefit Plan at any time during their period of eligibility will notify the Medicaid



Alternative Benefit Plan

agency who will initiate the change process. The appropriate contact information for the agency is included in their eligibility determination notice. Once the applicant makes the request, the same notice delivered as part of the medically frail individuals' eligibility notice will be sent to the member. Individuals that would like to be dis-enrolled from the ABP must complete the form and return it to the Medicaid agency to complete the process.

The notices provided to individuals who either respond affirmatively to the triggering question on the initial application or who later self-identify as exempt include a description of the differences between the ABP and the Medicaid State Plan Services administered through the MCO's.

Other Information Related to Enrollment Assurance for Mandatory Participants (optional):

PRA Disclosure Statement

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V.20140415



Alternative Benefit Plan

State Name:

Attachment 3.1-L-

OMB Control Number: 0938-1148

Transmittal Number: - -

OMB Expiration date: 10/31/2014

Selection of Benchmark Benefit Package or Benchmark-Equivalent Benefit Package ABP3

Select one of the following:

- The state/territory is amending one existing benefit package for the population defined in Section 1.
- The state/territory is creating a single new benefit package for the population defined in Section 1.

Name of benefit package:

Selection of the Section 1937 Coverage Option

The state/territory selects as its Section 1937 Coverage option the following type of Benchmark Benefit Package or Benchmark-Equivalent Benefit Package under this Alternative Benefit Plan (check one):

- Benchmark Benefit Package.
- Benchmark-Equivalent Benefit Package.

The state/territory will provide the following Benchmark Benefit Package (check one that applies):

- The Standard Blue Cross/Blue Shield Preferred Provider Option offered through the Federal Employee Health Benefit Program (FEHBP).
- State employee coverage that is offered and generally available to state employees (State Employee Coverage):
- A commercial HMO with the largest insured commercial, non-Medicaid enrollment in the state/territory (Commercial HMO):
- Secretary-Approved Coverage.
 - The state/territory offers benefits based on the approved state plan.
 - The state/territory offers an array of benefits from the section 1937 coverage option and/or base benchmark plan benefit packages, or the approved state plan, or from a combination of these benefit packages.

Please briefly identify the benefits, the source of benefits and any limitations:

New Hampshire has created its Adult Group Alternative Benefit Package based on the Matthew Thornton Blue Health Plan, which is the base benchmark plan selected by the State to define Essential Health Benefits for products in the Marketplace. The State has added the additional benefits required for the Alternative Benefit Package, but not covered by the base benchmark plan, namely, non-emergency medical transportation, Early Periodic Screening, Diagnosis, and Treatment (EPSDT) services, routine eye exams, eyeglasses, and dental as described herein. Individuals will also have access to FQHC and RHC services, as well as open access to family planning providers. QHP enrollees will have access to at least one QHP in each service area that contracts with at least one FQHC/RHC. If family planning services are accessed at a Medicaid enrolled provider or facility that the QHP considers an out of network provider, the state's FFS ABP will cover these services.

The state assures that all services in the base benchmark have been accounted for throughout the benefit chart found in ABP5.

Selection of Base Benchmark Plan

The state/territory must select a Base Benchmark Plan as the basis for providing Essential Health Benefits in its Benchmark or Benchmark-Equivalent Package.



Alternative Benefit Plan

The Base Benchmark Plan is the same as the Section 1937 Coverage option.

Indicate which Benchmark Plan described at 45 CFR 156.100(a) the state/territory will use as its Base Benchmark Plan:

- Largest plan by enrollment of the three largest small group insurance products in the state's small group market.
- Any of the largest three state employee health benefit plans by enrollment.
- Any of the largest three national FEHBP plan options open to Federal employees in all geographies by enrollment.
- Largest insured commercial non-Medicaid HMO.

Plan name:

Other Information Related to Selection of the Section 1937 Coverage Option and the Base Benchmark Plan (optional):

The Matthew Thornton Blue Health Plan is the second largest plan by enrollment in the small group insurance market. The Matthew Thornton Blue Health Plan was selected by the State of New Hampshire to be the base benchmark plan to define essential health benefits for the individual and small group markets in New Hampshire.

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Alternative Benefit Plan

State Name:

Attachment 3.1-L-

OMB Control Number: 0938-1148

Transmittal Number: - -

OMB Expiration date: 10/31/2014

Benefits Description

ABP5

The state/territory proposes a "Benchmark-Equivalent" benefit package.

Benefits Included in Alternative Benefit Plan

Enter the specific name of the base benchmark plan selected:

The base benchmark plan is the Matthew Thornton Blue Health Plan. The additional benefits that New Hampshire will provide through fee-for-service Medicaid to adults in the demonstration waiver who are entitled to receive the ABP are: non-emergent medical transportation, EPSDT services for 19 and 20 year olds, family planning services and supplies from Medicaid-enrolled providers, vision coverage for individuals 21 and over limited to exams and eye glasses as applicable, and dental coverage for individuals 21 and over limited to treatment for acute pain or infection.

For benefits provided by the Qualified Health Plans, the state also authorizes benefit packages substantially equal/actuarially equivalent to the benefit package articulated in this document.

Enter the specific name of the section 1937 coverage option selected, if other than Secretary-Approved. Otherwise, enter "Secretary-Approved."



Alternative Benefit Plan

1. Essential Health Benefit: Ambulatory patient services

Collapse All

Benefit Provided:

Primary Care Visit to Treat Illness or Injury

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

none

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefit Provided:

Specialist Visit

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

none

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefit Provided:

Other Practitioner Office Visit (APRN, PA, etc.)

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

none



Alternative Benefit Plan

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Includes Advance Practice Registered Nurse, Physician Assistant, Nurse Practitioner and Certified Midwives, consistent with their scope of practice.

Benefit Provided:

Outpatient Facility (e.g. Amb. Surgery Ctr.)

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

Excludes coverage for reversal of voluntary sterilization; sclerotherapy for varicose veins and treatment of spider veins; sex change treatment; and corrective eye surgery.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefit Provided:

Outpatient Surgery Physician/Surgical Services

Source:

Base Benchmark Small Group

Remove

Authorization:

Prior Authorization

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

Excludes coverage for reversal of voluntary sterilization; sclerotherapy for varicose veins and treatment of spider veins; sex change treatment; and corrective eye surgery.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Prior authorization required for the following surgical services: bariatric surgery, breast reduction, blepharoplasty, panniculectomy, septoplasty and rhinoplasty.

Benefit Provided:

Hospice Services

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none



Alternative Benefit Plan

Scope Limit:

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Add



Alternative Benefit Plan

2. Essential Health Benefit: Emergency services

Collapse All

Benefit Provided:

Urgent Care Centers or Facilities

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

none

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefit Provided:

Outpatient Hospital/Emergency Room Services

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

Excludes coverage of non-emergent use of the Emergency Department.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefit Provided:

Emergency Transportation/Ambulance

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

None

Duration Limit:

None

Scope Limit:

None



Alternative Benefit Plan

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Add



Alternative Benefit Plan

3. Essential Health Benefit: Hospitalization

Collapse All

Benefit Provided:

Inpatient Hospital Services

Source:

Base Benchmark Small Group

Remove

Authorization:

Other

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

Excludes coverage for reversal of voluntary sterilization; sclerotherapy for varicose veins and treatment of spider veins; sex change treatment; and corrective eye surgery.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Prior authorization is required only for out of state inpatient hospitalization.

Benefit Provided:

Inpatient Physician and Surgical Services

Source:

Base Benchmark Small Group

Remove

Authorization:

Prior Authorization

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

none

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Prior authorization required for the following surgical services; bariatric surgery, breast reduction, blepharoplasty, panniculectomy, septoplasty and rhinoplasty.

Benefit Provided:

Bariatric Surgery

Source:

Base Benchmark Small Group

Remove

Authorization:

Prior Authorization

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

None

Duration Limit:

None

Scope Limit:

None



Alternative Benefit Plan

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefit Provided:

Transplant

Source:

Base Benchmark Small Group

Remove

Authorization:

Prior Authorization

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

none

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Prior authorization is required for all organ transplants, except kidney transplants.

Add



Alternative Benefit Plan

4. Essential Health Benefit: Maternity and newborn care

Collapse All

Benefit Provided:

Prenatal and Postnatal Care

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

None

Duration Limit:

None

Scope Limit:

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefit Provided:

Delivery and All Inpatient Services for Maternity

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

None

Duration Limit:

None

Scope Limit:

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Minimum stay of 48 hours

Add



Alternative Benefit Plan

5. Essential Health Benefit: Mental health and substance use disorder services including behavioral health treatment

Collapse All

Benefit Provided:

Mental/behavioral Health Outpatient Services

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

None

Duration Limit:

None

Scope Limit:

see below

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefits are available for outpatient treatment for mental health care and substance abuse care, partial hospitalizations, and day/night visits.
No benefits are available for therapy, counseling, or any non-surgical inpatient or outpatient service, care or program to treat obesity or for weight control; custodial care, convenience services, milieu therapy, marriage or couples counseling; therapy for sexual dysfunctions; recreational or play therapy; educational evaluation; career counseling; services for nicotine withdrawal or dependence; psychoanalysis; and telephone therapy or any other therapy or consultation that is not "face-to-face" interaction between the patient and provider.

Benefit Provided:

Mental/behavioral health inpatient services

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

None

Duration Limit:

None

Scope Limit:

See below

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefits are available for inpatient hospital services or residential treatment center facility for mental health care; inpatient rehabilitation treatment for substance abuse care in a hospital or substance abuse treatment facility; partial hospitalizations; and day/night visits.
No benefits are available for therapy, counseling or any non-surgical inpatient or outpatient service, care or program to treat obesity or for weight control; custodial care, convenience services, milieu therapy, marriage or couples counseling; therapy for sexual dysfunctions; recreational or play therapy; educational evaluation; career counseling; services for nicotine withdrawal or dependence; psychoanalysis; telephone therapy or any other therapy or consultation that is not "face-to-face" interaction between the patient and the provider; and inpatient care for medical detoxification extending beyond the acute detoxification phase of a substance abuse condition.
Benefits exclude IMD's.



Alternative Benefit Plan

Benefit Provided:

Substance Abuse Disorder Outpatient Services

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

None

Duration Limit:

None

Scope Limit:

See below.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefits are available for outpatient treatment for mental health care and substance abuse care, partial hospitalizations, and day/night visits.
No benefits are available for therapy, counseling, or any non-surgical inpatient or outpatient service, care or program to treat obesity or for weight control; custodial care, convenience services, milieu therapy, marriage or couples counseling; therapy for sexual dysfunctions; recreational or play therapy; educational evaluation; career counseling; services for nicotine withdrawal or dependence; psychoanalysis; and telephone therapy or any other therapy or consultation that is not "face-to-face" interaction between the patient and provider.

Benefit Provided:

Substance Abuse Disorder Inpatient Services

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

None

Duration Limit:

None

Scope Limit:

See below.

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefits are available for inpatient hospital services or residential treatment center facility for mental health care; inpatient rehabilitation treatment for and substance abuse care in a hospital or substance abuse facility; partial hospitalizations; and day/night visits.
No benefits are available for therapy, counseling, or any non-surgical inpatient or outpatient service, care or program to treat obesity or for weight control; custodial care, convenience services, milieu therapy, marriage or couples counseling; therapy for sexual dysfunctions; recreational or play therapy; educational evaluation; career counseling; services for nicotine withdrawal or dependence; psychoanalysis; and telephone therapy or any other therapy or consultation that is not "face-to-face" interaction between the patient and provider; and inpatient care for medical detoxification extending beyond the acute detoxification phase of a substance abuse condition. Benefit excludes IMDs.

Add



Alternative Benefit Plan

6. Essential Health Benefit: Prescription drugs

Benefit Provided:

Coverage is at least the greater of one drug in each U.S. Pharmacopeia (USP) category and class or the same number of prescription drugs in each category and class as the base benchmark.

Prescription Drug Limits (Check all that apply.):

- Limit on days supply
- Limit on number of prescriptions
- Limit on brand drugs
- Other coverage limits
- Preferred drug list

Authorization:

Yes

Provider Qualifications:

State licensed

Coverage that exceeds the minimum requirements or other:

The State of New Hampshire's ABP prescription drug benefit plan is the same as under the approved Medicaid state plan for prescribed drugs.



Alternative Benefit Plan

7. Essential Health Benefit: Rehabilitative and habilitative services and devices

Collapse All

Benefit Provided:

Home Health Care Services

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

no benefits available for custodial care

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefit Provided:

Skilled Nursing Facility

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

100 days per year

Duration Limit:

none

Scope Limit:

no benefits available for custodial care

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefit Provided:

Outpatient Rehabilitation Services

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

20 visits per year for each therapy type

Duration Limit:

none

Scope Limit:

see below



Alternative Benefit Plan

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

There is a separate 20 visit limit for each of the following types of therapies: physical therapy, occupational therapy, and speech therapy. Benefit limits are shared between outpatient rehabilitation and habilitation services. No benefits are available for on-going or life-long exercise and education programs intended to maintain lifelong physical fitness; voice therapy or vocal retraining; preventive therapy or therapy provided in a group setting; therapy for educational reasons; therapy for sport, recreational or occupational reasons; or therapy for TMJ.

Benefit Provided:

Respiratory Therapy

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

none

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefit Provided:

Cardiac Rehabilitation

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

none

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefit Provided:

Habilitation Services

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan



Alternative Benefit Plan

Amount Limit:

20 visits for each therapy type

Duration Limit:

none

Scope Limit:

see below

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

There is a separate 20 visit limit for each of the following types of therapies: physical therapy, occupational therapy, and speech therapy. Benefit limits are shared between outpatient rehabilitation and habilitation services. No benefits are available for on-going or life-long exercise and education programs intended to maintain lifelong physical fitness; voice therapy or vocal retraining; preventive therapy or therapy provided in a group setting; therapy for educational reasons; therapy for sport, recreational or occupational reasons; or therapy for TMJ.

Benefit Provided:

Chiropractic Care

Source:

Base Benchmark State Employees

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

12 visits per year

Duration Limit:

none

Scope Limit:

Includes spinal manipulation and manual medical intervention services

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Benefit Provided:

Durable Medical Equipment

Source:

Base Benchmark Small Group

Remove

Authorization:

Prior Authorization

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

none

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

benefits are available for durable medical equipment, medical supplies, and prosthetic devices. Prior authorization is required for durable medical equipment and adult incontinence supplies.



Alternative Benefit Plan

	Add
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Alternative Benefit Plan

8. Essential Health Benefit: Laboratory services

Collapse All

Benefit Provided:

Diagnostic Tests (X-Ray and Lab Work)

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

none

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

No benefits are available for diagnostic x-rays in connection with research or study.

Benefit Provided:

Imaging (CT/PET scans/MRIs)

Source:

Base Benchmark Small Group

Remove

Authorization:

Prior Authorization

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

none

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

Prior authorization is required for the following types of imaging: CT, PET, MRI, MRA, and nuclear cardiology.

Add



Alternative Benefit Plan

9. Essential Health Benefit: Preventive and wellness services and chronic disease management

Collapse All

The state/territory must provide, at a minimum, a broad range of preventive services including: "A" and "B" services recommended by the United States Preventive Services Task Force; Advisory Committee for Immunization Practices (ACIP) recommended vaccines; preventive care and screening for infants, children and adults recommended by HRSA's Bright Futures program/project; and additional preventive services for women recommended by the Institute of Medicine (IOM).

Benefit Provided:

Preventive Care/Screening/Immunization

Source:

Base Benchmark Small Group

Remove

Authorization:

None

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

none

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

The preventive care benefit includes the following: (1) all services listed on the USPSTF A and B lists; (2) Advisory Committee for Immunization Practices (ACIP) recommended vaccines; (3) preventive care and screening for infants, children and adults recommended by HRSA's Bright Futures program/project; and (4) additional preventive services for women recommended by the Institute of Medicine (IOM) and HRSA. This benefit includes family planning services and contraceptive coverage, consistent with the requirements of the additional preventive services for women recommended by the IOM and HRSA. Specifically, the preventive services benefit includes all Food and Drug Administration approved contraceptive methods, sterilization procedures, and patient education and counseling for all women with reproductive capacity.

Add



Alternative Benefit Plan

10. Essential Health Benefit: Pediatric services including oral and vision care

Collapse All

Benefit Provided:

Medicaid State Plan EPSDT Benefits

Source:

State Plan 1905(a)

Remove

Authorization:

Prior Authorization

Provider Qualifications:

Medicaid State Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

none

Other information regarding this benefit, including the specific name of the source plan if it is not the base benchmark plan:

EPSDT will apply for all 19 and 20 year olds. Prior authorization required for th following dental services: comprehensive and interceptive orthodontics, dental orthotic devices, surgical periodontal treatment, and extractions of asymptomatic teeth.

Add



Alternative Benefit Plan

11. Other Covered Benefits from Base Benchmark

Collapse All

Other Base Benefit Provided:

Routine Eye Exam (Adult)

Source:

Base Benchmark

Remove

Authorization:

Retroactive Authorization

Provider Qualifications:

State Plan & Public Employee/Commercial Plan

Amount Limit:

1 exam every 2 years

Duration Limit:

none

Scope Limit:

Other information regarding this benefit:

no prior authorization

Add



Alternative Benefit Plan

12. Base Benchmark Benefits Not Covered due to Substitution or Duplication

Collapse All



Alternative Benefit Plan

13. Other Base Benchmark Benefits Not Covered

Collapse All



Alternative Benefit Plan

14. Other 1937 Covered Benefits that are not Essential Health Benefits

Collapse All

Other 1937 Benefit Provided:

Non-emergency Medical Transportation

Source:

Section 1937 Coverage Option Benchmark Benefit Package

Remove

Authorization:

Prior Authorization

Provider Qualifications:

Medicaid State Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

none

Other:

prior authorization is required for non-emergency medical transportation, including scheduled ambulance.

Other 1937 Benefit Provided:

Eyeglasses for individuals 21 and over

Source:

Section 1937 Coverage Option Benchmark Benefit Package

Remove

Authorization:

Other

Provider Qualifications:

Medicaid State Plan

Amount Limit:

1 pair per year single vision or bifocal glasses*

Duration Limit:

none

Scope Limit:

none

Other:

One refraction is covered to determine the need for glasses, no more frequently than every 12 months. One pair single vision lenses with frames is covered, provided that the refractive error is at least plus or minus .50 diopter according to the type of refractive error in each eye. One pair of glasses with bifocal corrective lenses for distant vision if there is a refractive error of at least .50 diopter for both close and distant vision. Benefit is the same as described in Medicaid state plan. No authorization is required.

Other 1937 Benefit Provided:

Dental for individuals 21 and over

Source:

Section 1937 Coverage Option Benchmark Benefit Package

Remove

Authorization:

Other

Provider Qualifications:

Medicaid State Plan

Amount Limit:

none

Duration Limit:

none

Scope Limit:

coverage is limited to treatment of acute pain or infection



Alternative Benefit Plan

Other:

benefit is the same as described in the Medicaid State Plan. No authorization is required.

Add



Alternative Benefit Plan

15. Additional Covered Benefits (This category of benefits is not applicable to the adult group under section 1902(a)(10)(A)(i)(VIII) of the Act.)

Collapse All

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V.20140415



Alternative Benefit Plan

State Name:

Attachment 3.1-L-

OMB Control Number: 0938-1148

Transmittal Number: - -

OMB Expiration date: 10/31/2014

Benefits Assurances

ABP7

EPSDT Assurances

If the target population includes persons under 21, please complete the following assurances regarding EPSDT. Otherwise, skip to the Prescription Drug Coverage Assurances below.

The alternative benefit plan includes beneficiaries under 21 years of age.

The state/territory assures that the notice to an individual includes a description of the method for ensuring access to EPSDT services (42 CFR 440.345).

The state/territory assures EPSDT services will be provided to individuals under 21 years of age who are covered under the state/territory plan under section 1902(a)(10)(A) of the Act.

Indicate whether EPSDT services will be provided only through an Alternative Benefit Plan or whether the state/territory will provide additional benefits to ensure EPSDT services:

Through an Alternative Benefit Plan.

Through an Alternative Benefit Plan with additional benefits to ensure EPSDT services as defined in 1905(r).

Other Information regarding how ESPDT benefits will be provided to participants under 21 years of age (optional):

Non-medically frail individuals in the new adult group who participate in the New Hampshire Health Protection Premium Assistance Section 1115 (a) Research and Demonstration Waiver, #11-W-00298/1 will receive the Alternative Benefit Plan through cost-effective Qualified Health Plans certified for sale in the individual market through New Hampshire's Federally Facilitated Marketplace. The benefit package administered by the Qualified Health Plans will include coverage for the 10 Essential Health Benefits:

Prescription drugs

Rehabilitative and habilitative services and devices

Laboratory services

Hospitalization

Ambulatory patient services

Maternity and newborn care

Mental health and substance use disorder services

Preventive and wellness services and chronic disease management

Emergency services

Pediatric services, including oral and vision care.

The remaining services in the ABP will be provided through fee-for-service Medicaid: EPSDT services for 19 and 20 year olds, dental benefits for treating acute pain and infection for individuals over 21, eye glasses for individuals over 21, non-emergency medical transportation, and family planning services from Medicaid-enrolled providers.

Prescription Drug Coverage Assurances

The state/territory assures that it meets the minimum requirements for prescription drug coverage in section 1937 of the Act and implementing regulations at 42 CFR 440.347. Coverage is at least the greater of one drug in each United States Pharmacopeia (USP) category and class or the same number of prescription drugs in each category and class as the base benchmark.

The state/territory assures that procedures are in place to allow a beneficiary to request and gain access to clinically appropriate prescription drugs when not covered.



Alternative Benefit Plan

- The state/territory assures that when it pays for outpatient prescription drugs covered under an Alternative Benefit Plan, it meets the requirements of section 1927 of the Act and implementing regulations at 42 CFR 440.345, except for those requirements that are directly contrary to amount, duration and scope of coverage permitted under section 1937 of the Act.
- The state/territory assures that when conducting prior authorization of prescription drugs under an Alternative Benefit Plan, it complies with prior authorization program requirements in section 1927(d)(5) of the Act.

Other Benefit Assurances

- The state/territory assures that substituted benefits are actuarially equivalent to the benefits they replaced from the base benchmark plan, and that the state/territory has actuarial certification for substituted benefits available for CMS inspection if requested by CMS.
- The state/territory assures that individuals will have access to services in Rural Health Clinics (RHC) and Federally Qualified Health Centers (FQHC) as defined in subparagraphs (B) and (C) of section 1905(a)(2) of the Social Security Act.
- The state/territory assures that payment for RHC and FQHC services is made in accordance with the requirements of section 1902(bb) of the Social Security Act.
- The state/territory assures that it will comply with the requirement of section 1937(b)(5) of the Act by providing, effective January 1, 2014, to all Alternative Benefit Plan participants at least Essential Health Benefits as described in section 1302(b) of the Patient Protection and Affordable Care Act.
- The state/territory assures that it will comply with the mental health and substance use disorder parity requirements of section 1937(b)(6) of the Act by ensuring that the financial requirements and treatment limitations applicable to mental health or substance use disorder benefits comply with the requirements of section 2705(a) of the Public Health Service Act in the same manner as such requirements apply to a group health plan.
- The state/territory assures that it will comply with section 1937(b)(7) of the Act by ensuring that benefits provided to Alternative Benefit Plan participants include, for any individual described in section 1905(a)(4)(C), medical assistance for family planning services and supplies in accordance with such section.
- The state/territory assures transportation (emergency and non-emergency) for individuals enrolled in an Alternative Benefit Plan in accordance with 42 CFR 431.53.
- The state/territory assures, in accordance with 45 CFR 156.115(a)(4) and 45 CFR 147.130, that it will provide as Essential Health Benefits a broad range of preventive services including: "A" and "B" services recommended by the United States Preventive Services Task Force; Advisory Committee for Immunization Practices (ACIP) recommended vaccines; preventive care and screening for infants, children and adults recommended by HRSA's Bright Futures program/project; and additional preventive services for women recommended by the Institute of Medicine (IOM).

PRA Disclosure Statement

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V.2014



Alternative Benefit Plan

State Name:

Attachment 3.1-L-

OMB Control Number: 0938-1148

Transmittal Number: - - -

OMB Expiration date: 10/31/2014

Service Delivery Systems

ABPS

Provide detail on the type of delivery system(s) the state/territory will use for the Alternative Benefit Plan's benchmark benefit package or benchmark-equivalent benefit package, including any variation by the participants' geographic area.

Type of service delivery system(s) the state/territory will use for this Alternative Benefit Plan(s).

Select one or more service delivery systems:

- Managed care.
 - Managed Care Organizations (MCO).
 - Prepaid Inpatient Health Plans (PIHP).
 - Prepaid Ambulatory Health Plans (PAHP).
 - Primary Care Case Management (PCCM).
- Fee-for-service.
- Other service delivery system.

Managed Care Options

Managed Care Assurance

- The state/territory certifies that it will comply with all applicable Medicaid laws and regulations, including but not limited to sections 1903(m), 1905(t), and 1932 of the Act and 42 CFR Part 438, in providing managed care services through this Alternative Benefit Plan. This includes the requirement for CMS approval of contracts and rates pursuant to 42 CFR 438.6.

Managed Care Implementation

Please describe the implementation plan for the Alternative Benefit Plan under managed care including member, stakeholder, and provider outreach efforts.

The state will continue to use the managed care organization to administer Medicaid benefits to the medically frail who are exempt from the Premium Assistance Program demonstration and who elect to receive the ABP benefit plan or the Medicaid state plan services through the MCO's.

Beginning in 2016, through its approved Section 1115(a) Research and Demonstration waiver, #11-W-00298/1 the state will purchase cost – effective Qualified Health Plans to provide the ten Essential Health Benefits within the ABP for the non-medically frail newly eligible adults. The state will deliver the remaining categories of benefits in the ABP not covered through the 10 essential health benefits through fee-for-service Medicaid.

New Hampshire sent heads up notices together with detailed program information to the non-medically frail beneficiaries in the new adult group about their conversion to the Premium Assistance Program beginning in late September of 2015. A Premium Assistance Program specific webpage was also published at that time. In early November, New Hampshire sent plan selection and plan confirmation notices to non-medically frail beneficiaries in the newly eligible adult group. Throughout September-November, New Hampshire held multiple overview sessions on the Premium Assistance Program for providers and stakeholders.

MCO: Managed Care Organization

The managed care delivery system is the same as an already approved managed care program.

Yes



Alternative Benefit Plan

The managed care program is operating under (select one):

- Section 1915(a) voluntary managed care program.
- Section 1915(b) managed care waiver.
- Section 1932(a) mandatory managed care state plan amendment.
- Section 1115 demonstration.
- Section 1937 Alternative (Benchmark) Benefit Plan state plan amendment.

Identify the date the managed care program was approved by CMS:

Aug 24, 2012

Describe program below:

New Hampshire contracts with two managed care organizations, Well Sense and New Hampshire Healthy Families to administer Medicaid state plan benefits to the vast majority of its beneficiaries.

Additional Information: MCO (Optional)

Provide any additional details regarding this service delivery system (optional):

Fee-For-Service Options

Indicate whether the state/territory offers traditional fee-for-service and/or services managed under an administrative services organization:

- Traditional state-managed fee-for-service
- Services managed under an administrative services organization (ASO) arrangement

Please describe this fee-for-service delivery system, including any bundled payment arrangements, pay for performance, fee-for-service care management models/non-risk, contractual incentives as well as the population served via this delivery system.

Some long-term care benefits are not included in the MCOs' benefit package currently; instead, the State provides these services through a separate fee-for-service process. To the extent the benefits that are not currently covered by the MCO benefit packages are included in the ABP, the State will cover those benefits through the fee-for-service system.

Additionally, individuals will receive the ABP through fee-for-service while they are awaiting enrollment in an MCO.

All benefits provided through the fee-for-service system will be subject to the authorization requirements set forth in ABP 5.

Additional Information: Fee-For-Service (Optional)

Provide any additional details regarding this service delivery system (optional):

Non-medically frail adults eligible for coverage under Section 1902(a)(10)(A)(i)(VIII) of the Act who are deemed eligible after October 1, 2015 will be in fee-for-service until the coverage date under their Qualified Health Plan begins. For those who select a QHP by the 15th of the month, coverage under the QHP will begin the first of the following month. For those who select a QHP after the 15th of the month, coverage under the QHP will begin the first of the second following month.

Other Service Delivery Model

Name of service delivery system:

Premium Assistance Program.



Alternative Benefit Plan

Provide a narrative description of the model:

Beginning in 2016, through a Section 1115(a) Research and Demonstration waiver, #11-W-00298/1 approved in March of 2015, New Hampshire will purchase coverage for the non-medically frail newly eligible adults through cost-effective Qualified Health Plans (QHPs) certified for sale on New Hampshire's federally facilitated Marketplace.

The QHPs will provide the ten Essential Health Benefits within the ABP to the newly eligible adults. The state will deliver the remaining benefits in the ABP through fee-for-service Medicaid to the non-medically frail new adults.

New Hampshire sent heads up notices together with detailed program information to the non-medically frail beneficiaries in the new adult group about their conversion to the Premium Assistance Program beginning in late September of 2015. A Premium Assistance Program specific webpage was also published at that time.

In early November, New Hampshire sent plan selection and plan confirmation notices to non-medically frail beneficiaries in the newly eligible adult group. Eligible adults will shop for their QHP through New Hampshire's eligibility and enrollment system. Those enrollees with income above the federal poverty level will be subject to standard copayments that are consistent with 42 CFR 447 subpart A.

Enrollees are given 30 days from the date of notice to enroll with a Qualified Health Plan. If they do not make an election within that time frame, they are auto-assigned. The enrollees then have an additional 30 days to select a different QHP than the one to which they were auto-assigned, if they so choose.

PRA Disclosure Statement

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V.20140417



Alternative Benefit Plan

State Name:

Attachment 3.1-L-

OMB Control Number: 0938-1148

Transmittal Number: - -

OMB Expiration date: 10/31/2014

Employer Sponsored Insurance and Payment of Premiums

ABP9

The state/territory provides the Alternative Benefit Plan through the payment of employer sponsored insurance for participants with such coverage, with additional benefits and services provided through a Benchmark or Benchmark-Equivalent Benefit Package.

Yes

Provide a description of employer sponsored insurance, including the population covered, the amount of premium assistance by population, employer sponsored insurance activities including required contribution, cost-effectiveness test requirements, and benefit information:

All individuals eligible under Section 1902(a)(10)(A)(i)(VIII) with access to cost-effective employer-sponsored insurance may elect to receive coverage through the State's Health Insurance Premium Payment program. The state assures that ESI coverage is established in sections 3.2 and 4.22(h) of the state's approved Medicaid State Plan. The beneficiary will receive a benefit package that includes a wrap of benefits around the employer sponsored insurance plan that equals the benefit package to which the beneficiary is entitled. The beneficiary will not be responsible for payment of premiums or other cost sharing that exceeds nominal levels as established at 42 CFR 447 subpart A.

The state/territory otherwise provides for payment of premiums.

Yes

Provide a description including the population covered, the amount of premium assistance by population, required contributions, cost-effectiveness test requirements, and benefits information.

Non-medically frail, non-pregnant individuals eligible under Section 1902(a)(10)(A)(i)(VIII) are required to enroll in cost-effective individual market coverage consistent with New Hampshire's approved Section 1115a Research and Demonstration waiver #11-W-00298/1. For a Medicaid beneficiary who receives coverage in a cost-effective Qualified Health Plan in the individual market through the state's Waiver, the state assures that the Medicaid beneficiary will receive a benefit package that includes a wrap of benefits that equals the benefit package to which the beneficiary is entitled. The beneficiary will not be responsible for payment of premiums. Cost sharing will not exceed nominal levels as established at 42 CFR 447 subpart A.

Other Information Regarding Employer Sponsored Insurance or Payment of Premiums:

PRA Disclosure Statement

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V.20140415



Medicaid Premiums and Cost Sharing

State Name:

OMB Control Number: 0938-1148

Transmittal Number: - - -

Expiration date: 10/31/2014

Cost Sharing Requirements G1

1916
1916A
42 CFR 447.50 through 447.57 (excluding 447.55)

The state charges cost sharing (deductibles, co-insurance or co-payments) to individuals covered under Medicaid.

- The state assures that it administers cost sharing in accordance with sections 1916 and 1916A of the Social Security Act and 42 CFR 447.50 through 447.57.

General Provisions

- The cost sharing amounts established by the state for services are always less than the amount the agency pays for the service.
- No provider may deny services to an eligible individual on account of the individual's inability to pay cost sharing, except as elected by the state in accordance with 42 CFR 447.52(e)(1).
- The process used by the state to inform providers whether cost sharing for a specific item or service may be imposed on a beneficiary and whether the provider may require the beneficiary to pay the cost sharing charge, as a condition for receiving the item or service, is (check all that apply):
 - The state includes an indicator in the Medicaid Management Information System (MMIS)
 - The state includes an indicator in the Eligibility and Enrollment System
 - The state includes an indicator in the Eligibility Verification System
 - The state includes an indicator on the Medicaid card, which the beneficiary presents to the provider
 - Other process
- Contracts with managed care organizations (MCOs) provide that any cost-sharing charges the MCO imposes on Medicaid enrollees are in accordance with the cost sharing specified in the state plan and the requirements set forth in 42 CFR 447.50 through 447.57.

Cost Sharing for Non-Emergency Services Provided in a Hospital Emergency Department

The state imposes cost sharing for non-emergency services provided in a hospital emergency department.

Cost Sharing for Drugs

The state charges cost sharing for drugs.

The state has established differential cost sharing for preferred and non-preferred drugs.

- The state identifies which drugs are considered to be non-preferred.



Medicaid Premiums and Cost Sharing

- The state assures that it has a timely process in place to limit cost sharing to the amount imposed for a preferred drug in the case of a non-preferred drug within a therapeutically equivalent or similar class of drugs, if the individual's prescribing provider determines that a preferred drug for treatment of the same condition either will be less effective for the individual, will have adverse effects for the individual, or both. In such cases, reimbursement to the pharmacy is based on the appropriate cost sharing amount.

Beneficiary and Public Notice Requirements

- Consistent with 42 CFR 447.57, the state makes available a public schedule describing current cost sharing requirements in a manner that ensures that affected applicants, beneficiaries and providers are likely to have access to the notice. Prior to submitting a SPA which establishes or substantially modifies existing cost sharing amounts or policies, the state provides the public with advance notice of the SPA, specifying the amount of cost sharing and who is subject to the charges, and provides reasonable opportunity for stakeholder comment. Documentation demonstrating that the notice requirements have been met are submitted with the SPA. The state also provides opportunity for additional public notice if cost sharing is substantially modified during the SPA approval process.

Other Relevant Information

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 40 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

V.20140415



Medicaid Premiums and Cost Sharing

State Name:

OMB Control Number: 0938-1148

Transmittal Number:

Expiration date: 10/31/2014

Cost Sharing Amounts - Categorically Needy Individuals

G2a

1916
1916A
42 CFR 447.52 through 54

The state charges cost sharing to all categorically needy (Mandatory Coverage and Options for Coverage) individuals.

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 40 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

V.20140415



Medicaid Premiums and Cost Sharing

State Name:

OMB Control Number: 0938-1148

Transmittal Number: ___ - ___ - ___

Expiration date: 10/31/2014

Cost Sharing Amounts - Categorically Needy Individuals

G2a

1916
1916A
42 CFR 447.52 through 54

The state charges cost sharing to all categorically needy (Mandatory Coverage and Options for Coverage) individuals.

No

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 40 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

V.20140415



Medicaid Premiums and Cost Sharing

State Name:

OMB Control Number: 0938-1148

Transmittal Number: - - -

Expiration date: 10/31/2014

Cost Sharing Amounts - Medically Needy Individuals G2b

1916
1916A
42 CFR 447.52 through 54

The state charges cost sharing to all medically needy individuals. No

PRA Disclosure Statement

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V.20140415



Medicaid Premiums and Cost Sharing

State Name:

OMB Control Number: 0938-1148

Transmittal Number:

Expiration date: 10/31/2014

Cost Sharing Amounts - Targeting G2c

1916
1916A
42 CFR 447.52 through 54

The state targets cost sharing to a specific group or groups of individuals.

Population Name (optional):

Eligibility Group(s) Included:

Incomes Greater than TO Incomes Less than or Equal to

	Service	Amount	Dollars or Percentage	Unit	Explanation	
+	Primary Care Provider to Treat Illness/Injury	3.00	\$	Visit		X
+	Specialty Physician Visit	8.00	\$	Visit		X
+	Inpatient Hospital Services	125.00	\$	Entire Stay		X
+	Mental Health Inpatient Services	125.00	\$	Entire Stay		X
+	Substance Use Disorder Inpatient Services	125.00	\$	Entire Stay		X
+	Mental Health Outpatient Services	3.00	\$	Visit		X
+	Substance Use Disorder Outpatient Services	3.00	\$	Visit		X
+	High cost Imaging (CT/PET Scans, MRI)	35.00	\$	Procedure		X
+	Rehabilitative Speech Therapy	8.00	\$	Visit		X
+	Rehabilitative Occupational Therapy	3.00	\$	Visit		X
+	Rehabilitative Physical Therapy	3.00	\$	Visit		X
+	Generic Drugs	4.00	\$	Prescription		X
+	Preferred and Non-Preferred Brand Drugs	8.00	\$	Prescription		X
+	Specialty Drugs	8.00	\$	Prescription		X
+	Chiropractic Care	3.00	\$	Visit		X
+	Other Medical Professional Office Visit (Nurse, PA)	3.00	\$	Visit		X



Medicaid Premiums and Cost Sharing

The state permits providers to require individuals to pay cost sharing as a condition for receiving items or services, subject to the conditions specified at 42 CFR 447.52(e)(1). This is only permitted for non-exempt individuals with family income above 100% FPL.

No

Cost Sharing for Non-preferred Drugs Charged to Otherwise Exempt Individuals

If the state targets cost sharing for non-preferred drugs to specific groups of individuals (entered above), answer the following question:

The state charges cost sharing for non-preferred drugs to otherwise exempt individuals.

No

Cost Sharing for Non-emergency Services Provided in the Hospital Emergency Department Charged to Otherwise Exempt Individuals

If the state charges cost sharing for non-emergency services provided in the hospital emergency department to specific individuals (entered above), answer the following question:

The state charges cost sharing for non-emergency services provided in the hospital emergency department to otherwise exempt individuals.

No

Remove Population

Population Name (optional):

Eligibility Group(s) Included:

Incomes Greater than

100% FPL

TO

Incomes Less than or Equal to

318% FPL

	Service	Amount	Dollars or Percentage	Unit	Explanation	
+	Primary Care Provider Visit to Treat Illness/Injury	3.00	\$	Visit		X
+	Specialty Physician Visit	8.00	\$	Visit		X
+	Inpatient Hospital Services	125.00	\$	Entire Stay		X
+	Mental Health Inpatient Services	125.00	\$	Entire Stay		X
+	Mental Health Outpatient Services	3.00	\$	Visit		X
+	High Cost Imaging (CT/PET Scans, MRI)	35.00	\$	Procedure		X
+	Rehabilitative Speech Therapy	8.00	\$	Visit		X
+	Rehabilitative Occupational Therapy	3.00	\$	Visit		X
+	Rehabilitative Physical Therapy	3.00	\$	Visit		X
+	Preferred Drugs	4.00	\$	Prescription		X
+	Non-Preferred Drugs	8.00	\$	Prescription		X



Medicaid Premiums and Cost Sharing

	Service	Amount	Dollars or Percentage	Unit	Explanation	
+	Other Medical Professional Office Visit (Nurse, PA)	3.00	\$	Visit		X

The state permits providers to require individuals to pay cost sharing as a condition for receiving items or services, subject to the conditions specified at 42 CFR 447.52(e)(1). This is only permitted for non-exempt individuals with family income above 100% FPL. No

Cost Sharing for Non-preferred Drugs Charged to Otherwise Exempt Individuals

If the state targets cost sharing for non-preferred drugs to specific groups of individuals (entered above), answer the following question:

The state charges cost sharing for non-preferred drugs to otherwise exempt individuals. No

Cost Sharing for Non-emergency Services Provided in the Hospital Emergency Department Charged to Otherwise Exempt Individuals

If the state charges cost sharing for non-emergency services provided in the hospital emergency department to specific individuals (entered above), answer the following question:

The state charges cost sharing for non-emergency services provided in the hospital emergency department to otherwise exempt individuals. No

Remove Population

Population Name (optional):

Eligibility Group(s) Included:

Incomes Greater than TO Incomes Less than or Equal to

	Service	Amount	Dollars or Percentage	Unit	Explanation	
+	Primary Care Provider Visit to Treat Illness/Injury	3.00	\$	Visit		X
+	Specialty Physician Visit	8.00	\$	Visit		X
+	Inpatient Hospital Services	125.00	\$	Entire Stay		X
+	Mental Health Inpatient Services	125.00	\$	Entire Stay		X
+	Mental Health Outpatient Services	3.00	\$	Visit		X
+	High Cost Imaging (CT/PET Scans, MRI)	35.00	\$	Procedure		X
+	Rehabilitative Speech Therapy	8.00	\$	Visit		X
+	Rehabilitative Occupational Therapy	3.00	\$	Visit		X
+	Rehabilitative Physical Therapy	3.00	\$	Visit		X



Medicaid Premiums and Cost Sharing

	Service	Amount	Dollars or Percentage	Unit	Explanation	
+	Preferred Drugs	4.00	\$	Prescription		X
+	Non-Preferred Brand Drugs	8.00	\$	Prescription		X
+	Other Medical Professional Office Visit (Nurse, PA)	3.00	\$	Visit		X

The state permits providers to require individuals to pay cost sharing as a condition for receiving items or services, subject to the conditions specified at 42 CFR 447.52(e)(1). This is only permitted for non-exempt individuals with family income above 100% FPL. No

Cost Sharing for Non-preferred Drugs Charged to Otherwise Exempt Individuals

If the state targets cost sharing for non-preferred drugs to specific groups of individuals (entered above), answer the following question:

The state charges cost sharing for non-preferred drugs to otherwise exempt individuals. No

Cost Sharing for Non-emergency Services Provided in the Hospital Emergency Department Charged to Otherwise Exempt Individuals

If the state charges cost sharing for non-emergency services provided in the hospital emergency department to specific individuals (entered above), answer the following question:

The state charges cost sharing for non-emergency services provided in the hospital emergency department to otherwise exempt individuals. No

Remove Population

Population Name (optional):

Eligibility Group(s) Included:

Incomes Greater than TO Incomes Less than or Equal to

	Service	Amount	Dollars or Percentage	Unit	Explanation	
+	Primary Care Provider Visit to Treat Illness/Injury	3.00	\$	Visit		X
+	Specialty Physician Visit	8.00	\$	Visit		X
+	Inpatient Hospital Services	125.00	\$	Entire Stay		X
+	Mental Health Inpatient Services	125.00	\$	Entire Stay		X
+	Mental Health Outpatient Services	3.00	\$	Visit		X
+	Imaging (CT/PET Scans, MRI)	35.00	\$	Procedure		X
+	Rehabilitative Speech Therapy	8.00	\$	Visit		X



Medicaid Premiums and Cost Sharing

	Service	Amount	Dollars or Percentage	Unit	Explanation	
+	Rehabilitative Occupational Therapy	3.00	\$	Visit		X
+	Rehabilitative Physical Therapy	3.00	\$	Visit		X
+	Preferred Drugs	4.00	\$	Prescription		X
+	Non-Preferred Drugs	8.00	\$	Prescription		X
+	Other Medical Professional Office Visit (Nurse, PA)	3.00	\$	Visit		X

The state permits providers to require individuals to pay cost sharing as a condition for receiving items or services, subject to the conditions specified at 42 CFR 447.52(e)(1). This is only permitted for non-exempt individuals with family income above 100% FPL. No

Cost Sharing for Non-preferred Drugs Charged to Otherwise Exempt Individuals

If the state targets cost sharing for non-preferred drugs to specific groups of individuals (entered above), answer the following question:

The state charges cost sharing for non-preferred drugs to otherwise exempt individuals. No

Cost Sharing for Non-emergency Services Provided in the Hospital Emergency Department Charged to Otherwise Exempt Individuals

If the state charges cost sharing for non-emergency services provided in the hospital emergency department to specific individuals (entered above), answer the following question:

The state charges cost sharing for non-emergency services provided in the hospital emergency department to otherwise exempt individuals. No

Remove Population

Population Name (optional):

Eligibility Group(s) Included:

Incomes Greater than TO Incomes Less than or Equal to

	Service	Amount	Dollars or Percentage	Unit	Explanation	
+	Primary Care Provider Visit to Treat Illness/Injury	3.00	\$	Visit		X
+	Specialty Physician	8.00	\$	Visit		X
+	Inpatient Hospital Services	125.00	\$	Entire Stay		X
+	Mental Health Inpatient Services	125.00	\$	Entire Stay		X
+	Mental Health Outpatient Services	3.00	\$	Visit		X



Medicaid Premiums and Cost Sharing

	Service	Amount	Dollars or Percentage	Unit	Explanation	
+	Imaging (CT/PET Scans, MRI)	35.00	\$	Procedure		X
+	Rehabilitative Speech Therapy	8.00	\$	Visit		X
+	Rehabilitative Occupational Therapy	3.00	\$	Visit		X
+	Rehabilitative Physical Therapy	3.00	\$	Visit		X
+	Preferred Drugs	4.00	\$	Prescription		X
+	Non-Preferred Drugs	8.00	\$	Prescription		X
+	Other Medical Professional Office Visit (Nurse, PA)	3.00	\$	Visit		X

The state permits providers to require individuals to pay cost sharing as a condition for receiving items or services, subject to the conditions specified at 42 CFR 447.52(e)(1). This is only permitted for non-exempt individuals with family income above 100% FPL.

No

Cost Sharing for Non-preferred Drugs Charged to Otherwise Exempt Individuals

If the state targets cost sharing for non-preferred drugs to specific groups of individuals (entered above), answer the following question:

The state charges cost sharing for non-preferred drugs to otherwise exempt individuals.

No

Cost Sharing for Non-emergency Services Provided in the Hospital Emergency Department Charged to Otherwise Exempt Individuals

If the state charges cost sharing for non-emergency services provided in the hospital emergency department to specific individuals (entered above), answer the following question:

The state charges cost sharing for non-emergency services provided in the hospital emergency department to otherwise exempt individuals.

No

Remove Population

Population Name (optional):

Eligibility Group(s) Included:

Incomes Greater than

100% FPL

TO Incomes Less than or Equal to

--

	Service	Amount	Dollars or Percentage	Unit	Explanation	
+	Primary Care Provider Visit to Treat Illness/Injury	3.00	\$	Visit		X
+	Specialty Physician Visit	8.00	\$	Visit		X
+	Inpatient Hospital Services	125.00	\$	Entire Stay		X



Medicaid Premiums and Cost Sharing

	Service	Amount	Dollars or Percentage	Unit	Explanation	
+	Mental Health Inpatient Services	125.00	\$	Entire Stay		X
+	Mental Health Outpatient Services	3.00	\$	Visit		X
+	Imaging (CT/PET Scans, MRI)	35.00	\$	Procedure		X
+	Rehabilitative Speech Therapy	8.00	\$	Visit		X
+	Rehabilitative Occupational Therapy	3.00	\$	Visit		X
+	Rehabilitative Physical Therapy	3.00	\$	Visit		X
+	Preferred Drugs	4.00	\$	Prescription		X
+	Non-Preferred Drugs	8.00	\$	Prescription		X
+	Other Medical Professional Office Visit (Nurse, PA)	3.00	\$	Visit		X

The state permits providers to require individuals to pay cost sharing as a condition for receiving items or services, subject to the conditions specified at 42 CFR 447.52(e)(1). This is only permitted for non-exempt individuals with family income above 100% FPL. No

Cost Sharing for Non-preferred Drugs Charged to Otherwise Exempt Individuals

If the state targets cost sharing for non-preferred drugs to specific groups of individuals (entered above), answer the following question:

The state charges cost sharing for non-preferred drugs to otherwise exempt individuals. No

Cost Sharing for Non-emergency Services Provided in the Hospital Emergency Department Charged to Otherwise Exempt Individuals

If the state charges cost sharing for non-emergency services provided in the hospital emergency department to specific individuals (entered above), answer the following question:

The state charges cost sharing for non-emergency services provided in the hospital emergency department to otherwise exempt individuals. No

Population Name (optional):

Eligibility Group(s) Included:

Incomes Greater than TO Incomes Less than or Equal to

	Service	Amount	Dollars or Percentage	Unit	Explanation	
+	Primary Care Provider Visit to Treat Illness/Injury	3.00	\$	Visit		X



Medicaid Premiums and Cost Sharing

	Service	Amount	Dollars or Percentage	Unit	Explanation	
+	Specialty Physician Visit	8.00	\$	Visit		X
+	Inpatient Hospital Services	125.00	\$	Entire Stay		X
+	Mental Health Inpatient Services	125.00	\$	Entire Stay		X
+	Mental Health Outpatient Services	3.00	\$	Visit		X
+	Imaging (CT/PET Scans, MRI)	35.00	\$	Procedure		X
+	Rehabilitative Speech Therapy	8.00	\$	Visit		X
+	Rehabilitative Occupational Therapy	3.00	\$	Visit		X
+	Rehabilitative Physical Therapy	3.00	\$	Visit		X
+	Preferred Drugs	4.00	\$	Prescription		X
+	Non-Preferred Drugs	8.00	\$	Prescription		X
+	Other Medical Professionals Office Visit	3.00	\$	Visit		X

The state permits providers to require individuals to pay cost sharing as a condition for receiving items or services, subject to the conditions specified at 42 CFR 447.52(e)(1). This is only permitted for non-exempt individuals with family income above 100% FPL.

No

Cost Sharing for Non-preferred Drugs Charged to Otherwise Exempt Individuals

If the state targets cost sharing for non-preferred drugs to specific groups of individuals (entered above), answer the following question:

The state charges cost sharing for non-preferred drugs to otherwise exempt individuals.

No

Cost Sharing for Non-emergency Services Provided in the Hospital Emergency Department Charged to Otherwise Exempt Individuals

If the state charges cost sharing for non-emergency services provided in the hospital emergency department to specific individuals (entered above), answer the following question:

The state charges cost sharing for non-emergency services provided in the hospital emergency department to otherwise exempt individuals.

No

Remove Population

Add Population



Medicaid Premiums and Cost Sharing

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 40 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

V.20140415



Medicaid Premiums and Cost Sharing

State Name:

OMB Control Number: 0938-1148

Transmittal Number: - - -

Expiration date: 10/31/2014

Cost Sharing Limitations

G3

42 CFR 447.56
1916
1916A

- The state administers cost sharing in accordance with the limitations described at 42 CFR 447.56, and 1916(a)(2) and (j) and 1916A(b) of the Social Security Act, as follows:

Exemptions

Groups of Individuals - Mandatory Exemptions

The state may not impose cost sharing upon the following groups of individuals:

- Individuals ages 1 and older, and under age 18 eligible under the Infants and Children under Age 18 eligibility group (42 CFR 435.118).
- Infants under age 1 eligible under the Infants and Children under Age 18 eligibility group (42 CFR 435.118), whose income does not exceed the higher of:
 - 133% FPL; and
 - If applicable, the percent FPL described in section 1902(l)(2)(A)(iv) of the Act, up to 185 percent.
- Disabled or blind individuals under age 18 eligible for the following eligibility groups:
 - SSI Beneficiaries (42 CFR 435.120).
 - Blind and Disabled Individuals in 209(b) States (42 CFR 435.121).
 - Individuals Receiving Mandatory State Supplements (42 CFR 435.130).
- Children for whom child welfare services are made available under Part B of title IV of the Act on the basis of being a child in foster care and individuals receiving benefits under Part E of that title, without regard to age.
- Disabled children eligible for Medicaid under the Family Opportunity Act (1902(a)(10)(A)(ii)(XIX) and 1902(cc) of the Act).
- Pregnant women, during pregnancy and through the postpartum period which begins on the last day of pregnancy and extends through the end of the month in which the 60-day period following termination of pregnancy ends, except for cost sharing for services specified in the state plan as not pregnancy-related.
- Any individual whose medical assistance for services furnished in an institution is reduced by amounts reflecting available income other than required for personal needs.
- An individual receiving hospice care, as defined in section 1905(o) of the Act.
- Indians who are currently receiving or have ever received an item or service furnished by an Indian health care provider or through referral under contract health services.
- Individuals who are receiving Medicaid because of the state's election to extend coverage to the Certain Individuals Needing Treatment for Breast or Cervical Cancer eligibility group (42 CFR 435.213).



Medicaid Premiums and Cost Sharing

Groups of Individuals - Optional Exemptions

The state may elect to exempt the following groups of individuals from cost sharing:

The state elects to exempt individuals under age 19, 20 or 21, or any reasonable category of individuals 18 years of age or over.

No

The state elects to exempt individuals whose medical assistance for services furnished in a home and community-based setting is reduced by amounts reflecting available income other than required for personal needs.

Yes

Services - Mandatory Exemptions

The state may not impose cost sharing for the following services:

- Emergency services as defined at section 1932(b)(2) of the Act and 42 CFR 438.114(a).
- Family planning services and supplies described in section 1905(a)(4)(C) of the Act, including contraceptives and pharmaceuticals for which the state claims or could claim federal match at the enhanced rate under section 1903(a)(5) of the Act for family planning services and supplies.
- Preventive services, at a minimum the services specified at 42 CFR 457.520, provided to children under 18 years of age regardless of family income, which reflect the well-baby and well child care and immunizations in the Bright Futures guidelines issued by the American Academy of Pediatrics.
- Pregnancy-related services, including those defined at 42 CFR 440.210(a)(2) and 440.250(p), and counseling and drugs for cessation of tobacco use. All services provided to pregnant women will be considered pregnancy-related, except those services specifically identified in the state plan as not being related to pregnancy.
- Provider-preventable services as defined in 42 CFR 447.26(b).

Enforceability of Exemptions

The procedures for implementing and enforcing the exemptions from cost sharing contained in 42 CFR 447.56 are (check all that apply):

- To identify that American Indians/Alaskan Natives (AI/AN) are currently receiving or have ever received an item or service furnished by an Indian health care provider or through referral under contract health services in accordance with 42 CFR 447.56(a)(1)(x), the state uses the following procedures:
 - The state accepts self-attestation
 - The state runs periodic claims reviews
 - The state obtains an Active or Previous User Letter or other Indian Health Services (IHS) document
 - The Eligibility and Enrollment and MMIS systems flag exempt recipients
 - Other procedure

Additional description of procedures used is provided below (optional):

The state will rely on the following question in the single streamlined application: "Has this person ever gotten a service from the Indian Health Service, a tribal health program, or urban Indian Health Program, or through a referral from one of these programs?" Any individual who answers "yes" will be exempt from cost-sharing.

- To identify all other individuals exempt from cost sharing, the state uses the following procedures (check all that apply):



Medicaid Premiums and Cost Sharing

- The MMIS system flags recipients who are exempt
- The Eligibility and Enrollment System flags recipients who are exempt
- The Medicaid card indicates if beneficiary is exempt
- The Eligibility Verification System notifies providers when a beneficiary is exempt
- Other procedure

Additional description of procedures used is provided below (optional):

Payments to Providers

- The state reduces the payment it makes to a provider by the amount of a beneficiary's cost sharing obligation, regardless of whether the provider has collected the payment or waived the cost sharing, except as provided under 42 CFR 447.56(c).

Payments to Managed Care Organizations

The state contracts with one or more managed care organizations to deliver services under Medicaid.

Yes

- The state calculates its payments to managed care organizations to include cost sharing established under the state plan for beneficiaries not exempt from cost sharing, regardless of whether the organization imposes the cost sharing on its recipient members or the cost sharing is collected.

Aggregate Limits

- Medicaid premiums and cost sharing incurred by all individuals in the Medicaid household do not exceed an aggregate limit of 5 percent of the family's income applied on a quarterly or monthly basis.

The percentage of family income used for the aggregate limit is:

5%

4%

3%

2%

1%

Other: %

The state calculates family income for the purpose of the aggregate limit on the following basis:

Quarterly

Monthly



Medicaid Premiums and Cost Sharing

The state has a process to track each family's incurred premiums and cost sharing through a mechanism that does not rely on beneficiary documentation.

Yes

- Describe the mechanism by which the state tracks each family's incurred premiums and cost sharing (check all that apply):

- As claims are submitted for dates of services within the family's current monthly or quarterly cap period, the state applies the incurred cost sharing for that service to the family's aggregate limit. Once the family reaches the aggregate limit, based on incurred cost sharing and any applicable premiums, the state notifies the family and providers that the family has reached their aggregate limit for the current monthly or quarterly cap period, and are no longer subject to premiums or cost sharing.

- Managed care organization(s) track each family's incurred cost sharing, as follows:

As claims are submitted for dates of services within the family's current quarterly cap period, the MCO applies the incurred cost sharing for that service to the family's aggregate limit. The MCO reports monthly to DHHS about the incurred cost sharing the member has utilized. DHHS aggregates the cost sharing incurred for the member from FFS and PBM and notifies the eligibility and enrollment system, New Heights, that the copay requirement must be suppressed until the beginning of the next quarter.

- Other process:

As claims are submitted to Qualified Health Plans for dates of services within the individual's current quarterly cap period, the QHP applies the incurred cost sharing for that service to the family's aggregate limit. Once the family reaches the aggregate limit, based on incurred cost sharing, the QHPs online portal notifies the individual and providers that the individual has reached their aggregate limit for the current quarterly cap period, and are no longer subject to cost sharing.

- Describe how the state informs beneficiaries and providers of the beneficiaries' aggregate family limit and notifies beneficiaries and providers when a beneficiary has incurred premiums and cost sharing up to the aggregate family limit and individual family members are no longer subject to premiums or cost sharing for the remainder of the family's current monthly or quarterly cap period:

For non-NHHPP adults subject to copay, the eligibility and enrollment system will remove the signifier of copay from the member files. That will be passed to MMIS which will in turn pass it along to the PBM and the MCOs to suppress copayment for the remainder of the quarter. Providers will continue to check MMIS for eligibility and will see that there is no copay for that member during the remainder of the quarter.

For NHHPP adults in the Premium Assistance Program, the Qualified Health Plans will notify members and providers through online member portals where they are in their incurrence of copayments and will display when the member has reached his or her quarterly cap.

The state has a documented appeals process for families that believe they have incurred premiums or cost sharing over the aggregate limit for the current monthly or quarterly cap period.

No

- Describe the process used to reimburse beneficiaries and/or providers if the family is identified as paying over the aggregate limit for the month/quarter:

The beneficiaries may bring receipts to the Medicaid agency to demonstrate that they have paid cost-sharing in excess of the aggregate limit for the quarter. The Medicaid agency will review the receipts and reimburse beneficiaries for any amount above the aggregate limit.

- Describe the process for beneficiaries to request a reassessment of their family aggregate limit if they have a change in circumstances or if they are being terminated for failure to pay a premium:

At any time, enrollees may notify the Medicaid agency of a change in income or other circumstances that might change



Medicaid Premiums and Cost Sharing

their aggregate cost-sharing limit. Once a beneficiary notifies the Medicaid agency of such change, the Medicaid agency will review the updated information and change the aggregate limits, if necessary.

The state imposes additional aggregate limits, consistent with 42 CFR 447.56(f)(5).

No

PRA Disclosure Statement

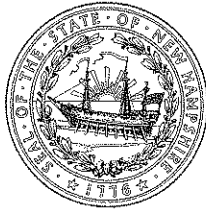
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V.20140415

Revised Standard Cost Sharing Plan

High Value Silver Plan 94% Actuarial Value Plan				
Overall Deductible				\$350
Service Specific Deductibles				
			Medical	\$0
			Brand Drugs	\$0
			Dental	\$0
Member Out of Pocket Maximum (all services combined – does not include deductible)				\$600
General Service Description	Subject to Deductible	Unit of Service	Copays	Coinsurance
Behavioral Health - IP	Yes	Admission	\$125	100%
Behavioral Health - OP	Yes	Visit	\$0	100%
Behavioral Health - Professional	No	Visit	\$3	100%
Durable Medical Equipment	Yes	Service	\$0	100%
Emergency Room Services	Yes	Visit	\$0	100%
High Cost Imaging (CT/PET Scans, MRIs)	No	Visit	\$35	100%
Hospital Inpatient (Excluding Maternity Admissions)	Yes	Admission	\$125	100%
Lab and Radiology	No	Visit	\$0	100%
Skilled Nursing Facility	Yes	Admission	\$0	100%
Other	Yes	Visit	\$0	100%
Other Medical Professionals	No	Visit	\$3	100%
Hospital Outpatient Facility	Yes	Visit	\$0	100%
Primary Care Physician	No	Visit	\$3	100%
Physical and Occupational Therapy	No	Visit	\$3	100%
Chiropractor	No	Visit	\$3	100%
Specialty Physician	No	Visit	\$8	100%
Pharmacy - Generics	No	Prescription	\$4	100%
Pharmacy - Preferred Brand Drugs	No	Prescription	\$8	100%
Pharmacy - Non-Preferred Brand Drugs	No	Prescription	\$8	100%
Pharmacy - Specialty Drugs	No	Prescription	\$8	100%
2016 AV Calculator Result				94.9%

May 11, 2015



MICHAEL W. KANE, MPA
Legislative Budget Assistant
(603) 271-3161

CHRISTOPHER M. SHEA, MPA
Deputy Legislative Budget Assistant
(603) 271-3161

State of New Hampshire

OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

STEPHEN C. SMITH, CPA
Director, Audit Division
(603) 271-2785

December 10, 2015

Fiscal Committee of the General Court
The Honorable Neal M. Kurk, Chairman
State House
Concord, New Hampshire 03301

Dear Representative Kurk and Members of the Committee,

In accordance with the provisions of Chapter 274, Laws of 2015, sections 11 and 12 your approval is requested to grant the following salary increase to the employees of the Office of Legislative Budget Assistant:

Effective January 8, 2016	2.00%
Effective January 6, 2017	2.00%

Sincerely,

 A handwritten signature in black ink, appearing to read "Michael W. Kane".

Michael W. Kane
Legislative Budget Assistant



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



Victoria F. Sheehan
Commissioner

William Cass, P.E.
Assistant Commissioner

December 04, 2015
Division of Operations

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

1.) Pursuant to Chapter 276:29, Laws of 2015, authorize the Department of Transportation to establish various non-budgeted classes in various accounting units and to transfer \$25,600 between various accounts and classes effective upon Fiscal Committee and the date of Governor and Council approval through June 30, 2016.

04-096-096-960515-3009	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
Traffic Operations			
Expenses:			
010 500100 Personal Services – Permanent	\$2,957,602	\$0	\$2,957,602
017 500147 FT Employees Special Payment	4,201	0	4,201
018 500106 Overtime	275,001	0	275,001
019 500105 Holiday Pay	289	0	289
020 500200 Current Expense	3,601,810	0	3,601,810
022 500255 Rents-Leases Other than State	8,741	0	8,741
023 500291 Heat, Electricity, Water	312,779	0	312,779
024 500225 Maint Other than Build-Grn	56,300	0	56,300
026 500251 Organizational Dues	1	0	1
030 500311 Equipment New/Replacement	96,169	0	96,169
037 500174 Technology-Hardware	12,500	0	12,500
038 500175 Technology-Software	32,439	0	32,439
039 500180 Telecommunications	36,250	0	36,250
046 500464 Eng Consultants	0	7,000	7,000
047 500240 Own Forces Maint Build.-Grnds	2,490	0	2,490
048 500226 Contractual Maint.-Build-Grnds	56,014	0	56,014
050 500109 Personal Service-Temp	324,999	0	324,999
057 500535 Books, Periodicals, Subscriptions	12,000	0	12,000
059 500117 Temp Full Time	7,000	(7,000)	0
060 500601 Benefits	1,788,597	0	1,788,597
066 500555 Employee Training	4,653	0	4,653
070 500704 In-State Travel Reimbursement	25,000	0	25,000
080 500710 Out of State Travel	3,001	0	3,001
103 502664 Contracts for Operational Services	2,017	0	2,017
Total	\$9,619,853	\$0	\$9,619,853
Source of Funds			
Revenue:			
000 408043 Federal Funds	\$3,342,389	\$0	\$3,342,389

004 403631 Intra Agency Transfers	550,000	0	550,000
007 402193 Agency Income	30,000	0	30,000
009 405698 Agency Income	296,447	0	296,447
000 000015 Highway Funds	5,401,017	0	5,401,017
Total	\$9,619,853	\$0	\$9,619,853

	Current Budget FY2016	Requested Change	Revised Budget FY2016
04-096-096-962015-3028			
Right-of-Way Bureau			
Expenses:			
010 500100 Personal Services – Permanent	\$1,845,897	\$0	\$1,845,897
018 500106 Overtime	20,000	0	20,000
020 500200 Current Expense	16,000	0	16,000
022 500255 Rents-Leases Other than State	4,842	0	4,842
024 500225 Maint Other than Build-Grn	1,000	0	1,000
026 500251 Organizational Dues	5,975	0	5,975
030 500311 Equipment New Replacement	6,000	0	6,000
037 500174 Technology-Hardware	7,658	0	7,658
038 500175 Technology-Software	3,860	0	3,860
039 500180 Telecommunications	18,600	0	18,600
050 500109 Personal Services-Temp	30,000	0	30,000
057 500535 Books Periodicals Subscription	8,064	0	8,064
060 500601 Benefits	920,559	0	920,559
065 500541 Board Expenses	0	18,400	18,400
066 500543 Employee Training	12,500	0	12,500
069 500567 Promotional Marketing Expense	45,000	(18,400)	26,600
070 500704 In-State Travel Reimbursement	800	0	800
080 500710 Out of State Travel Reimbursement	1,000	0	1,000
401 500877 Land Interest	2,425	0	2,425
Total	\$2,950,180	\$0	\$2,950,180
Source of Funds			
Revenue:			
000-409151 Federal Funds	\$884,930	\$0	\$884,930
009-405698 Agency Income	43,375	0	43,375
009-405699 Agency Income	53,231	0	53,231
000-000015 Highway Fund	1,968,644	0	1,968,644
Total	\$2,950,180	\$0	\$2,950,180

	Current Budget FY 2016	Requested Change	Revised Budget FY 2016
04-096-096-960315-3017			
Human Resources			
Expenses:			
010 500100 Personal Services – Permanent	\$561,148	\$0	\$561,148
018 500106 Overtime	2,000	0	2,000
020 500200 Current Expense	7,250	0	7,250
022 500255 Rents-Leases Other than State	2,500	0	2,500
030 500311 Equipment New/Replacement	6,150	(200)	5,950
037 500174 Technology-Hardware	1,900	0	1,900
039 500180 Telecommunications	7,000	0	7,000
050 500109 Personal Service-Temp	43,001	0	43,001
060 500601 Benefits	293,803	0	293,803
070 500704 In-State Travel Reimbursement	0	200	200
Total	\$924,752	\$0	\$924,752

Source of Funds			
Revenue:			
000 409151 Federal Funds	\$228,769	\$0	\$228,769
009 405698 Agency Income	11,609	0	11,609
000 000015 Highway Funds	684,374	0	684,374
Total	\$924,752	\$0	\$924,752

2.) Pursuant to RSA 124:15, I, authorize the New Hampshire Department of Transportation to establish a consultant class to pay towards an existing Consultant contract for services to be performed on data collection upon the date of Fiscal Committee and Governor and Council approval through June 30, 2016.

EXPLANATION

The Department requests authorization to transfer budgeted account funds among the various accounts in order to pay for services incurred in meeting the State's transportation demands. Specific explanations relating to the Department's spending requests are as follows:

Traffic Operations (3009) – 34.74% Federal Funds, 5.72% Intra-Agency Transfers, 3.39% Agency Income, 56.15% Highway Funds

Class 046 Increase Consultants by \$7,000 to cover processing of traffic data related to annual traffic data collection efforts for the Highway Performance Management System (HPMS) that was not anticipated during the current budget. This consultant has been approved through the Governor & Council process (G&C on 12/14/2011, Item #122 and 8/5/14, Item #16). This request does not increase the amount of the original contract but instead, pays from the highway fund this service as described that is not allowable to be paid from federal funds.

Class 059 Decrease Temp Full Time by \$7,000. Due to the shift away from Full Time Temporary personnel, it is not anticipated that these funds will be utilized in FY2016.

Right-of-Way Bureau (3028) 30.0% Federal Funds, 3.27% Agency Income and 66.73% Highway Funds

Class 065 Increase Board Expenses by \$18,400 for payment of Highway Layout Commission members per diem and mileage expenditures. Per RSA 230:14 the commission shall determine whether there is occasion for the laying out or alteration of a class I or class II highway or a highway within the state included in the national system of interstate highways as proposed by the commissioner of transportation and if so, the commission may purchase land or other property that is reasonably necessary for the construction, reconstruction, or alteration and shall lay out the remainder of such highway or alteration.

Class 069 Decrease Promotional Marketing Expense by \$18,400 due to a reduction in required advertising as a result of fewer public hearings for Department projects in FY2016.

Human Resources (3017) 24.74% Federal Funds, 1.26% Agency Income and 74.00% Highway Funds

Class 030 Decrease Equipment New Replacement by \$200. Equipment purchases for FY16 will be managed to accommodate this request.

Class 070 Increase In State Travel Reimbursement by \$200. Due to the limited number of pool cars available to HR training staff, there has been an increase in personal car usage for District training days.

The following is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

1. Does transfer involve continuing programs or one-time projects?
Transfers are for continuing programs (not one-time).
2. Is this transfer required to maintain existing program level or will it increase program level?

Transfers are to maintain existing program levels (no increase in program level).

3. Cite any requirements, which make this program necessary.
RSA 21-L:2, in part, establishes that the Department will be responsible for planning, developing, and maintaining a state transportation network. This transfer will facilitate the accomplishment of this responsibility.
4. Identify the source of funds on all accounts listed on this transfer.
Source of funds is Highway Funds.
5. Will there be any effect on revenue if this transfer is approved or disapproved?
This transfer will have no effect on revenue.
6. Are funds expected to lapse if this transfer is not approved?
Should funds lapse as a result of not approving this request for project funding, such funds will lapse to their respective account fund balances.
7. Are personal services involved?
The transfer does not result in any new positions.

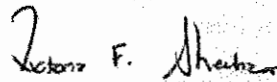
The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

Consultant:

1. List of personnel involved: None. This request is to create in the Traffic Bureau, class 046 Consultants only as the Consultant is currently approved and contracted.
2. Nature, Need, and Duration: The consulting classline would enable the Department to pay for certain services from the Highway Fund through June 30, 2016.
3. Relationship to existing agency programs: Traffic Bureau is responsible in part, for traffic data collection and study.
4. Has a similar program been requested of the legislature and denied? No, a similar program has not been requested and denied.
5. Why wasn't funding included in the agency's budget request? The need was identified after the budget was established.
6. Can portions of the grant fund be utilized? Not applicable, these are not grant funds.
7. Estimate the funds required to continue this position(s): There are no positions associated with this request.

Your approval of this resolution is respectfully requested.

Sincerely,



Victoria F. Sheehan
Commissioner



DEPARTMENT OF HEALTH AND HUMAN SERVICES

OFFICE OF THE COMMISSIONER

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9200 1-800-852-3345 Ext. 9200
Fax: 603-271-4912 TDD Access: 1-800-735-2964

Nicholas A. Toumpas
Commissioner

November 23, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of Chapter 276:143 Laws of 2015 and RSA 14:30-a-VI, authorize the Department of Health and Human Services to transfer general funds in the amount of \$ 2,935,876, increase Federal revenues in the amount of \$9,129,830 and increase related Other revenues in the amount of \$1,219,378 in the Department of Health and Human Services. The transfers and adjustments are summarized below and detailed in the attached worksheets, effective upon approval of the Fiscal Committee and the Governor and Executive Council through June 30, 2016.

Table with 3 columns: Description, Account, Amount. It lists salary and benefit accounts with their respective amounts and transfers.

	Account	Amount
Other Accounts		
From: (Various Accounts):		
Division for Children Youth and Families	Various	(\$16,749)
Division of Client Services	Various	(\$900)
Bureau of Elderly & Adult Services	61730000	(\$1,100,297)
Glenclyff Home	Various	(\$21,000)
Bureau of Behavioral Health	70100000	(\$1,492,941)
Bureau of Developmental Services	Various	<u>(\$126,068)</u>
Total Department of Health and Human Services		<u>(\$2,757,955)</u>
To:		
Division for Children Youth and Families	Various	\$16,749
Division of Client Services	Various	\$900
Office of Medicaid Business and Policy	Various	\$2,593,237
Glenclyff Home	Various	\$21,000
Bureau of Developmental Services	Various	<u>\$126,068</u>
Total Department of Health and Human Services		<u>\$2,757,954</u>

EXPLANATION

These transfers reflect adjustments to various class lines to address projected expenses in the Department of Health and Human Services. Expenditure patterns and the authorized budget, Chapter 276:143 Laws of 2015, have been reviewed for the state fiscal year ending June 30, 2016. Based upon this review, a number of accounts were found to require additional funds, while other accounts were experiencing less than originally anticipated expenditures. This transfer will provide for the continued efficient operation of the Department.

The following is the information specifically required when transfers are requested, in accordance with the Budget Officer's instructional memorandum dated April 17, 1985, to support the above requested actions:

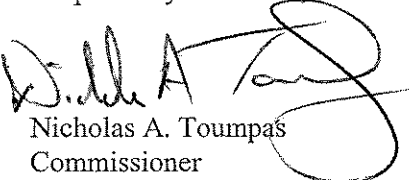
- A. Justification:
See the attached appendix for justification of the availability of funds and required additional funds.
- B. Does this transfer involve continuing programs or one-time projects?
This transfer involves continuing programs.
- C. Is this transfer required to maintain existing program levels or will it increase the program level?
This transfer is required to maintain existing program levels.
- D. Cite any requirements which make this program mandatory.
The programs of the Department are mandated by various state and federal laws.
- E. Identify the source of funds on all accounts listed on this transfer.
See the attached worksheet for the source of funds for all accounts.
- F. Will there be any effect on revenue if this transfer is not approved?
The effect on revenue, including Federal participation, as a result of this transfer is detailed in the attached appendix.
- G. Are funds expected to lapse if this transfer is not approved?
It is anticipated that some funds will lapse whether this transfer is approved or not.

The Honorable Neal M. Kurk, Chairman, and
Her Excellency, Governor Margaret Wood Hassan
November 23, 2015, Page 3

- H. Are personnel services involved?
No positions are being transferred as a result of this request.

The Department has conducted a detailed review of line items in the budget to ensure that available funds are maximized to the greatest degree possible. An appendix is attached which summarizes the changes across the Department.

Respectfully submitted,


Nicholas A. Toumpas
Commissioner

Attachment

The Department of Health and Human Services' Mission is to join communities and families in providing opportunities for citizens to achieve health and independence.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
TRANSFER OF FUNDS SFY 2016
SALARIES: Classes 010, 012, 018, 019 and 050**

OFFICE OF MEDICAID & BUSINESS POLICY

05-95-047-470010-79370000

Medicaid Administration

Funding in this appropriation represents costs associated with the management and operation of Medicaid programs serving citizens throughout New Hampshire. Funds are in a deficit in class 050 Personal Services Temp/Appointment. This deficit is the result of a newly created part-time position from Fiscal Year 15 that was not anticipated at the time when OMBP's budget was built for FY 16 & 17. Funds are available in Cl 012 Personal Services Unclassified due to vacancies.

Source of Funds: Class 012 Personal Services Unclassified 50% Federal, 50% General; Class 050 Personal Services Temp/Appointment 50% Federal, 50% General

NEW HAMPSHIRE HOSPITAL

05-95-094-940010-84000000

Administration

Funding in this organization represents costs associated with the administration of New Hampshire Hospital. Funds are needed in Class 019 (Holiday) due to Joint Commission Requirements to have the requirement to have an executive on call during weekends and holidays. Funds are available in Class 010 (Personal Services -Perm) and 012 (Personal Services- Unclassified) due to vacancies in order to cover these shortfalls. Source of Funds: 54% Federal, 46% General

05-95-094-940010-84100000

NHH-Facility/Patient Support

Funding in this organization represents costs associated with the operation of New Hampshire Hospital, Facility/Patient Support Services. Staff in these areas provides direct services to patients in Food and Nutritional Services, Environmental Services, Laundry Services and Maintenance. Due to greater than anticipated need for temporary staffing and overtime to cover FTE absenteeism & vacancies, additional funds are needed in Class 018 (Overtime), Class 019 (Holiday Pay) and Class 050 (Personal Services-Temp). Sufficient funding is available in Class 010 (Personal Services-Permanent) to cover this anticipated shortfall. Source of Funds: 29% Federal, 5% Other and 66% General.

05-95-094-940010-87500000

NHH-Acute Psychiatric Services

Funding in this organization represents costs associated with the operations of New Hampshire Hospital, Acute Psychiatric Services. Due to greater than anticipated need for overtime to cover FTE absenteeism & vacancies, additional funds are needed in Class 018 (Overtime). Funds appropriated in Class 010 (Personal Services-Perm) are available to cover the anticipated shortfalls. Source of Funds: 30% Federal Funds, 27% General Funds and 43% Other Funds.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
TRANSFER OF FUNDS SFY 2016 – Benefits (060)**

**DIVISION FOR CHILDREN, YOUTH & FAMILIES
05-95-042421110-29760000**

Child Development Operations

Funding in this Accounting Unit represents the costs associated with the staff and operations of the Child Development Unit. This transfer will take funds from a projected surplus in the Benefit line item in this account to help fund a projected deficit in the Benefit line item in another DCYF account. The projected surplus in the Benefit item is due to vacancies. Source of funds: 100% Federal (CCDF).

05-95-042-421110-29780000

Child Care Development-Quality Assurance

Funding in this Accounting Unit represents costs associated with recruitment and training of child care facilities. This transfer will fund a projected deficit in the Benefit line item in this account. The projected deficit in the Benefit item is due to the class line being under budgeted. The funds will come from another DCYF account to cover this projected deficit. Source of funds: 100% Federal (CCDF).

05-95-042-421510-79090000

Director's Office

Funding in this Accounting Unit represents costs associated with the operation of the Office of the SYSC Director along with other administrative support staff. There is a projected surplus in the Benefits line item due to a vacancy to cover other deficits within the Division. Source of funds: 100% General.

05-95-042-421510-79100000

SYSC Business Office

Funding in this organization represents costs associated with the Business Office staff and business operations at the Sununu Youth Services Center. This transfer will take projected surplus in the Benefit line item in this account to help fund projected deficits in other areas of the Department. The projected surpluses are due to vacancies. Source of funds: 0% Federal, 100% General.

05-95-042-421510-79130000

Material Management & Food Prep

Funding in this Accounting Unit represents costs associated with the custody of minors at the John H. Sununu Youth Services Center including food, clothing, and consumables. As a result of under budgeting there is a projected deficit in the Benefits line item. Funding for this deficit will come from other surpluses within the Division. Source of funds: 100% General.

05-95-042-421510-79180000

Juvenile Detention Unit

Funding in this Accounting Unit represents costs associated with the temporary care of juveniles awaiting court decisions. Due to under budgeting there is a projected deficit in the Benefits line item. This projected deficit will be helped by a projected surplus from another accounting unit. Source of funds: 100% General Fund.

OFFICE OF THE COMMISSIONER

05-95-095-950010-56760000

Office of Business Operations

Funding in this organization represents costs associated with the operation of the Office of Business Operations. This transfer will increase Class 060, Benefits. Source of funds: 46% Federal, 54% General.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
TRANSFER OF FUNDS SFY 2016 – OTHER EXPENDITURES**

DIVISION FOR CHILDREN, YOUTH & FAMILIES (DCYF)

05-95-042-421010-12380000

Fast Forward

Funding in this Accounting Unit represents the costs associated with the Department of Health and Human Services System of Care. The System of Care work is connected to the work of the Children's Behavioral Health Collaborative and the Children's Behavioral Health Plan. The New Hampshire System of Care is a value-based system designed to serve child, youth and families experiencing difficulties due to the child or youth's Severe Emotional Disturbance. The child or youth served through the system of care are at risk for acute psychiatric hospitalization or out-of-home placement in a residential facility. This transfer will take projected surplus in the Contracts for Program Services line item to fund a projected deficit if the In-State Travel line item in this accounting unit. The projected deficit is due to the staff requiring more travel than initially budgeted to monitor the program. The projected surplus in the Contracts for Program Services line item is due to negotiating lower costs in our contracts. Source of funds: 100% Federal Funds (SAMHSA grant).

05-95-042-421010-29560000

Director's Office

Funding in this Accounting Unit represents costs associated with the operation of the Office of the Director along with other administrative support staff. This transfer will add additional funding to the Organizational Dues line item in this account to help fund the projected deficit due to this line item being under budgeted. The projected deficit will be covered in part with a projected surplus in the Telecommunications line item due to vacancies and some staff preferring to use their personal cell phones. Source of funds: 35% Federal (various federal programs through cost allocation); 65% General Funds.

05-95-042-421010-29570000

Child Protection

Funding in this Accounting Unit represents the costs associated with the staff of Bureau of Child Protection that provide direct services to abused and neglected children. This transfer will take projected surplus in the Current Expense line item in this account to help fund projected deficits in the Contracts for Program Services line item in this account. The projected surplus in the Current Expense line item is due to not printing materials that were budgeted for. The projected deficit in the Contracts for Program Services line item is due additional services needing to be contracted over what was anticipated at the time that the budget was established. Source of funds: 35% Federal Funds (various federal programs through cost allocation) and 65% General Funds.

05-95-042-421010-29580000

Child and Family Services

Funding in this Accounting Unit represents costs associated with purchased services for Abuse and Neglect, CHINS and Delinquent clients. These services include board and care, as well as, community-based services as ordered by the courts. The transfers in this appropriation will move funds between line items to cover projected deficits with projected surpluses in other line items. The change in the definition of CHINS modified the expenditures differently than anticipated at the time the budget was established. The source of funds in this account differs line item to line item, based on the clients' eligibility and the federal eligibility for the service. Source of funds: 100% General Funds for the Medical Payments to Providers and the Provider Payments Legal Services line items; and 55% Federal Funds (primarily Title IV-A, with Title IV-E, Medicaid and others); 2%

Other Funds (Children's Revolving Fund) and 43% General Funds for the Community-Based Services line item.

05-95-042-421010-29600000

Organizational Learning and Quality Improvement

Funding in this Accounting Unit represents the costs associated with the operation of the Organizational Learning and Quality Improvement Bureau, which assesses needs and develops, delivers, and evaluates training for DCYF staff, to assist them in performing work effectively/efficiently and training foster and adoptive parents. Additional functions include Quality Improvement, Strategic Planning, federally mandated Child and Family Services Review and the administrative case practice review processes. This transfer will fund a projected deficit in the In-State Travel line item is due to increased travel compare to what was anticipated at the time that the budget was establish for the four Case Practice Reviews held each year. This transfer will take a projected surplus in the Training of Providers line item. The projected surplus in this line item is due to negotiating lower costs in our contracts. Source of funds: 40% Federal (primarily Title IV-E); 60% General Fund for the In-State Travel line item, and 85.80% Federal Funds and 14.2% General Fund for the Training of Providers line item.

05-95-042-421010-29610000

Foster Care Health

Funding in this Accounting Unit represents costs associated with Foster Care Health Care Program where the staff promotes the health and wellbeing of all children in substitute care. This transfer will fund a projected deficit in the In-State Travel line item in this account. The projected deficit in the In-State Travel line item is due to more travel was required than anticipated at the time that the budget was established for the staff in this accounting unit due to more youth being placed in substitute care. The projected surplus in the Current Expense line item is due to not printing materials that were budgeted for. Source of funds: 65% Federal (Medicaid); 35% General Funds for these line items.

05-95-042-421010-29700000

Teen Independent Living

Funding in this Accounting Unit represents the costs associated with the Teen Independent Living Bureau. Functions include; providing adult living preparation services to all DCYF/DJJS adolescents in out-of-home care who are 16 years of age or older, or 14 years of age and in DCYF's Guardianship. Service areas addressed include education, employment, healthcare and housing. This transfer will fund a projected deficit in the Payment to Providers line item in this account. The projected deficit in the Payment to Providers line item is due to more youth are eligible for these services. The additional funds will come from another DCYF Accounting Unit. Source of Funds: 100% Federal (Chafee Foster Care Independence Program under Title IV-E).

05-95-042-421010-29710000

Adolescent After Care Services

Funding in this Accounting Unit represents the costs associated with the Teen Independent Living Bureau's Adolescent After Care Services account. The Adolescent After Care Services account is also known as the Education and Training Voucher program. Service areas addressed include education related services for former youth in the foster care system, such as tuition payments, reference books, lab fees, etc. This transfer take funds from a projected surplus in the Payments to Providers line item in this account, to help fund projected deficits in other DCYF Accounting Units. The projected surplus is due to a lesser demand for these services than budgeted. Source of Funds: 100% Federal (Education and Training Voucher Grant under Title IV-E).

05-95-042-421010-29720000

Adolescent Purchased Services

Funding in this Accounting Unit represents the costs associated with the Teen Independent Living Bureau's Adolescent Purchased Services account. Functions include; providing adult living preparation services to all DCYF/DJJS adolescents in out-of-home care who are 16 years of age or older and have exited our care. Service areas addressed include education, employment, healthcare and housing. This transfer will fund a projected deficit in the Payment to Providers line item in this account. The projected deficit in the Payment to Providers line item is due to more youth are eligible for these services. The additional funds will come from another DCYF Accounting Unit. Source of Funds: 100% Federal (Chafee Foster Care Independence Program under Title IV-E).

05-95-042-421010-29750000

Pass Thru Grants Title I

Funding in this Accounting Unit represents the costs associated with the federal funds passed through from the Department of Education to support educational programs for abused, neglected, or delinquent children and youth. This transfer will add additional funding received as carryover funds from the previous State Fiscal Year to the Pass Thru Grants line item. Source of funds: 100% Other Funds (from the Department of Education).

05-95-042-421110-29770000

Child Development Program

Funding in this Accounting Unit represents the costs associated with the payments of providers for child care services. This transfer will fund a projected deficit in the Protective and Preventive Child Care line item in this account, in part with a projected surplus the Employment Related Child Care line item. The projected deficit in the Protective and Preventive Child Care line item is due to a higher than anticipated caseload at the time that the budget was established. The projected surplus in the Employment Related Child Care line item, is due to a lower than anticipated caseload. Source of funds: 64.39% Federal Fund (CCDF) and 35.61% General Funds.

05-95-42-421110-29790000

Head Start Collaboration

Funding in this Accounting Unit represents the costs associated with the operations of the Head Start State Collaborative. This transfer will help fund projected deficits in this account with carry forward funding from the previous Federal Fiscal Year's grant. The additional funds in the Current Expenses line item is due to the carry forward of funds, and now high priority materials can be printed this State Fiscal Year. The additional funds in the Audit Set Aside line item are required to cover the additional federal funds this State Fiscal Year due to the additional, carry forward, federal funds. The additional funds for the In-State Travel line item will cover the reimbursement of more miles for program oversight. The additional funds for the Out-of-State Travel line item will cover the attendance to a federally mandated conference. The Source of Funds: 100% Federal Funds (Head Start).

05-95-042-421410-79050000

Juvenile Field Services

Funding in this Accounting Unit represents costs associated with Juvenile Justice Field Services, including Juvenile Probation & Parole Officers, Juvenile Probation & Parole Supervisors, and support staff located at itinerant and District Offices. Vacancies within this organization have resulted in reduced position-related Employee Training and In-State Travel, creating a projected surplus in the Employee Training and In-State Travel line items. These surpluses will be used to help fund a projected deficit in the Out of State Travel line item due to increasing number of juveniles placed out of state that require at least monthly visits to protect federal funding. Source of funds: 32% Federal, 68% General.

05-95-042-421410-79060000

OJJDP

Funding in this Accounting Unit represents costs associated with the Jail Compliance Monitor and Program Specialist positions, as well as programs funded by the Formula, Challenge, and Title V grants from the Office of Juvenile Justice & Delinquency Prevention (OJJDP) for prevention and intervention. There are projected deficits in the Current Expenses and Organization Dues line items due to under budgeting. A projected surplus in the Grant Federal line item will fund these deficits. Source of funds: 100% Federal.

05-95-042-421410-79070000

JAIBG

Funding in this organization represents programs funded by the Juvenile Accountability and Incentive Block Grant from the Office of Juvenile Justice & Delinquency Prevention (OJJDP). There are projected deficits in the Equipment and In State Travel Reimbursement line items due to under budgeting. A projected surplus in the Contracts for Program Services line item will fund these deficits. Source of funds: 100% Federal.

05-95-042-421510-79090000

Director's Office

Funding in this Accounting Unit represents costs associated with the operation of the Office of the SYSC Director along with other administrative support staff. As a result of increase for the SYSC Director to travel to DCYF headquarter in Concord for meetings there is a projected deficit in the In-State Travel line item. There is also a projected deficit in the Employee Training line item due to the SYSC Director's training class. Funding for these deficits will come from projected surpluses in the Division. Source of funds: 100% General.

05-95-042-421510-79100000

SYSC Business Office

Funding in this Accounting Unit represents costs associated with the Business Office staff and business operations at the Sununu Youth Services Center. There are projected surpluses in the Telecommunications line item and In State Travel Reimbursement line item due to vacancies to cover a projected deficit in the Current Expenses line item due to additional office supplies required to meet the needs of SYSC. Source of funds: 100% General.

05-95-042-421510-79130000

Material Management & Food Preparation

Funding in this organization represents costs associated with the custody of minors at the John H. Sununu Youth Services Center including food, clothing, and consumables. There is a projected surplus in the Current Expense line item to cover projected deficits in the Division. Source of funds: 100% General.

05-95-042-421510-79140000

Maintenance

Funding in this Accounting Unit represents costs associated with the operations and maintenance of the John H. Sununu Youth Services Center campus. There is a projected deficit in the Communications line item as the result of under budget. Funding for this deficit will come from a projected surplus in the Current Expenses line item in the Material Management & Food Preparation. Source of Funds: 100% General.

05-95-042-421510-79150000

Health Services

Funding in this Accounting Unit represents costs associated with the medical services provided to the residents of the John H. Sununu Youth Services Center. There is a projected deficit in the Telecommunications line item due to under budgeting. This deficit will be funded by a projected surplus in the Current Expenses line item in this organization. Source of Funds: 100% General.

05-95-042-421510-79160000

Rehabilitative Programs

Funding in this organization represents costs associated with the John H Sununu Youth Services Center direct care staff, including youth counselors, treatment coordinators, operations staff, and other program costs. As a result of increase the time to repair state cars for transferring youths, there is a projected deficit in the In State Travel Reimbursement line item. This deficit will be helped from projected surpluses in the Client Benefits line item within same accounting unit. Source of funds: 100% General.

05-95-042-421510-79170000

Rehabilitative Education

Funding in this organization represents costs associated with the education of the residents at the John H Sununu Youth Services Center. There is a projected deficit in the Telecommunications line item as the result of under budget. Funding for this deficit will come from a projected surplus in the Education Supplies line item within same accounting unit. Source of funds: 79% General, 21% Other (School Districts).

05-95-042-421510-79180000

Juvenile Detention Unit

Funding in this organization represents costs associated with the temporary care of juveniles awaiting court decisions. Due to under budgeting there is a projected deficit in the Rent-Leases other than State line item. This projected deficit will be helped by a projected surplus from other accounting unit. Source of funds: 100% General Fund.

05-95-042-421510-79190000

Chapter I Neglected – Disadvantaged

Funding in this organization represents costs associated with the John H Sununu Youth Services Center educational department's Title I grant. This grant funds one full-time teacher assistant and part-time summer school staff. . This transfer will add additional funding received as carryover funds from the previous State Fiscal Year to the Pass Thru Grants line item. Source of funds: 100% Other Funds (from the Department of Education).

DIVISION OF CHILD SUPPORT SERVICES

05-95-042-427010-79290000

Child Support Services

Funding in this organization represents the costs associated with the operation of the Division of Child Support Services. This transfer will be used to resolve potential budget deficiencies in operating expenses. Funds are needed in Class 030 (Equipment) to purchase computer monitors for staff and is available in Class 102 (Contracts for Program Services) due to higher than anticipated costs from the prior fiscal year. Source of Funds: 100% Other funds.

05-95-042-427010-79340000

Expedited IV-D Services

Funding in this organization represents the costs associated with the operation of the Division of Child Support Services. This transfer will be used to increase federal revenue to fund the cooperative agreement with the courts. Funds are needed in Class 049 (Transfer to Other State Agency). Source of Funds: 100% Federal Funds

OFFICE OF MINORITY HEALTH AND REFUGEE AFFAIRS

05-95-042-422010-79210000

Minority Health

Funding in this organization represents costs associated with administering grants. Funds are needed in Class 041 (Audit Set Aside) to satisfy a projected deficit. Source of Funds: 100% Federal.

DIVISION OF CLIENT SERVICES (451010)

05-95-045-451010-79930000

Client Services, Field Services

Funds are available to accept that NH was awarded by the US Department of Agriculture, Food and Nutrition Services for FFY 2013 in the area of low error rate, which is an indicator that NH neither closed or denied cases incorrectly, and in the area of improved program participation, which is an indicator that the State has a high percentage of those eligible for the program participating in it. Due to a change made in the 2014 Farm Bill these dollars can only be used in support of the Food Stamp Program and can also be used as match for Federal Food Stamp dollars. These funds will be used for program access improvements and to address the Department's error reduction plan. Funds are needed in Class 046 (Consultants) to fund contract encumbrances. Source of Funds: 50% Federal, 50% Other-Food Stamp Bonus.

05-95-045-451010-79940000

Client Svcs – DCYF Field OPS Program Eligibility

Funding in this organization code represents the costs associated with the eligibility determination/revenue enhancement staff for DCYF. This transfer increases Class 020, Current Expenses. The transfer for Class 020 is needed due to expenses being higher in this class line than anticipated when budgeted. Source of Funds: 40% Federal Funds, 60% General Funds.

05-95-451010-79960000

Client Elig & Enrollment Operations

Funding in this appropriation primarily represents costs associated with the management and operation of Medicaid programs serving citizens throughout New Hampshire. This transfer decreases Class 020, Current Expenses. The transfer for Class 020 will take projected surplus to help fund projected deficits in the Division. Source of Funds: class 020-40% Federal, 60% General, class 050-49% Federal, 51% General

DIVISION OF FAMILY ASSISTANCE

05-95-045-450010-61460000

Temporary Assistance to Needy Families

Funds are necessary to pay providers who can provide substance use disorder (SUD) treatment, residential and/or supportive housing, and wraparound services to pregnant and/or parenting women who are experiencing substance use disorders or substance misuse; have income at or below the 185% Federal Poverty Level; and are homeless, or at risk of becoming homeless. Funds are needed in Class 502 (Payments to Providers) to fund contract encumbrances. Source of Funds: 100% Federal.

OFFICE OF MEDICAID BUSINESS AND POLICY

05-95-047-470010-79370000

Medicaid Administration

Funding in this appropriation represents costs associated with the management and operation of Medicaid programs serving citizens throughout New Hampshire. Funds are needed in C1102 Contracts for Program Services to cover the funding short-fall for the Care Management actuarial contract. Source of Funds: Class 041 Audit Set-Aside 100% Federal Funds; Class 102 Contracts for Program Services 50% Federal, 50% General

05-95-010-047-470010-79480000

Medicaid Care Management

Funding in this appropriation represents costs associated with the Medicaid payments to healthcare providers that deliver healthcare services to New Hampshire's Medicaid population as part of the Care Management Program. Funds are being transferred from Elderly & Adult and Behavioral Health Medicaid accounts to reflect the current allocation of payments. Source of Funds: Class 102 Contracts for Program Services 50% Federal, 0% Other, 50% General

BUREAU OF ELDERLY & ADULT SERVICES

05-95-010-048480010-61730000

Medicaid Administration

05-95-048-481510-61730000

NURSING SERVICES

Funding in this organization represents Medicaid provider payments associated with providing care for the elderly and adults with disabilities. Funds are available in Class 100 (Prescription Drug Expense), Class 101 and Class 565 (Outpatient Hospital) due to less than anticipated expenses. Source of Funds: 50% Federal, 50% General.

DIVISION OF PUBLIC HEALTH SERVICES

05-95-90-900010-33190000

Administration, State Innovation Models II

Funding in this accounting unit represents costs associated with the State Innovation Models II project, within the Division of Public Health Services. Funds are needed in Class 030 (Equipment New Replacement) to purchased equipment approved under the grant proposal. And Class (102) Contracts for Program Services, to better align the state appropriation with the grant budget revision. Funds are available in Class (070) In State Travel and Class (080) Out of State Travel as projected cost are less than originally anticipated.

Source of Funds: 100% Federal.

05-95-90-901010-53620000

Bureau of Public Health Systems, Policy and Performance

Funding in this accounting unit represents costs associated with the Bureau of Public Health Systems, Policy and Performance within the Division of Public Health Services. This transfer will move available Preventive Health Block Grant funds from Accounting Unit 51900000 Class (102) to support contracts with the Regional Public Health Network funded with Preventive Health Block Grant funds.

Source of Funds: 100% Federal.

05-95-90-901010-59970000

Strengthening PH Infrastructure

Funding in this organization represents costs associated with the Strengthening Public Health Infrastructure program within the Division of Public Health Services. Funds are needed in Class (066) Employee Training to pay for QI Lean training classes for staff. Funds are available in Class (102) Contracts for Program Services as the need is less than anticipated.

Source of Funds: 100% Federal.

05-95-90-901010-79650000

Rural Health & Primary Care

Funding in this accounting unit represents costs associated with the Rural Health & Primary Care Section within the Division of Public Health Services. Funds are needed in Class 050 (Personal Serv Temp) as expenses are greater than originally anticipated.

Source of Funds: 100% Federal

05-95-90-902010-51900000

Maternal & Child Health

Funding in this accounting unit represents costs associated with the Maternal & Child Health Section within the Division of Public Health Services. Funds (Preventive Health Block Grant) in Class 102 (Contracts for Program Serv) are being transferred to Accounting Unit 53620000, to support contracts funded with the Preventive Health Block Grant.

Source of Funds: 100% Federal.

05-95-90-902010-52600000

WIC Supplemental Nutrition Prg

Funding in this accounting unit represents costs associated with the Nutrition Services section within the Division of Public Health Services. This transfer of available Class (050) Personal Serv Temp. funds will be used to support Class 050 needs in other areas of the Division. Additional funds are needed in Class 026 (Organizational Dues) in order to pay membership dues to the Directors of Health Promotion and Education and class 030 (Equipment) as the program needs to purchase a new mobile scanner kit including software to be used to gather Universal Product Code (UPC) information from authorized WIC grocery vendors as part of the transition to an Electronic Based Transfer (EBT) redemption system. Funds are available in Class 102 (Contracts for Program Services) as the need is less than anticipated.

Source of Funds: 100% Federal.

GLENCLIFF HOME

05-095-091-910010-5710

Glenclyff Home, Professional Care

Funding in this organization represents costs associated with Professional Care Services delivered to clients. Funds are available in Class 101 (Medical Payments to Provider) due to expenses less than budgeted. Funds are needed Class 066 (In-Service Training) due to the need of additional educational services. Source of Funds: 100% General

05-095-091-910010-5720

Glenclyff Home, Custodial Care

Funding in this organization represents costs associated with the Dietary, Housekeeping and Laundry Services of Glenclyff Home. Funds are need in Class 021 (Food Institutions) due to increase in food costs. Source of Funds: 100% General

05-095-091-910010-5740

Glenclyff Home, Administration

Funding in this organization represents costs associated with the Administration of Glenclyff Home. Funds are needed in Class 020 (Current Expenses) due to increased costs. Funds are required in Class 070 (In State Travel) due to increased use of employee vehicles when State vehicles are not available. Source of Funds: 100% General

05-095-091-910010-7892

Glenclyff Home, Maintenance

Funding in this organization represents costs associated with the Maintenance Department. Funds are available in Class 023 (Heat electricity water) due to expenses less than budgeted. Funds are necessary in Class 048 (Contractual Maintenance of Buildings and Grounds) due to unanticipated additional expenses in connection with the hydro-dam project. Source of Funds: 100% General

BUREAU OF BEHAVIORAL HEALTH

05-95-92-920010-70100000

COMMUNITY MENTAL HEALTH SVCS

Funding in this accounting unit represents Medicaid costs associated with the provision of mental health services in the Community. The funds Brought Forward from year end Exhibit A have been liquidated. Due to the new accounting structure, these funds are being transferred from Agy 092 70100000 CI 502 to Medicaid Care Management C1101 Medical Payments to Providers in order to process payment for the Care Management monthly capitation payments. Source of Funds: CI 502 Payments to Providers 50% General and 50% Federal.

BUREAU OF DEVELOPMENTAL SERVICES

05-95-93-930010-59470000

Program Support

Funding in this organization represents costs associated with the operation of the Community Developmental Services central office within the Bureau of Developmental Services. Funds are needed in Class 102 (Contracts for Program Services) to fully fund contracts that were not anticipated during budgeting. Source of Funds: 100% General.

05-95-93-930010-70140000

Early Intervention

Funding in this organization represents costs associated with Early Intervention Supports and Services. Funds are available in Class 102 (Contracts for Program Services) because there were fewer contracts than anticipated during budgeting. Funds are needed in Class 041 (Audit Fund Set Aside) and Class 502 (Payments to Providers) as Medicaid expenses are projected to be higher than expected. Source of Funds: Class 102 - 100% General, Class 502 – 50% General, 50% Federal.

OFFICE OF THE COMMISSIONER

Office of Commissioner

05-95010-095-951000-50000000

Funding in this organization represents costs associated with the operation of the Office of Commissioner. Funds are available in class 026, Membership Fees, and are needed in class 020, Current Expense. Source of funds: 37% Federal, 63% General.

OFFICE OF PROGRAM SUPPORT (952010)

05-95-95-952020-56800000

Legal Services

Funding in this unit represents costs associated with attorneys and other professional staff that provide legal services across the Department of Health and Human Services. This transfer decreases Class 020, Current Expenses. This transfer increases Class 022, Rents-Leases Other Than State. The transfer for Class 022 is needed due to expenses being higher than anticipated when budgeted. This transfer will satisfy the projected shortfalls. Source of Funds: 34% Federal Funds 0% Other and 66% General Funds.

OFFICE OF PROGRAM SUPPORT

05-95-95-952020-56830000

Operations Support Administration

Funding in this unit represents costs associated with two distinct components, the Director's Office and the Administrative Appeals Unit (AAU). This transfer increases Class 022, Rents-Leases Other Than State. The transfer for Class 022 is needed due to expenses being higher than anticipated when budgeted. This transfer will satisfy the projected shortfalls. Source of Funds: 0% Federal Funds 69% Other and 31% General Funds.

OFFICE OF INFORMATION SERVICES

05-95-095-954010-59520000

Information Services

Funds are available to accept from the Centers for Medicare and Medicaid Services for the purposes of continuing the modernization of the New HEIGHTS eligibility system. The areas of modernization are: Compliance with CMS requirements for securing Protecting Health Information (PHI) and Personally Identifiable Information (PII); Extension of the enterprise architecture to re-use existing investment and consolidate redundant silo systems to improve long term operations expenses; Optimization of web self-service and workflow management to improve customer service while reducing cost; Development of a 360 degree view and enhanced business intelligence reporting to more effectively aggregate and manage cost of quality using a “client centric” service delivery model. Funds are needed in Class 102 (Contracts for Program Services) to fund contract encumbrances. Source of Funds: 100% Federal.

	A	B	C	D	E	F	G	H	I	J
1										
2										
3	All Accounts	Account	General Funds Only		Net	Net	Account			
4		From	From	To	Net	FF/Oth	To			
5	Office of Medicaid Business and Policy	79390000	(\$11,000)	\$11,000	\$0	\$0	79370000			
6	New Hampshire Hospital	Various	(\$139,662)	\$139,662	\$0	\$0	Various			
7	Total Department of Health and Human Services		(\$150,662)	\$150,662	\$0	\$0				
8										
9				Net Federal Funds		(\$148,120)				
10				Net Other Funds		\$148,120				
11						\$0				
12										
13										
14										
15										
16										
17										

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
Fund	Agcy	Org	Clas	Rept	Acc't	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	Transfer Amount OF	GF	FF	SOF OF	GF	
LAWSON ACCOUNTING FORMAT																		
COMPANY	N/A	ACCOUNTING	CLASS	ACCOUNT														
OFFICE OF MEDICAID & BUSINESS POLICY																		
Medicaid Administration																		
010	047	79370000	000	403951	Federal Funds	\$0												
010	047	79370000			General Funds	\$0	\$0											
Total Revenue							\$0											
010	047	79370000	012	500128	Personal Services - Unclassified	(\$22,000)				(\$11,000)		(\$11,000)	\$0	(\$11,000)	50%	0%	50%	
010	047	79370000	050	500109	Personal Services -Temp/Appointment	\$22,000				\$11,000		\$11,000	\$0	\$11,000	50%	0%	50%	
Total Expense							\$0				\$0							
TOTAL OFFICE OF MEDICAID & BUSINESS POLICY									\$0		\$0	\$0	\$0					
NEW HAMPSHIRE HOSPITAL																		
Administration																		
010	094	84000000		404444	Federal Funds	(\$163,538)												
010	094	84000000			General Funds	(\$139,662)	(\$139,662)											
Total Revenue							(\$303,200)											
010	094	84000000	010	500100	Personal Services Perm Class	(\$250,000)				(\$115,157)		(\$134,843)	\$0	(\$115,157)	54%	0%	46%	
010	094	84000000	012	501097	Personal Services Unclassified	(\$55,000)				(\$25,334)		(\$29,666)	\$0	(\$25,334)	54%	0%	46%	
010	094	84000000	019	500105	Holiday Pay	\$1,800				\$829		\$971	\$0	\$829	54%	0%	46%	
Total Expense							(\$303,200)				(\$139,662)							
Facility & Patient Support																		
010	094	84100000	000	404448	Federal Funds	\$42,978												
010	094	84100000	009	402134	Other Funds	\$7,410												
010	094	84100000			General Funds	\$97,812	\$97,812											
Total Revenue							\$148,200											
010	094	84100000	010	500100	Personal Services Perm Class	(\$155,800)				(\$102,828)		(\$45,182)	(\$7,790)	(\$102,828)	29%	5%	66%	
010	094	84100000	018	500106	Overtime	\$125,000				\$82,500		\$36,250	\$6,250	\$82,500	29%	5%	66%	
010	094	84100000	019	500105	Holiday	\$54,000				\$35,640		\$15,660	\$2,700	\$35,640	29%	5%	66%	
010	094	84100000	050	500109	Personal Services-Temporary	\$125,000				\$82,500		\$36,250	\$6,250	\$82,500	29%	5%	66%	
Total Expense							\$148,200				\$97,812							
Facility & Patient Support																		
010	094	87500000	000	404434	Federal Funds	\$46,500												
010	094	87500000	009	405921	Other Funds	\$66,650												
010	094	87500000			General Funds	\$41,850	\$41,850											
Total Revenue							\$155,000											
010	094	87500000	010	500100	Personal Services Perm Class	(\$225,000)				(\$60,750)		(\$67,500)	(\$96,750)	(\$60,750)	30%	43%	27%	
010	094	87500000	018	500106	Overtime	\$380,000				\$102,600		\$114,000	\$163,400	\$102,600	30%	43%	27%	
Total Expense							\$155,000				\$41,850							
TOTAL NEW HAMPSHIRE HOSPITAL									\$0		\$0	(\$74,060)	\$74,060	\$0				
TOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES									\$0	\$0	\$0	\$0	(\$148,120)	\$148,120	\$0			

	A	B	C	D	E	F	G	H	I	J
1										
2										
3	All Accounts	Account	General Funds Only		Net	Account				
4		From	From	To	Net	FF/Oth	To			
5	Division for Children, Youth and Families	Various	(\$27,260)	\$15,000	(\$12,260)	\$0	Various			
6	Office of the Commissioner	56760000	\$0	\$12,260	\$12,260	\$10,444	56760000			
7	Total Department of Health and Human Services		(\$27,260)	\$27,260	\$0	\$10,444				
8										
9				Net Federal Funds		\$10,444				
10				Net Other Funds		\$0				
11						\$0				

NH, DHHS																		
A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Fund	Agcy	Org	Clas	Rcpt	Class Title	Increase/	Net Gen'l	Net Gen'l									
2					Acc't		Decrease	Fund by	Fund By	GF		Transfer Amount				SOF		
3							Amount	Org. Code	Agency	Amount	S/T	FF	OF	GF		FF	OF	GF
4	LAWSON ACCOUNTING FORMAT																	
5	COMPANY	N/A	ACCOUNTIN	CLASS ACCOUNT														
6																		
7	DIVISION FOR CHILDREN, YOUTH AND FAMILIES																	
8																		
9	Child Development - Operations																	
10	010	042	29760000	000	403944	Federal Funds	(\$18,000)											
11	010	042	29760000			General Funds	\$0	\$0										
12	Total Revenue						(\$18,000)											
13																		
14	010	042	29760000	060	500601	Benefits	(\$18,000)			\$0		(\$18,000)	\$0	\$0		100%	0%	0%
15	Total Expense						(\$18,000)				\$0							
16																		
17	Child Care Development-Quality Assurance																	
18	010	042	29780000	000	400987	Federal Funds	\$18,000											
19	010	042	29780000			General Funds	\$0	\$0										
20	Total Revenue						\$18,000											
21																		
22	010	042	29780000	060	500601	Benefits	\$18,000			\$0		\$18,000	\$0	\$0		100%	0%	0%
23	Total Expense						\$18,000				\$0							
24																		
25	Director's Office																	
26	010	042	79090000	000	404323	Federal Funds	\$0											
27	010	042	79090000			General Funds	(\$15,000)	(\$15,000)										
28	Total Revenue						(\$15,000)											
29																		
30	010	042	79090000	060	500601	Benefits	(\$15,000)			(\$15,000)		\$0	\$0	(\$15,000)		0%	0%	100%
31	Total Expense						(\$15,000)				(\$15,000)							
32																		
33	SYSC Business Office																	
34	010	042	79100000	000	404329	Federal Funds	\$0											
35	010	042	79100000			General Funds	(\$12,260)	(\$12,260)										
36	Total Revenue						(\$12,260)											
37																		
38	010	042	79100000	060	500600	Benefits	(\$12,260)			(\$12,260)		\$0	\$0	(\$12,260)		0%	0%	100%
39	Total Expense						(\$12,260)				(\$63,984)							
40	Material Mgmt & Food Prep																	
41	010	042	79130000			General Funds	\$3,000	\$3,000										
42	Total Revenue						\$3,000											
43																		
44	010	042	79130000	060	500601	Benefits	\$3,000			\$3,000		\$0	\$0	\$3,000		0%	0%	100%
45	Total Expense						\$3,000				\$3,000							
46																		
47	Juvenile Detention Unit																	
48	010	042	79180000			General Funds	\$12,000	\$12,000										
49	Total Revenue						\$12,000											
50																		
51	010	042	79180000	060	500601	Benefits	\$12,000			\$12,000		\$0	\$0	\$12,000		0%	0%	100%

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
1	Fund		Agcy	Org	Cl	Rcpt	Class Title	Increase/	Net Gen'l	Net Gen'l										
2						Acct		Decrease	Fund by	Fund By	GF		Transfer Amount				SOF			
3								Amount	Org. Code	Agency	Amount	S/T	FF	OF	GF		FF	OF	GF	
52	Total Expense							\$12,000				\$12,000								
53																				
54	TOTAL DIVISION FOR CHILDREN, YOUTH AND FAMILIES									(\$12,260)		\$0	\$0	\$0	(\$12,260)					
55																				
56	OFFICE OF THE COMMISSIONER																			
57																				
58	Office of Business Operations																			
59	010	095	56760000	000	403970	Federal Funds		\$10,444												
60	010	095	56760000			General Funds		\$12,260	\$12,260											
61	Total Revenue								\$22,704											
62																				
63	010	095	56760000	060	500600	Benefits		\$22,704			\$12,260		\$10,444	\$0	\$12,260		46%	0%	54%	
64	Total Expense								\$22,704			\$12,260								
65																				
66																				
67	TOTAL OFFICE OF THE COMMISSIONER									\$12,260		\$12,260	\$10,444	\$0	\$12,260					
68																				
69																				
70	TOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES								\$0	\$0	\$0		\$10,444	\$0	\$0					

	A	B	C	D	E	F	G	H	I	J
1										
2										
3	All Accounts	Account	General Funds Only			Net	Account			
4		From	From	To	Net	FF/Oth	To			
5	Division for Children Youth and Families	Various	(\$16,749)	\$16,749	\$0	\$50,346	Various			
6	Division of Child Support Services	Various	\$0	\$0	\$0	\$362,956	Various			
7	Office of Minority Health and Refugee Affairs	79210000	\$0	\$0	\$0	\$300	79210000			
8	Division of Client Services	Various	(\$900)	\$900	\$0	\$1,402,828	Various			
9	Division of Family Assistance	61460000	\$0	\$0	\$0	\$1,250,000	61460000			
10	Office of Medicaid Business and Policy	Various	\$0	\$2,593,237	\$2,593,237	\$2,595,830	Various			
11	Bureau of Elderly & Adult Services	61730000	(\$1,100,297)	\$0	(\$1,100,297)	(\$1,100,297)	61730000			
12	Division of Public Health Services	Various	\$0	\$0	\$0	\$0	Various			
13	Glenclyff Home	Various	(\$21,000)	\$21,000	\$0	\$0	Various			
14	Bureau of Behavioral Health	70100000	(\$1,492,941)	\$0	(\$1,492,941)	(\$1,492,941)	70100000			
15	Bureau of Developmental Services	Various	(\$126,068)	\$126,068	\$0	\$278,132	Various			
16	Office of the Commissioner	50000000	\$0	\$0	\$0	\$0	50000000			
17	Office of Program Support	Various	\$0	\$0	\$0	\$0	Various			
18	Office of Information Services	59520000	\$0	\$0	\$0	\$6,991,610	59520000			
19	Total Department of Health and Human Services		(\$2,757,955)	\$2,757,954	(\$1)	\$10,338,764				
20										
21				Net Federal Funds		\$9,267,506				
22				Net Other Funds		\$1,071,258				
23						\$10,338,764				
24										
25										
26										
27										
28										
29										

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Fund	Agcy	Org	Cl	Rcpt Acct	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	Transfer Amount OF	GF	FF	SOF OF	GF	
4	LAWSON ACCOUNTING FORMAT																	
5	COMPAN	N/A	ACCOUNT	CLASS	ACCOUNT													
7	DIVISION FOR CHILDREN, YOUTH AND FAMILIES																	
9	Fast Forward Grant																	
10	010	042	12380000	000	400338	Federal Funds	\$0											
11	010	042	12380000			General Funds	\$0	\$0										
12	Total Revenue						\$0											
14	010	042	12380000	070	500704	In State Travel	\$1,500			\$0		\$1,500	\$0	\$0	100%	0%	0%	
15	010	042	12380000	102	500732	Contracts for Program Services	(\$1,500)			\$0		(\$1,500)	\$0	\$0	100%	0%	0%	
16	Total Expense						\$0				\$0							
18	Directors Office																	
19	010	042	29560000	000	408043	Federal Funds	\$5,250											
20	010	042	29560000			General Funds	\$9,750	\$9,750										
21	Total Revenue						\$15,000											
23	010	042	29560000	026	500251	Organizational Dues	\$25,000			\$16,250		\$8,750	\$0	\$16,250	35%	0%	65%	
24	010	042	29560000	039	500188	Telecommunications	(\$10,000)			(\$6,500)		(\$3,500)	\$0	(\$6,500)	35%	0%	65%	
25	Total Expense						\$15,000				\$9,750							
27	Child Protection																	
28	010	042	29570000	000	408050	Federal Funds	\$1,776											
29	010	042	29570000			General Funds	\$3,299	\$3,299										
30	Total Revenue						\$5,075											
32	010	042	29570000	020	500200	Current Expense	(\$1,925)			(\$1,251)		(\$674)	\$0	(\$1,251)	35%	0%	65%	
33	010	042	29570000	102	500734	Contracts for Program Services	\$7,000			\$4,550		\$2,450	\$0	\$4,550	35%	0%	65%	
34	Total Expense						\$5,075				\$3,299							
36	Child & Family Services																	
37	010	042	29580000	000	404230	Federal Funds	(\$218,193)											
38	010	042	29580000	007	407139	Private Local Funds	(\$7,156)											
39	010	042	29580000			General Funds	\$0	\$0										
40	Total Revenue						(\$225,349)											
42	010	042	29580000	101	500729	Medical Payments to Providers	\$100,000			\$100,000		\$0	\$0	\$100,000	0%	0%	100%	
43	010	042	29580000	108	500751	Provider Payments Lega Ser	\$70,000			\$70,000		\$0	\$0	\$70,000	0%	0%	100%	
44	010	042	29580000	563	500915	Community Based Services	(\$395,349)			(\$170,000)		(\$218,193)	(\$7,156)	(\$170,000)	55%	2%	43%	
45	Total Expense						(\$225,349)				\$0							
47	Organizational Learning and Quality Improvement																	
48	010	042	29600000	000	408075	Federal Funds	(\$116,700)											
49	010	042	29600000			Other Funds	\$0											
50	010	042	29600000			General Funds	(\$3,300)	(\$3,300)										
51	Total Revenue						(\$120,000)											
53	010	042	29600000	067	500557	Training of Providers	(\$150,000)			(\$21,300)		(\$128,700)	\$0	(\$21,300)	86%		14%	
54	010	042	29600000	070	500707	In-State Travel	\$30,000			\$18,000		\$12,000	\$0	\$18,000	40%		60%	
55	Total Expense						(\$120,000)				(\$3,300)							
57	Foster Care Health Program																	
58	010	042	29610000	000	400665	Federal Funds	\$0											
59	010	042	29610000			Other Funds	\$0											
60	010	042	29610000			General Funds	\$0	\$0										
61	Total Revenue						\$0											

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
	Fund	Agcy	Org	Clas	Rcpt	Acc't	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	Transfer Amount OF	GF	FF	SOF OF	GF	
63	010	042	29610000	020	500200		Current Expense	(\$6,000)			(\$2,100)		(\$3,900)	\$0	(\$2,100)	65%	0%	35%	
64	010	042	29610000	070	500707		In-State Travel	\$6,000			\$2,100		\$3,900	\$0	\$2,100	65%	0%	35%	
65	Total Expense							\$0					\$0						
66																			
67	Teen Independent Living																		
68	010	042	29700000	000	404213		Federal Funds	\$8,500											
69	010	042	29700000				General Funds	\$0	\$0										
70	Total Revenue							\$8,500											
71																			
72	010	042	29700000	502	500891		Payments to Providers	\$8,500			\$0		\$8,500	\$0	\$0	100%	0%	0%	
73	Total Expense							\$8,500					\$0						
74																			
75	Adolescent After Care Services																		
76	010	042	29710000	000	404213		Federal Funds	(\$13,500)											
77	010	042	29710000				General Funds	\$0	\$0										
78	Total Revenue							(\$13,500)											
79																			
80	010	042	29710000	502	500891		Payments to Providers	(\$13,500)			\$0		(\$13,500)	\$0	\$0	100%	0%	0%	
81	Total Expense							(\$13,500)											
82																			
83	Adolescent Purchased Services																		
84	010	042	29720000	000	404213		Federal Funds	\$5,000											
85	010	042	29720000				General Funds	\$0	\$0										
86	Total Revenue							\$5,000											
87																			
88	010	042	29720000	502	500891		Payments to Providers	\$5,000			\$0		\$5,000	\$0	\$0	100%	0%	0%	
89	Total Expense							\$5,000											
90																			
91	Pass Thru Grants																		
92	010	042	29750000		405358		Other Funds	\$335,000											
93	010	042	29750000				General Funds	\$0	\$0										
94	Total Revenue							\$335,000											
95																			
96	010	042	29750000	571	500929		Pass Thru Grants	\$335,000			\$0		\$0	\$335,000	\$0	0%	100%	0%	
97	Total Expense							\$335,000					\$0						
98																			
99	Child Development Program																		
100	010	042	29770000	000	403944		Federal Funds	\$0											
101	010	042	29770000				General Funds	\$0	\$0										
102	Total Revenue							\$0											
103																			
104	010	042	29770000	536	500377		Employment Related Child Care	(\$200,000)			(\$71,220)		(\$128,780)	\$0	(\$71,220)	64%	0%	36%	
105	010	042	29770000	564	500916		Protect & Prevent Child Care	\$200,000			\$71,220		\$128,780	\$0	\$71,220	64%	0%	36%	
106	Total Expense							\$0					\$0						
107																			
108	Head Start Collaboration																		
109	010	042	29790000	000	404660		Federal Funds	\$8,369											
110	010	042	29790000				General Funds	\$0	\$0										
111	Total Revenue							\$8,369											
112																			
113	010	042	29790000	020	500200		Current Expenses	\$7,286			\$0		\$7,286	\$0	\$0	100%	0%	0%	
114	010	042	29790000	041	500801		Audit Set Aside	\$83			\$0		\$83	\$0	\$0	100%	0%	0%	
115	010	042	29790000	070	500704		In State Travel Reimbursement	\$300			\$0		\$300	\$0	\$0	100%	0%	0%	
116	010	042	29790000	080	500575		Out-of-State Travel	\$700			\$0		\$700	\$0	\$0	100%	0%	0%	
117	Total Expense							\$8,369					\$0						
118																			
119	Juvenile Field Services																		
120	010	042	79050000	000	408044		Federal Funds	\$0											
121	010	042	30500000				General Funds	\$0											

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	Q	R	S	
1	Fund	Agcy	Org	Cl	Rcpt	Class Title	Increase/	Net Gen'l	Net Gen'l	GF	S/T	FF	Transfer Amount	GF	FF	SOF	GF	
2					Acc't		Decrease	Fund by	Fund By	Amount			OF		OF	OF		
3							Amount	Org. Code	Agency									
122	Total Revenue						\$0											
123																		
124	010	042	79050000	066	500546	Employee Training	(\$5,000)			(\$3,398)		(\$1,602)	\$0	(\$3,398)	32%	0%	68%	
125	010	042	79050000	070	500704	In State Travel Reimbursement	(\$3,000)			(\$2,039)		(\$961)	\$0	(\$2,039)	32%	0%	68%	
126	010	042	79050000	080	502668	Out of State Travel	\$8,000			\$5,437		\$2,563	\$0	\$5,437	32%	0%	68%	
127	Total Expense						\$0					\$0						
128																		
129	OJJDP																	
130	010	042	79060000	000	404865	Federal Funds	\$0											
131	010	042	79060000			Other Funds	\$0											
132	010	042	79060000			General Funds	\$0	\$0										
133	Total Revenue						\$0											
134																		
135																		
136	010	042	79060000	020	500200	Current Expenses	\$3,000			\$0		\$3,000	\$0	\$0	100%	0%	0%	
137	010	042	79060000	026	500251	Organizational Dues	\$2,300			\$0		\$2,300	\$0	\$0	100%	0%	0%	
138	010	042	79060000	072	500575	Grants Federal	(\$5,300)			\$0		(\$5,300)	\$0	\$0	100%	0%	0%	
139	Total Expense						\$0					\$0						
140																		
141	JAIBG																	
142	010	042	79070000	000	404802	Federal Funds	\$0											
143	010	042	79070000			Other Funds	\$0											
144	010	042	79070000			General Funds	\$0	\$0										
145	Total Revenue						\$0											
146																		
147	010	042	79070000	030	500311	Equipment	\$3,000			\$0		\$3,000	\$0	\$0	100%	0%	0%	
148	010	042	79070000	070	500704	In State Travel Reimb	\$500			\$0		\$500	\$0	\$0	100%	0%	0%	
149	010	042	79070000	102	500731	Contracts For Program Serv	(\$3,500)			\$0		(\$3,500)	\$0	\$0	100%	0%	0%	
150	Total Expense						\$0					\$0						
151																		
152	Director's Office																	
153	010	042	79090000	000	404323	Federal Funds	\$0											
154	010	042	79090000			Other Funds	\$0											
155	010	042	79090000			General Funds	\$700	\$700										
156	Total Revenue						\$700											
157																		
158	010	042	79090000	066	500546	Employee Training	\$200			\$200		\$0	\$0	\$200	0%	0%	100%	
159	010	042	79090000	070	500704	In State Travel Reimb	\$500			\$500		\$0	\$0	\$500	0%	0%	100%	
160	Total Expense						\$700					\$700						
161																		
162	Business Office																	
163	010	042	79100000			General Funds	(\$1,500)	(\$1,500)										
164	Total Revenue						(\$1,500)											
165																		
166	010	042	79100000	020	500200	Current Expenses	\$800			\$800		\$0	\$0	\$800	0%	0%	100%	
167	010	042	79100000	039	500188	Telecommunications	(\$1,500)			(\$1,500)		\$0	\$0	(\$1,500)	0%	0%	100%	
168	010	042	79100000	070	500704	In State Travel Reimb	(\$800)			(\$800)		\$0	\$0	(\$800)	0%	0%	100%	
169	Total Expense						(\$1,500)					(\$1,500)						
170																		
171	Material Mgt & Food Prep																	
172	010	042	79130000			General Funds	(\$11,249)	(\$11,249)										
173	Total Revenue						(\$11,249)											
174																		
175	010	042	79130000	020	500200	Current Expenses	(\$11,249)			(\$11,249)		\$0	\$0	(\$11,249)	0%	0%	100%	
176	Total Expense						(\$11,249)					(\$11,249)						
177																		
178																		
179	Maintenance																	
180	010	042	79140000			Federal Funds	\$0											

NH, DHHS

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Fund	Agcy	Org	Cla	Rcpt Acc't	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	Transfer Amount OF	GF	FF	SOF OF	GF	
181	010	042	79140000			Other Funds	\$0											
182	010	042	79140000			General Funds	\$2,500	\$2,500										
183	Total Revenue						\$2,500											
184																		
185	010	042	79140000	039	500188	Telecommunications	\$2,500			\$2,500		\$0	\$0	\$2,500	0%	0%	100%	
186	Total Expense						\$2,500				\$2,500							
187																		
188	Health Services																	
189	010	042	79150000			General Funds	\$0	\$0										
190	Total Revenue						\$0											
191																		
192	010	042	79150000	020	500200	Current Expenses	(\$2,500)			(\$2,500)		\$0	\$0	(\$2,500)	0%	0%	100%	
193	010	042	79150000	039	500188	Telecommunications	\$2,500			\$2,500		\$0	\$0	\$2,500	0%	0%	100%	
194	Total Expense						\$0				\$0							
195																		
196	Rehabilitative Programs																	
197	010	042	79160000			Federal Funds	\$0											
198	010	042	79160000			Other Funds	\$0											
199	010	042	79160000			General Funds	(\$700)	(\$700)										
200	Total Revenue						(\$700)											
201																		
202	010	042	79160000	022	500255	Rent-Leases other than Stat	(\$700)			(\$700)		\$0	\$0	(\$700)	0%	0%	100%	
203	010	042	79160000	070	500704	In State Travel Reimb	\$2,000			\$2,000		\$0	\$0	\$2,000	0%	0%	100%	
204	010	042	79160000	523	500363	Client Benefits	(\$2,000)			(\$2,000)		\$0	\$0	(\$2,000)	0%	0%	100%	
205	Total Expense						(\$700)				(\$700)							
206																		
207	Rehabilitative Education																	
208	010	042	79170000	009	407034	Other Funds	\$0											
209	010	042	79170000			General Funds	\$0	\$0										
210	Total Revenue						\$0											
211																		
212	010	042	79170000	039	500188	Telecommunications	\$1,500			\$1,192		\$0	\$308	\$1,192	0%	21%	79%	
213	010	042	79170000	537	500379	Education Supplies	(\$1,500)			(\$1,192)		\$0	(\$308)	(\$1,192)	0%	21%	79%	
214	Total Expense						\$0				\$0							
215																		
216	Juvenile Detention Unit																	
217	010	042	79180000			General Funds	\$500	\$500										
218	Total Revenue						\$500											
219																		
220	010	042	79180000	022	500255	Rent-Leases other than Stat	\$500			\$500		\$0	\$0	\$500	0%	0%	100%	
221	Total Expense						\$500				\$500							
222																		
223	Chapter I Neglected - Disad																	
224	010	042	79190000	001	405568	Other Funds	\$42,000											
225	010	042	79190000			General Funds	\$0	\$0										
226	Total Revenue						\$42,000											
227																		
228	010	042	79190000	571	500929	Pass Thru Grants	\$42,000			\$0		\$0	\$42,000	\$0	0%	100%	0%	
229	Total Expense						\$42,000				\$0							
230																		
231																		
232	TOTAL DIVISION FOR CHILDREN, YOUTH AND FAMILIES									\$0	\$0	(\$319,498)	\$369,844	\$0				
233																		
234	DIVISION OF CHILD SUPPORT SERVICES																	
235																		
236	Child Support Services																	
237	010	042	79290000	000	403955	Federal Funds	\$0											
238	010	042	79290000	009	407126	Other Funds	\$0											
239	010	042	79290000			General Funds	\$0											

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	Q	R	S	
Fund	Agcy	Org	Clia	Rcpt	Acc't	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	Transfer Amount OF	GF	FF	SOF OF	GF	
240	Total Revenue						\$0											
241																		
242	010	042	79290000	030	500311	Equipment	\$10,000			\$0		\$0	\$10,000	\$0	0%	100%	0%	
243	010	042	79290000	102	500731	Contracts for Program Services	(\$10,000)			\$0		\$0	(\$10,000)	\$0	0%	100%	0%	
244	Total Expense						\$0				\$0							
245	Expedited IV-D Services																	
246	010	042	79340000	000	403955	Federal Funds	\$362,956											
248	010	042	79340000	009	407126	Other Funds	\$0											
249	010	042	79340000			General Funds	\$0	\$0										
250	Total Revenue						\$362,956											
251																		
252	010	042	79340000	049	584910	Trans to Other State Agency	\$362,956			\$0		\$362,956	\$0	\$0	100%	0%	0%	
253	Total Expense						\$362,956				\$0							
254																		
255	TOTAL DIVISION OF CHILD SUPPORT SERVICES									\$0	\$0	\$362,956	\$0	\$0				
256																		
257	OFFICE OF MINORITY HEALTH AND RE																	
258																		
259	Minority Health																	
260	010	042	79210000	000	408182	Federal Funds	\$300											
261	010	042	79210000			General Funds	\$0	\$0										
262	Total Revenue						\$300											
263																		
264	010	042	79210000	041	500801	Audit Set Aside	\$300			\$0		\$300	\$0	\$0	100%	0%	0%	
265	Total Expense						\$300				\$0							
266																		
267	TOTAL OFFICE OF MINORITY HEALTH AND REFUGEE AFFAIRS									\$0	\$0	\$300	\$0	\$0				
268																		
269	DIVISION OF CLIENT SERVICES																	
270																		
271	FIELD ELIGIBILITY AND OPERATIONS																	
272	010	045	79930000	000	403959	Federal Funds	\$701,414											
273	010	045	79930000	007	409282	Other Funds	\$701,414											
274	010	045	79930000			General Funds	\$0	\$0										
275	Total Revenue						\$1,402,828											
276																		
277	010	045	79930000	046	500465	Consultants	\$1,402,828			\$0		\$701,414	\$701,414	\$0	50%	50%	0%	
278	Total Expense						\$1,402,828											
279																		
280																		
281	DCYF FIL OPS PG ELB																	
282	010	045	79940000	000	404671	Federal Funds	\$600											
283	010	045	79940000			General Funds	\$900	\$900										
284	Total Revenue						\$1,500											
285																		
286	010	045	79940000	020	500200	Current Expenses	\$1,500			\$900		\$600	\$0	\$900	40%	0%	60%	
287	Total Expense						\$1,500				\$900							
288																		
289	Client Eligibility & Enroll Ops (MCS)																	
290	010	045	79960000	000	403951	Federal Funds	(\$600)											
291	010	045	79960000			General Funds	(\$900)	(\$900)										
292	Total Revenue						(\$1,500)											
293																		
294	010	045	79960000	020	500200	Current Expenses	(\$1,500)			(\$900)		(\$600)	\$0	(\$900)	40%	0%	60%	
295	010	045	79960000	050	500109	Part-Time Temp	\$0			\$0		\$0	\$0	\$0	49%	0%	51%	
296	Total Expense						(\$1,500)				\$0	(\$900)						
297																		
298																		

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
1	Fund	Agcy	Org	Clia	Rcpt Acc't	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	Transfer Amount OF	GF	FF	SOF OF	GF		
299	TOTAL DIVISION OF CLIENT SERVICES									\$0		\$0	\$701,414	\$701,414	\$0				
300																			
301	DIVISION OF FAMILY ASSISTANCE																		
302																			
303	Temporary Assistance to Needy Families																		
304	010	045	61460000	000	403982	Federal Funds	\$1,250,000												
305	010	045	61460000			General Funds	\$0	\$0											
306	Total Revenue						\$1,250,000												
307																			
308	010	045	61460000	502	500891	Payments to Providers	\$1,250,000			\$0		\$1,250,000	\$0	\$0	100%	0%	0%		
309	Total Expense						\$1,250,000				\$0								
310																			
311	TOTAL DIVISION OF FAMILY ASSISTANCE								\$0		\$0	\$1,250,000	\$0	\$0					
312																			
313	OFFICE OF MEDICAID & BUSINESS POLICY																		
314																			
315	Medicaid Administration																		
316	010	047	79370000	000	403951	Federal Funds	\$677,207												
317	010	047	79370000			General Funds	\$677,207	\$677,207											
318	Total Revenue						\$1,354,414												
319																			
320	010	047	79370000	102	500731	Contracts for Program Services	\$1,354,414			\$677,207		\$677,207	\$0	\$677,207	50%	0%	50%		
321	Total Expense						\$1,354,414				\$677,207								
322																			
323	Medicaid Care Management																		
324	010	047	79480000	000	403978	Federal Funds	\$1,918,623												
325	010	047	79480000			General Funds	\$1,916,030	\$1,916,030											
326	Total Revenue						\$3,834,653												
327																			
328	010	047	79480000	041	500801	Audit set-aside	\$2,593			\$0		\$2,593	\$0	\$0	100%	0%	0%		
329	010	047	79480000	101	500729	Medical Payments to Providers	(\$1,354,414)			(\$677,207)		(\$677,207)	\$0	(\$677,207)	50%	0%	50%		
330	010	047	79480000	101	500729	Medical Payments to Providers	\$10,596,085			\$2,966,904		\$5,298,043	\$2,331,139	\$2,966,904	50%	22%	28%		
331	010	047	79480000	101	500729	Medical Payments to Providers	\$2,200,592			\$1,100,296		\$1,100,296	\$0	\$1,100,296	50%	0%	50%		
332	010	047	79480000	101	500729	Medical Payments to Providers	\$2,985,882			\$1,492,941		\$1,492,941	\$0	\$1,492,941	50%	0%	50%		
333	010	047	79480000	102	500734	Contracts for Program Services	(\$10,596,085)			(\$2,966,904)		(\$5,298,043)	(\$2,331,139)	(\$2,966,904)	50%	22%	28%		
334	Total Expense						\$3,834,653				\$1,916,030								
335																			
336	TOTAL OFFICE OF MEDICAID & BUSINESS POLICY								\$2,593,237		\$2,593,237	\$2,595,830	\$0	\$2,593,237					
337																			
338	BUREAU OF ELDERLY & ADULT SERVICES																		
339																			
340	Medicaid Administration																		
341	010	048	61730000	000	404362	Federal Funds	(\$1,100,297)												
342	010	048	61730000			General Funds	(\$1,100,297)	(\$1,100,297)											
343	Total Revenue						(\$2,200,594)												
344																			
345	010	048	61730000	101	500729	Medical Payments to Providers	(\$1,690,903)			(\$845,452)		(\$845,452)	\$0	(\$845,452)	50%	0%	50%		
346	010	048	61730000	565	500917	Outpatient Hospital	(\$509,689)			(\$254,845)		(\$254,845)	\$0	(\$254,845)	50%	0%	50%		
347	Total Expense						(\$2,200,592)				(\$1,100,297)			\$0					
348																			
349	TOTAL BUREAU of ELDERLY & ADULT SERVICES								(\$1,100,297)		(\$1,100,297)	(\$1,100,297)	\$0	(\$1,100,297)					
350																			
351	DIVISION OF PUBLIC HEALTH SERVICES																		
352																			
353	STATE INNOVATION MODELS II																		
354	010	090	33190000	000	404854	Federal Funds	\$40,000												
355	010	090	33190000			Other Funds	\$0												
356	010	090	33190000			General Funds	\$0	\$0											
357	Total Revenue						\$40,000		\$0										
358																			

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	Q	R	S	
1	Fund	Agcy	Org	Cla	Rcpt Acc't	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	Transfer Amount OF	GF	FF	SOF OF	GF	
359	010	090	33190000	030	500321	Equipment New Replacement	\$35,000			\$0		\$35,000	\$0	\$0	100%	0%	0%	
360	010	090	33190000	070	500704	In State Travel	(\$35,000)			\$0		(\$35,000)	\$0	\$0	100%	0%	0%	
361	010	090	33190000	080	500716	O/S Travel	(\$5,000)			\$0		(\$5,000)	\$0	\$0	100%	0%	0%	
362	010	090	33190000	102	500731	Contracts for Pgm Srvc	\$5,000			\$0		\$5,000	\$0	\$0	100%	0%	0%	
363	Total Expense						\$0					\$0	\$0					
364																		
365	BUREAU OF PUBLIC HEALTH SYSTEMS,POLICY AND PERFORMANCE																	
366	010	090	53620000	000	400146	Federal Funds	\$65,000											
367	010	090	53620000			Other Funds	\$0											
368	010	090	53620000			General Funds	\$0	\$0										
369	Total Revenue						\$65,000											
370																		
371	010	090	53620000	102	500731	Contracts for Pgm Srvc	\$65,000			\$0		\$65,000	\$0	\$0	100%	0%	0%	
372	Total Expense						\$65,000				\$0		\$0					
373																		
374	STRENGTHENING PH INFRASTRUCTURE																	
375	010	090	59970000	000	406926	Federal Funds	(\$80,000)											
376	010	090	59970000			Other Funds	\$0											
377	010	090	59970000			General Funds	\$0	\$0										
378	Total Revenue						(\$80,000)											
379																		
380	010	090	59970000	066	500555	Employee Training	\$80,000			\$0		\$80,000	\$0	\$0	100%	0%	0%	
381	010	090	59970000	102	500731	Contracts for Pgm Srvc	(\$80,000)			\$0		(\$80,000)	\$0	\$0	100%	0%	0%	
382	Total Expense						(\$80,000)				\$0		\$0					
383																		
384	RURAL HEALTH & PRIMARY CARE																	
385	010	090	79650000	000	404535	Federal Funds	\$6,000											
386	010	090	79650000			Other Funds	\$0											
387	010	090	79650000			General Funds	\$0	\$0										
388	Total Revenue						\$6,000											
389																		
390	010	090	79650000	050	500109	Personal Serv Temp	\$6,000			\$0		\$6,000	\$0	\$0	100%	0%	0%	
391	Total Expense						\$6,000				\$0		\$0					
392																		
393	MATERNAL & CHILD HEALTH																	
394	010	090	51900000	000	400146	Federal Funds	(\$65,000)											
395	010	090	51900000			Other Funds	\$0											
396	010	090	51900000			General Funds	\$0	\$0										
397	Total Revenue						(\$65,000)											
398																		
399	010	090	51900000	102	500731	Contracts for Prog Serv	(\$65,000)			\$0		(\$65,000)	\$0	\$0	100%	0%	0%	
400	Total Expense						(\$65,000)				\$0		\$0					
401																		
402	WIC SUPPLEMENTAL NUTRITION PRG																	
403	010	090	52600000	000	404852	Federal Funds	(\$6,000)											
404	010	090	52600000			Other Funds	\$0											
405	010	090	52600000			General Funds	\$0	\$0										
406	Total Revenue						(\$6,000)											
407																		
408	010	090	52600000	026	500251	Organizational Dues	\$775			\$0		\$775	\$0	\$0	100%	0%	0%	
409	010	090	52600000	030	500313	Equipment	\$2,600			\$0		\$2,600	\$0	\$0	100%	0%	0%	
410	010	090	52600000	050	500109	Personal Serv Temp	(\$6,000)			\$0		(\$6,000)	\$0	\$0	100%	0%	0%	
411	010	090	52600000	102	500731	Contracts for Program Services	(\$3,375)			\$0		(\$3,375)	\$0	\$0	100%	0%	0%	
412	Total Expense						(\$6,000)				\$0		\$0					
413																		
414	TOTAL PUBLIC HEALTH SERVICES																	
415										\$0		\$0	\$0	\$0				
416	GLENCLIFF HOME																	
417																		

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	
1	Fund	Agcy	Org	Cl	Rcpt Acc't	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	Transfer Amount OF	GF	FF	SOF OF	GF		
418	Professional Care																		
419	010	091	57100000	000		Federal Funds	\$0												
420	010	091	57100000			Other Funds	\$0												
421	010	091	57100000			General Funds	(\$4,500)	(\$4,500)											
422	Total Revenue							(\$4,500)											
423																			
424	010	091	57100000	066	500543	Employee Training	\$10,000			\$10,000		\$0	\$0	\$10,000	0%	0%	100%		
425	010	091	57100000	101	500729	Medical Pmts to Providers	(\$14,500)			(\$14,500)		\$0	\$0	(\$14,500)	0%	0%	100%		
426	Total Expense							(\$4,500)			(\$4,500)								
427																			
428	Custodial																		
429	010	091	57200000	000		Federal Funds	\$0												
430	010	091	57200000			Other Funds	\$0												
431	010	091	57200000			General Funds	\$15,000	\$15,000											
432	Total Revenue							\$15,000											
433																			
434	010	091	57200000	021	500211	Food Institutions	\$15,000			\$15,000		\$0	\$0	\$15,000	0%	0%	100%		
435	Total Expense							\$15,000			\$15,000								
436																			
437	Administration																		
438	010	091	57400000	000		Federal Funds	\$0												
439	010	091	57400000			Other Funds	\$0												
440	010	091	57400000			General Funds	\$6,000	\$6,000											
441	Total Revenue							\$6,000											
442																			
443	010	091	57400000	020	500200	Current Expense	\$4,500			\$4,500		\$0	\$0	\$4,500	0%	0%	100%		
444	010	091	57400000	070	500704	In State Travel	\$1,500			\$1,500		\$0	\$0	\$1,500	0%	0%	100%		
445	Total Expense							\$6,000			\$6,000								
446																			
447	Maintenance																		
448	010	091	78920000	000		Federal Funds	\$0												
449	010	091	78920000			Other Funds	\$0												
450	010	091	78920000			General Funds	(\$16,500)	(\$16,500)											
451	Total Revenue							(\$16,500)											
452																			
453	010	091	78920000	023	500264	Heat Electricity Water	(\$116,500)			(\$116,500)		\$0	\$0	(\$116,500)	0%	0%	100%		
454	010	091	78920000	048	500226	Contractual Main Buildi	\$100,000			\$100,000		\$0	\$0	\$100,000	0%	0%	100%		
455	Total Expense										(\$16,500)								
456																			
457	TOTAL GLENCLIFF HOME											\$0		\$0		\$0			
458																			
459	BUREAU OF BEHAVIORAL HEALTH																		
460																			
461	Community Mental Health Svcs																		
462	010	092	70100000	000	404664	Federal Funds	(\$1,492,941)												
463	010	092	70100000			General Funds	(\$1,492,941)	(\$1,492,941)											
464	Total Revenue							(\$2,985,882)											
465																			
466	010	092	70100000	502	500891	Payments to Providers	(\$2,985,882)			(\$1,492,941)		(\$1,492,941)	\$0	(\$1,492,941)	50%	0%	50%		
467	Total Expense							(\$2,985,882)			(\$1,492,941)								
468																			
469	TOTAL BUREAU OF BEHAVIORAL HEALTH												(\$1,492,941)		(\$1,492,941)		\$0	(\$1,492,941)	
470																			
471	BUREAU OF DEVELOPMENTAL SERVICES																		
472	Program Support																		
473	010	093	59470000	000		Federal Funds	\$0												
474	010	093	59470000			Other Funds	\$0												
475	010	093	59470000			General Funds	\$126,068	\$126,068											
476	Total Revenue							\$126,068											

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Fund	Agcy	Org	Clas	Rcpt	Class Title	Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	Transfer Amount OF	GF	FF	SOF OF	GF	
477																		
478	010	093	59470000	102	500731	Contracts for Program Svcs	\$126,068			\$126,068		\$0	\$0	\$126,068	0%	0%	100%	
479	Total Expense						\$126,068				\$126,068							
480																		
481	Early Intervention																	
482	010	093	70140000	000	406738	Federal Funds	\$278,132											
483	010	093	70140000			Other Funds	\$0											
484	010	093	70140000			General Funds	(\$126,068)	(\$126,068)										
485	Total Revenue						\$152,064											
486																		
487	010	093	70140000	041	500801	Audit Fund Set Aside	\$279			\$0		\$279	\$0	\$0	100%	0%	0%	
488	010	093	70140000	102	500731	Contracts for Program Svcs	(\$403,921)			(\$403,922)		\$0	\$0	(\$403,922)	0%	0%	100%	
489	010	093	70140000	502	500891	Payments to Providers	\$555,706			\$277,854		\$277,853	\$0	\$277,854	50%	0%	50%	
490	Total Expense						\$152,064				(\$126,068)							
491																		
492	TOTAL BUREAU OF DEVELOPMENTAL SERVICES									\$0	\$0	\$278,132	\$0	\$0				
493																		
494	OFFICE OF THE COMMISSIONER																	
495																		
496	COMMISSIONER'S OFFICE																	
497	010	095	50000000	000	403900	Federal Funds	\$0											
498	010	095	50000000			Other Funds	\$0											
499	010	095	50000000			General Funds	\$0	\$0										
500	Total Revenue						\$730											
501																		
502	010	095	50000000	020	500200	Current Expenses	(\$730)			(\$462)		(\$268)	\$0	(\$462)	37%	0%	63%	
503	010	095	50000000	026	500251	Membership Fees	\$730			\$462		\$268	\$0	\$462	37%	0%	63%	
504	Total Expense						\$730				\$0							
505																		
506	TOTAL OFFICE OF THE COMMISSIONER									\$0	\$0	\$0	\$0	\$0				
507																		
508																		
509	OFFICE OF PROGRAM SUPPORT																	
510																		
511	LEGAL SERVICES																	
512	010	095	56800000	000	403959	Federal Funds	\$0											
513	010	095	56800000			General Funds	\$0	\$0										
514	Total Revenue						\$0											
515																		
516	010	095	56800000	020	500200	Current Expense	(\$704)			(\$465)		(\$239)	\$0	(\$465)	34%	0%	66%	
517	010	095	56800000	022	500255	Rents-Leases Other Than State	\$704			\$465		\$239	\$0	\$465	34%	0%	66%	
518	Total Expense						\$0				\$0							
519																		
520	Operations Support Administration																	
521	010	095	56830000	000	404715	Federal Funds	\$0											
522	010	095	56830000			General Funds	\$0	\$0										
523	Total Revenue						\$0											
524																		
525	010	095	56830000	020	500200	Current Expense	(\$700)			(\$217)		(\$483)	\$0	(\$217)	69%	0%	31%	
526	010	095	56830000	022	500255	Rents-Leases Other Than State	\$700			\$217		\$483	\$0	\$217	69%	0%	31%	
527	Total Expense						\$0											
528																		
529	TOTAL OFFICE OF PROGRAM SUPPORT									\$0	\$0	\$0	\$0	\$0				
530																		
531	OFFICE OF INFORMATION SERVICES																	
532																		
533	Information Services																	
534	010	095	59520000	000	408159	Federal Funds	\$6,991,610											
535	010	095	59520000			General Funds	\$0	\$0										

NH, DHHS

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S		
	Fund	Agcy	Org	Cla	Rcpt	Class Title		Increase/ Decrease Amount	Net Gen'l Fund by Org. Code	Net Gen'l Fund By Agency	GF Amount	S/T	FF	Transfer Amount OF	GF		FF	SOF OF	GF		
536	Total Revenue							\$6,991,610													
537																					
538	010	095	59520000	102	500200	Contracts	\$6,991,610				\$0			\$6,991,610	\$0	\$0	100%	0%	0%		
539	Total Expense							\$6,991,610													
540																					
541	TOTAL OFFICE OF INFORMATION SERVICES													\$6,991,610	\$0	\$0					
542																					
543	TOTAL DEPARTMENT OF HEALTH AND HUMAN SERVICES								\$0	\$0	\$0	\$0	\$0	\$9,267,506	\$1,071,258	\$0					



State of New Hampshire

FIS 15 261

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

November 23, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to Chapter 276:198, Laws of 2015, Transfer Among Accounts, authorize the Department of Safety, Office of the Commissioner, to transfer \$1,722,444.00 within accounting units as outlined below. This request for transfer of funds is to realign appropriated funds for the purpose of executing the mission of the Homeland Security Grant funding at the local and state level. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2016. Funding source: 100% Federal Funds.

Funds are to be budgeted as follows:

02-23-23-231010-41920000 Dept. of Safety Office of the Commissioner HLS Exercise Grants

Class	Description	Current SFY 2016 Adj. Authorized	Requested Action	Revised SFY 2016 Adj. Authorized
000-404492	Total Federal Funds	460,448.89	687,500.00	1,147,948.89
018-500106	Overtime	4,999.08	0.00	4,999.08
020-500200	Supplies (Consumable)	500.00	0.00	500.00
021-502668	Food Non-Institutional	100.00	0.00	100.00
060-500602	Health Ins Benefit (Perm)	988.80	0.00	988.80
070-500704	Mileage Private Cars (In-State)	1,155.00	0.00	1,155.00
072-500576	Grants to Local Government - Federal	451,705.78	687,500.00	1,139,205.78
080-500710	Common Carriers (Out-Of State)	1,000.23	0.00	1,000.23
	Total Expenses	460,448.89	687,500.00	1,147,948.89

02-23-23-231010-54090000 Dept. of Safety Office of the Commissioner HLS Training Grants

Class	Description	Current SFY 2016 Adj. Authorized	Requested Action	Revised SFY 2016 Adj. Authorized
000-404492	Total Federal Funds	717,329.21	1,034,944.00	1,752,273.21
018-500106	Overtime	4,931.66	0.00	4,931.66
020-500200	Supplies (Consumable)	27,629.10	0.00	27,629.10
030-500301	Office Equip. & Furnish (New)	35,745.71	0.00	35,745.71
037-500173	PC Desktop Hardware Maint.	15,000.00	0.00	15,000.00
038-500175	Desktop Software	4,607.00	0.00	4,607.00

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Margaret Wood Hassan
and the Honorable Council
November 23, 2015
Page 2 of 3

050-500109	Part Time Salaries	220,577.89	0.00	220,577.89
057-500531	Books, Periodicals, Subscriptions	43,995.98	0.00	43,995.98
060-500611	FICA (Temporary)	17,251.54	0.00	17,251.54
070-500704	Mileage Private Cars (In-State)	29,772.12	0.00	29,772.12
072-500574	Grants to Local Government - Federal	297,707.98	1,034,944.00	1,332,651.98
080-500710	Common Carriers (Out-Of State)	6,560.23	0.00	6,560.23
102-500731	Contracts for Program Services	3,550.00	0.00	3,550.00
103-502664	Contracts for Operational Services	10,000.00	0.00	10,000.00
Total Expenses		717,329.21	1,034,944.00	1,752,273.21

02-23-23-231010-54100000 Dept. of Safety Office of the Commissioner HLS Equipment Grants

Class	Description	Current SFY 2016 Adj. Authorized	Requested Action	Revised SFY 2016 Adj. Authorized
000-404492	Total Federal Funds	9,339,039.06	(1,722,444.00)	7,616,595.06
046-500464	Consultants	449,999.84		449,999.84
072-500574	Grants to Local Government - Federal	8,889,039.22	(1,722,444.00)	7,166,595.22
Total Expenses		9,339,039.06	(1,722,444.00)	7,616,595.06

Explanation

This transfer of appropriations is to provide training and exercises for primarily local jurisdictions through the State Homeland Security Program (SHSP) and the Law Enforcement specific component of this program. These funds will be used to build capabilities at the local level through grant eligible training and exercise activities and to implement the goals and objectives included in State Homeland Security Strategies and initiatives in the State Preparedness Report (SPR).

The Homeland Security Grant Program (HSGP) funds are used for costs related to preparedness activities associated with implementing the State Homeland Security Strategy and the DHS/FEMA approved Homeland Security Investment Justifications. The HSGP consists of the State Homeland Security Program (SHSP), the Citizen Corps Program, Metropolitan Medical Response System (MMRS) Program, and the Operation Stonegarden Program. Together, these programs provide an integrated mechanism to enhance the coordination of National Priority efforts to prevent, respond to, and recover from terrorist attacks, major disasters, and other emergencies.

The following information is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

Does transfer involve continuing programs or one-time projects? *This transfer is to provide sufficient appropriations to cover primarily local agency level First Responder training and exercise projects funded through the Homeland Security Grant Program, which is a continuing program.*

Is this transfer required to maintain existing program level or will it increase the program level? *This transfer will allow the Department of Safety to maintain the current annual level of training and exercise activity and to implement the mission of the Homeland Security Grants Program for local First Responder entities specifically with regard to federally eligible training and exercise programs and activities through June 30, 2017.*

Cite any requirements which make this program mandatory. *There are no requirements that make this program mandatory. However, this program has been federally approved by enabling Congressional language and has been 100% funded by federal award since 2003.*

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Margaret Wood Hassan
and the Honorable Council
November 23, 2015
Page 3 of 3

Identify the source of funds on all accounts listed on this transfer. *100% federal funds.*

Will there be any effect on revenue if this transfer is approved or disapproved? *No. However, approved Homeland Security grant funded training and exercise programs for local first responders will not be completed and programming scheduled in advance as part of the training and exercise calendars for local First Responders will need to be canceled.*

Are funds expected to lapse if this transfer is not approved? *No.*

Are personnel services involved? *No.*

Org 4192

4192 Class 72 Increased to match the demand for costs associated with local first responder participation in Exercises including Overtime and Backfill, hiring of Consultants, and travel at the local level.

Org 5409

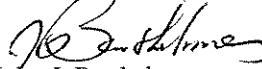
5409 Class 072 Increased to match the demand for costs associated with local first responder participation in Training including Overtime and Backfill, hiring of Consultants, and travel at the local level.

Org 5410

5410 Class 072 Decreased to match the current need for equipment for local first responders.

Highway Funds or General Funds will not be used should federal funds become unavailable.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

284:21-b Organization.

I. The commissioners shall select one from their number to be chairperson and another to be secretary of the commission. Two of the members of the commission shall constitute a quorum to do business. It shall be the duty of the secretary to keep a record of all proceedings of the commission and to preserve all books, documents, papers and records addressed to its care.

II. The commissioners shall appoint an executive director who shall receive the annual salary prescribed by RSA 94:1-a. The lottery executive director shall hold office for a term of 4 years. If a vacancy shall occur, it shall be filled for the remainder of the term. The lottery executive director may be removed by the commission for cause. Upon the effective date of this paragraph, the person who was the executive director shall commence the beginning of the first 4-year term, subject to renewal.

276:197 New Paragraph; Building Code Review Board; Travel Reimbursement. Amend RSA 155-A:10 by inserting after paragraph VI the following new paragraph:

VII. Members of the board shall receive mileage at the rate established in the United States Internal Revenue Code and Regulations when attending meetings of the board for the round trip distance from their residences to the location of the board meeting.

* 276:198 Department of Safety; Transfer Among Accounts. Notwithstanding any provision of law to the contrary, except RSA 9:17-c and RSA 99:4, for the biennium ending June 30, 2017, the commissioner of the department of safety is hereby authorized to transfer funds between and among all class lines and accounting units within the department as the commissioner deems necessary and appropriate to address present or projected budget deficits, or to respond to changes in federal law, regulations, or programs, and otherwise as necessary for the efficient management of the department; provided that any transfer over \$75,000 shall require prior approval of the fiscal committee of the general court and the governor and council.

276:199 Health and Human Services; Nursing Home Appropriations. Amend 2013, 143:1, accounting unit 05-95-48-481510-5942 by replacing the organizational notes immediately following such accounting unit with the following:

ORGANIZATION NOTES

*The appropriation contained in classes 504, 505, 506, and 529 may only be transferred between and among said classes. The appropriations shall not lapse or be used for any other purpose. The appropriations shall not be considered for budget reductions required pursuant to sections 10 and 14 of this act or any other budget reduction, including executive orders required of the department of health and human services. Any balance remaining at the end of each fiscal year shall be paid as additional rates based upon the rate setting methodology in effect at that time in a special rate adjustment.

276:200 Health and Human Services; Nursing Home Appropriations. Pursuant to the



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER

33 HAZEN DR. CONCORD, NH 03305

603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

November 13, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

Pursuant to the provisions of Chapter 276:198, Laws of 2015, Transfer Among Accounts, authorize the Department of Safety's Division of State Police to transfer funds within various accounting units, as outlined below, in the amount of \$2,257,582.00 for SFY 2016 and \$2,295,067.00 for SFY 2017 to properly align the funding of accounting units based on federal requirements for Uniform Carrier Registration (UCR) revenues. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2017. Funding source: 100% Highway Funds.

02-23-23-234015 Dept. of Safety – Division of State Police

SFY 2016

Account	Description	Requested Increase / (Decrease)	
		General Funds	Highway Funds
23050000	Commercial Enforcement	(\$2,257,582)	\$2,257,582
40030000	Traffic Bureau	\$2,192,849	(\$2,192,849)
40050000	Auxiliary Police	\$10,823	(\$10,823)
40060000	Aircraft Surveillance	\$15,766	(\$15,766)
40140000	State Police Witness Fees	\$25,368	(\$25,368)
40180000	Ammunition	\$12,776	(\$12,776)

SFY 2017

Account	Description	Requested Increase / (Decrease)	
		General Funds	Highway Funds
23050000	Commercial Enforcement	(\$2,295,067)	\$2,295,067
40030000	Traffic Bureau	\$2,222,172	(\$2,222,172)
40050000	Auxiliary Police	\$11,001	(\$11,001)
40060000	Aircraft Surveillance	\$24,318	(\$24,318)
40140000	State Police Witness Fees	\$25,726	(\$25,726)
40180000	Ammunition	\$11,850	(\$11,850)

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
November 13, 2015
Page 2 of 2

Explanation

The Division of State Police's Commercial Enforcement accounting unit requires the realignment of funding in order to be in compliance with the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) restrictions that participating States use revenues generated under the Unified Carried Registration (UCR) program only for motor carrier safety programs, motor carrier enforcement programs, or administration of the UCR fee system. The Commercial Enforcement accounting unit is where the majority of expenses for motor carrier safety programs and motor carrier enforcement programs are charged and, as a result, needs to have the funding modified in order to ensure that the UCR funds received by the State and deposited in the Highway fund are applied to the Commercial Enforcement accounting unit's expenses. The current funding structure does not have enough Highway funding in the Commercial Enforcement accounting unit to be compliant with SAFETEA-LU; however, by shifting Highway and General funding between State Police accounting units, additional funding is not needed by the Department and compliance is obtained. The financial staff became aware of the SAFETEA-LU restriction after the passage of the budget. As soon as they became cognizant of this concern, efforts were commenced to rectify the issue.

The following information is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions:

Does this transfer involve continuing programs or one-time projects? The transfer involves continuing programs.

Is this transfer required to maintain the existing program level or will it increase the program level? This transfer is required to maintain existing program levels.

Cite any requirements which make this program mandatory. RSA 21-P

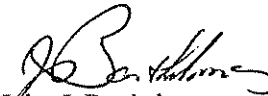
Identify the source of funds on all accounts listed on this transfer. The sources of funds impacted by this transfer are Highway and General funds.

Will there be any effect on revenue if this transfer is approved or disapproved? There will be an impact on Unified Carried Registration (UCR) revenue.

Are funds expected to lapse if this transfer is not approved? Yes, the funds will lapse if the transfer is not approved.

Are personnel services involved? Yes.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

Department of Safety
 Division of State Police
 02-23-23-234015

FUND SFY 2016		*****Current Appropriation*****			***Requested Increase / (Decrease)***			*****Revised Appropriation*****		
AU	Description	Highway 000015	Turnpike 000017	General 000010	Highway 000015	Turnpike 000017	General 000010	Highway 000015	Turnpike 000017	General 000010
23050000	Commercial Enforcement	\$1,855,507	\$0	\$3,803,257	\$2,257,582	\$0	(\$2,257,582)	\$4,113,089	\$0	\$1,545,675
40030000	Traffic Bureau	\$9,230,237	\$6,432,173	\$12,487,140	(\$2,192,849)	\$0	\$2,192,849	\$7,037,388	\$6,432,173	\$14,679,989
40050000	Auxiliary Police	\$45,559	\$31,748	\$61,635	(\$10,823)	\$0	\$10,823	\$34,736	\$31,748	\$72,458
40060000	Aircraft Surveillance	\$66,361	\$46,244	\$89,776	(\$15,766)	\$0	\$15,766	\$50,595	\$46,244	\$105,542
40140000	State Police Witness Fees	\$106,780	\$74,410	\$144,457	(\$25,368)	\$0	\$25,368	\$81,412	\$74,410	\$169,825
40180000	Ammunition	\$53,776	\$37,474	\$72,750	(\$12,776)	\$0	\$12,776	\$41,000	\$37,474	\$85,526
					<u>(\$2,257,582)</u>		<u>\$2,257,582</u>			

FUND SFY 2017		*****Current Appropriation*****			***Requested Increase / (Decrease)***			*****Revised Appropriation*****		
AU	Description	Highway 000015	Turnpike 000017	General 000010	Highway 000015	Turnpike 000017	General 000010	Highway 000015	Turnpike 000017	General 000010
23050000	Commercial Enforcement	\$1,938,828	\$0	\$3,954,265	\$2,295,067	\$0	(\$2,295,067)	\$4,233,895	\$0	\$1,659,198
40030000	Traffic Bureau	\$9,254,356	\$6,421,788	\$12,452,590	(\$2,222,172)	\$0	\$2,222,172	\$7,032,184	\$6,421,788	\$14,674,762
40050000	Auxiliary Police	\$45,816	\$31,793	\$61,649	(\$11,001)	\$0	\$11,001	\$34,815	\$31,793	\$72,650
40060000	Aircraft Surveillance	\$101,306	\$70,306	\$136,338	(\$24,318)	\$0	\$24,318	\$76,988	\$70,306	\$160,656
40140000	State Police Witness Fees	\$107,138	\$74,345	\$144,164	(\$25,726)	\$0	\$25,726	\$81,412	\$74,345	\$169,890
40180000	Ammunition	\$49,350	\$34,245	\$66,405	(\$11,850)	\$0	\$11,850	\$37,500	\$34,245	\$78,255
					<u>(\$2,295,067)</u>		<u>\$2,295,067</u>			

284:21-b Organization.

I. The commissioners shall select one from their number to be chairperson and another to be secretary of the commission. Two of the members of the commission shall constitute a quorum to do business. It shall be the duty of the secretary to keep a record of all proceedings of the commission and to preserve all books, documents, papers and records addressed to its care.

II. The commissioners shall appoint an executive director who shall receive the annual salary prescribed by RSA 94:1-a. The lottery executive director shall hold office for a term of 4 years. If a vacancy shall occur, it shall be filled for the remainder of the term. The lottery executive director may be removed by the commission for cause. Upon the effective date of this paragraph, the person who was the executive director shall commence the beginning of the first 4-year term, subject to renewal.

276:197 New Paragraph; Building Code Review Board; Travel Reimbursement. Amend RSA 155-A:10 by inserting after paragraph VI the following new paragraph:

VII. Members of the board shall receive mileage at the rate established in the United States Internal Revenue Code and Regulations when attending meetings of the board for the round trip distance from their residences to the location of the board meeting.

* 276:198 Department of Safety; Transfer Among Accounts. Notwithstanding any provision of law to the contrary, except RSA 9:17-c and RSA 99:4, for the biennium ending June 30, 2017, the commissioner of the department of safety is hereby authorized to transfer funds between and among all class lines and accounting units within the department as the commissioner deems necessary and appropriate to address present or projected budget deficits, or to respond to changes in federal law, regulations, or programs, and otherwise as necessary for the efficient management of the department; provided that any transfer over \$75,000 shall require prior approval of the fiscal committee of the general court and the governor and council.

276:199 Health and Human Services; Nursing Home Appropriations. Amend 2013, 143:1, accounting unit 05-95-48-481510-5942 by replacing the organizational notes immediately following such accounting unit with the following:

ORGANIZATION NOTES

*The appropriation contained in classes 504, 505, 506, and 529 may only be transferred between and among said classes. The appropriations shall not lapse or be used for any other purpose. The appropriations shall not be considered for budget reductions required pursuant to sections 10 and 14 of this act or any other budget reduction, including executive orders required of the department of health and human services. Any balance remaining at the end of each fiscal year shall be paid as additional rates based upon the rate setting methodology in effect at that time in a special rate adjustment.

276:200 Health and Human Services; Nursing Home Appropriations. Pursuant to the



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301
 603-271-9404 1-800-852-3345 Ext. 9404

Fax: 603-271-4232 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

Nicholas A. Toumpas
 Commissioner

Mary Ann Cooney
 Associate
 Commissioner

November 01, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

REQUESTED ACTION

1. Pursuant to the provisions of Chapter 276:206, Laws of 2015, authorize the Department of Health and Human Services, Division for Children, Youth and Families to implement the actions contained in the attached Cost Savings Plan to support the dual purposes within the Sununu Youth Services Center of providing both secure youth detention and psychiatric residential treatment.

EXPLANATION

The Department of Health and Human Services was directed per Chapter 276:206, Laws of 2015 to develop a cost reduction plan for the Sununu Youth Services Center.

Those chapter laws provide:

“276:206 Department of Health and Human Services; Sununu Youth Services Center; Cost Savings Plan. The department of health and human services shall develop a plan to reduce the cost of providing existing services at the Sununu Youth Services Center. The plan shall include privatization of services, offering additional and compatible services, and consider the most appropriate, cost effective, long and short-term uses of the center as described in the January 1, 2014 report issued pursuant to 2013, 249. The department shall submit the plan to the fiscal committee of the general court for approval on or before November 1, 2015, and begin implementation of the plan by January 1, 2016.”

Attached is the Department’s plan for Sununu Youth Services Center, dated November 2015. The attached plan is the most appropriate long-term use of the facility as it would expand services available and meet a defined need for youth with high-need behavioral and mental health issues in New Hampshire while reducing the overall burden on state general funds for the care and treatment of this population of youth.

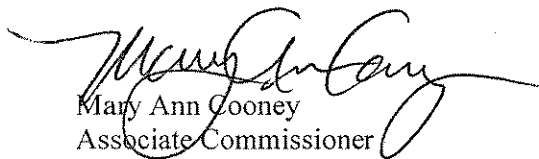
With the assistance of the Office of Legislative Budget Assistant, the Department was able to review seven (7) scenarios for operation of the SYSC (Reference attached memo from the LBAO to Senator Boutin dated October 12, 2015). The Department also analyzed a cost reduction plan to operate the SYSC for \$10,100,000. This option would require a 52% reduction in personnel and make it necessary to close the facility. This option was determined to not be in the best interest of youth in the Department’s care.

As a result of the financial analysis the Department determined that the most cost effective approach was to operate the Center as is over the course of the FY2016-2017 biennium, and develop and begin implementation of a plan to transform the facility to a multiuse treatment environment that adheres to a continuum of care model for juvenile justice involved youth and those at risk for being involved with the juvenile justice system as a result of mental or behavioral health diagnoses.

Also included as reference material is a report dated January 2014 relative to long term and short term uses of SYSC, a SYSC transformation proposal, and a letter from Denise O'Donnell, from the US DOJ, to Mr. Kennedy at DHHS relative to VOITIS funding.

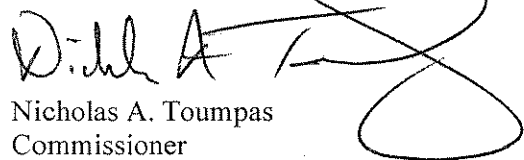
Although the proposed plan will result in greater reductions in general fund expenditures in future years, there remains a near-term need for continued general funding at close to prior levels to support current services while transformation of the facility is underway.

Respectfully submitted,



Mary Ann Cooney
Associate Commissioner

Approved by:

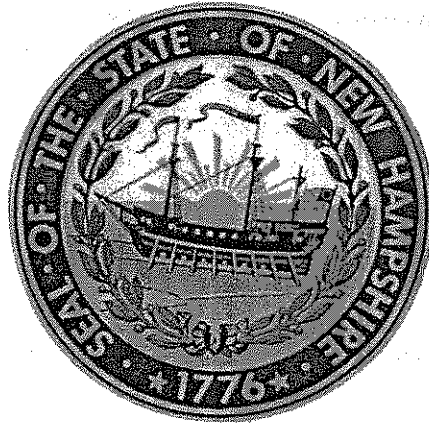


Nicholas A. Toumpas
Commissioner

New Hampshire Department of Health and Human Services Report

Pursuant to Chapter 276:205 and 276:206, Laws of 2015

Report to Fiscal Committee Regarding
Plan to Reduce Costs of Providing
Services at the
Sununu Youth Services Center



November 2015

I. Background

In Chapter 276:205 and Chapter 276:206, Laws of 2015, the legislature directed the Department to reduce general fund appropriations for the Sununu Youth Services Center (SYSC), and to prepare a Cost Savings Plan for achieving the required reductions. Those chapter laws provide:

“276:205 Department of Health and Human Services, Sununu Youth Services Center; Reduction in Appropriation. The department of health and human services is hereby directed to reduce state general fund appropriations to the Sununu Youth Services Center by \$1,721,861 for the fiscal year ending June 30, 2016, and by \$3,496,746 for the fiscal year ending June 30, 2017. The department shall operate the Sununu Youth Services Center within the allotted budget, or may enter into contracts for operation of the Sununu Youth Services Center, including establishing necessary class lines, as long as total operating costs do not exceed \$10,100,000 for the fiscal year ending June 30, 2017.

276:206 Department of Health and Human Services; Sununu Youth Services Center; Cost Savings Plan. The department of health and human services shall develop a plan to reduce the cost of providing existing services at the Sununu Youth Services Center. The plan shall include privatization of services, offering additional and compatible services, and consider the most appropriate, cost effective, long and short-term uses of the center as described in the January 1, 2014 report issued pursuant to 2013, 249. The department shall submit the plan to the fiscal committee of the general court for approval on or before November 1, 2015, and begin implementation of the plan by January 1, 2016.”

In response to this legislative requirement and pursuant to the recommendations from the January 2014 *Report to Fiscal Committee of the General Court As To Most Appropriate, Cost Effective, Long and Short-Term Uses of the Sununu Youth Services Center (attached as Appendix A)*, the Department:

- Established a multi-disciplinary team, including project management support, to identify and implement appropriate near-term and longer-term cost-savings measures;
- Researched current needs of youth at SYSC and across New Hampshire, evaluated options for addressing those needs, and obtained data to evaluate costs/cost savings under a variety of scenarios;
- Researched other states and similar institutions and collected current cost/savings data for privatization of specific services (i.e., education, food service, and maintenance) and for youth detention/treatment at other state and private facilities;
- Determined that repurposing SYSC to include a Psychiatric Residential Treatment Facility (PRTF) is the most appropriate long-term use of the facility. This would expand services available and meet a defined need for youth with high-need behavioral and mental health issues in New Hampshire while reducing the overall burden on state general funds for the care and treatment of this population of youth;
- Consulted with the Federal Center for Medicare and Medicaid Services (CMS), Federal Department of Justice (DOJ), and national accreditation bodies regarding requirements and restrictions on repurposing SYSC to include a PRTF, and pursued steps to obtain necessary approvals from these entities; and
- Evaluated current SYSC operations and identified gaps in staffing and services required to receive accreditation as a PRTF.

Public input regarding the Department's plan to create a PRTF at SYSC, which was provided to the "SYSC Workgroup on Transformational Alternatives" at its town hall meeting in Manchester on September 10, 2015, was overwhelmingly positive with some in attendance sharing personal stories of how the existence of such a facility could have assisted them in the treatment of their own children.

The Department has also explored with its residential service providers whether they would be willing and able to provide secure treatment for these youth at their facilities. None of these providers has expressed a willingness and ability to do so. At the SYSC Workgroup meeting on October 5, 2015, the executive directors of Webster House, Nashua Children's Home and Wediko each addressed the committee and stated that:

- they were not interested in becoming a secure residential facility; and,
- the intensity of services these youth need cannot be provided in their facilities.

Additional reasons given include:

- the need to seek approvals from their board of directors;
- the need for additional funds to convert their facility to become architecturally secure;
- the need for a guarantee from the Department as to the number of detained/committed youth that they would receive in order to become and remain operational; and,
- concerns that the intensive needs of youth who require secure treatment will compromise the safety of other youth at their facilities.

At this same meeting Dr. Bob MacLeod, CEO of NH Hospital told the committee that NH Hospital is experiencing an average of 5.1 patients per day wait list for adolescent admissions and it is not unusual to have a need for 10-12 beds with two-thirds representing the needs of adolescents.

DHHS asserts that establishing a PRTF at SYSC will address the needs of youth waiting for admission for acute care at NHH by enabling those youth ready for discharge to receive medically necessary treatment at a PRTF and not over utilize the more expensive care at NH Hospital.

II. Plan Overview

The Department proposes to repurpose half of the existing facility at the Sununu Youth Services Center to create a Psychiatric Residential Treatment Facility (PRTF), while retaining the other half as a youth correctional facility. The transformation of the Sununu Youth Services Center supports the dual goals of reducing general fund expenditures and improving treatment services to better meet the behavioral and mental health treatment needs of children in the state. Under the DHHS Plan, the Department expects to serve more children for significantly fewer general fund dollars than are currently required to run the Sununu program, saving an estimated \$3.5 million per year in general funds in future years.

Under this plan, the Department asserts that:

- A transformed SYSC will serve an average of 80 youth per day in both programs for a reduced net cost of approximately \$9.9 million general fund dollars (FY 2018 and beyond, excluding inflationary cost increases), as a result of revenue from Medicaid and other health insurance, and compared to the approximately 45 youth per day served in SFY '15 at a cost of \$13.4 million in general fund dollars.
- The vast majority of delinquent youth have significant behavioral, mental health and substance abuse needs that require intensive treatment to effectively address their delinquent behavior.

- Delinquent youth can be treated more cost-effectively in a PRTF, utilizing Medicaid and other insurance payments, than in a correctional setting, where treatment is fully supported by general funds.
- Other populations of youth who require this intensive level of treatment, which is currently unavailable in New Hampshire, will benefit from services provided by the PRTF. These populations include:
 - youth awaiting discharge from NH Hospital to a less acute treatment setting;
 - court involved delinquent and non-delinquent youth in need of this level of care and treatment who are currently being placed out of state; and,
 - youth whose treatment needs simply remain unmet because the level of treatment available or being provided is not intensive enough, resulting in negative outcomes for them, their families, and their communities.
- Children and youth are best served in their homes and communities when it is safe and effective to do so. However, the availability of the PRTF level of care is a critical component of a full continuum of treatment services for youth with behavioral and mental health needs. (*See Appendix B: Issue Brief on Purpose and Need for a PRTF in New Hampshire.*)

Implementation of this Plan will require:

- Approval by the Federal Center for Medicare and Medicaid Services (CMS) of the SYSC PRTF as a Medicaid-eligible facility, authorizing Medicaid reimbursement for treatment of delinquent and other youth treated at the facility;
- Statutory changes and the adoption of administrative rules to support the functioning of SYSC as both a juvenile correctional facility and a PRTF; and,
- Accreditation of the PRTF at SYSC by a recognized accrediting body.

The Department believes that these are all achievable goals.

III. Plan Details and Cost/Cost-Saving Estimates

The SYSC is the ideal site for establishing a PRTF in New Hampshire. The SYSC currently serves as a youth's residence, school and treatment facility. It offers many of the assessments and clinical services that youth in need of this level of intensive treatment require, including comprehensive medical, clinical and educational services. SYSC also is a state-of-the-art facility well-suited to be a psychiatric residential treatment center, and the design of the building is such that it can be easily split into two facilities to ensure separation of the two populations of youth.

DHHS Plan Retains Juvenile Correctional Facility for Detention and Commitment: The population of youth at the SYSC has, consistent with national trends in juvenile justice, declined in recent years for a number of reasons. Among the most prominent reasons are the decline in juvenile crime, which is at historic low levels, and the decline in the use of secure facilities to incarcerate juvenile offenders, as research and experience have demonstrated that incarceration is inappropriate for most juveniles.

Nonetheless, all states maintain secure care and treatment options for the subset of juvenile delinquents who have committed violent crimes or who pose a significant threat to their communities. The Department anticipates that a secure detention/correctional facility will continue to be necessary and the Department would continue to utilize a portion of the SYSC facility to meet this need.

DHHS Plan Provides New Treatment Option within the State: The Department's plan would create a PRTF at the SYSC. A PRTF is designed to be:

- less medically intensive than a psychiatric hospital, while still providing a range of comprehensive services on an inpatient basis under the direction of a physician; and
- a more clinically-intense type of treatment delivered to youth that are more acutely or chronically psychiatrically ill than those served in other residential settings.

Providing PRTF services within the state will enhance the service array available in the Department's *System of Care* and enable the Department to better serve youth in New Hampshire with significant behavioral and mental health treatment needs.

The PRTF Issue Brief, included as Appendix B, provides additional detail on the projected need and purpose of the PRTF.

DHHS Plan Does Not Require Payback of VOI/TIS Funding

The SYSC was built in part with the use of federal Violent Offender Incarceration and Truth in Sentencing, or VOI/TIS, funds (approximately \$13,400,000). Federal law requires that the state seek approval from the U.S. Department of Justice for any change of use of the facility. The Department has requested and received approval from the U.S. Department of Justice for a change of use at the SYSC to include a PRTF. With the DOJ approval, the state will not be required to repay any of the VOI/TIS funds as a result of establishing a PRTF at the SYSC, and as long as “the facility is used for a criminal justice purpose” and the youth are either involved or at risk of involvement with the juvenile justice system .

A copy of the letter of approval, dated October 13, 2015, from the Department of Justice is attached (See Appendix D).

A. Current SYSC Budget and Operations

The SYSC Budget includes costs associated with operation and maintenance of the full 156 acre campus and 17 buildings. Only 5 of these buildings are used by the SYSC program.

Actual expenditures for entire SYSC campus under the SYSC Budget FY 2014/2015:

Budget Information	FY 2014	FY 2015
General Funds	\$13,036,595	\$13,369,580
Total Funds	\$14,329,097	\$14,275,945

For FY 2015, the SYSC program had an average census of around 45 youth per day. To date in FY 2016, the SYSC program has had an average census around 50 youth per day. The facility has experienced an increased number of detained youth with the recent addition of 17 year olds.

B. Impact of DHHS Plan on SYSC Budget

1) Modification of SYSC Facility

The existing SYSC facility is designed for 144 detained and committed youth. By dividing the current facility in half, the Department will create two separate 72-bed facilities; one a juvenile correctional and detention facility, and the other a PRTF. Each half of the divided facility will have its own entrance with separate living and educational areas. Common areas, such as cafeteria and recreational areas will be shared without co-mingling of the youth. Dividing the facility in this manner will maximize the use of the existing infrastructure and enable the different

programs to share operation and maintenance costs. The Department anticipates that overall operation/maintenance costs will be unchanged.

Estimated Additional Costs:

- The Department estimates the one-time cost for building renovations to divide the facility to be \$1,000,000 and that those costs would be incurred in late SFY 16 or /early SFY 17.

2) Psychiatric Treatment at SYSC as Component of Full System of Care

The creation and operation of an accredited, Medicaid-eligible PRTF will require some changes in staffing to include individuals with certain clinical/ medical credentials necessary to support the full range of PRTF services. The Department assumes that additional residential services staff will also be required due to the increased number of youth that will be served.

Treatment services for Medicaid-eligible youth at the SYSC PRTF will receive reimbursement from the Federal government for ½ of costs. Private-payer and insured youth receiving treatment at the SYSC PRTF would be expected to pay the full residential and treatment costs. This will provide additional revenue to support operations of the facility.

Estimated Additional Costs:

- \$1,925,990 per year for additional staff.

Estimated Additional Revenue:

- The Department estimates that the state will receive \$7,660,209 per year in Medicaid, private payer, and insurance payments for PRTF services.

3) Net Impact on SYSC Budget and Required General Fund Appropriation

The Department, in cooperation with the Office of the Legislative Budget Assistant (LBA), estimated the SYSC operating costs and general fund expenditures for SFY 16/17 under several different scenarios. As stated above, the Department is proposing that a Psychiatric Residential Treatment Facility be established at SYSC. The table below represents the FY'16 and FY'17 budget for this to occur.

The full LBA report to the Continuing Resolution Workgroup containing all the cost analyses is attached as Appendix C.

SYSC Budget Adding a PRTF	FY 2016	FY 2017	Total Biennium
Budget for SYSC with a PRTF	0	\$15,589,761	
PRTF Revenue (Medicaid and Private Pay)	0	(\$7,660,209)	
Net State Cost	0	\$7,929,552	
Renovation of Building	0	\$1,000,000	
Additional staff for population increase	0	\$1,925,990	
Budget Needed	\$13,972,211	\$10,855,542	
Budget Passed	\$12,199,072	\$10,791,519	
Unfunded Need	\$1,773,139	\$64,023	\$1,837,162

***Note.** Of the FY 2017 budget amount, \$1,000,000 represents one-time costs to renovate the building. The FY 2017 budget without one-time cost would be \$9.9 million.

The SYSC Operating Budget accounts for all expenditures and reimbursements associated with SYSC's current operations (e.g., reimbursement of educational costs from sending school districts, federal grants for food services). The SYSC Operating Budget also includes operation and maintenance for the full 156 acre campus, including all 17 buildings.

IV. Appendices

- A. Report to Fiscal Committee of the General Court as to Most Appropriate, Cost Effective, Long and Short-Term Uses of the Sununu Youth Services Center, January 2014
- B. PRTF Issue Brief
- C. LBA cost analysis of alternatives
- D. USDOJ letter

OFFICE OF LEGISLATIVE BUDGET ASSISTANT

State House, Room 102
Concord, NH 03301
271-3161

DATE October 12, 2015
REVISED

FROM Michael Hoffman, Senior Budget Officer

SUBJECT Sununu Youth Service Center (SYSC)

TO Senator David Boutin

In response to a request from the Sununu Youth Services Center Working Group, I have worked with the Department of Health and Human Service to review and summarize scenarios for operation of the SYSC. As you know, these scenarios are based on budget and expenditure information that is available and on reasonable assumptions. I reviewed the information, asked questions to better understand the assumptions, and tried to summarize them clearly for your review. The scenarios are in no particular order and our office does not offer an opinion on any of them.

Background

The Sununu Youth Services Center consists of 14 buildings situated on 156 acres. Five of the buildings are used by the program. The State police and the Manchester police also use some of the buildings. There are 25 non-Sununu staff of the Department of Health and Human Services with offices in the administration building. The DHHS budget pays for maintenance on the entire property. The new building was included in the 2003 capital budget and built with state funds and federal funds from the U.S. Department of Justice. The new building has a capacity of 144 beds which was the anticipated future need at the time. The ribbon cutting for the new facility was on April 3, 2006.

Budget Information	ACTUAL		GOVERNOR'S BUDGET		FY 16-17 FINAL BUDGET	
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2016	FY 2017
General Fund	\$13,036,595	\$13,369,580	\$13,243,721	\$13,596,746	\$11,470,582	\$ 10,049,157
Total Funds	\$14,329,097	\$14,275,945	\$13,972,211	\$14,339,108	\$12,199,072	\$10,791,519
Staff:						
Classified	141	141	132*	132*	132*	132*
Unclassified	1	1	1	1	1	1
Total	142	142	133	133	133	133

Notes: *In the FY 2016-17 operating budget 10 staff positions are not funded. FY 2016-17 amounts above include the HB2 reduction of \$1,721,861 in FY 2016 and \$3,496,746 in FY 2017.

Staffing / Census history

Fiscal Year	Staff	Average Census
2010	180	63.4
2011	180	61.8
2012	152	60.1
2013	152	59.9
2014	142	60.3
2015	142	45
2016	133	TBD

The FY 2016 average census has been 46.2 youths.

The Governor’s recommended budget was about one percent less than the actual expenditures in the previous biennium. This budget will be considered the current state or “Status Quo” and used as a basis for comparison for the different scenarios.

SCENARIOS

Scenario #1: Status Quo. Continue to operate the SYSC as in the previous biennium.

Assumption: Legislation would be needed to appropriate funds and allow operating costs to exceed the cap for FY 2017 included in HB2.

Based on current operations, funds authorized in the FY 2016-17 budget would last until April or early May and the savings in HB2 would not be realized. The following general fund appropriations would be needed to continue to operate SYSC without program and staff reductions:

FY 2016	\$1,721,861
FY 2017	\$3,496,746
Unfunded Need	\$5,218,607

Over 82% of the appropriation for the SYSC is in in personnel costs including salary, benefits, overtime and holiday pay. Based on the average salary and benefit amount, terminal pay, unemployment costs and COBRA insurance coverage, the budget as passed would require elimination of up to 69 positions. This would amount to a 52% reduction. The Department indicates it could not continue provide the necessary services or properly maintain the facility with the appropriation levels included in the FY 2016-17 operating budget.

Scenario #2: Savings from privatization of education and food services.

Assumptions:

- The food contractor would continue to receive federal school lunch program funding.
- The education contractor would continue to be reimbursed by the sending school districts.
- There would be no changes to the cost of administration, maintenance, or residential treatment programs.
- Approximately 26 employees would be laid off.

- Privatization of education and food services would begin in FY 2017 as would terminal pay for the 26 positions. Time is needed for the RFP and contract approval process.
- There would be providers interested in providing the services and the proposals would be feasible.

Privatize Food and Education	FY 2016	FY 2017	Total
Status Quo	\$13,972,211	\$14,339,108	
Privatization Savings	0	(912,358)	
Payouts Kitchen (6) & Education Staff (20)	0	436,107	
Unemployment Costs	0	324,534	
COBRA	0	151,717	
Budget Needed	13,972,211	14,560,093	
Budget Passed	12,199,072	10,791,519	
Unfunded Need	\$1,773,139	\$3,768,564	\$5,541,703

*Note. Of the FY 2017 budget amount, \$912,358 represents one-time personnel reduction costs. The FY 2017 budget needed without the one-time costs would be \$13.6 million.

Scenario #3: Establish a Psychiatric Residential Treatment Facility (PRTF) with private food and education services.

Assumptions:

- CMS would certify the facility and approve Medicaid coverage of certain services.
- The U.S. Department of Justice would allow a modified use of the facility built partially with funds through the Violent Offender Incarceration and Truth-In-Sentencing Incentive Program.
- Assumes an average census of 65 youth would be served in the PRTF.
- 90% of the 65 youth would generate revenue from Medicaid or private sources.
- Assumes an average census of committed and detained of 15 youth.
- Includes costs for additional clinical staff needed for the facility to be certified as a PRTF.
- Assumes development and approval of the program, procurement and contracting would require the remainder of FY 2016. Program implementation would be in FY 2017.
- Includes privatization of education programs and food services as described in scenario #1.

	FY 2016	FY 2017	Total
Budget for SYSC as a PRTF	0	\$16,810,736	
PRTF Revenue (Medicaid and Private Pay)	0	(7,660,209)	
Net State Cost	0	9,150,527	
Kitchen (6) & Education Staff (20) Payouts	0	436,107	
Additional staff for population increase	0	1,925,990	
COBRA	0	151,717	
Unemployment Costs	0	324,534	
Budget Needed	\$13,972,211	11,988,875	
Budget Passed	\$12,199,072	10,791,519	

Unfunded Need	\$1,773,139	\$1,197,356	\$2,970,495
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*Note. Of the FY 2017 budget amount, \$1,000,000 represents one-time costs to renovate the building.

The FY 2017 budget without the renovation and personnel one-time costs would be \$10.1 million.

Scenario #4: Close the facility and place youth in other existing facilities, likely to be outside of New Hampshire.

Assumptions:

- Neighboring states and/or providers have capacity and are willing to serve/treat additional youth.
- Rates paid would be at the average for New England states at \$565 per day.
- Up to 129 staff at SYSC would be laid off and receive terminal pay.
- Additional unemployment costs would be incurred due to the layoffs.
- Three staff would remain for security, snow removal and maintenance of unoccupied buildings.
- There would be additional travel and staff costs related to family visits.
- 25 DHHS staff with offices in the administration building would need office space elsewhere estimated at \$4,000/person/ year.
- The campus would be vacant but maintained to avoid deterioration.

	FY 2016	FY 2017	Total
Terminal Pay for 129 Staff @ \$14,397 average		\$1,857,213	
Unemployment compensation		\$1,647,632	
COBRA		\$676,481	
Campus Maintenance		\$343,567	
Cold Storage		\$1,600,000	
Office space for 25 DHHS staff		\$100,000	
Services for 50 clients at \$565/ day x 365 days		\$10,311,250	
Budget Needed	\$13,972,211	\$16,536,143	
Budget Passed	\$12,199,072	10,791,519	
Unfunded Need	\$1,773,139	\$5,744,624	\$7,517,763

*Note. Of the FY 2017 budget amount, \$4.2 million represents one-time costs. The FY 2017 estimated budget without the one-time costs would be \$12.35 million.

Scenario #5: Close the facility and place youths in facilities developed in New Hampshire.

Assumptions:

- Providers in NH would be interested in serving committed youth in a secure facility and communities would be willing to accept such a facility.
- Due to the time needed to locate and construct or renovate facilities, the earliest implementation would be in 2017.
- Five small facilities with a capacity of 10 beds could be renovated or built.
- Rate of \$525 per day could be achieved.
- Up to 129 staff at SYSC would be laid off and receive terminal pay.
- Additional unemployment costs would be incurred due to the layoffs.
- Three staff would remain for security, snow removal and maintenance of unoccupied buildings.

- 25 DHHS staff with offices in the administration building would need office space estimated at \$4,000/person/ year.
- The campus would be vacant but maintained to avoid deterioration/mold.

	FY 2016	FY 2017	TOTAL
Costs for running SYSC for 6 months		\$7,169,554	
Renovation/Construction Costs 5 Facilities @ average of \$400k per facility		\$2,000,000	
Terminal Pay for 129 Staff @ \$14,397 average		\$1,857,213	
COBRA		\$676,481	
Unemployment compensation		\$1,647,632	
Campus Maintenance		\$171,784	
Cold Storage (half year)		\$300,000	
Office space for 25 DHHS staff (half year)		\$50,000	
Services for 50 clients at \$525/ day (half year)		\$4,803,750	
Budget Needed	\$13,972,211	\$19,576,114	
FY 2017 Budget Passed	\$12,199,072	\$10,791,519	
Unfunded Need	\$1,773,139	\$8,884,395	\$10,658,034

*Note. Of the FY 2017 budget amount, \$2 million represents one-time costs. The FY 2017 estimated budget without the one-time costs would be \$1.5 million.

Scenario #6: Private provider operates a correctional facility on SYSC property.

Assumptions:

- Maintenance staff would be needed for security, snow removal and maintenance of unoccupied buildings and property. Maintenance staff would be reduced by 30%. Seven staff would remain.
- Administrative building will continue to house 25 non-SYSC DHHS staff.
- There would be a reduction in operating costs; supplies, paint, utilities, etc.
- Equipment costs would be reduced by half since there would be less wear and tear.
- Contractor would assume responsibility for the cost of running the SYSC building including heat, hot water and electricity.
- Treatment would be limited; children treated out of state would remain out of state.

	FY 2016	FY 2017	Total
Status Quo	\$13,972,211		
Terminal Pay for 125 Staff @ \$14,397 average		\$1,799,625	
Unemployment compensation		\$1,596,542	
COBRA		\$655,505	
Campus Operation and Maintenance		\$822,521	
Capital Expense		\$150,000	
Services for 50 clients at \$525/ day x 365 days		\$9,581,250	
Budget Needed		\$14,605,443	
Budget Passed	\$12,199,072	10,791,519	
Unfunded Need	\$1,773,139	\$3,813,924	\$5,587,063

*Note. Of the FY 2017 budget amount, \$4.2 million represents one-time costs. The FY 2017 estimated budget without the one-time costs would be \$10.4 million.

Scenario #7: Establish a Psychiatric Residential Treatment Facility (PRTF) without privatizing food services and education.

Assumptions:

- CMS would certify the facility and approve Medicaid coverage of certain services.
- The U.S. Department of Justice would allow a different use of the facility built partially with funds through the Violent Offender Incarceration and Truth-In-Sentencing Incentive Program.
- Assumes an average census of 65 youth would be served in the PRTF.
- 90% of the 65 youth would generate revenue from Medicaid or private sources.
- Assumes an average census of committed and detained of 15 youth.
- Includes costs for additional clinical staff needed for the facility to be certified as a PRTF.
- Assumes that legislative changes will be approved, and that the facility is certified as a PRTF. Program implementation would be in FY 2017.

	FY 2016	FY 2017	
Budget for SYSC as a PRTF	0	\$16,810,736	
PRTF Revenue (Medicaid and Private Pay)	0	(7,660,209)	
Net State Cost	0	9,150,527	
Remove Privatization Impact as shown for option #2	0	(220,975)	
Additional staff for population increase		1,925,990	Total
Budget Needed	\$13,972,211	10,855,542	
Budget Passed	\$12,199,072	10,791,519	
Unfunded Need	\$1,773,139	\$64,023	\$1,837,162

*Note. Of the FY 2017 budget amount, \$1,000,000 represents one-time costs to renovate the building. The FY 2017 budget without one-time cost would be \$9.9 million.

Report to Fiscal Committee of the General Court As To
Most Appropriate, Cost Effective, Long and Short-Term
Uses of the Sununu Youth Services Center

January 2014



Executive Summary

The New Hampshire Department of Health and Human Services was directed by 2013 Chapter Law 249 to conduct an assessment of the Sununu Youth Services Center (SYSC) to determine advantages and disadvantages of the current facility use, potential alternative uses, the viability of using another facility instead, and ways that current cost could be reduced.

The report that follows reflects the Agency's work over the past five months to engage with stakeholders, advocates and providers to determine the most cost-effective and appropriate programmatic approach to serving youth currently at SYSC.

In order to assess the most appropriate programming for this population, the Department sought technical assistance from the federal Office of Juvenile Justice and Delinquency Prevention (OJJDP) to conduct an evaluation of current services. Legal considerations regarding the current property were also explored. The Department further considered the fiscal implications of several scenarios, including the use of another facility to provide the current programming, and the possibility of contracting with a private provider to provide these services either at the current facility or elsewhere.

Analysis of these reviews indicates that the Department should continue to operate SYSC at the current Manchester site. However, these reviews and input from stakeholders also concluded that there may be fiscal value in exploring contracting with a private provider or decreasing costs for some specific targeted services currently provided by the state at SYSC.

Given the above conclusion, the Department further explored efforts to decrease overall operating costs, and modify or expand the current services at SYSC to better meet the needs of youth, their families and communities. Consistent with national trends, majorities of the youth currently served at SYSC struggle with mental and behavioral health issues and receive treatment for these concerns at SYSC. Additionally, a number of discussions with stakeholders identified secure treatment in these two areas as primary unmet needs for children and youth in New Hampshire. Therefore, the Department has identified both short and long-term options to explore in an effort to reduce costs at SYSC and expand the use of the facility to further meet these needs.

Short-Term Option

The Department will explore with New Hampshire Hospital the possibility of operating a separate unit at SYSC that could provide secure mental and behavioral health treatment to youth who need crisis stabilization or transitional care prior to returning safely to their community. This would potentially expand the service continuum available for these youth, more fully utilize the existing SYSC facility and infrastructure, and reduce SYSC operating costs by generating reimbursement for these services through youth's insurance coverage.

Long-Term Option

The Department will explore steps to become eligible for Medicaid reimbursement for treatment services provided to the current population served at SYSC. As a correctional facility, SYSC is not currently eligible to receive any Medicaid payments for those services. However, the potential exists to transition the facility into a secure psychiatric treatment facility, eligible for Medicaid payments. Many of the structures and practices of such a facility are already in place at SYSC in response to the needs of current population, and any additional necessary changes will be explored.

Introduction:

Over the last three years, SYSC has been engaged in a transformation aimed at enhancing capacity for mental health and substance abuse treatment. The primary role of the Sununu Center has shifted from secure “detention” to the secure “treatment” and detention of youth. In part because of these program improvements, the Department believes and this report identifies the value in continuing to serve this population at the existing SYSC facility in Manchester. However, also in part due to these changes to a more treatment oriented program, the facility is ripe with opportunities to obtain alternative funding to ultimately decrease the general fund costs associated with serving this population of youth.

The Agency’s evaluation and planning activities have identified a realistic direction that could align SYSC’s current treatment model with the federal definition of a “medical institution.” This report outlines short and long- term options for continuing to serve the treatment needs of these youth in a secure and locked setting while pursuing the Department’s ability to draw other funds on their behalf.

From the outside looking in there would not be noticeable changes to the facility, in that security procedures would remain the same. However, there could be a significant change in how services are funded at the facility. The Department could proceed in a specific direction that would allow for the enrollment of SYSC as a residential treatment facility, which would ultimately strengthen the ability to be an insurance and/or Medicaid billable program.

This clinical direction is consistent with the direction many juvenile justice programs around the country are taking, and necessary in light of the needs of the current population. It is therefore both programmatically appropriate and more cost effective to pursue other sources of revenue that support the treatment services already in place at the facility. If and when additional funding streams become available, the facility could also enhance the services available to transition youth safely back into the community. These options could also serve to meet some existing service gaps for youth without increasing costs.

It is also critical that the local community/neighborhood be engaged throughout this process so as to clarify or address any concerns that may arise. The SYSC grounds are embedded into a neighborhood, and it is imperative that open communication be maintained so the neighborhood is assured that any operational changes made at the facility will not impact their sense of safety. Should at any time in this process an additional program or program changes occur, the local community will be informed and made a part of that change.

Background:

The Department of Health and Human Services was directed in House Bill 260 (2013 Laws Chapter 249) to assess and report its recommendations to the fiscal committee of the general court as to the most appropriate, cost effective, long and short-term uses of the Sununu Youth Services Center (SYSC). The Department's assessment is required to include an analysis of:

- (1) The advantages and disadvantages of the current use of the facility;
- (2) Potential alternative uses for the facility;
- (3) The viability of using another facility to provide secure detention/committed services given the declining census at the center; and
- (4) Ways that the current costs to run the facility could be reduced.

In conducting its assessment, the Department is required to consult with the community, child welfare, court, and juvenile justice stakeholders to solicit their input as to the most appropriate and fiscally responsible options for addressing the needs of committed and detained youth.

In response to this requirement, the Department explored the advantages and disadvantages of this type of facility for this population, both at SYSC and in other states, legal and fiscal implications regarding use of the current facility or another facility, alternative or additional uses of the current facility, and possible opportunities to reduce operating costs. This process included the formation of an Executive Team comprised of a wide range of community partners, who participated in formulating possible options to meet the legislative objectives above. Input regarding the above questions and possible options was sought from members of the judiciary, law enforcement, community providers, advocates, legislators, juvenile parole board members, and local Manchester leadership. Overall there were more than 45 individuals involved through interviews, focus groups or team meetings, including the New Hampshire Juvenile Justice Advisory Group and the DCYF State Advisory Board. Others were invited but could not or chose not to participate.

The following is a summary of the findings of this process.

Current Program:

The Sununu Youth Services Center (SYSC) is a 144-bed facility located on River Road in Manchester New Hampshire. It provides an architecturally secure treatment program for committed juveniles and for New Hampshire youth involved in the court system prior to their adjudication.

SYSC is comprised of two major programs, as established in statute: the Youth Development Center (RSA 621) and the Youth Services Center (RSA 621-A).

The Youth Development Center

The Youth Development Center provides services to youth adjudicated in court as having committed delinquency offenses and who are in need of secure treatment. Juveniles placed in the Sununu Youth Services Center (SYSC) range in age from 11 to 17 years old. When a youth is committed to SYSC, a systematic process is used to classify and assign them to a secure residential unit where they participate in a prescribed behavioral program. These are adjudicated youth with severe substance abuse and/or severe behavioral challenges or co-occurring mental health conditions that require and would benefit from secure treatment or stabilization in

preparation for returning to their community and reunification with family. The Youth Development Center served a total unduplicated count of 122 committed youth in State Fiscal Year 2012.

The Youth Services Center

The Youth Services Center provides detention services in a unit within the Sununu Youth Services Center. A juvenile may be committed for placement at the Youth Services Center following an arrest for a delinquent offense and the issuance of a NH Circuit Court order.

The Detention Unit is a 24-bed co-educational secure detention center that houses youth who have allegedly committed delinquent offenses and are awaiting disposition of their cases by the courts. It also detains youth wanted in other states in accordance with the provisions of the Interstate Compact. Most youth are detained for less than 48 hours, but others may remain longer awaiting court dispositions, placements and release to their home state or adult certification. Residential staff provides for secure care and respond to youths' recreational needs. All detained youth are provided with close supervision for the duration of their stay. The Youth Services Center served a total unduplicated count of 197 detained youth during State Fiscal Year 2012.

Population

The daily census at SYSC over the past several years has ranged between 58-70 youth at any given time, with additional youth on administrative release or furlough. The youth have a wide range of mental health and behavior disorders and the clinical team is experienced in treating adolescents with challenges including but not limited to:

- Conduct Disorder
- Oppositional Defiant Disorder
- Attention-Deficit Disorder
- Impulse Control Disorder
- Disruptive Behavior Disorders
- Reactive Attachment Disorder
- Depressive Disorders
- Substance Abuse Disorders
- Bipolar or Mood Disorders
- Post-Traumatic Stress Disorders
- Anxiety/Adjustment Disorders

SYSC offers an array of services that address the medical and mental health needs of youth for whom less intensive, community-based services have not produced the outcomes necessary to keep the youth or community safe. SYSC also serves as its own School Administrative Unit (SAU), and as such provides comprehensive and individualized education services to all youth residents. Additionally, as a result of its central location in the state, SYSC is able to serve all youth throughout New Hampshire who require secure treatment.

Assessment of Current Programming Appropriateness:

In order to assess the appropriateness of programming for these youth using the current facility, the Department requested technical assistance from the Office of Juvenile Justice and Delinquency Prevention (OJJDP) National Training and Technical Assistance Center to

determine what practice approaches achieve positive outcomes with this population, and in what type of settings. They were asked to review the Sununu Youth Services Center's (SYSC) use of Evidence-based Programs (EBP), what funding sources are available for programming and what other states provide for services/programming at their respective state centers.

On May 17, 2013, the National Training and Technical Assistance Center transferred the technical assistance project to the National Center for Youth in Custody (NC4YC). The Co-Director Ned Loughran then followed up with DCYF staff who further refined the scope of the technical assistance request and initiated their review.

Mr. Loughran and another NC4YC member, David Crowley, conducted a comprehensive site visit to the facility from June 24, 2013 - June 27, 2013. During the visit, Mr. Loughran and Mr. Crowley toured the facility, observed programming and operations, interviewed administrators, staff and youth residents, and reviewed documents and youth records.

The technical assistance identified the following in regard to the current programming at SYSC, in light of national best practices:

Changing Profile of the Population

- Like most youth corrections facilities throughout the country, SYSC has experienced an influx of youths with serious emotional and mental health problems
- Many of these youths also have a co-occurring disorder of substance and/or alcohol abuse. These high needs youth tend to do better in specialized units with adequately trained staff similar to the H 1 Crisis Management Unit at SYSC
- A large percentage of youth are diagnosed with trauma related issues (female residents with higher rates)
- SYSC offers a comprehensive and effective array of treatment programs (Honest Minds, Restorative Circles, Family Therapy, Emotional Empathy, Compassion, Pet Therapy, AA, NA, etc.)
- Programs are targeted toward this challenging, high needs, resident population

Family Engagement

- SYSC is beginning to explore ways to involve families in their children's treatment plans and reintegration planning
- Family engagement strategies could be increased

Education Program

- SYSC offers a comprehensive school program that includes guidance counseling, special education services, and apprenticeship/vocational opportunities (e.g., automotive, culinary arts, wood working and gardening)
- Teacher to youth ratio is 1:2 (30 certified teachers for 61 youths)
- Youths receive individual credit recovery assistance
- Some residents complete college level work

Residential Program

- Training curriculum for new staff includes topics required for work on a secure-care unit
- Facility is inadequately staffed at times
- Many staff and youth residents noted staff shortages
- Staff desire additional training

Overall, the evaluation identified that SYSC is in the process of movement away from a correctional model to a rehabilitative one, and that this shift is consistent with the direction many juvenile justice programs around the country are taking, and necessary in light of the needs of the current population. The use of evidence-based treatment interventions such as Dialectical Behavior treatment, Trauma Informed Treatment, Aggression Replacement Training, along with family therapy and substance abuse treatment have enriched the treatment program for youths at SYSC. The evaluation confirmed that many of these programs have proven results in addressing the complex needs of adolescent offenders, such as the serious mental health issues that result from early exposure to trauma, substance abuse and family related problems.

According to the evaluator, SYSC's routine use of outcome data to identify issues, implement changes and monitor performance further contributes to the overall safety, health and quality of life for staff and youths in the program.

The evaluator also found that the facility itself creates an environment that is conducive to its primary purpose, the rehabilitation of adolescent offenders. The campus and building has the feel of a high school rather than a secure facility. The exterior design of the building provides a perimeter security, instead of fencing topped with barbed wire or razor ribbon, as is often the case in most secure facilities for young offenders throughout the country.

Among other recommendations related to specific programming, training or policies, key recommendations from this report include:

- Increase staffing levels and optimize staff allocation
- Continue to convert as many half time positions to full time for consistency in operational management
- Utilize supervisors and any available facility support staff for unit shortages
- To relieve staff when counseling youths in Crisis Services Unit, for outside coverage activities, and for additional security on trust level activities
- Continue quality assurance strategies
- Increase staff training (e.g., skill and team building)
- Recognize and reward staff accomplishments

Many of the recommendations were already in progress and/or have since been acted upon. Although there are always opportunities for improvement, as a whole, the technical assistance provider found that the current programming and facility at SYSC is aligned nationally with best practices and treatment of this population.

Assessment of Legal and Fiscal Implications:

Legal Considerations

As part of its assessment the Department also looked at whether there were any deed restrictions, easements or other federal requirements that could potentially limit alternative uses of the facility and found the following:

- A title search completed for the property prior to construction of the facility concluded that there are no encumbrances on the property that would restrict its use for other purposes.
- While certain "contributing buildings" on the SYSC campus may be subject to restrictions or to a preservation easement pursuant to a memorandum of understanding

between the Department of Health and Human Services and the New Hampshire Division of Historical Resources, the new facility is not covered by this memorandum and accordingly the memorandum should have no effect on any proposed changes for the use of the facility.

- The facility was built in large part with the use of federal Violent Offender Incarceration and Truth in Sentencing (VOI/TIS) grant funds. As a condition of funding, the state is required to operate the facility as a correctional facility or jail. The duration of the state's obligation, however, is not specified and federal guidance on the issue provides that grantees are required to use the facility for the originally authorized purpose for as long as they are needed for that purpose. Thus the need for the facility dictates the length of the federal requirement for its continued use.
- As a further condition of funding, however, the state was required to provide assurances that it would not dispose of, modify the use of or change the terms of title to the property without the permission and instructions from the Office of Justice Programs, U.S. Department of Justice (DOJ). Accordingly, any proposed change in the use of the facility would require the approval of the DOJ. The DOJ's Bureau of Justice Assistance which now administers the VOI/TIS grants has stated that it has a general policy of granting all reasonable facility use requests provided that the alternative use of the facility serves a criminal justice purpose, as a general matter, and that the facility must be made available for the originally authorized purpose if the need should arise again.

Fiscal Considerations

Fiscal considerations regarding potential use of another facility to provide secure treatment for this population include the fact that the state owns the Manchester property and buildings, and would continue to incur a cost to at least minimally maintain those facilities should the SYSC program relocate elsewhere. There is the potential requirement as noted above that a portion of the VOI/TIS grant monies awarded to build the current facility would need to be paid back if the facility is no longer in use for that purpose. Additionally, the cost to rent or purchase and renovate a different facility to provide secure treatment would need to be considered. Given these factors, use of a different facility to provide these services, despite the lower census at the center, is not a cost effective option. Please see Appendix A for details on this option.

Private Contracting Considerations

Consideration was also given to the possibility of contracting existing services out to a private provider in the current or a different location, and the programming, legal and fiscal implications of that option. In regards to programming, given the evaluation results above, it is apparent that the current programming at the facility is ideally suited to this population and aligned with best practices nationally. Given this, there is no basis to consider privatization for programming reasons.

As the possibility of contracting services relates to legal and philosophical considerations, this question is closely linked to the issue of statutory authority. It is raised on the basis of the "propriety" of such action rather than with respect to "legality". It is an ideological question that many people feel strongly about. In fact, many staff and stakeholders interviewed believe that the responsibility for secure treatment is and should continue to be the role of the state. They argue that some functions are the "responsibility" of government and cannot or should not be delegated; among these functions are all legislative and judicial activities involved in all stages of the juvenile and criminal justice process. In the instance of privatization, the state would retain legal and ethical responsibility for this critical function, while surrendering direct control of these services to a private party. Others argue that there is a legitimate and necessary role for private

enterprise in the management of juvenile corrections, which in no way constitutes an abrogation of the essential role of government in formulating policy.

The desire to decrease cost of a service that is currently publicly provided is often a significant reason for contracting that service to the private sector. At times, the private sector has a greater potential for innovation and efficiency due to its ability to be more flexible than government regarding personnel and resources. It is critical to note that, while the state agency could relinquish responsibility for performing a service by contracting it out, it in no way relinquishes responsibility for monitoring the private providers. The state remains accountable, through detailed monitoring procedures, for all contracted services. The shift from operating public services to monitoring the provision of public services must include the state's ability to oversee and evaluate performance.

There is also a statutory challenge regarding the authority of private providers as compared to the public sector. Currently the employees of a private provider are not authorized by state law to take and hold juveniles in their care, as current state statutes did not envision private juvenile corrections providers.

In regards to the fiscal considerations of contracting these services to a private provider in a different location, given the costs that would be associated with maintaining the current land and buildings, payment to a provider for the services (which would require renovation of any existing facility in the state to provide adequate security), and costs of the necessary quality monitoring that would still be the responsibility of the state, contracting these services to a private provider would not be a cost effective option. Additionally, as noted above, there is the potential requirement that a portion of the grant monies awarded to build the current facility would need to be paid back if the facility is no longer in use for that purpose, further reducing the fiscal viability of this plan.

The viability of contracting these services to a private provider using the current facility is rife with programming, legal and philosophical concerns, and would still incur some of the same costs noted above. The Department began exploration of this possibility by issuing an RFI (Request for Information) in 2012. Only two responses were received, only one of which was from a provider with a demonstrated history of providing similar residential services, so options for appropriate private providers could be limited for this somewhat unique service. Additionally, the RFI did not require that proposals include budget information, so the responses did not confirm that costs would be reduced through a private contract.

However, there may be fiscal value in exploring contracting with a private provider for some specific targeted services currently provided by the state at SYSC. Education, maintenance or food services could be such examples. In addition, several focus group conversations and interviews clearly identified that there may be room to decrease staffing costs in educational services, given the teacher to student ratios noted earlier. This will continue to be an area for potential reduction of costs depending on the outcome of the short and long term options described below. Please see Appendix B for the current State Fiscal Year budget for Education, Maintenance and Food Services at SYSC.

Findings and Conclusions

Analysis of the information gathered above indicates that **the Department should continue to operate the Sununu Youth Services Center at the Manchester site**, and should turn its attention toward efforts to modify and expand its service continuum to better meet the needs of

the youth, families and community, and toward decreasing overall operating costs. These two goals may in fact be closely connected to one another.

As noted earlier, a significant portion of the youth served at SYSC require and receive treatment for mental and behavioral health issues. Additionally, a number of discussions with stakeholders identified secure treatment in these two areas as primary unmet needs for children and youth in New Hampshire. These discussions indicated that there is often a waiting list for children to receive acute psychiatric services at New Hampshire Hospital, and there are limited secure options for families when children are discharged from the hospital. These children may wait in local hospital emergency rooms for extended periods, where they cannot be provided with the crisis stabilization and treatment they need. Additionally, the hospital is meant to provide time-limited acute care, however in situations where longer secure services are needed; there are few transitional options that allow youth to fully solidify their treatment gains before returning to their community. Lastly, there are inadequate numbers of inpatient resources for youth struggling with substance addictions in our state. Some of the youth facing these challenges enter SYSC currently as a result of a delinquent act, some do not, however the treatment needs and safety concerns regarding youth in both circumstances are very similar.

As a result, the review has identified both short and long-term options for the Department to explore in an effort to reduce costs at SYSC and expand the uses of the facility to meet the needs of children and youth.

Short-term Options

New Hampshire Hospital (NHH) currently provides acute psychiatric treatment services for children, adolescents, adults and elders with severe mental illness. The Department should explore, in conjunction with NHH, the viability of dedicating a unit at SYSC to the hospital for the transition of children and adolescents who need crisis stabilization but not acute psychiatric services, or no longer require acute care but need secure transitional care in order to return safely and permanently to their home and community. New Hampshire Hospital is a certified secure medical treatment facility, and the feasibility of NHH opening a unit at SYSC for children and youth should be investigated.

In addition, a floor separate from other detained or adjudicated youth within SYSC could potentially be designated to provide secure inpatient services for adolescents with substances abuse needs. The program could provide:

- Acute, inpatient medical detoxification
- Safe medical withdrawal
- Inpatient Chemical Dependency Detoxification
- Group therapy, including coping skills, relapse prevention, and alcohol/drug education
- Educational groups
- Transitional Services

Expanded mental health and substance abuse treatment services for children and youth are both potential additional uses of the current facility that would enhance the existing service array available to meet these critical needs, and reduce overall costs as well. Costs could be reduced as a result of income generated through payment from insurance providers for these services. This income could be maximized through the use of existing infrastructure (building, food service, maintenance, recreational, medical facilities, etc.) resulting in decreased overhead costs to provide these services. Further exploration is needed to determine requirements for certification, staffing and billing procedures, among other areas, to confirm the feasibility of these options.

However, as a currently under-utilized asset in the state for the secure treatment of youth who require this level of care, SYSC could offer a fiscally sound addition for these critical services.

Long-term Options

A more long-term solution for reducing costs and enhancing services at the Sununu Youth Services Center could involve the ability to draw federal Medicaid dollars for services provided at SYSC. Review and consultation with individuals from the State of Vermont regarding recent changes at their juvenile correction facility, Woodside, indicates that the services provided to the youth currently served at SYSC may be able to become eligible for Medicaid payments.

Like New Hampshire and most states, Vermont has experienced the same changes in the population at their youth correctional facility, in that most youth are presenting with greater mental health and substance abuse needs that require treatment. Vermont saw the role of Woodside shifting in response from a secure detention facility to a medical/psychiatric treatment facility, so they embarked upon a series of legal and practice changes to reflect that shift. The result of these changes has been to allow the Woodside Center to receive Medicaid payments for all services provided at the facility except education services for those youth insured by Medicaid.

Evaluation of Federal Medicaid Laws and Policies

The discussion below represents Vermont's findings and recommendations for accessing Medicaid funding for services, which would apply to SYSC as well.

Inmate of a Public Institution Exception

Federal Medicaid funds are not available for care or services provided to any individual who is an "inmate of a public institution (except as a patient in a medical institution)." A "public institution" is defined as an institution that is the responsibility of or is administratively controlled by a government unit. However, the term "public institution" excludes medical institutions, intermediate care facilities, publicly operated community residences that serve no more than 16 residents, and child-care institutions."

On the other hand, federal regulations define a "medical institution" as an institution that:

- a. Is organized to provide medical care, including nursing and convalescent care;
- b. Has the necessary professional personnel, equipment, and facilities to manage the medical, nursing, and other health needs of patients on a continuing basis in accordance with accepted standards;
- c. Is authorized under State law to provide medical care; and
- d. Is staffed by professional personnel who are responsible to the institution for professional medical and nursing services.

The services must include adequate and continual medical care and supervision by a physician; registered nurse or licensed practical nurse supervision and services and nurses' aid services, sufficient to meet nursing care needs; and a physician's guidance on the professional aspects of operating the institution.

Institutions for Mental Disease (IMD) Exclusion

Federal law defines an Institution for Mental Disease (IMD) as a hospital, nursing facility or other institution of more than 16 beds that is primarily engaged in providing diagnosis, treatment or care to persons with mental diseases, including medical attention, nursing care, and related services.

Medicaid funding is not available for IMD services, except for inpatient psychiatric services provided to individuals under the age of 21. This exception for individuals under age 21 has been interpreted inconsistently. One interpretation is that inpatient psychiatric services must meet the narrow, institutional definition of inpatient psychiatric services (described in the next section). Another interpretation is that the IMD rules do not apply to individuals under age 21.

Medicaid Reimbursement for Psychiatric Residential Treatment Facilities (PRTF)

Federal Medicaid law recognizes a limited number of institutional settings for which room and board costs may be reimbursed. These settings include hospitals, nursing facilities, intermediate care facilities for the mentally retarded (ICF/MR), and inpatient psychiatric services for children under age 21. Psychiatric services for children may be provided by a psychiatric hospital, psychiatric unit of a general hospital or psychiatric residential treatment facility (PRTF).

Under Centers for Medicare and Medicaid Services (CMS) regulations, a PRTF is a non-hospital facility that delivers inpatient psychiatric services to Medicaid enrolled individuals under age 21. The facility is required to be accredited by the Joint Commission (known as JCAHO) or other accrediting entity, such as the Commission on Accreditation of Rehabilitation Facilities (CARF). The facility also must comply with federal regulations concerning the use of seclusion and restraints.

Findings and Recommendations Regarding Federal Medicaid Laws and Policies

The Department believes that the Sununu Youth Services Center could, as a long-range plan, potentially meet the federal definition of a “medical institution,” subject to modification of New Hampshire law to recognize SYSC as a medical provider. The agency also believes that enrollment of Sununu Youth Services Center as a psychiatric residential treatment facility would strengthen the Medicaid program’s authority to cover SYSC for three reasons:

1. Recognition of SYSC as a *Psychiatric Residential Treatment Facilities (PRTF)* could enable the New Hampshire Medicaid program to cover services offered by SYSC under traditional Medicaid laws.
2. The federal definition of a “medical institution” has not been consistently interpreted and applied, but enrollment of SYSC as a PRTF would meet the narrowly constructed definition of a medical institution.
3. Federal law exempts “inpatient psychiatric services for children under age 21” from the prohibition on Medicaid funding for services. The definition of “inpatient psychiatric services” could be narrowly interpreted to include only traditional Medicaid providers (e.g., hospitals and PRTFs). Enrollment of SYSC as a PRTF could meet the narrow definition of “inpatient psychiatric services for children under age 21.”

Both the designation as a “medical institution” and enrollment as a PRTF would require statutory and process changes, as well as accreditation by an accrediting entity. While it is believed that the

facility, services, staffing and programming currently in place at SYSC would largely meet accreditation requirements, specific analysis will be necessary to determine if additional changes are needed. However, as was true in Vermont, it is anticipated that a large majority of youth presently served at SYSC have treatment needs that would clinically require secure treatment, thereby allowing all costs for their treatment at SYSC except education costs to be covered by Medicaid payments to the state. It is important to note that the Woodside facility continues to provide services to a small number of youth (less than 5-10%) that do not have clinical needs that require secure treatment, but nonetheless require secure placement to ensure community safety. They continue to utilize state general funds to serve this population.

Programmatically, the Woodside Center made a number of changes aligned with the shift to a more treatment-focused approach to these services. New Hampshire is already in the process of making many of these changes as they are recognized as best practice for youth and their families, such as infusing Restorative Practices into the facility, expanding family engagement in treatment, and individualizing youth's programs based on treatment needs. Additional changes made in Vermont that warrant exploration include initial review of all admissions by a clinical team to determine need for secure care, with transition supports to a lower level of care if appropriate. It is also worth considering eliminating the separation of youth based on legal status (detained vs. committed), that would allow for unit assignment of all youth based on treatment need and services, and discharge planning that begins immediately upon admission for all youth.

Given the above information, the Department should explore the feasibility and appropriateness of the identification of the Sununu Youth Services Center as a psychiatric residential treatment facility. This should include, if appropriate, any statutory changes that would be necessary to meet this recommendation. This exploration should include an analysis of any outcome data available regarding the Woodside program or other similar models, and a plan for evaluation of any recommended changes at SYSC. Additionally, the success of a secure treatment model such as the one described here is intricately tied to the availability of adequate community treatment services to support successful discharge from secure treatment. As a result, the Department would propose that a portion of any savings realized through these modifications be allocated to support such community treatment programs.

It should be noted that neither the short nor long term possibilities described above are anticipated to result an increase in daily census at the facility beyond its capacity. The youth currently served at SYSC and New Hampshire Hospital typically struggle with very similar challenges and require similar services in terms of mental health and substance abuse treatment, with the primary differentiation being that youth currently at SYSC have been charged with committing a delinquent act. It is hoped that by making this level of treatment more available to youth who need it before a delinquent act occurs, the youth, their families, and the community will be better and more safely served.

Final Summary:

Short-Term Options

1. The Department will continue to operate the Sununu Youth Services Center at the current Manchester facility.
2. The Department will explore the possibility for SYSC to house an extension of New Hampshire Hospital services for psychiatric and substance abuse care.
3. The Department will explore the programmatic and fiscal impact of contracting specific targeted services provided at SYSC to a private provider.

Long-term Options

1. The Department will explore the feasibility and appropriateness of identifying the SYSC as a Psychiatric Residential Treatment Facility (PRTF) to secure Medicaid funding for services, including any necessary program or statutory changes, and fiscal impact.

General Recommendations

1. The Department will continue to invite stakeholders' participation in exploring the above options.
2. The Manchester neighborhood residents should specifically be involved in the exploration of these possibilities.

The Department worked with community stakeholders and providers to create this report and wants to recognize the time and effort of many in contributing to the creation of this document and the plan going forward. There were focus groups and interviews from which information was gathered and there was a standing executive group that listened to and considered the information in an effort to look at as many options as possible.

The following individuals were part of the Executive Team that met over the past five months.

Executive Team Members - Stakeholder Members

Amy Kennedy, Office of Governor Margaret Wood Hassan
Mayor Theodore Gatsas, Mayor, City of Manchester
Joyce Craig, Alderman, Ward I, City of Manchester
Maria Gagnon, CEO, Child & Family Services
Monica Zulauf, President/CEO of YWCA, NH
Patricia Dowling, Chair, Governor's State Advisory Group on Juvenile Justice
Ellen Feinberg, Executive Director of N.H. Kids Count
Ron Adams, Governor's State Advisory Group on Juvenile Justice
Michael Adamkowski, Chair, DCYF Advisory Board
Amy Pepin, Governor's State Advisory Group on Juvenile Justice
Marty Boldin, Director Office of Youth Services
Michael Sielicki, NH Chiefs of Police Association
Joseph Diament, Director Division of Community Corrections

Appendix A

	Budget Impact - General Funds		
	SFY14	SFY15	TOTAL
Total SYSC Budget	-	(15,182,997)	(15,182,997)
General Fund Portion of SYSC Budget		(14,255,656)	(14,255,656)
Est. cost to send residents to another program		11,315,000	11,315,000 *
Est. cost to administer placement contract	-	1,357,800	1,357,800 **
Est. cost to maintain buildings/campus after closure	-	900,355	900,355 ***
Potential General Funds Savings ¹			(682,501)

¹Note: There are two unknown costs:

- 1) Requirement to pay back VOI/TIS grant
- 2) Costs associated with renovating a non-secure facility into an architecturally secure facility

DETAIL

Estimated cost to send residents to another program:

Average Census # per Day 62
 Assuming an average daily rate of: \$500
 x365 days year 365

\$11,315,000 *

Estimated cost to administer Contract @ 12% **\$1,357,800 ****

\$12,672,800

	SFY 2014 Budget	Program Costs	Facility Costs After Closure
MAINTENANCE/SECURITY BUDGET			
PERSONAL SERVICES PERM CLASS	\$ 469,451	\$ 134,405	\$ 335,046
OVERTIME	\$ 16,314		\$ 16,314
HOLIDAY PAY	\$ 2,330		\$ 2,330
CURRENT EXPENSES	\$ 78,440	\$ 39,220	\$ 39,220
HEAT ELECTRICITY WATER	\$ 845,284	\$ 591,699	\$ 253,585
MAINT OTHER THAN BUILD-GRN	\$ 2,275		\$ 2,275
EQUIPMENT NEW REPLACEMENT	\$ 40,000	\$ 20,000	\$ 20,000
TELECOMMUNICATIONS	\$ 4,975		\$ 4,975
OWN FORCES MAINT BUILD-GRN	\$ 6,970		\$ 6,970
CONTRACTUAL MAINT BUILD-GRN	\$ 52,349		\$ 52,349
PERSONAL SERVICE TEMP APPOIN	\$ 21,195	\$ 21,195	
BENEFITS	\$ 265,711	\$ 98,920	\$ 166,791
EMPLOYEE TRAINING	\$ 1,260	\$ 1,260	
IN STATE TRAVEL REIMBURSEMEN	\$ 5,325	\$ 4,825	\$ 500
OUT OF STATE TRAVEL REIMB	\$ 1	\$ 1	
	\$ 1,811,880	\$ 911,525	\$ 900,355 ***

Appendix B			
Description	ORG	CLASS	SFY 2014 Budget
MATERIAL MGT & FOOD PREP			
PERSONAL SERVICES PERM CLASS	7913	10	242,008
OVERTIME	7913	18	16,984
HOLIDAY PAY	7913	19	8,010
CURRENT EXPENSES	7913	20	95,640
FOOD INSTITUTIONS	7913	21	289,051
RENTS-LEASES OTHER THAN STAT	7913	22	292
EQUIPMENT NEW REPLACEMENT	7913	30	1,358
TELECOMMUNICATIONS	7913	39	2,800
PERSONAL SERVICE TEMP APPOIN	7913	50	13,385
BENEFITS	7913	60	168,365
EMPLOYEE TRAINING	7913	66	629
IN STATE TRAVEL REIMBURSEMEN	7913	70	467
GENERAL FUNDS			838,989
MAINTENANCE/SECURITY			
PERSONAL SERVICES PERM CLASS	7914	10	469,451
OVERTIME	7914	18	16,314
HOLIDAY PAY	7914	19	2,330
CURRENT EXPENSES	7914	20	78,440
HEAT ELECTRICITY WATER	7914	23	845,284
MAINT OTHER THAN BUILD-GRN	7914	24	2,275
EQUIPMENT NEW REPLACEMENT	7914	30	40,000
TELECOMMUNICATIONS	7914	39	4,975
OWN FORCES MAINT BUILD-GRN	7914	47	6,970
CONTRACTUAL MAINT BUILD-GRN	7914	48	52,349
PERSONAL SERVICE TEMP APPOIN	7914	50	21,195
BENEFITS	7914	60	265,711
EMPLOYEE TRAINING	7914	66	1,260
IN STATE TRAVEL REIMBURSEMEN	7914	70	5,325
OUT OF STATE TRAVEL REIMB	7914	80	1
GENERAL FUNDS			1,811,880
EDUCATION			
PERSONAL SERVICES PERM CLASS	7917	10	2,272,512
OVERTIME	7917	18	4,663
CURRENT EXPENSES	7917	20	20,930
RENTS-LEASES OTHER THAN STAT	7917	22	3,887
ORGANIZATIONAL DUES	7917	26	247
TELECOMMUNICATIONS	7917	39	1,820
AUDIT FUND SET ASIDE	7917	41	0
PERSONAL SERVICE TEMP APPOIN	7917	50	15,685
BENEFITS	7917	60	1,113,920
WORKERS COMPENSATION	7917	62	0
EMPLOYEE TRAINING	7917	66	1,104
IN STATE TRAVEL REIMBURSEMEN	7917	70	284
GRANTS NON FEDERAL	7917	73	1
OUT OF STATE TRAVEL REIMB	7917	80	1
EDUCATIONAL SUPPLIES	7917	537	25,163
			3,460,217
OTHER FUNDS (Agency Income)			-710,205
GENERAL FUNDS			2,750,012

Sununu Youth Services Center Transformation: Psychiatric Residential Treatment Facility Proposal

WHAT IS THE DEPARTMENT'S VISION TO SERVE YOUTH WITH BEHAVIORAL HEALTH TREATMENT NEEDS?

The Department believes that children and youth are best served in their homes and communities when it is safe and effective to do so. For these reasons, the Department, in collaboration with the Children's Behavioral Health Collaborative and other stakeholders, has used federal grant funds to design and implement a System of Care model that includes an expanded and non-traditional service array. The System of Care (SOC) model was developed to meet the intensive behavioral health needs of those children and youth that are not able to be served effectively in their homes and communities with the existing service array. The Department is pursuing a Medicaid State Plan Amendment to continue funding for the System of Care services, as well as for a Psychiatric Residential Treatment Facility (PRTF) and the Sununu Youth Services Center would be the PRTF

WHO ARE THE YOUTH THAT DHHS WANTS TO SERVE?

The youth that DHHS is looking to serve have a variety of intensive behavioral health and treatment needs that cannot be adequately met in their communities, including youth involved with various DCYF programs (abuse/neglect, Children in Need of Services (CHINs), and juvenile justice) as well as other youth not currently involved with the Division for Children, Youth and Families (DCYF).

Youth involved with juvenile justice

The youth currently being served at the Sununu Youth Services Center (SYSC) require an intensive level of care and treatment, due to their significant behavioral health needs and unsuccessful attempts to treat these youth in less restrictive and less intensive programs (refer to example 1).

- A review of seventy-six youth committed to the facility in 2015 indicated that sixty-seven of those youth had three or more mental health diagnoses, with thirty-eight having five or more. All seventy-six youth carried at least one mental health diagnosis.
- Sixty-one of those youth had co-occurring mental health and substance abuse diagnoses.

- The average number of placements prior to commitment for these seventy-six youth was six.
- In addition to not receiving treatment able to meet their needs, these youth caused harm to others while these needs remained unmet. Prior to reaching the point of commitment, these seventy-six youth were petitioned for committing a total of 494 criminal offenses in their homes and communities.

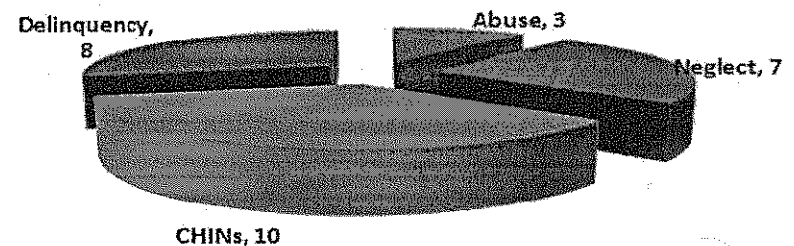
Other youth involved with DCYF

Some youth not placed at SYSC but involved with DCYF through an abuse/neglect (refer to example 2) or CHINS case also need the intensive level of care and treatment.

- Most of the children or youth involved with DCYF are able to be served through existing providers in NH.
- Some children or youth, however, have more intense needs that currently require placement in out-of-state facilities to obtain treatment safely and effectively.
- On 9/1/15, DCYF had twenty-eight children and youth placed in out-of-state facilities.

One such population with very high needs are youth served under the Child in Need of Services (CHINS) statute, Section D:2II(d), which include children and youth who have a diagnosis of a severe emotional/cognitive or other mental health issue who engage in aggressive, fire setting or sexualized behaviors that pose a danger to themselves or others. In calendar year 2014 the Department received 55 requests for this type of CHINS petition. The Department has received 30 requests to date in 2015. These children and youth frequently require residential treatment, and in fact account for a significant portion of out-of-state placements.

of children/youth placed out-of-state by case type



When children and youth are placed out-of-state, it becomes more difficult for them to remain connected to their families and home communities, which can negatively impact treatment progress and discharge planning. Additionally, DCYF does not have oversight of rates and quality measures such as length of stay in these placements. From an economic standpoint, when these placements are necessary, state dollars are being paid to out-of-state agencies rather than in-state providers. For these reasons, every effort is made to serve these children and youth in-state first, which can result in multiple placements and unmet treatment needs for these youth.

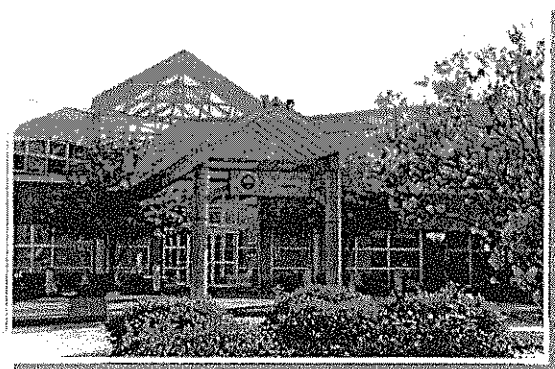
Other youth with behavioral health needs

Additionally, there are youth not in contact with DCYF who require the same intensive level of care and treatment.

- Youth that are in need of highly intensive and/or secure treatment in a clinical milieu but are stable enough for discharge from an acute psychiatric hospital.
- Youth who need an extensive and clinically intensive evaluation to determine appropriate diagnosis and treatment plan.
- Youth who need extended monitoring while undergoing medication trials/stabilization but no longer need acute care.

Currently, the only option for these youth is a longer stay at New Hampshire Hospital, contributing to a shortage of beds for other youth in need of acute care.

NHH Readmissions of Children/Youth (under 18 years old) – SFYs 2014 & 2015	
DCYF involved	56
Non-DCYF involved	662



Youth with substance use disorders

While many of the youth described above have co-occurring substance use disorders, there are also youth whose primary diagnoses are substance use related who may need an intensive level of care and treatment in a residential setting.

- New Hampshire currently has one adolescent Substance Use Disorder residential treatment program, Phoenix House in Dublin, NH, which is currently able to serve twelve youth. At times, the program is unable to accept new referrals.
- While Phoenix House works diligently to meet the needs of youth in its program, there are youth who cannot be managed at their facility, due to aggressive behaviors or co-occurring mental health issues, and who are therefore discharged from the program without completing their treatment plan. Seven such youth were discharged in 2014.
- Many youth are appropriately diverted from residential care if their initial assessment determines community treatment is the best alternative.
- While many youth with substance use disorders will continue to be effectively treated in the community programs, providing the option of a second residential substance abuse treatment program for adolescents that is able to accept Medicaid or other insurance in another area of the state, and manage more aggressive or co-occurring disorders, will provide a critical service for youth that need it.

WHAT IS A PSYCHIATRIC RESIDENTIAL TREATMENT FACILITY (PRTF)?

A Psychiatric Residential Treatment Facility (PRTF) is a treatment category designed by the federal Centers for Medicare and Medicaid Services (CMS) to support States' efforts to provide psychiatric services to individuals under age twenty-one in non-hospital settings. New Hampshire does not currently have a PRTF facility.

A PRTF is designed to be:

- less medically intensive than a psychiatric hospital, while still providing a range of comprehensive services on an inpatient basis under the direction of a physician;
- a more clinically intense type of treatment delivered to youth that are more acutely or chronically psychiatrically ill than those served in other residential facilities.

A PRTF IS A CRITICAL COMPONENT OF THE CONTINUUM OF TREATMENT SERVICES IN NEW HAMPSHIRE

The creation of a PRTF is being considered only in conjunction with a permanently expanded array of home and community-based services to provide a full continuum of services for children and youth with the highest levels of behavioral health, and treatment needs. Despite expanding home and community services, a portion of this population continues to require short-term residential treatment episodes, a need that currently is not always met effectively or adequately without a PRTF.

Concern has been raised about the need for a PRTF in light of a federal demonstration project under which nine states tested the cost-effectiveness and outcomes of providing enhanced services in a child's home or community **rather than** a PRTF. The Department believes this project supports its proposal to utilize SYSC as a PRTF in conjunction with an enhanced array of home and community-based services in that the demonstration project concluded that including a number of additional services "significantly enhanced the positive outcomes for children and youth," and, in particular, that "children and youth who were transitioned out of PRTF's had better outcomes on average than children who were diverted from PRTF's." While the better outcomes for youth transitioned out of the PRTF may have resulted from better services or some other factor, it may also have been due to having their more intensive needs met within the PRTF, so that the community-based treatment plan could be more successful. This indicates that having a PRTF available for those youth that need that level of treatment is key to their ultimate outcome.



WHY IS SYSC THE BEST OPTION FOR A PRTF IN NEW HAMPSHIRE?

- The Sununu Youth Services Center (SYSC) currently offers many of the services that youth in need of this level of treatment require, including comprehensive medical, clinical and educational services. The Center utilizes a number of validated assessment instruments to determine treatment needs, and employs an array of evidence-based or research-based treatment interventions with proven results in addressing serious behavioral health issues. SYSC demonstrated positive results in safety, health and programming for youth in the facility as evidenced by the achievement of Level 3 out of 4 in the Performance-Based Standards system, which compares the SYSC's performance to other similar facilities' performance nationally every six months.
- There will be a sustained need for a PRTF level of care for some portion of youth in the juvenile justice system. While positive trends of decreasing juvenile crime rates and the declining use of secure facilities for juveniles continue both nationally and in New Hampshire, all states continue to have secure care and treatment options for juvenile delinquents. In converting SYSC to a PRTF, Medicaid dollars can be used to support treatment services needed by the current population. These Medicaid dollars will offset some of the general fund costs to operate the SYSC.

INSTRUMENTS AND MODELS CURRENTLY AVAILABLE AT SUNUNU YOUTH SERVICES CENTER (SYSC)

Assessment Instruments:

- Beck Suicidal Ideation Assessment
- CRAFT Screening Interview
- UCLA PTSD Reaction Index
- Substance Abuse Subtle Screening Inventory (SASSI)
- Child and Adolescent Needs and Strengths (CANS)
- Woodcock-Johnson III
- Attention Deficit Hyperactivity Disorder (ADHD) Rating Scale
- Depression Anxiety Stress Scales (DASS) 42
- Revised Children's Manifest Anxiety Scale (RCMAS)

Treatment Models:

- Cognitive Behavioral Therapy
- Trauma-Focused Cognitive Behavioral Therapy
- Dialectical Behavioral Therapy
- Anger Management (Prepare Model)
- Aggression Replacement Therapy
- Psychopharmacology

- The use of SYSC as a PRTF for the other populations described above provides a cost-effective way to meet the needs of these youth by making use of existing infrastructure (building, food service, maintenance, recreational, medical facilities, etc.) resulting in lower overhead costs to provide residential treatment services.
- Costs for multiple and longer placements and/or repeat hospitalizations could potentially decrease if youth who truly require a more intensive level of care can receive that care and return to their communities more rapidly and successfully.

Therefore, the Department recommends positioning SYSC as one element of the expanded SOC service array for youth who need short-term PRTF level care, and to utilize SYSC in coordination with expanded home and community-based services. In support of this objective, the federal Centers for Medicare and Medicaid Services (CMS) has offered to send a technical assistance team to NH this fall to evaluate the SYSC facility and assist in developing a transition plan.

NOTE: The SYSC facility was built using federal Violent Offender Incarceration/Truth in Sentencing (VOI/TIS) grant funds that carry certain requirements for use. The proposed transformation of the SYSC facility is expected to meet the use requirements, thereby avoiding the need for a substantial payback of funds used to build the facility. VOI/TIS funds payback could potentially equal \$13,400,000 if NH is required to repay the full amount.

The facility use requirements require that a state request permission from the BJA to cease operation or otherwise change the use of the facility. The guidance document states that the BJA has a general policy of granting all reasonable facility-use requests, provided that the alternative use serves a criminal justice purpose as a general matter and that the facility will be available for the originally authorized purpose if the need should arise again.

In May of 2015, the Department contacted Jonathan Faley, Associate Deputy Director for Programs at the BJA regarding the process for requesting a change of use for the SYSC facility. In conversation with Mr. Faley he stated: that BJA has had 5-6 requests for change of use and that they have approved all of them; and, that they take a broad brush approach to the requirement that the changed use serve a "criminal justice purpose" and that they are more than willing to work with states in situations like ours.

On June 4, 2015, the U.S. Department of Justice sent a letter to Senator Ayotte addressing the change of use and disposition requirements for VOI/TIS funded facilities. The letter refers to the Bureau of Justice Assistance's (BJA) Guidance for States on the Use and Disposition Requirements for VOI/TIS-Funded Facilities. <https://www.bja.gov/Programs/VOITISFacilityGuidance.pdf>

EXAMPLE 1: JUVENILE JUSTICE CASE

Charges:

- Simple Assault
- Aggravated Felonious Sexual Assault
- Acts Prohibited
- Possession of a Controlled Drug (x3 Separate Charges)

History of Placement:

- Phoenix House
- Youth Services Center—Secure Detention
- Mount Prospect Academy - Plymouth
- Mount Prospect Academy - Plymouth
- Mount Prospect Academy - Campton
- Speare Memorial Hospital
- Valley Vista Program - Vermont
- NH Hospital
- Youth Services Center - Secure Detention

Behavioral History:

- Extensive drug abuse on both sides of family

Behavioral History: continued

- Three psychiatric hospitalizations
- Self-injurious behavior
- History of cutting
- One documented suicide attempt
- Began drinking alcohol at the age of 12
- Marijuana use beginning in 8th grade
- Began opioids by 9th grade
- Admitted multiple times for detox treatment
- History of depression
- Alleged sexual abuse by father
- Allegedly sexually abused sister
- Exposed to domestic violence in the home
- Survived highly traumatic divorce

Diagnoses:

- Poly-substance Dependence
- Substance related perceptual disorder
- Mood Disorder
- Bipolar Disorder Type 1
- Posttraumatic Stress Disorder

EXAMPLE 2: ABUSE/NEGLECT CASE

History of Placement:

- Relative Placement
- Spaulding Youth Center
- New England Salem Children's Trust
- NFI – Massachusetts, Riverside
- Spaulding Youth Center
- Spurwink Services – Brunswick, ME
- Devereaux – Rutland, MA

Behavioral History:

- Significant, lengthy history of extremely violent, assaultive & aggressive behavior with family, peers, professional staff (all settings)
- Explosive outbursts
- Suicidal threats (trying to hang herself, throwing self out of moving vehicle),
- Threatening self-harm (cutting of body parts),
- Threatening to harm others
- Homicidal threats (staff, family, peers)
- Self-harming behaviors (scratching, cutting)

Behavioral History continued:

- Impulsivity
- Irritability
- Agitation
- Depression
- Hopelessness
- Threatening high-risk behaviors (prostitution)
- 5 psychiatric hospitalizations

Diagnoses:

- Physical Abuse of Child
- Neglect of Child
- Parent/child Relational Problem
- Gender Dysphoria of Childhood
- Bipolar Disorder
- Mood Disorder, NOS
- Borderline Personality Disorder
- Mild Cognitive Impairment (IQ 65)
- Asthma
- History of Pseudo-seizures



U.S. Department of Justice

Office of Justice Programs

Bureau of Justice Assistance

Office of the Director

Washington, D.C. 20531

October 13, 2015

Byry Kennedy, Esq.
Director of Legal Services
Division for Children Youth & Families
NH Department of Health and Human Services
129 Pleasant Street
Concord, NH 03301

Dear Mr. Kennedy,

This letter is to inform you that I have reviewed and approved your email request, dated October 2, 2015, seeking permission from the Bureau of Justice Assistance (BJA) to allow the State of New Hampshire to repurpose a portion of the Sununu Youth Services Center, a juvenile detention center built with federal Violent Offender Incarceration and Truth-in-Sentencing (VOI/TIS) Program grant funds for "use as a Psychiatric Residential Treatment Facility (PRTF)".

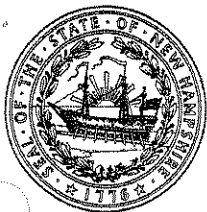
This request is approved based on the fact that the State plans to continue using the VOI/TIS facility for a criminal justice purpose (i.e., for use as a PRTF to service youth involved in the juvenile justice system or at risk for involvement), as required in BJA's *Guidance for States on the Use and Disposition Requirements for VOI/TIS-Funded Facilities*. The proposed PRTF, as I understand from reading the attached proposal, would serve a criminal justice purpose in that the youth served in the PRTF will be either involved with the juvenile justice system or at risk for involvement. In particular, our Office of the General Counsel has advised us that the youth described in the proposal that are not currently involved with juvenile justice system fall within the categories of youth that are typically considered at risk for juvenile justice involvement.

The State should be prepared to convert the VOI/TIS facility back to the originally funded purpose if the need arises. Furthermore, the State must continue to request and receive prior approval from BJA in order to make future changes regarding the use of the facility, to cease operating the facility, or to dispose of or sell the facility.

Thank you for your request. Should you have any questions, please feel free to contact Jonathan Faley, BJA Associate Deputy Director, by telephone at 202-514-2350 or by email at jonathan.faley@usdoj.gov.

Sincerely,

Denise E. O'Donnell
Director



State of New Hampshire FIS 15-229
Additional Information

GENERAL COURT

33 North State Street
Legislative Office Building, Rooms 210-211
Concord, NH 03301-6334

TEL: (603) 271-3165

TDD Access: Relay NH 1-800-735-2964

FISCAL COMMITTEE

(RSA 14:30-a)

Neal M. Kurk
Chairman

November 18, 2015

Dear Members of the Committee,

Chapter 276, Laws of 2015 (HB 2) contains two separate provisions relative to the funding of the Sununu Youth Services Center.

Ch. 276:205, L'15 – Reduction in Appropriation

276:205 Department of Health and Human Services, Sununu Youth Services Center; Reduction in Appropriation. The department of health and human services is hereby directed to reduce state general fund appropriations to the Sununu Youth Services Center by \$1,721,861 for the fiscal year ending June 30, 2016, and by \$3,496,746 for the fiscal year ending June 30, 2017. The department shall operate the Sununu Youth Services Center within the allotted budget, or may enter into contracts for operation of the Sununu Youth Services Center, including establishing necessary class lines, as long as total operating costs do not exceed \$10,100,000 for the fiscal year ending June 30, 2017.

This provision does not require or authorize any further action by the Fiscal Committee. Both of these requirements are mandated by law, and any changes to these requirements must be made through legislation. The Committee should monitor the Department's adherence to these requirements throughout the biennium.

Ch. 276:206, L'15 - Cost Savings Plan:

276:206 Department of Health and Human Services; Sununu Youth Services Center; Cost Savings Plan. The department of health and human services shall develop a plan to reduce the cost of providing existing services at the Sununu Youth Services Center. The plan shall include privatization of services, offering additional and compatible services, and consider the most appropriate, cost effective, long and short-term uses of the center as described in the January 1, 2014 report issued pursuant to 2013, 249. The department shall submit the plan to the fiscal committee of the general court for approval on or before November 1, 2015, and begin implementation of the plan by January 1, 2016.

This provision requires the Department of Health and Human Services (DHHS) to submit a cost savings plan to the Fiscal Committee for approval. The implementation date of the plan, if

approved, will begin on January 1, 2016. DHHS has submitted a plan which will be included on the Committee's agenda at the December 18, 2015 meeting. The following is a description of motions for the agenda item:

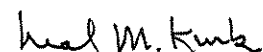
1. **Approve.** If Fiscal Committee approves the plan, DHHS will begin implementation on January 1, 2016. This plan would require future Fiscal Committee approval for transfer requests and possibly the acceptance and expenditure of additional funds (federal or other). It is probable that the plan would require further legislative changes which must go through the standard legislative process, and the Department would work with legislators to determine what types of statutory changes would be necessary to effectuate the plan if approved by the Committee.
2. **Amend and Approve.** Although the Committee has amended and approved items in the past, Ch. 276:206 specifically states "*the department shall develop a plan...*" The Fiscal Committee does not have the legislative authority to develop a different plan for the SYSC. The development of a different plan for SYSC should be undertaken by the entire General Court through the legislative process.
3. **Deny.** If Fiscal Committee denies the plan, DHHS cannot begin implementation of the proposed plan on January 1, 2016. However, nothing prohibits DHHS from seeking legislation to effectuate the plan.
4. **Table.** A tabling motion would have the same impact as denying the plan, whereas absent a special meeting, there would be no action taken by the Committee which would allow implementation of the plan beginning January 1, 2016.

Please note that the cost savings plan under section 206 is not directly related to the appropriation reduction requirements under section 205. As a result, irrespective of the action taken by the Fiscal Committee relative to the Department's cost savings plan proposal, the Department will still be required to reduce SYSC appropriations in FY 2016 and FY 2017 pursuant to current law.

The item is currently being reviewed by the Office of Legislative Budget Assistant and the Department of Health and Human Services to ensure compliance with the chapter law requirements. When the item is finalized, the LBAO will send a copy of the item to Committee members for their review prior to the December 18th vote.

Please contact me or the LBA if you have questions.

Sincerely,



Representative Neal M. Kurk
Chairman

Cc: Nicholas Toumpas, Commissioner
Department of Health and Human Services

STATE OF NEW HAMPSHIRE
DEPARTMENT OF CORRECTIONS
DIVISION OF ADMINISTRATION

William L. Wrenn
Commissioner
Doreen Wittenberg
Director



P.O. BOX 1806
CONCORD, NH 03302-1806
603-271-5610 FAX: 603-271-5639
TDD Access: 1-800-735-2964

November 18, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, NH 03301

REQUESTED ACTION

Pursuant to the provisions of Chapter 276:219 Laws of 2015, the New Hampshire Department of Corrections respectfully requests permission to transfer \$1,401,683 among accounts listed on the attached detailed exhibit to reallocate appropriations and cover overtime shortfalls effective upon Fiscal Committee and Governor and Executive Council approval through June 30, 2016. 100% General Funds.

Transfers are to occur from and within accounts listed on the attached detailed exhibits as follows:

The remainder of this page intentionally left blank.

02-46-46-460010-59280000 Business Information Unit				
Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 145,278	\$ (29,669)	\$ 115,609
020-500200	Current Expenses	\$ 500	\$ -	\$ 500
060-500602	Benefits	\$ 69,447	\$ (10,829)	\$ 58,618
070-500704	In-State Travel Reimbursement	\$ 132	\$ -	\$ 132
	Total	\$ 215,357	\$ (40,498)	\$ 174,859
	REVENUES			
	Total General Funds	\$ 215,357	\$ (40,498)	\$ 174,859

02-46-46-462510-59290000 Professional Standards				
Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 599,661	\$ -	\$ 599,661
011-500126	Personal Services - Unclassified	\$ 89,051	\$ -	\$ 89,051
018-500106	Overtime	\$ 29,385	\$ -	\$ 29,385
019-500105	Holiday Pay	\$ 2,492	\$ -	\$ 2,492
020-500200	Current Expenses	\$ 4,559	\$ -	\$ 4,559
030-500331	Equipment New/Replacement	\$ 4,375	\$ (2,187)	\$ 2,188
050-500109	Personal Service-Temp/Appointed	\$ 157,566	\$ (40,000)	\$ 117,566
060-500602	Benefits	\$ 417,273	\$ (18,020)	\$ 399,253
066-500546	Employee Training	\$ 13,000	\$ (13,000)	\$ -
070-500704	In-State Travel Reimbursement	\$ 424	\$ -	\$ 424
102-500731	Contracts for Program Services	\$ 30,000	\$ -	\$ 30,000
	Total	\$ 1,347,786	\$ (73,207)	\$ 1,274,579
	REVENUES			
	Total General Funds	\$ 1,347,786	\$ (73,207)	\$ 1,274,579

02-46-46-463010-71200000 NHSP/M - Security				
Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 11,180,174	\$ (310,600)	\$ 10,869,574
018-500106	Overtime	\$ 2,300,000	\$ 1,001,683	\$ 3,301,683
019-500105	Holiday Pay	\$ 450,839	\$ -	\$ 450,839
020-500200	Current Expenses	\$ 72,183	\$ -	\$ 72,183
022-500255	Rents - Leases Other than State	\$ 8,168	\$ -	\$ 8,168
024-500225	Maint Other Than Build-Grn	\$ 7,549	\$ -	\$ 7,549
030-500331	Equipment New/Replacement	\$ 23,300	\$ (11,650)	\$ 11,650
039-500188	Telecommunications	\$ 21,235	\$ -	\$ 21,235
050-500109	Personal Service-Temp/Appointed	\$ 24,197	\$ -	\$ 24,197
060-500602	Benefits	\$ 8,819,993	\$ (57,494)	\$ 8,762,499
068-500565	Remuneration	\$ 428,962	\$ -	\$ 428,962
070-500704	In-State Travel Reimbursement	\$ 90,673	\$ -	\$ 90,673
103-502664	Contracts for Operational Services	\$ 440	\$ -	\$ 440
242-500787	Transportation of Inmates	\$ 27,841	\$ -	\$ 27,841
	Total	\$ 23,455,554	\$ 621,939	\$ 24,077,493
	REVENUES			
	Total General Funds	\$ 23,455,554	\$ 621,939	\$ 24,077,493

02-46-46-463010-71400000 NHSP/M Maintenance				
Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 608,149	\$ -	\$ 608,149
018-500106	Overtime	\$ 19,016	\$ -	\$ 19,016
019-500105	Holiday Pay	\$ 7,138	\$ -	\$ 7,138
020-500200	Current Expenses	\$ 103,057	\$ -	\$ 103,057
022-500255	Rents - Leases Other than State	\$ 3,079	\$ -	\$ 3,079
023-500291	Heat-Electricity-Water	\$ 2,993,675	\$ (250,000)	\$ 2,743,675
024-500225	Maint Other Than Build-Grn	\$ 40,836	\$ -	\$ 40,836
030-500331	Equipment New/Replacement	\$ 2,485	\$ (1,242)	\$ 1,243
039-500188	Telecommunications	\$ 4,879	\$ -	\$ 4,879
047-500240	Own Forces Maint Build-Grn	\$ 74,943	\$ -	\$ 74,943
048-500226	Contractual Maint Build-Grn	\$ 127,115	\$ -	\$ 127,115
050-500109	Personal Service-Temp/Appointed	\$ 22,314	\$ -	\$ 22,314
060-500602	Benefits	\$ 383,527	\$ -	\$ 383,527
070-500704	In-State Travel Reimbursement	\$ 20,368	\$ -	\$ 20,368
103-502664	Contracts for Operational Services	\$ 50,568	\$ -	\$ 50,568
	Total	\$ 4,461,149	\$ (251,242)	\$ 4,209,907
	REVENUES			
	Total General Funds	\$ 4,461,149	\$ (251,242)	\$ 4,209,907

02-46-46-464010-83020000 District Offices				
Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 5,205,253	\$ -	\$ 5,205,253
011-500126	Personal Services - Unclassified	\$ 97,350	\$ -	\$ 97,350
018-500106	Overtime	\$ 2,287	\$ -	\$ 2,287
019-500105	Holiday Pay	\$ 1,094	\$ -	\$ 1,094
020-500200	Current Expenses	\$ 79,674	\$ -	\$ 79,674
022-500255	Rents - Leases Other than State	\$ 378,479	\$ -	\$ 378,479
023-500291	Heat-Electricity-Water	\$ 35,975	\$ -	\$ 35,975
024-500225	Maint Other Than Build-Grn	\$ 237	\$ -	\$ 237
028-500292	Transfers to General Services	\$ 17,697	\$ -	\$ 17,697
030-500331	Equipment New/Replacement	\$ 107,990	\$ (15,845)	\$ 92,145
039-500188	Telecommunications	\$ 121,674	\$ -	\$ 121,674
047-500240	Own Forces Maint Build-Grn	\$ 1,000	\$ -	\$ 1,000
048-500226	Contractual Maint Build-Grn	\$ 2,726	\$ -	\$ 2,726
050-500109	Personal Service-Temp/Appointed	\$ 129,273	\$ (75,000)	\$ 54,273
060-500602	Benefits	\$ 3,224,912	\$ -	\$ 3,224,912
068-500565	Remuneration	\$ 1,500	\$ -	\$ 1,500
070-500704	In-State Travel Reimbursement	\$ 93,111	\$ -	\$ 93,111
080-500714	Out of State Travel	\$ 100	\$ -	\$ 100
102-500731	Contracts for Program Services	\$ 91,993	\$ -	\$ 91,993
103-502664	Contracts for Operational Services	\$ 15,526	\$ -	\$ 15,526
	Total	\$ 9,607,851	\$ (90,845)	\$ 9,517,006
	REVENUES			
	Total General Funds	\$ 9,607,851	\$ (90,845)	\$ 9,517,006

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02-46-46-465010-58330000 Secure Psychiatric Unit				
Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 1,839,398	\$ (52,194)	\$ 1,787,204
018-500106	Overtime	\$ 214,577	\$ -	\$ 214,577
019-500105	Holiday Pay	\$ 58,212	\$ -	\$ 58,212
020-500200	Current Expenses	\$ 20,905	\$ -	\$ 20,905
021-500211	Food Institutions	\$ 92,579	\$ -	\$ 92,579
022-500255	Rents - Leases Other than State	\$ 3,399	\$ -	\$ 3,399
024-500225	Maint Other Than Build-Grn	\$ 1,848	\$ -	\$ 1,848
039-500188	Telecommunications	\$ 49,385	\$ -	\$ 49,385
047-500240	Own Forces Maint Build-Grn	\$ 2,400	\$ -	\$ 2,400
048-500226	Contractual Maint Build-Grn	\$ 2,000	\$ -	\$ 2,000
060-500602	Benefits	\$ 1,256,431	\$ (38,048)	\$ 1,218,383
068-500565	Remuneration	\$ 80,185	\$ (14,000)	\$ 66,185
070-500704	In-State Travel Reimbursement	\$ 973	\$ -	\$ 973
101-500730	Medical Payments to Providers	\$ 60,355	\$ -	\$ 60,355
103-502664	Contracts for Operational Services	\$ 2,332	\$ -	\$ 2,332
	Total	\$ 3,684,979	\$ (104,242)	\$ 3,580,737
	REVENUES			
	Total General Funds	\$ 3,684,979	\$ (104,242)	\$ 3,580,737

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02-46-46-466010-71110000 NHSP/W - Prison for Women

Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 1,395,617	\$ (63,092)	\$ 1,332,525
011-500126	Personal Services - Unclassified	\$ 90,251	\$ -	\$ 90,251
018-500106	Overtime	\$ 178,558	\$ -	\$ 178,558
019-500105	Holiday Pay	\$ 32,123	\$ -	\$ 32,123
020-500200	Current Expenses	\$ 18,255	\$ -	\$ 18,255
021-500211	Food Institutions	\$ 129,421	\$ -	\$ 129,421
022-500255	Rents - Leases Other than State	\$ 258,028	\$ -	\$ 258,028
023-500291	Heat-Electricity-Water	\$ 238,741	\$ -	\$ 238,741
024-500225	Maint Other Than Build-Grn	\$ 9,411	\$ -	\$ 9,411
030-500331	Equipment New/Replacement	\$ 13,309	\$ (6,654)	\$ 6,655
039-500188	Telecommunications	\$ 10,893	\$ -	\$ 10,893
047-500240	Own Forces Maint Build-Grn	\$ 3,346	\$ -	\$ 3,346
048-500226	Contractual Maint Build-Grn	\$ 18,388	\$ -	\$ 18,388
050-500109	Personal Service-Temp/Appointed	\$ 58,858	\$ -	\$ 58,858
060-500602	Benefits	\$ 983,135	\$ (27,397)	\$ 955,738
068-500565	Remuneration	\$ 80,008	\$ -	\$ 80,008
070-500704	In-State Travel Reimbursement	\$ 10,920	\$ -	\$ 10,920
102-500731	Contracts for Program Services	\$ 1,090,248	\$ (75,000)	\$ 1,015,248
103-502664	Contracts for Operational Services	\$ 5,959	\$ -	\$ 5,959
	Total	\$ 4,625,469	\$ (172,143)	\$ 4,453,326
	REVENUES			
	Total General Funds	\$ 4,625,469	\$ (172,143)	\$ 4,453,326

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02-46-46-468010-82500000 Berlin Prison (NCF)

Class	Description	Current Modified Budget	Requested Action	Current Revised Budget
010-500100	Personal Services - Permanent	\$ 6,035,357	\$ (91,762)	\$ 5,943,595
011-500126	Personal Services - Unclassified	\$ 104,729	\$ (10,000)	\$ 94,729
018-500106	Overtime	\$ 600,000	\$ 400,000	\$ 1,000,000
019-500105	Holiday Pay	\$ 181,366	\$ -	\$ 181,366
020-500200	Current Expenses	\$ 221,168	\$ -	\$ 221,168
021-500211	Food Institutions	\$ 635,772	\$ -	\$ 635,772
022-500255	Rents - Leases Other than State	\$ 6,788	\$ -	\$ 6,788
023-500291	Heat-Electricity-Water	\$ 1,381,236	\$ (100,000)	\$ 1,281,236
024-500225	Maint Other Than Build-Grn	\$ 12,177	\$ -	\$ 12,177
030-500331	Equipment New/Replacement	\$ 58,250		\$ 58,250
039-500188	Telecommunications	\$ 57,360	\$ -	\$ 57,360
047-500240	Own Forces Maint Build-Grn	\$ 64,125	\$ -	\$ 64,125
048-500226	Contractual Maint Build-Grn	\$ 114,922	\$ -	\$ 114,922
060-500602	Benefits	\$ 4,425,288	\$ -	\$ 4,425,288
068-500565	Remuneration	\$ 325,868	\$ (88,000)	\$ 237,868
070-500704	In-State Travel Reimbursement	\$ 98,774	\$ -	\$ 98,774
102-500731	Contracts for Program Services	\$ 40,170	\$ -	\$ 40,170
103-502664	Contracts for Operational Services	\$ 20,565	\$ -	\$ 20,565
	Total	\$ 14,383,915	\$ 110,238	\$ 14,494,153
	REVENUES			

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EXPLANATION

The Department requests to transfer \$1,401,683 from various General Fund accounting units, Class 010 Personal Services Permanent Classified, Class 011 Personal Services Unclassified, Class 023 Heat, Electricity and Water, Class 030 Equipment, Class 050 Personal Services Temporary Appointed, Class 060 Benefits, Class 066 Employee Training, Class 068 Remuneration, and Class 102 Contracts for Program Services, as summarized in the attached detail to address anticipated Class 18 Overtime deficits in accounting units 7120 NHSP/M Security and 8250 Berlin Prison.

Class 010 Personal Services Permanent Classified has a surplus of \$547,317 as of the 11/13/15 pay date as a result of departmental vacancies in various accounting units. Critical needs exist to fill both security and other direct care positions. Despite increased recruiting efforts, the Department has had difficulty attracting an adequate number of qualified candidates to address this need.

Class 011 Personal Services Unclassified has a projected surplus of \$10,000 due to the retirement of the warden at the Berlin Prison and resultant hiring of a new warden at a lower than budgeted salary.

Class 023 Heat, Electricity and Water has a surplus of \$350,000 due to a lower than projected expense than was budgeted.

Class 018 Overtime has a projected fiscal year end general fund shortfall of \$5,230,147 as of 11/13/2015. \$1,401,683 of the deficit will be resolved by this fiscal transfer. The remaining \$3,828,464 projected overtime shortfall will be addressed as additional surpluses are identified. Position vacancies have resulted in a need for increased overtime to maintain institutional security and inmate care.

Class 030 Equipment budgets for the Department have been reduced by 50%. Equipment purchases will be deferred in order to contribute to the overtime deficit.

Class 050 Personal Services Temporary Appointed has a projected net surplus of \$115,000 as a result of deferred hiring for several departmental vacancies.

Class 060 Benefits has a surplus of \$151,788 as of the 11/13/15 pay date as a result of departmental vacancies in various accounting units

Class 066 Employee Training has been reduced by \$13,000. Polygraph training will be deferred in order to contribute to the overtime deficit.

Class 068 Remuneration has a net surplus of \$102,000 as of 10/31/15 due to expenditures that were lower than was budgeted.

Class 102 Contracts for Program Services accounting unit 7111 State Prison for Women has a surplus of \$75,000 as of 10/31/15. Savings have been realized as fewer female inmates have been housed at the Stafford County House of Corrections than was anticipated.

Transfer from Class 010 Personal Services -Permanent:

- \$ 52,194 from accounting unit 5833 Secure Psychiatric Unit
- \$ 29,669 from accounting unit 5928 Business Information Unit
- \$ 63,092 from accounting unit 7111 State Prison for Women
- \$310,600 from accounting unit 7120 NHSP/M Security
- \$ 91,762 from accounting unit 8250 Berlin Prison

Transfer from Class 011 Personal Services – Unclassified:

- \$ 10,000 from accounting unit 8250 Berlin Prison

Transfer from Class 023 Heat, Electricity and Water:

- \$250,000 from accounting unit 7140 NHSP/M Maintenance
- \$100,000 from accounting unit 8250 Berlin Prison

Transfer from Class 030 Equipment:

- \$ 2,187 from accounting unit 5929 Professional Standards
- \$ 6,654 from accounting unit 7111 State Prison for Women
- \$ 11,650 from accounting unit 7120 NHSP/M Security
- \$ 1,242 from accounting unit 7140 NHSP/M Maintenance
- \$ 15,845 from accounting unit 8302 District Offices

Transfer from Class 050 Personal Services –Temp/Appointed:

- \$ 40,000 from accounting unit 5929 Professional Standards
- \$ 75,000 from accounting unit 8302 District Offices

Transfer from Class 060 Benefits:

- \$ 38,048 from accounting unit 5833 Secure Psychiatric Unit
- \$ 10,829 from accounting unit 5928 Business Information Unit
- \$ 18,020 from accounting unit 5929 Professional Standards
- \$ 27,397 from accounting unit 7111 State Prison for Women
- \$ 57,494 from accounting unit 7120 NHSP/M Security

Transfer from Class 066 Employee Training:

- \$ 13,000 from accounting unit 5929 Professional Standards

Transfer from Class 068 Remuneration:

- \$ 14,000 from accounting unit 5833 Secure Psychiatric Unit
- \$ 88,000 from accounting unit 8250 Berlin Prison

Transfer from Class 102 Contracts for Program Services:

- \$ 75,000 from accounting unit 7111 State Prison for Women

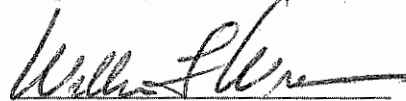
Transfer to Class 018 Overtime:

- \$1,001,683 to accounting unit 7120 NHSP/M Security
- \$ 400,000 to accounting unit 8250 Berlin Prison

The following information is provided in accordance with the Budget Officer's instructional memorandum dated April 17, 1985 to support the above requested actions.

- A. Does transfer involve continuing program or one-time projects?
These transfers involve continuing programs.
- B. Is this transfer required to maintain existing program level or will it increase the program level?
All transfers listed will be used to maintain existing program levels.
- C. Cite any requirements that make this program mandatory.
The requested action to transfer appropriations to Class 018 Overtime is required to maintain institutional security.
- D. Identify the source of the funds on all accounts listed on this transfer.
All accounting units are General Funds.
- E. Will there be any effect on revenue if this transfer is approved or disapproved?
There will not be any effect on revenue.
- F. Are funds expected to lapse if this transfer is not approved?
General Funds- All Classes, if not fully expended, will lapse. However, a deficit will exist in Class 018 Overtime, at year end, if the request is not approved.
- G. Are personnel services involved?
No new positions are being funded by this transfer.

Respectfully Submitted,



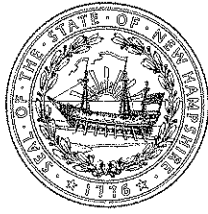
William L. Wrenn
Commissioner

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
								Full-Time	Part-Time	
FISCAL YEAR 2016										
15-138	July'15	Cultural Resources, Department of	RSA 14:30-a, VI; RSA 124:15	-	594,691	-	594,691		3	Authorizes 3 part time positions (grants coordinator and 2 program specialists I)
15-167	Aug'15	Cultural Resources, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	29,456	29,456			Extended authorization for consultants from 6/30/15 to 12/31/15.
		Cultural Resources, Department of Total		-	594,691	29,456	624,147	-	3	
15-141	July'15	Education, Department of	RSA 14:30-a, VI; RSA 124:15	-	1,084,997	-	1,084,997			Item extends the end date for 2 temporary full time positions (program specialist III and program assistant II) originally established in FIS 15-033
15-143	July'15	Education, Department of	RSA 14:30-a, VI; RSA 124:15	-	1,586,127	-	1,586,127			Item extends the end date for 3 temp full time (Administrator II, Program Specialist III, Program Assistant II) and one part time position (Program Specialist III) originally established in FIS 14-026
		Education, Department of Total		-	2,671,124	-	2,671,124	0	0	
15-117	July'15	Environmental Services, Department of	RSA 124:15	-	-	-	-			Item extends the end date for 5 temp full time positions (administrator IV, Administrator III, Civil Engineer VI, and 2 Environmentalist IV) originally established in FIS 13-246
		Environmental Services, Department of Total		-	-	-	-	0	0	

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established	Comments
15-213	Oct'15	Fish and Game Department	RSA 14:30-a, VI	-	595,800	-	595,800		
15-214	Oct'15	Fish and Game Department	RSA 14:30-a, VI	-	174,570	-	174,570		
		Fish and Game Department Total		-	770,370	-	770,370	0	0
15-152	July'15	Governor's Office	RSA 14:30-a, VI; RSA 124:15	-	-	112,500	112,500		Item extends the end date for one non-classified full time position originally established in FIS 14-196
		Governor's Office Total		-	-	112,500	112,500	-	-
15-120	July'15	DHHS - Office of the Commissioner	RSA 14:30-a, VI	-	124,150	34,328	158,478		
		DHHS - Office of the Commissioner Total		-	124,150	34,328	158,478	-	-
15-122	Aug'15	DHHS - Division of Children, Youth and Families	RSA 14:30-a, VI	-	219,342	-	219,342		
		DHHS - Division of Children, Youth and Families Total		-	219,342	-	219,342	-	-
15-123	July'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	1,636,364	-	1,636,364		
15-124	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	127,089	-	127,089		
15-169	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	1,724,196	-	1,724,196		
15-170	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	103,396	-	103,396		
15-171	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI	-	1,067,592	-	1,067,592		
15-172	Aug'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI; RSA 124:15	-	257,214	-	257,214	-	Item extends the end date for 3 positions (a senior management analyst and two program planner III) originally approved with FIS 15-002
15-224	Oct'15	DHHS - Division of Public Health Services	RSA 14:30-a, VI; RSA 124:15	-	1,038,641	-	1,038,641	5	Item creates 5 temporary full-time positions (Toxicologist IV, 2 toxicologist II, public health program manager, and program specialist IV)
		DHHS - Division of Public Health Services Total		-	5,954,492	-	5,954,492	5	-
15-139	July'15	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	2,500,000	-	2,500,000		
15-215	Oct'15	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	2,231,951	-	2,231,951		
15-228	Oct'15	DHHS - Office of Medicaid Business and Policy	RSA 14:30-a, VI	-	324,997	-	324,997		
		DHHS - Office of Medicaid Business and Policy Total		-	5,056,948	-	5,056,948	-	-
15-153	July'15	Insurance Department	RSA 14:30-a, VI; RSA 124:15	-	444,179	-	444,179		Item extends the end date for consultants established in FIS 14-174
15-154	July'15	Insurance Department	RSA 14:30-a, VI; RSA 124:15	-	1,055,985	-	1,055,985		Item extends the end date for consultants established in FIS 13-247

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established	Comments
15-155	July'15	Insurance Department	RSA 14:30-a, VI; RSA 124:15	-	529,678	-	529,678		Item provides approval for establishing consultant positions
		Insurance Department Total		-	2,029,842	-	2,029,842	-	
15-114	July'15	Justice, Department of	RSA 14:30-a, VI; RSA 124:15	-	106,177	-	106,177		Item provides approval for establishing consultant positions
15-118	July'15	Justice, Department of	RSA 124:15	-	-	-	-		Item establishes one part time program specialist IV position
15-194	Sept'15	Justice, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	-	-	1	Item establishes one temp full time planning analyst (LG 24) position and approval for establishing consultant position
15-216	Oct'15	Justice, Department of	RSA 14:30-a, VI	-	5,940,633	-	5,940,633		
		Justice, Department of Total		-	6,046,810	-	6,046,810	1	
15-137	July'15	Pharmacy, Board of	RSA 124:15	-	-	-	-		end date for one temp full time Administrator I and one part time Administrator I as contained in FIS 14-015 and FIS 13-164
		Pharmacy, Board of Total		-	-	-	-	-	
15-178	Aug'15	Resources and Economic Development, Department of	RSA 14:30-a, VI	-	-	109,020	109,020		
15-179	Aug'15	Resources and Economic Development, Department of	RSA 14:30-a, VI	-	-	189,276	189,276		
15-181	Aug'15	Resources and Economic Development, Department of	RSA 14:30-a, VI; RSA 124:15	-	319,848	-	319,848		Item provides approval for establishing consultant position of consortium manager
15-193	Sept'15	Resources and Economic Development, Department of	RSA 14:30-a, VI	-	199,878	-	199,878		
		Resources and Economic Development, Department of Total		-	519,726	298,296	818,022	-	

Item #	Meeting	Department	Chapter / RSA Reference	General	Federal	Other	Total	Positions Established		Comments
15-133	July'15	Safety, Department of	RSA 124:15	-	-	-	-			Item extends the end date for consultant positions originally contained in FIS 14-203
15-134	July'15	Safety, Department of	RSA 124:15	-	-	-	-			Item extends the end date for consultant positions originally contained in FIS 15-032
15-144	July'15	Safety, Department of	RSA 124:15	-	-	-	-			Item extends the end date for consultant positions originally contained in FIS 14-152
15-132	Aug'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	870,841	-	870,841			Item provides approval for establishing consultant positions
15-164	Aug'15	Safety, Department of	RSA 14:30-a, VI	-	4,439,989	-	4,439,989			
15-165	Aug'15	Safety, Department of	RSA 14:30-a, VI	-	2,237,568	-	2,237,568			
15-166	Aug'15	Safety, Department of	RSA 14:30-a, VI	-	554,499	-	554,499			
15-195	Sept'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	7,747,351	-	7,747,351		2	Item provides approval to establish 2 temporary positions
15-217	Oct'15	Safety, Department of	RSA 14:30-a, VI	-	1,200,143	-	1,200,143			
15-218	Oct'15	Safety, Department of	RSA 14:30-a, VI; RSA 124:15	-	-	165,714	165,714		1	Item provides approval to establish 1 temporary position (full-time State Police Captain)
		Safety, Department of Total		-	17,050,391	165,714	17,216,105		1	2
15-177	Aug'15	Transportation, Department of	RSA 14:30-a, VI	-	-	750,000	750,000			
15-188	Sept'15	Transportation, Department of	RSA 14:30-a, VI	-	300,000	-	300,000			
		Transportation, Department of Total		-	300,000	750,000	1,050,000		-	-
		FY 16 Total		-	41,337,886	1,390,294	42,728,180		7	5



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State of New Hampshire

OFFICE OF LEGISLATIVE BUDGET ASSISTANT
State House, Room 102
Concord, New Hampshire 03301

STEPHEN C. SMITH, CPA
Director, Audit Division
(603) 271-2785

December 7, 2015

Fiscal Committee of the General Court
The Honorable Neal M. Kurk, Chairman
State House
Concord, New Hampshire 03301

Dear Representative Kurk and Members of the Committee,

I am writing to inform you of actions taken under the authority granted to me to approve step increases for employees of the LBA Office. I approved step increases as of the increment date for the following employees:

Stephen Smith: Effective October 1, 2015, a one step increase from grade Q-4 to Q-5. Date of hire: October 1, 2014 Date of previous increment: N/A

Philip Sletten: Effective October 17, 2015, a one step increase from grade K-2 to K-3. Date of hire: October 17, 2013 Date of previous increment: October 17, 2014

Vilayphorn Sihabouth: Effective October 30, 2015, a one step increase from grade N-5 to N-6. Date of hire: June 2, 2000 Date of previous increment: October 30, 2014.

Please let me know if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Michael W. Kane".

Michael W. Kane
Legislative Budget Assistant

MWK/scs

JOINT LEGISLATIVE FACILITIES COMMITTEE
LEGISLATIVE BRANCH
DETAIL OF BALANCE OF FUNDS AVAILABLE
FISCAL YEAR 2016
 As of 10/31/2015

Legislative Branch:	Org/ Class	Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Senate:	1170							
Personal srvs. - members	011		700.00					700.00
Personal srvs. - nonclassi	016		1,704,051.00			560,561.89		1,143,489.11
Current expenses	020		40,000.00			2,852.03		37,147.97
Rents-Leases other than state	022		9,500.00			3,207.32		6,292.68
Equipment	030		2,500.00					2,500.00
Telecommunications	039		18,000.00			4,711.82		13,288.18
Legal srvs. & consultants	046		82,000.00			80,028.46		1,971.54
Personal srvs. - temp/app	050		25,000.00			369.94		24,630.06
Benefits	060		790,463.00			221,198.15		569,264.85
Employee training	066		500.00					500.00
Travel:								
In state	070		140,000.00			34,998.52		105,001.48
Out of state	080		3,000.00			892.51		2,107.49
President's discretionary fund	285		4,500.00			266.00		4,234.00
Contingency	289		0.00					0.00
Total		0.00	2,820,214.00	0.00	0.00	909,086.64	0.00	1,911,127.36

Legislative Branch - continued:	Org/ Class	Balance			Income	Transfers	Expenditures	Encumb rances	Balance Available
		Forward	Appropriation						
House	1180								
Personal srvs. - members	011		5,000.00			200.00		4,800.00	
Personal srvs. - nonclassi	016		1,641,640.00			520,376.08		1,121,263.92	
Current expenses	020		55,000.00			8,066.77		46,933.23	
Rents-Leases Other than State	022		4,500.00			1,180.22		3,319.78	
Maint. Other than bldg/grnd	024	23,556.38	6,000.00			23,000.00	556.38	6,000.00	
Equipment	030		10,000.00			182.37		9,817.63	
Telecommunications	039		30,000.00			7,595.40		22,404.60	
Consultants	046		80,000.00					80,000.00	
Personal srvs. - temp/app	050		246,932.00			30,946.62		215,985.38	
Benefits	060		852,302.00			204,505.40		647,796.60	
Employee training	066		1,000.00					1,000.00	
Travel:									
In state	070		1,000,000.00			134,529.20		865,470.80	
Out of state	080		95,000.00			31,546.96		63,453.04	
Speaker's special fund	286		10,000.00			401.57		9,598.43	
Democratic Leader's Account	287		4,500.00			1,123.50		3,376.50	
Republican Leader's Account	288		4,500.00			201.65		4,298.35	
Total		23,556.38	4,046,374.00	0.00	0.00	963,855.74	556.38	3,105,518.26	

Legislative Branch - continued:		Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Operations	1160							
Personal srvs. - nonclassi	016		239,949.00			71,937.24		168,011.76
Current expenses	020		2,000.00			88.55		1,911.45
Equipment New/Replacement	030		750.00					750.00
Telecommunications	039		7,500.00			2,318.93		5,181.07
Benefits	060		151,801.00			43,239.78		108,561.22
Total		0.00	402,000.00		0.00	117,584.50	0.00	284,415.50
Joint Expenses	8677							
Current expenses	020		48,000.00			2,208.65		45,791.35
Rents-Leases Other Than State	022		18,000.00			3,715.24		14,284.76
Organizational Dues	026		130,000.00			130,000.00		0.00
Equipment New/Replacement	030		1,000.00			249.00		751.00
Consultants	046		3,000.00					3,000.00
Transfer to Other State Agencies	049		3,000.00			3,000.00		0.00
Legislative Printing & Binding	290		280,000.00			25,007.80		254,992.20
Joint Orientation	291		0.00					0.00
Redistricting	292		2,000.00					2,000.00
Total		0.00	485,000.00	0.00	0.00	164,180.69	0.00	320,819.31
Less estimated Revenue		-2,050.65	-9,000.00	939.86	(A)			-10,110.79
Total		-2,050.65	476,000.00	939.86	0.00	164,180.69	0.00	310,708.52
Joint Legislative Historical Committee	8870-216	136,076.11	10,000.00			4,240.00		141,836.11
Flag Preservation Revenue	8870-3586	0.00						0.00
Total		136,076.11	10,000.00	0.00	0.00	4,240.00	0.00	141,836.11

Legislative Branch - continued:		Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Visitor's Center:	1229							
Personal srvs. - nonclassi	016		107,997.00			37,050.93		70,946.07
Current Expenses	020		750.00			60.66		689.34
Equipment New/Replacement	030		400.00					400.00
Telecommunications	039		750.00			138.01		611.99
Personal srvs. - temp/app	050		55,862.00					55,862.00
Benefits	060		700.00			18,299.97		-17,599.97
Total		0.00	166,459.00		0.00	55,549.57	0.00	110,909.43
Visitor's Ctr. Revolving Fund (G)	1230							
Souvenir Purchases	106	7,100.70	0.00		42,873.51	5,948.15		44,026.06
Revenue	2016	42,873.51	0.00	14,269.63	(42,873.51) (B)			14,269.63
Total		49,974.21	0.00	14,269.63	0.00	5,948.15	0.00	58,295.69
Legislative Accounting:	1166							
Personal srvs. - nonclassi	016		225,030.00			77,048.84		147,981.16
Current expenses	020		2,000.00			103.04		1,896.96
Equipment New/Replacement	030		1,000.00					1,000.00
Telecommunications	039		750.00			218.26		531.74
Personal srvs. - temp/app	050		22,583.00					22,583.00
Benefits	060		80,550.00			26,385.75		54,164.25
Total		0.00	331,913.00		0.00	103,755.89	0.00	228,157.11
General Court Info. Systems:	4654							
Personal srvs. - nonclassi	016		342,707.00			115,413.92		227,293.08
Current expenses	020		39,200.00			4,357.14		34,842.86
Equipment New/Replacement	030		750.00					750.00
Technology - Hardware	037		87,025.00			2,348.13		84,676.87
Technology - Software	038		101,797.00			22,429.96	0.00	79,367.04
Telecommunications	039		2,500.00			453.42		2,046.58
Personal srvs. - temp/app	050		8,640.00					8,640.00
Benefits	060		184,091.00			60,255.11		123,835.89
Employee training	066		2,500.00					2,500.00
Total		0.00	769,210.00		0.00	205,257.68	0.00	563,952.32

Legislative Branch - continued:	Org/ Class	Balance			Transfers	Expenditures	Encumb rances	Balance Available
		Forward	Appropriation	Income				
Protective Services:	1164							
Personal srvs. - nonclassi	016		407,200.00			138,094.19	269,105.81	
Current expenses	020		7,500.00			4.35	7,495.65	
Equipment New/Replacement	030		10,200.00				10,200.00	
Telecommunications	039		2,200.00			516.05	1,683.95	
Personal srvs. - temp/app	050		1.00				1.00	
Benefits	060		216,167.00			70,044.88	146,122.12	
Total		0.00	643,268.00		0.00	208,659.47	434,608.53	

Health Services:	1165						
Current expenses	020		1,600.00			363.21	1,236.79
Equipment New/Replacement	030		300.00				300.00
Telecommunications	039		350.00			81.63	268.37
Personal srvs. - temp/app	050		34,232.00			10,869.90	23,362.10
Benefits	060		2,619.00			831.54	1,787.46
Employee training	066		400.00				400.00
Total		0.00	39,501.00		0.00	12,146.28	27,354.72

Legislative Services:	1270						
Personal srvs. - nonclassi	016		1,673,296.00			562,800.10	1,110,495.90
Current expenses	020		19,000.00			5,533.56	13,466.44
Rents-Leases other than State	022		5,500.00			1,624.68	3,875.32
Equipment New/Replacement	030		3,000.00				3,000.00
Telecommunications	039		6,500.00			2,044.82	4,455.18
Personal srvs. - temp/app	050		25,000.00			2,934.26	22,065.74
Benefits	060		718,834.00			238,912.70	479,921.30
Employee training	066		1,500.00			984.00	516.00
Out of state Travel	080		10,000.00				10,000.00
Printing and binding	290		8,000.00			2,342.04	5,657.96
Total		0.00	2,470,630.00		0.00	817,176.16	1,653,453.84
Less estimated revenue	009/204t	170.68	-750.00	325.00		©	-254.32
Total		170.68	2,469,880.00	325.00 ©	0.00	817,176.16	1,653,199.52

Legislative Branch - continued:

		Forward	Appropriation	Income	Transfers	Expenditures	ances	Available
Budget Division:	1221							
Personal srvs. - nonclassi	016		909,818.00			449,599.42		460,218.58
Current expenses	020		10,000.00			457.07		9,542.93
Rents-Leases other than State	022		6,000.00			1,691.00		4,309.00
Organizational Dues	026		1,050.00			1,025.00		25.00
Equipment	030		17,500.00			1,200.00		16,300.00
Telecommunications	039		3,300.00			990.49		2,309.51
Consultants	046		15,000.00			188.00		14,812.00
Personal srvs. - temp/app	050		65,000.00					65,000.00
Benefits	060		375,568.00			140,214.99		235,353.01
Employee training	066		3,500.00					3,500.00
In state travel	070		500.00					500.00
Out of state travel	080		2,000.00					2,000.00
Total		0.00	1,409,236.00		0.00	595,365.97	0.00	813,870.03

Legislative Budget Assistant:

Audit Division:	1222							
Personal srvs. - nonclassi	016		1,969,649.00			646,244.71		1,323,404.29
Current expenses	020		12,860.00			3,620.21		9,239.79
Rents-Leases other than State	022		100,000.00			97,524.00		2,476.00
Equipment	030		20,000.00			522.00		19,478.00
Telecommunications	039		2,500.00			548.36		1,951.64
Consultants	046		570,000.00			113,300.00		456,700.00
Personal srvs. - temp/app	050		10,000.00					10,000.00
Benefits	060		895,357.00			258,140.59		637,216.41
Employee training	066		25,000.00			1,570.00		23,430.00
In state travel	070		7,500.00			675.78		6,824.22
Out of state travel	080		100.00					100.00
Total		0.00	3,612,966.00	0.00	0.00	1,122,145.65	0.00	2,490,820.35
Less estimated revenue	006/1251	559,023.00	-650,000.00			(D)		-90,977.00
Total		559,023.00	2,962,966.00	0.00	0.00	1,122,145.65	0.00	2,399,843.35

Total		766,749.73	16,547,021.00	15,534.49	0.00	5,284,952.39	556.38	12,043,796.45
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- (A) Proceeds from the sale of legislative subscriptions, advance sheets, permanent journals, and rosters, and royalties from Lexis Law Publishing.
- (B) Pursuant to Chapter 177:151 State House Visitor's Center Revolving Fund established - Proceeds from sales of souvenirs and expenditures from souvenir purchases transferred to V.C. Revolving account effective 10/18/06.
- (C) Proceeds from sales of photocopies and rulemaking registers.
- (D) Auditing fees

JOINT LEGISLATIVE FACILITIES COMMITTEE
 LEGISLATIVE BRANCH
 DETAIL OF BALANCE OF FUNDS AVAILABLE
 FISCAL YEAR 2016
 As of 11/30/2015

Legislative Branch:	Org/ Class	Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Senate:	1170							
Personal svcs. - members	011		700.00					700.00
Personal svcs. - nonclassi	016		1,705,454.00			689,231.51		1,016,222.49
Current expenses	020		40,000.00			3,244.06		36,755.94
Rents-Leases other than state	022		9,500.00			3,788.59		5,711.41
Equipment	030		2,500.00					2,500.00
Telecommunications	039		18,000.00			5,847.20		12,152.80
Legal svcs.& consultants	046		82,000.00			80,028.46		1,971.54
Personal svcs. - temp/app	050		25,000.00			1,847.78		23,152.22
Benefits	060		790,570.00			268,073.47		522,496.53
Employee training	066		500.00					500.00
Travel:								
In state	070		140,000.00			44,547.37		95,452.63
Out of state	080		3,000.00			892.51		2,107.49
President's discretionary fund	285		4,500.00			415.99		4,084.01
Contingency	289		0.00					0.00
Total		0.00	2,821,724.00	0.00	0.00	1,097,916.94	0.00	1,723,807.06

Legislative Branch - continued:	Org/ Class	Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
House	1180							
Personal svcs. - members	011		5,000.00			200.00		4,800.00
Personal svcs. - nonclassi	016		1,641,640.00		(30,000.00)	648,491.70		963,148.30
Current expenses	020		55,000.00			10,698.27		44,301.73
Rents-Leases Other than State	022		4,500.00			1,476.12		3,023.88
Maint. Other than bldg/grnd	024	23,556.38	6,000.00			23,000.00	556.38	6,000.00
Equipment	030		10,000.00		30,000.00	182.37		39,817.63
Telecommunications	039		30,000.00			9,472.50		20,527.50
Consultants	046		80,000.00			8,225.00		71,775.00
Personal svcs. - temp/app	050		246,932.00			37,056.78		209,875.22
Benefits	060		852,302.00			252,829.75		599,472.25
Employee training	066		1,000.00					1,000.00
Travel:								
In state	070		1,000,000.00			169,801.69		830,198.31
Out of state	080		95,000.00			34,832.65		60,167.35
Speaker's special fund	286		10,000.00			900.72		9,099.28
Democratic Leader's Account	287		4,500.00			1,298.50		3,201.50
Republican Leader's Account	288		4,500.00			316.62		4,183.38
Total		23,556.38	4,046,374.00	0.00	0.00	1,198,782.67	556.38	2,870,591.33

Legislative Branch - continued:		Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Operations	1160							
Personal svcs. - nonclassi	016		239,949.00			87,058.31		152,890.69
Current expenses	020		2,000.00			100.71		1,899.29
Equipment New/Replacement	030		750.00					750.00
Telecommunications	039		7,500.00			2,897.23		4,602.77
Benefits	060		151,801.00			51,971.34		99,829.66
Total		0.00	402,000.00		0.00	142,027.59	0.00	259,972.41
Joint Expenses	8677							
Current expenses	020		48,000.00			2,294.48		45,705.52
Rents-Leases Other Than State	022		18,000.00			4,334.05		13,665.95
Organizational Dues	026		130,000.00			130,000.00		0.00
Equipment New/Replacement	030		1,000.00			249.00		751.00
Consultants	046		3,000.00					3,000.00
Transfer to Other State Agencies	049		3,000.00			3,000.00		0.00
Legislative Printing & Binding	290		280,000.00			27,048.98		252,951.02
Joint Orientation	291		0.00					0.00
Redistricting	292		2,000.00					2,000.00
Total		0.00	485,000.00	0.00	0.00	166,926.51	0.00	318,073.49
Less estimated Revenue		-2,050.65	-9,000.00	1,229.86	(A)			-9,820.79
Total		-2,050.65	476,000.00	1,229.86	0.00	166,926.51	0.00	308,252.70
Joint Legislative Historical Committee	8870-216	136,076.11	10,000.00			12,319.05		133,757.06
Flag Preservation Revenue	8870-3586	0.00						0.00
Total		136,076.11	10,000.00	0.00	0.00	12,319.05	0.00	133,757.06

Legislative Branch - continued:

		<u>Balance Forward</u>	<u>Appropriation</u>	<u>Income</u>	<u>Transfers</u>	<u>Expenditures</u>	<u>Encumb rances</u>	<u>Balance Available</u>
Visitor's Center:	1229							
Personal svcs. - nonclassi	016		107,997.00			45,657.97		62,339.03
Current Expenses	020		750.00		700.00	69.05		1,380.95
Equipment New/Replacement	030		400.00					400.00
Telecommunications	039		750.00			172.23		577.77
Personal svcs. - temp/app	050		55,862.00		(55,862.00)			0.00
Benefits	060		700.00		55,162.00	22,450.31		33,411.69
Total		0.00	166,459.00		0.00	68,349.56	0.00	98,109.44

Visitor's Ctr. Revolving Fund (G)

Visitor's Ctr. Revolving Fund (G)	1230							
Souvenir Purchases	106	7,100.70	0.00		42,873.51	6,599.15		43,375.06
Revenue	2016	42,873.51	0.00	19,595.04	(42,873.51) (B)			19,595.04
Total		49,974.21	0.00	19,595.04	0.00	6,599.15	0.00	62,970.10

Legislative Accounting:

Legislative Accounting:	1166							
Personal svcs. - nonclassi	016		225,030.00			96,310.36		128,719.64
Current expenses	020		2,000.00			103.51		1,896.49
Equipment New/Replacement	030		1,000.00					1,000.00
Telecommunications	039		750.00			272.84		477.16
Personal svcs. - temp/app	050		22,583.00					22,583.00
Benefits	060		80,550.00			32,701.07		47,848.93
Total		0.00	331,913.00		0.00	129,387.78	0.00	202,525.22

General Court Info. Systems:

General Court Info. Systems:	4654							
Personal svcs. - nonclassi	016		342,707.00			143,535.96		199,171.04
Current expenses	020		39,200.00			5,041.27		34,158.73
Equipment New/Replacement	030		750.00					750.00
Technology - Hardware	037		87,025.00			30,184.02		56,840.98
Technology - Software	038		101,797.00			22,429.96	0.00	79,367.04
Telecommunications	039		2,500.00			565.83		1,934.17
Personal svcs. - temp/app	050		8,640.00					8,640.00
Benefits	060		184,091.00			74,102.43		109,988.57
Employee training	066		2,500.00					2,500.00
Total		0.00	769,210.00		0.00	275,859.47	0.00	493,350.53

Legislative Branch - continued:	Org/ Class	Balance Forward	Appropriation	Income	Transfers	Expenditures	Encumb rances	Balance Available
Protective Services:	1164							
Personal svcs. - nonclassi	016		407,200.00			174,045.91		233,154.09
Current expenses	020		7,500.00			4.35		7,495.65
Equipment New/Replacement	030		10,200.00					10,200.00
Telecommunications	039		2,200.00			645.70		1,554.30
Personal svcs. - temp/app	050		1.00					1.00
Benefits	060		216,167.00			87,286.63		128,880.37
Total		0.00	643,268.00		0.00	261,982.59	0.00	381,285.41
Health Services:	1165							
Current expenses	020		1,600.00			387.23		1,212.77
Equipment New/Replacement	030		300.00					300.00
Telecommunications	039		350.00			101.99		248.01
Personal svcs. - temp/app	050		34,232.00			12,872.25		21,359.75
Benefits	060		2,619.00			984.73		1,634.27
Employee training	066		400.00					400.00
Total		0.00	39,501.00		0.00	14,346.20	0.00	25,154.80
Legislative Services:	1270							
Personal svcs. - nonclassi	016		1,673,296.00			706,012.87		967,283.13
Current expenses	020		19,000.00			7,983.67		11,016.33
Rents-Leases other than State	022		5,500.00			2,030.85		3,469.15
Equipment New/Replacement	030		3,000.00					3,000.00
Telecommunications	039		6,500.00			2,555.54		3,944.46
Personal svcs. - temp/app	050		25,000.00			2,934.26		22,065.74
Benefits	060		718,834.00			296,597.09		422,236.91
Employee training	066		1,500.00			984.00		516.00
Out of state Travel	080		10,000.00					10,000.00
Printing and binding	290		8,000.00			2,639.57	0.00	5,360.43
Total		0.00	2,470,630.00		0.00	1,021,737.85	0.00	1,448,892.15
Less estimated revenue	009/2045	170.68	-750.00	325.00		©		-254.32
Total		170.68	2,469,880.00	325.00 ©	0.00	1,021,737.85	0.00	1,448,637.83

Legislative Branch - continued:

		<u>Balance Forward</u>	<u>Appropriation</u>	<u>Income</u>	<u>Transfers</u>	<u>Expenditures</u>	<u>Encumbrances</u>	<u>Balance Available</u>
Budget Division:	1221							
Personal svcs. - nonclassi	016		909,818.00		215,000.00	508,189.50		616,628.50
Current expenses	020		10,000.00			1,651.35		8,348.65
Rents-Leases other than State	022		6,000.00			2,606.75		3,393.25
Organizational Dues	026		1,050.00			1,025.00		25.00
Equipment	030		17,500.00			6,925.00		10,575.00
Telecommunications	039		3,300.00			1,236.47		2,063.53
Consultants	046		15,000.00			188.00		14,812.00
Personal svcs. - temp/app	050		65,000.00		(40,000.00)			25,000.00
Benefits	060		375,568.00		135,000.00	164,785.64		345,782.36
Employee training	066		3,500.00					3,500.00
In state travel	070		500.00					500.00
Out of state travel	080		2,000.00					2,000.00
Total		0.00	1,409,236.00		310,000.00	686,607.71	0.00	1,032,628.29

Legislative Budget Assistant:

Audit Division:	1222							
Personal svcs. - nonclassi	016		1,977,295.00		(90,000.00)	807,551.53		1,079,743.47
Current expenses	020		12,860.00			4,196.62		8,663.38
Rents-Leases other than State	022		100,000.00			97,524.00		2,476.00
Equipment	030		20,000.00			522.00		19,478.00
Telecommunications	039		2,500.00			685.24		1,814.76
Consultants	046		570,000.00		65,000.00	383,189.00		251,811.00
Personal svcs. - temp/app	050		10,000.00		(1,500.00)			8,500.00
Benefits	060		895,942.00		25,000.00	317,516.46		603,425.54
Employee training	066		25,000.00			2,170.00		22,830.00
In state travel	070		7,500.00			1,021.38		6,478.62
Out of state travel	080		100.00		1,500.00	1,261.40		338.60
Total		0.00	3,621,197.00	0.00	0.00	1,615,637.63	0.00	2,005,559.37
Less estimated revenue	006/1251	559,023.00	-650,000.00	0.00	(310,000.00) (D)			-400,977.00
Total		559,023.00	2,971,197.00	0.00	(310,000.00)	1,615,637.63	0.00	1,604,582.37

Total		766,749.73	16,556,762.00	21,149.90	0.00	6,698,480.70	556.38	10,645,624.55
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- (A) Proceeds from the sale of legislative subscriptions, advance sheets, permanent journals, and rosters, and royalties from Lexis Law Publishing.
- (B) Pursuant to Chapter 177:151 State House Visitor's Center Revolving Fund established - Proceeds from sales of souvenirs and expenditures from souvenir purchases transferred to V.C. Revolving account effective 10/18/06.
- (C) Proceeds from sales of photocopies and rulemaking registers.
- (D) Auditing fees



Over \$1.7 billion to education

GOVERNOR Margaret Wood Hassan
CHAIRMAN Debra M. Douglas
COMMISSIONER Paul J. Holloway
COMMISSIONER David L. Gelinas
EXECUTIVE DIRECTOR Charles R. McIntyre

October 27, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

INFORMATIONAL ITEM

Pursuant to RSA 284:21-h, VII, requesting that the Lottery Commission shall report quarterly to the Fiscal Committee of the General Court on the status of the incentive employee recognition program for the sale of instant tickets.

- Fiscal year 2016 first quarter sales decreased by \$990,515 compared to the first fiscal quarter of 2015.

Please find attached the data sheet detailing the incentive recognition program for the fiscal period listed above.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Charles R. McIntyre".

Charles R. McIntyre
Executive Director

CRM:dc
Attachment



Live Free or Die

New Hampshire Lottery Commission 14 Integra Drive Concord, New Hampshire 03301
TEL 603.271.3391 FAX 603.271.1160 TDD 1.800.735.2964 www.nhlottery.com



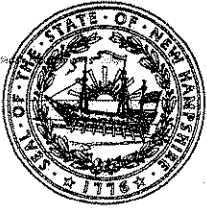
Over \$1.7 billion to education

FY 16 Q1 Rep Bonus

Rep #	Rep Name	FY 16 Q1 Instant Sales	FY 15 Q1 Instant Sales	Goal 7% for Bonus or 11.2% for Double Bonus	Bonus Amount	Additional Instant Sales
202	Jenn Rouse	\$4,346,394	\$4,440,151	-2.11%	\$0	-\$93,757
203	Gary Rivard **	1,490,660	1,400,365	6.45%	\$0	\$90,295
204	Julie O'Brien	5,162,543	5,383,459	-4.10%	\$0	-\$220,916
205	Eileen Gromelski	3,589,032	3,482,778	3.05%	\$0	\$106,254
206	Paul Sullivan	4,059,115	4,163,920	-2.52%	\$0	-\$104,805
207	Adam Hopkins **	4,358,865	4,421,481	-1.42%	\$0	-\$62,616
208	Diane Floyd	4,970,249	5,170,786	-3.88%	\$0	-\$200,537
209	Karen O'Brien	4,246,170	4,472,181	-5.05%	\$0	-\$226,011
210	Laura Towle	4,793,221	4,791,091	0.04%	\$0	\$2,130
211	James Downey	4,649,048	4,675,681	-0.57%	\$0	-\$26,633
212	Heather Stone	4,618,194	4,619,555	-0.03%	\$0	-\$1,361
213	Tom Rues	4,122,974	4,375,532	-5.77%	\$0	-\$252,558
	TOTAL	50,406,465	51,396,980	-1.93%	\$0	-\$990,515

**Gary-Eligible for Bonus FY 16 Q3

** Adam-Eligible for Bonus FY 17 Q1



STATE OF NEW HAMPSHIRE
DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
DIVISION OF PARKS AND RECREATION

172 Pembroke Road Concord, New Hampshire 03301
Phone: (603) 271-3556 Fax: (603) 271-3553 E-Mail: nhparks@dred.nh.gov
Web: www.nhstateparks.org

October 1, 2015

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Executive Council
State House
Concord, New Hampshire 03301

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

The Honorable Shawn Jasper, Speaker
New Hampshire House of Representatives
State House – Room 311
Concord, New Hampshire 03301

The Honorable Chuck Morse, President
New Hampshire Senate
State House – Room 302
Concord, New Hampshire 03301

The Honorable Chris Christensen, Chairman
Resources, Recreation and Development
Legislative Office Building – Room 305
Concord, New Hampshire 03301

The Honorable Jeb Bradley, Chairman
Energy and Natural Resources
State House – Room 100
Concord, New Hampshire 03301

INFORMATIONAL ITEM

In accordance with RSA 216-A:3-m, II, the Department of Resources and Economic Development respectfully reports the following transfer of funds for the Division of Parks and Recreation for the quarter ending September 30, 2015.

Service Parks

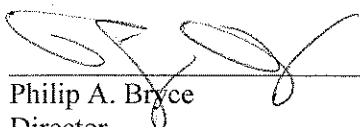
From: 03-35-35-351510-37200000-010	Personal Services – Permanent	\$1,200
To: 03-35-35-351510-37200000-019	Holiday Pay	\$1,200

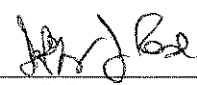
EXPLANATION

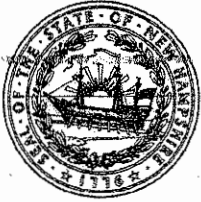
RSA 216-A:3-m, II, authorizes the Department to transfer funds among the appropriations for the Division of Parks and Recreation and to report such transfers on a quarterly basis to the Fiscal Committee of the General Court, the Governor and Executive Council, the Speaker of the House and Senate President, and the chairpersons of the Resources, Recreation and Development and the Energy and Natural Resources committees. The transfers reported above were necessary to adequately cover 1st quarter expenditures in Fiscal Year 2016.

Respectfully submitted,

Concurred,


Philip A. Bryce
Director


Jeffrey J. Rose
Commissioner



STATE OF NEW HAMPSHIRE
 DEPARTMENT of RESOURCES and ECONOMIC DEVELOPMENT
 DIVISION of TRAVEL and TOURISM DEVELOPMENT
 172 Pembroke Road, Concord, New Hampshire 03301

Jeffrey J. Rose
 Commissioner

Victoria Cimino
 Director

TEL: 603-271-2665
 FAX: 603-271-6870
 TRAVEL GUIDE: 800-386-4664
 WEBSITE: www.visitnh.gov
 E-MAIL: travel@dred.state.nh.us

October 1, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

INFORMATIONAL ITEM

In accordance with Chapter 276:27, Laws of 2015, the Department of Resources and Economic Development respectfully reports the following transfer of funds for the Division of Travel and Tourism Development's Welcome Information Centers for the quarter ending September 30, 2015.

Highway Welcome Centers

From:	03-35-35-352015-59190000-023	Heat – Electricity – Water	\$15,000
To:	03-35-35-352015-59190000-022	Rents-Leases OTS	\$15,000

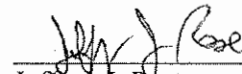
EXPLANATION

Chapter 276:27, Laws of 2015, authorizes the Department to transfer funds among the appropriations for the Division of Travel and Tourism Development's Welcome Information Centers and to report such transfers on a quarterly basis to the Fiscal Committee of the General Court. The transfers reported above were necessary in order to adjust classes to adequately cover 1st quarter expenditures in Fiscal Year 2016.

Respectfully submitted,

Concurred,


 Victoria Cimino
 Director


 Jeffrey J. Rose
 Commissioner

JJR:VC/lml



New Hampshire Fish and Game Department

FIS 15 251

HEADQUARTERS: 11 Hazen Drive, Concord, NH 03301-6500
(603) 271-3421
FAX (603) 271-1438

www.WildNH.com
e-mail: info@wildlife.nh.gov
TDD Access: Relay NH 1-800-735-2964

November 18, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Informational Item

In accordance with RSA 206:42, Search and Rescue, the NH Department of Fish & Game is submitting the following Quarterly Report of Expenditures for the period April 1, 2015 to June 30, 2015.

Balance Forward from FY 2014 (Undesignated Reserve)	\$ (\$130,309.25)
Plus: Revenue FY 2015	+ 231,485.72
Less: Expenditures (4 th Qtr. 04/01/15 - 06/30/15) (4)	- 59,493.61
Personal Services	29,882.50
Current Expenses	9,584.94
Equipment	2,774.99
Benefits	16,668.23
In-State Travel	365.99
Out-of-State Travel	216.96
Total Expenditures - 4 th Qtr. (4)	- 59,493.61
Total Expenditures - 3 rd Qtr. (3)	- 25,435.12
Total Expenditures - 2 nd Qtr. (2)	- 60,021.37
Total Expenditures - 1 st Qtr. (1)	- 54,833.09
Less Encumbrances	- 4,160.69
Balance Available 06/30/2015 (per NHFirst)	\$(102,767.41)

Footnotes:

- 1) Expenditures of \$54,833.09 shown represent direct expenditures charged to the Search & Rescue account in the 1st Quarter of the Fiscal Year.
- 2) Expenditures of \$60,021.37 shown represent direct expenditures charged to the Search & Rescue account in the 2nd quarter of the Fiscal Year.
- 3) Expenditures of \$25,435.12 shown represent direct expenditures charged to the Search & Rescue account in the 3rd quarter of the Fiscal Year.
- 4) Expenditures of \$59,493.61 shown represent direct expenditures charged to the Search & Rescue account in the 4th quarter of the Fiscal Year.

REGION 1

629B Main Street
Lancaster, NH 03584-3612
(603) 788-3164
FAX (603) 788-4823
email: reg1@wildlife.nh.gov

REGION 2

PO Box 417
New Hampton, NH 03256
(603) 744-5470
FAX (603) 744-6302
email: reg2@wildlife.nh.gov

REGION 3

225 Main Street
Durham, NH 03824-4732
(603) 868-1095
FAX (603) 868-3305
email: reg3@wildlife.nh.gov

REGION 4

15 Ash Brook Court
Keene, NH 03431
(603) 352-9669
FAX (603) 352-8798
email: reg4@wildlife.nh.gov

ACTIVITY SUMMARY

During the period of April 1 to June 30, 2015, the Law Enforcement Division participated in the following Search & Rescue activities:

17 Missions involving the search for and/or rescue of 29 individuals – 292 regular hours costing \$14,206.18, + 240.5 OT hours costing \$10,425.33, + 44 dive hours costing \$1,100.00, \$850.00 in boat cost, 5,636 vehicle miles costing \$3,127.98, and 39 ATV miles= 576.5 total hours, total cost \$29,709.49 and 5,675 miles.

1 Drowning Missions for the recovery of 1 victim – 77 regular hours costing \$3,865.83, + 34 OT hours costing \$1,553.94, + 28 dive hours costing \$700.00, \$180 in boat cost, 1,201 miles costing \$666.56=111 total hours, total cost \$6,966.33 and 1,201 miles.

18 Total Missions – 369 reg. hours + 274.5 OT hours = 687.5 total hours, total cost \$36,675.82, and 6,876 miles.

Miscellaneous activities including dive team training, search and rescue training, equipment maintenance or relays, educational or training speaking engagements, etc. – 211 reg. hours costing \$10,325.38, 80 dive hours costing \$2,000.00, 2,934 miles costing \$1,628.37.

TOTAL: 580 reg. hours + 274.5 OT hours + 152 dive hours = 1,006.5 total hours, total cost \$50,629.57 and 9,810 miles.

ACTIVITY SUMMARY UPDATE FOR PERIOD January 1, 2015 through March 31, 2015

During the period January 1 to March 31, 2015, the Law Enforcement Division participated in the following Search and Rescue activities:

9 Missions involving the search for and/or rescue of 14 individuals – 106 reg. hours costing \$5,157.38, 230.25 OT hours costing \$9,829.76 and 3,423 miles costing \$1,899.90.

1 Drowning Mission for the recovery of 1 victim – 7 reg. hours costing \$369.35, 7 OT hours costing \$335.99 and 95 miles costing \$52.73.

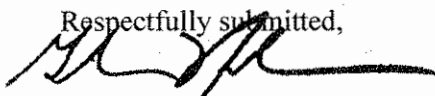
10 Total Missions – 113 reg. hours + 237.25 OT hours = 350.25 total hours, total cost \$15,692.48, and 3,518 miles.

Miscellaneous activities including dive team training, search and rescue training, equipment maintenance or relays, educational or training speaking engagements, etc. – 0

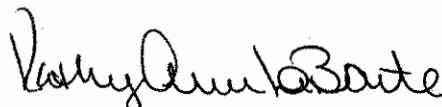
TOTAL: 113 reg hours + 237.25 OT hours + 350.25 hours, total cost \$15,692.48, and 3,518 miles.

GRAND TOTAL: 693 reg. hours + 511.75 OT hours = 1,204.75 total hours, total cost \$68,274.54 and 13,328 miles.

Respectfully submitted,



Glenn Normandeau
Executive Director



Kathy Ann LaBonte, Chief
Business Division



New Hampshire Fish and Game Department

FIS 15 252

HEADQUARTERS: 11 Hazen Drive, Concord, NH 03301-6500
(603) 271-3421
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TDD Access: Relay NH 1-800-735-2964

November 18, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Informational Item

In accordance with RSA 206:42, Search and Rescue, the NH Department of Fish & Game is submitting the following Quarterly Report of Expenditures for the period July 1, 2015 to September 30, 2015.

Balance Forward from FY 2015 (Undesignated Reserve)	\$ (\$98,606.72)
Plus: Revenue FY 2016	+ 38,063.99
Less: Expenditures (1st Qtr. 07/01/15 - 09/30/15) (1)	- 105,326.15
Personal Services	65,561.53
Current Expenses	3,775.17
Equipment	0.00
Benefits	34,889.45
In-State Travel	100.00
Out-of-State Travel	1,000.00
Total Expenditures - 1 st Qtr. (1)	- 105,326.15
Less Encumbrances	- <u>5,301.01</u>
Balance Available 09/30/2015 (per NHFirst)	\$(171,169.89)

Footnotes:

- 1) Expenditures of \$105,326.15 shown represent direct expenditures charged to the Search & Rescue account in the 1st Quarter of the Fiscal Year.

REGION 1

629B Main Street
Lancaster, NH 03584-3612
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email: reg1@wildlife.nh.gov

REGION 2

PO Box 417
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Durham, NH 03824-4732
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FAX (603) 868-3305
email: reg3@wildlife.nh.gov

REGION 4

15 Ash Brook Court
Keene, NH 03431
(603) 352-9669
FAX (603) 352-8798
email: reg4@wildlife.nh.gov

ACTIVITY SUMMARY

During the period of July 1 to September 30, 2015, the Law Enforcement Division participated in the following Search & Rescue activities:

66 Missions involving the search for and/or rescue of 88 individuals – 455.5 regular hours costing \$20,726.36, + 769.75 OT hours costing \$33,103.55, \$20.00 in boat cost, 17,208 vehicle miles costing \$9,550.44, and 113 ATV miles= 1,225.25 total hours, total cost \$63,400.35 and 17,321 miles.

4 Drowning Missions for the recovery of 4 victim – 195.75 regular hours costing \$9,512.70, + 54.75 OT hours costing \$2,524.73, + 42 dive hours costing \$1,060.00, \$290 in boat cost, 3,380 miles costing \$1,875.90, and 27 ATV miles=250.5 total hours, total cost \$15,263.33 and 3,407 miles.

70 Total Missions – 651.25 reg. hours + 824.5 OT hours + 42 dive hours = 1,515.75 total hours, total cost \$78,663.68, and 20,728 miles.

Miscellaneous activities including dive team training, search and rescue training, equipment maintenance or relays, educational or training speaking engagements, etc. – none.

TOTAL: 651.25 reg. hours + 824.5 OT hours + 42 dive hours = 1,517.75 total hours, total cost \$78,663.68 and 20,728 miles.

ACTIVITY SUMMARY UPDATE FOR PERIOD April 1, 2015 through June 30, 2015

During the period April 1 to June 30, 2015, the Law Enforcement Division participated in the following Search and Rescue activities:

25 Missions involving the search for and/or rescue of 38 individuals – 208.25 reg. hours costing \$9,753.91 + 336.25 OT hours costing \$14,574.81 and 6,121 miles costing \$3,397.16 and 95 ATV miles.

5 Drowning Missions for the recovery of 6 victims – 458.75 reg. hours costing \$21,056.38, 372 OT hours costing \$16,294.00, 144 dive hours costing \$3,640, \$3,160 in boat cost and 8,651 miles costing \$4,801.31.

30 Total Missions – 667 reg. hours + 708.25 OT hours + 144 dive hours = 1,519.25 total hours, total cost \$76,757.56, and 14,867 miles.

Miscellaneous activities including dive team training, search and rescue training, equipment maintenance or relays, educational or training speaking engagements, etc. – 0

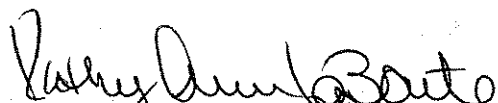
TOTAL: 667 reg hours + 708.25 OT hours + 144 dive hours = 1,519.25 total hours, total cost \$76,757.56, and 14,867 miles.

GRAND TOTAL: 1,318.25 reg. hours + 1,532.75 OT hours + 186 dive hours = 3,037 total hours, total cost \$155,421.24 and 35,595 miles.

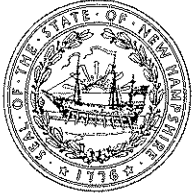
Respectfully submitted,



Glenn Normandeau
Executive Director



Kathy Ann LaBonte, Chief
Business Division



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301
603-271-9404 1-800-852-3345 Ext. 9404

Fax: 603-271-4232 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

Nicholas A. Toumpas
Commissioner

Mary Ann Cooney
Associate
Commissioner

November 23, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

INFORMATION ITEM

The Department of Health and Human Services was directed per Chapter 276:205, Laws of 2015 reduce appropriations at the Sununu Youth Services Center.

The chapter law provides:

“276:205 Department of Health and Human Services; Sununu Youth Services Center; Reduction in Appropriation. The department of health and human services is hereby directed to reduce state general fund appropriations to the Sununu Youth Service Center by \$1,721,861 for the fiscal year ending June 30, 2016, and by \$3,496,746 for the fiscal year ending June 30, 2017. The department shall operate the Sununu Youth Services Center within the allotted budget, or may enter into contracts for operation of the Sununu Youth Services Center, including establishing necessary class lines, as long as total operating costs do not exceed \$10,100,000 for the fiscal year ending June 30, 2017.”

Attached is an information item from the Department that documents how the Department is going to meet the reductions required by the above law. The Department has determined that there are two options to meet the required reductions.

The first option will require funds to be transferred into the Sununu Youth Services budget, in the amount of \$1,721,861, from funds that would otherwise lapse in state fiscal year 2016.

The second option (see SYSC Reduction & Closure Plan and SYSC Financial Impact – Appendix A), operating the Sununu Youth Services Center within the allowed \$11,470,582 for state fiscal year 2016, will require the Department to operate the facility for the remainder of SFY16 with an amount less than the costs already incurred and makes puts safety and security of youth and staff at grave risk. The only means to meet the budget reduction is to:

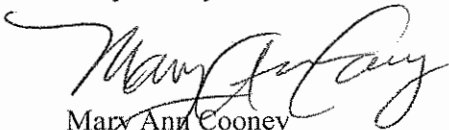
- layoff 125 staff from the facility, which according to personnel rule PER 1101.03 requires at minimum 14 days notice, and in advance of this notice, personnel makes every effort to place staff according to PER 1101.02. The Department states that completing this process is not possible for January 1, 2016;
- adequately maintain the vacant building while still maintaining all other buildings and the grounds of the campus;

- release/place elsewhere, in state community based or out of state commitment facilities ,the current population at Sununu Youth Services Center via emergency hearings to be scheduled with the Courts between now and December 31, 2015 at an anticipated additional cost of \$3.8M.

This option could also be delayed to be effective July 1, 2016 (see SYSC Financial Impact 17 Only – Appendix B). As documented this option also is anticipated to cost \$5.1M.



Regardless of the option chosen there will not be any general fund savings only increased general fund expense.

Respectfully submitted,



Mary Ann Cooney
Associate Commissioner

Approved by:

Nicholas A. Toumpas
Commissioner

PLAN NAME: SYSC Reduction & Closure Plan

DATE: 11/20/2015

PLAN DESCRIPTION

Reduce the cost of operations at SYSC by the budget reductions required for FY 2016 and 2017 by taking action to close the facility effective January 1, 2016.

SUMMARY

In order to meet the required HB2 budget reductions of \$1.7 million in SFY'16 and \$3.5 million in SFY'17 the Department of Health and Human Services has determined we cannot maintain business operations at the Sununu Youth Services Center.

To achieve the above the following action will need to be taken :

Action 1: Legislation will need to be passed immediately or an Executive Order issued by the Governor's office to close the facility effective January 1, 2016 which would remove the court's authority to commit or detain youth at the Sununu Youth Services Center.

Action 2: 30-day notice of lay-offs and payouts to 125 of the 132 funded FTEs at SYSC (7 retained for facility & grounds maintenance) would have to be issued immediately.

Action 3: SYSC detained and committed youth population would need to be released/placed elsewhere (as of 11/19/15 population is 44 committed & 15 detained).

Juvenile Probation & Parole Officers would have to Motion the Court for *emergency hearings to be scheduled between now and December 31st for all youth at the facility.*

Action 4: Detained youth would need to be court ordered to Shelter Care (if appropriate) or back to their homes pending adjudication on outstanding delinquency charges. *Considerations:*

- Shelter Care has 15 beds with utility rate of 85.9 % since July 1, 2015
- Shelter Care has specific rules in contract that preclude admission including youth with emotional disorders, chronic mental illness or that are physically assaultive if it is determined this would have a direct impact on their ability to participate in programming

Action 5: Committed youth would have to be moved to a secure facility in another State or the court would have to vacate their commitment and order youth be placed in another treatment facility either in NH or out-of-state. *Considerations:*

- The Department has no contracts with out-of-state secure facilities to accept NH youth and no out-of-state secure facilities are certified for payment as a NH provider for committed youth.
- In- State intensive treatment providers would need to change their existing business model.
- These providers have previously stated they are not interested in becoming secure facilities and that the intensity of programming and services these youth and families need cannot be provided in their facilities. They also expressed concern that the intensive needs of youth needing secure treatment will compromise the safety and treatment of other youth at their facilities.
- Additional reasons that would impact their ability to provide intensive treatment for these youth included the need for:
 - approvals from their Board of Directors to serve this population
 - significant one-time costs to convert their facility to be architecturally secure and/or hire additional staff to manage this population
 - guarantee from Department as to the number of detained/committed youth they would receive in order to become and remain operational for this population

Action 6: Maintain operation of facility buildings and campus not directly related to SYSC operations:

- Administration Building permanently houses DHHS/DCYF staff who are not SYSC staff.
- **Note:** there are also DHHS staff from across multiple Divisions temporarily housed at the Administration Building and Stark House pending relocation of the Manchester District office.
- DHHS is required to maintain vacant buildings and 156 acre campus

Assumptions:

- Executive order to be issued or legislation passed to cease commitment and detention of youth by the courts to the facility can occur by December 31, 2015.
- Would need to retain maintenance portion of budget for all property ongoing.
- DHHS would be required to pay the out-of-state rate charged by other secure facilities and we would have to contract with those facilities or they would become certified and enrolled for payment as providers
- Youth that remain committed would still have the right to the required parole process.
- In-state facilities would agree to accept placement of youth they have previously discharged due to the inability of those programs to meet the intensive behavioral and mental needs of those youth.
- SYSC facility would be "mothballed" at a continued cost to the Department until it is turned over to another entity via sale or lease (and if not used for justice involved purpose, payback to federal agency VOI/TIS)
- Increased number of youth will be placed out of state due to inability to find appropriate placement in state.

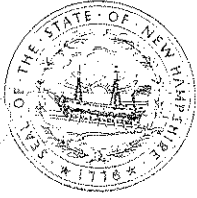
Risks:

- Capacity of youth to achieve behavioral and educational goals identified in court orders and treatment plans will cause significant disruption to treatment plans and outcomes for youth diminished.
- Release of detained youth pending adjudication or placement of committed youth in non-secure settings poses safety risk to them, their families and the community

The financial impact of this plan can be found in SYSC Financial Impact – Appendix A.

	A	B	C	D	E	F	G	H	I	J	K
1	This financial impact supports the SYSC Reduction & Closure Plan dated 11/20/15										
2	Assumes the closing of SYSC 1/1/16										
3		SFY 16		SFY 17							
4	GF Budgeted	\$	13,192,443	\$	13,545,903						
5	* Less est exp 12/31/15	\$	(6,505,740)	\$	-						
6		\$	6,686,703								
7	BOB Reduction	\$	(1,721,861)	\$	(3,496,746)						
8		\$	4,964,842	\$	10,049,157						
9	**Unemployment 125 staff 12 months over biennium	\$	(798,272)	\$	(798,272)						
10	***Less Payout/Cobra	\$	(2,500,000)	\$	-						
11		\$	1,666,571	\$	9,250,886						
12	****Less Mothball Facility	\$	(1,000,000)	\$	(2,000,000)						
13	Balance remaining to Cover Placement	\$	666,571	\$	7,250,886						
14	*****Per Diem rate available: 44 kids as of 11/19/15 for 180 days										
15		\$	84.16	\$	451.49						
16	Per Diem rate to place youth in existing facilities, likely out of state										
17		\$	565.00	\$	565.00						
18	Cost of placing 44 youth										
19		\$	4,474,800	\$	9,073,900						
20	Amount short to place youth										
21		\$	(3,808,230)	\$	(1,823,015)						
22											
23	*Estimated expense is the total expense for activity code 4215 through 10/31/15 divided by 4 and multiplied by 3.										
24	**Unemployment for 12 months over the biennium, 6 months each State Fiscal Year										
25	*** Payout is estimated at 132 funded FTEs in activity 4215 less 7 retained for Facility Upkeep; Payout Amount estimated @ \$20, 000/125 employees.										
26	****Includes the operational costs of maintaining all buildings and grounds on the SYSC Campus.										
27	***** Assumes that all youth currently detained are released/placed elsewhere and that 44 committed youth need placement out of state.										
28											
29	Executive order to be issued or legislation passed to cease commitment and detention of youth by the courts to the facility can occur by December 31, 2015.										
30	Would require 59 court hearings between now and 12/31/15 to relocate/release all youth										
31											
32	SYSC Census 11/19/15										
33	44 Committed Youth										
34	15 Detained Youth										
35											
36											

	A	C	D	E	F	G	H	I	J	K
1	This financial impact supports the SYSC Reduction & Closure Plan dated 11/20/15									
2	Assumes the closing of SYSC 7/1/16									
3			SFY 17							
4	GF Budgeted		\$	13,545,903						
5	BOB Reduction		\$	(3,496,746)						
6			\$	10,049,157						
7	*Unemployment 125 staff 12 months over biennium		\$	(1,596,543)						
8	**Less Payout/Cobra		\$	(2,500,000)						
9			\$	5,952,614						
10	***Less Mothball Facility		\$	(2,000,000)						
11	Balance remaining to Cover Placement		\$	3,952,614						
12	****Per Diem rate available: 44 kids as of 11/19/15 for 365 days									
13			\$	246.12						
14	Per Diem rate to place youth in existing facilities, likely out of state									
15			\$	565.00						
16	Cost of placing 44 youth									
17			\$	9,073,900						
18	Amount short to place youth									
19			\$	(5,121,286)						
20	*Unemployment for 12 months									
21	** Payout is estimated at 132 funded FTEs in activity 4215 less 7 retained for Facility Upkeep; Payout Amount estimated @ \$20, 000/125 emplo									
22	***Includes the operational costs of maintaining all buildings and grounds on the SYSC Campus.									
23	**** Assumes that all youth currently detained are released/placed elsewhere and that 44 committed youth need placement out of state.									
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27										
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29	44 Committed Youth									
30	15 Detained Youth									
31										
32										



State of New Hampshire

HS 15 275

DEPARTMENT OF HEALTH AND HUMAN SERVICES

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-9200 FAX: 603-271-4912 TDD ACCESS: RELAY NH 1-800-735-2964

NICHOLAS A. TOUMPAS
COMMISSIONER

November 24, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

INFORMATIONAL ITEM- Dashboard – As of October 31, 2015

Information

The Department of Health and Human Services provides the monthly dashboard report to inform policy makers as to significant initiatives being implemented to improve the effectiveness and efficiency of service delivery and to provide a status on demand for services and the related implications on funding.

Explanation

Funding Issues

While it is early in the fiscal year, the Department has identified a significant budget deficit of \$27.9 million. These shortfalls result from unexpected costs not budgeted and budget assumptions that are not expected to be realized. Deficits in the Medicaid program (Non NHHPP) account for 90% of the projected shortfall.

Medicaid	\$ 33.3
SYSC	1.7
Other	2.9
Total Shortfall	37.9
Revenue offset	(10.0)
Net Shortfall	27.9

While the table on the following page provides a full layout of the Medicaid budget assumptions that are not materializing as of the date of this report, they can be summarized as follows:

- Mandatory enrollment delays
- Caseload increases
- PMPM changes & CMHC services outside of PMPM
- Part A & B premium increases
- Part D (state phase down) rate increases
- Higher than expected Health Insurer Fee Reimbursement
- Drug Rebate Revenue expected to exceed Budget

Below is a summary of Budget Assumptions vs. Projected/Actual Trends related to the Medicaid Program (excluding NHHPP, Developmental Services and Elderly LTC):

Budget Assumption	Projected/Actual Trend	Comments	Dollar Impact
Caseloads: 2% drop effective 7/1/15 Base caseload before 2%: 138,500 2% drop: 135,700	As of Sept: 138,900 Up 3,200 or 2.3% (138,900 – 135,700)	Caseloads would need to drop by an accelerated rate of more than 4-5% to realize budgeted savings before year end, especially impacted by 3 month payment lags.	
PMPM After budget assumptions were applied, composite rate expected to drop to about \$320 Assumptions included changes in enrollment from 90-30 days, admin load, MH savings, mandatory 7/1/15, acuity levels, case mix, PDL, MLR, etc.	Claims for dates of service April – August will be paid under the SFY15 contract that was extended to 9/1/15. Composite rate for that period approx. \$334.85 PMPM Effective 9/1/15 CMHC services under “managed FFS Model”.	Effective 9/1/15, CMHCs will be paid under prior FFS rates and MCOs paid an ASO rate for care coordination. The combination of FFS payments and the ASO payments are expected to exceed budgeted PMPM assumptions. The budget shortfall assumes no change in this model for SFY 16.	
Mandatory Enrollment in Care Management: 7/1/15	2/1/16 – 7 month delay	Due to 3 month lag in payments, MCO payments for dates of service in February will be paid 3 months later in May. Any savings would only be realized for 2 months (May and June) for SFY16.	
Part A & B premiums: Flat funded premium \$104/person/mo	Feds released increase in premium rates effective for CY 2016 to \$154 approx. 48% increase	Rates are released in Oct for the next calendar year. When budget was set this increase was not expected.	\$ 1.3 m
Part D (state phase down): assumed API of 6%	Feds released API of 11.6%	Rates are released in Oct for the next calendar year. When budget was set this increase was not expected.	\$3.7 m
Health Insurer Fee: Budget as part of PMPM	During SFY15 the HIF process was finalized after discussion with MCOs, DHHS and CMS. As a result we will be paying both SFY14 and SFY15 in SFY16.	ACA required fee for-profit MCOs. Amount not known till MCOs filed with Feds & submitted documentation to State. Received data August 2015 for first time.	\$3.25 m
Drug Rebate Revenue By allowing MCOs to utilize their own Preferred Drug List (PDL), effective 7/1/15, budget assumed 50% drop in drug rebate revenue for total collections \$9m.	PDL change effective 9/1/15. Based on actual collections to date we have already met the SFY16 budget.	Any additional rebate revenue received will be a win-fall to offset any shortfalls	\$10.0 m

Sununu Youth Services Center (SYSC). Per Chapter 276, Laws of 2015 (HB2), the Department is required to develop a plan to reduce the cost of providing services at the SYSC by November 1, 2015, with implementation beginning by January 1, 2016. HB2 also requires a reduction in appropriation to SYSC of \$1.7 million general funds for SFY16 and \$3.5 million for SFY17. The SYSC plan, expected to be presented to the Fiscal Committee on December 18, 2015, will focus on efforts to continue developing a plan that will transform the facility to a multi-use residential treatment center. This Psychiatric Residential Treatment Facility (PTRF) will support a continuum of care model for juvenile justice youth and those at risk for being involved with the juvenile justice system who experience a mental or behavioral health diagnosis. The implementation time period set in HB2, does not allow sufficient lead time to receive the necessary CMS approvals to implement the multi-purpose model and, as such, will not achieve the mandated savings during SFY16. It is expected at this time that the reduction of \$1.7 million will be from funds that would otherwise lapse.

NHH Inability to Recruit and Retain Nurses. NHH is facing difficulty in recruiting and retaining nurses and as a result is creating delays in standing up the new ISU, Inpatient Stabilization Unit. In October 2014, 10% of the nursing positions were vacant and just one year later the vacancy rate has grown to 22%. The problems at NHH are not unique and reflect a nationwide shortage of nurses. The American Association of Colleges of Nursing (AACN) notes that the combination of more people from the baby boomer generation are living longer, the advancing age of registered nurses heading for retirement and fewer seats available in nursing school classrooms underlies the nursing shortage in the US. ACCN states that "employers must engage in *creative* recruitment strategies to attract and retain qualified nurses, particularly registered nurses, where job growth is expected to rise by 26 percent by 2020, according to the U.S. Bureau of Labor Statistics."

"Creative recruitment strategies" referred to by AACN are unavailable to NHH. Many health facilities are offering sign-on bonuses that are not allowed under the State system. In addition, most health care facilities provide education subsidies and tuition reimbursement programs for nurses. The education assistance program offered to NHH employees in the past was eliminated several years ago as a budget reduction measure.

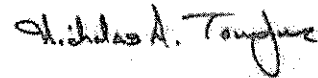
In summary, attracting experienced nurses to NHH when nationally there is a shortage of nurses, when pay is 10% to 15% below regional averages, when no creative recruitment incentives are offered, and when patients being attended to can pose a risk to the employee, make it difficult for NHH to attract and retain nurses. The Department continues to research and will prepare a financial impact statement to address ways that salary enhancements could strengthen the nurse recruitment efforts.

Innovation & Change Initiatives. New to the Dashboard is a section on Department Initiatives. This new section provides a summary of key initiatives that are currently in process. While the list is not all inclusive of the Department's projects, it does highlight several of the key projects of highest importance at this time. While the fiscal year progresses, this section will include updates to these projects and will include new initiatives. The initiatives included in this month's Dashboard are:

- Community Mental Health Agreement
- Medicaid Care Management Step 2
- NHHPP Premium Assistance Program (PAP)
- 1115 Transformation Waiver
- Balancing Incentive Program (BIP)
- Sununu Youth Services Center (SYSC)
- State Innovation Model (SIM)
- Substance Use Disorder Benefit for Standard Medicaid
- Food Protection – LBA Performance Audit

Summary. The Department has continually strived to deliver high quality and cost-effective services to individuals in most need of support. Meeting this standard is becoming increasingly untenable, as the information in the following pages demonstrate. The past budget season, once again, reduced resources to levels that challenge our ability to adequately provide services to our vulnerable citizens. Providers are becoming unstable and our delivery systems are growing weaker. We believe these issues currently present significant risks to our State and, as such, merit your attention and consideration of solutions.

Respectfully submitted,



Nicholas A. Toumpas
Commissioner

Enclosure

cc: Her Excellency, Governor Margaret Wood Hassan
The Honorable Neal M. Kurk, Chairman, House Finance Committee
The Honorable Chuck W. Morse, President, NH State Senate
The Honorable Shawn Jasper, Speaker, NH House of Representatives
Michael W. Kane, Legislative Budget Assistant

Executive Council

The Honorable Colin Van Ostern The Honorable David K. Wheeler The Honorable Christopher T. Sununu
The Honorable Joseph D. Kenney The Honorable Christopher C. Pappas

House Finance Committee

The Honorable Mary Allen	The Honorable William Hatch	The Honorable Marjorie Smith
The Honorable Richard Barry	The Honorable Peter Leishman	The Honorable Peter Spanos
The Honorable Thomas Buco	The Honorable Dan McGuire	The Honorable Timothy Twombly
The Honorable Frank Byron	The Honorable Betsy McKinney	The Honorable Karen Umberger
The Honorable David Danielson	The Honorable Sharon Nordgren	The Honorable Mary Jane Wallner
The Honorable Daniel Eaton	The Honorable Lynne Ober	The Honorable Robert Walsh
The Honorable J. Tracy Emerick	The Honorable Katherine Rogers	The Honorable Kenneth Weyler
The Honorable Joseph Pitre	The Honorable Cindy Rosenwald	
The Honorable Susan Ford	The Honorable Laurie Sanborn	

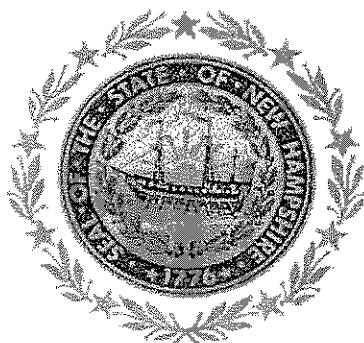
Senate Finance Committee

The Honorable Jeanie Forrester The Honorable Lou D'Allesandro The Honorable Andrew Hosmer
The Honorable Gerald Little The Honorable John Reagan

Governor's Office

Pamela M. Walsh, Chief of Staff
Meredith J. Telus, Budget Director

DEPARTMENT OF HEALTH AND HUMAN SERVICES



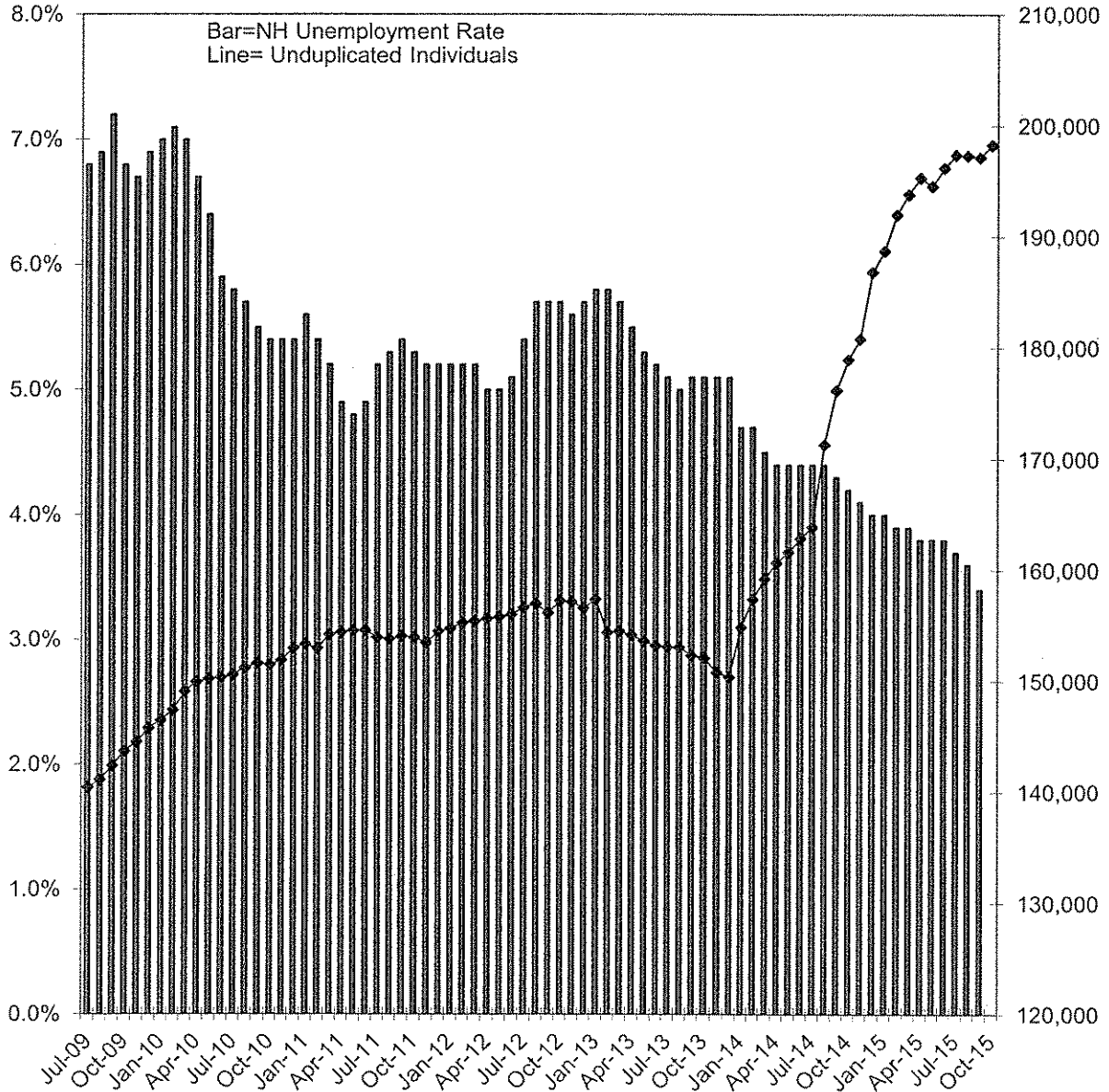
OPERATING STATISTICS DASHBOARD

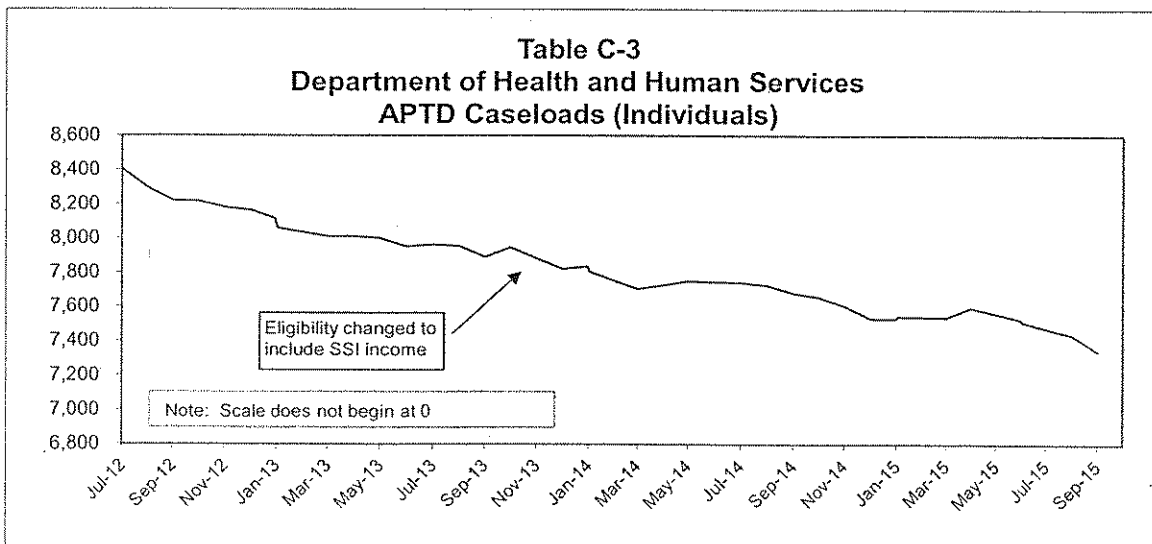
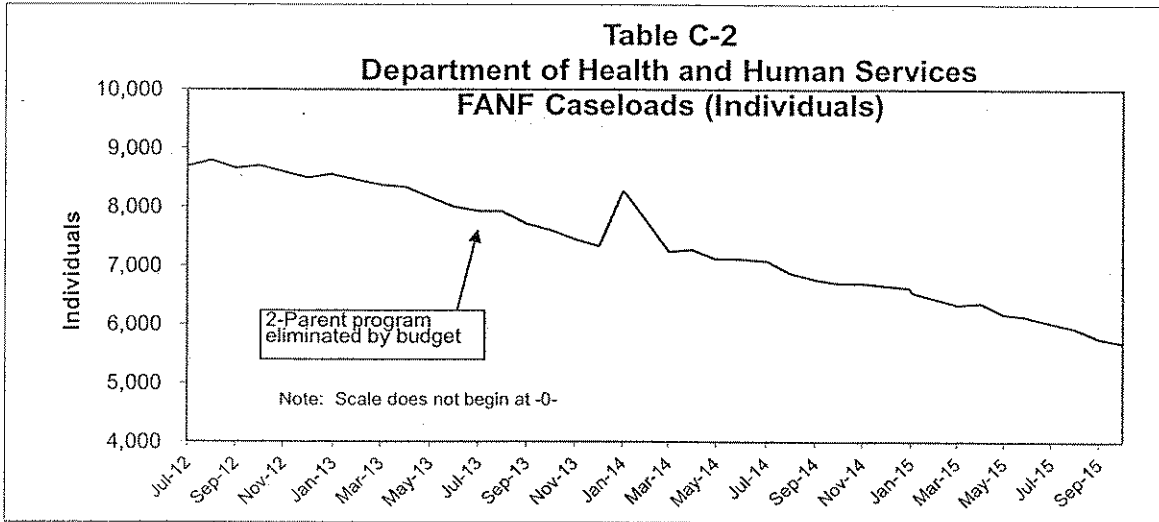
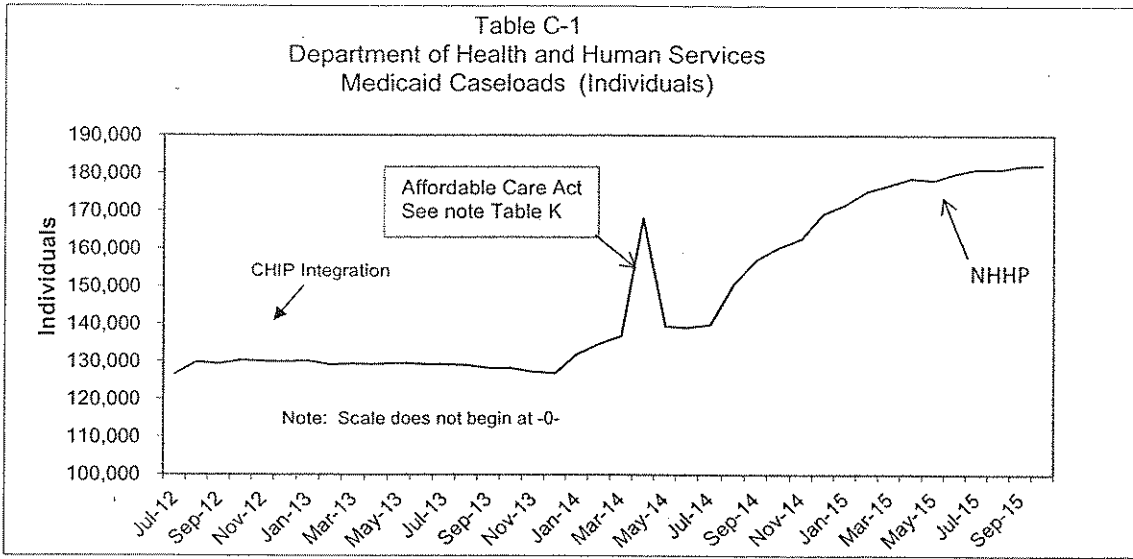
DATA THROUGH October 2015

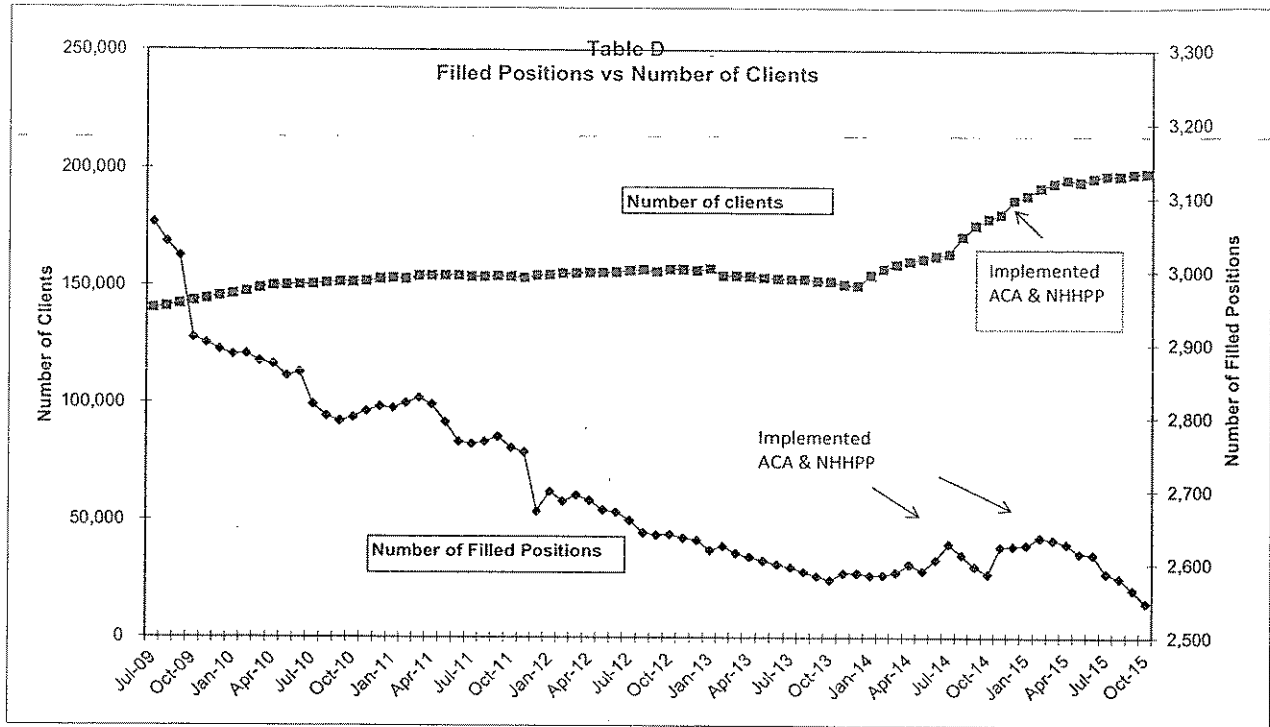
SFY16

Prepared November 16, 2015

Table B
Department of Health and Human Services
Caseload vs Unemployment Rate







	A	B	C	D	E	F	G	H
1	Table E							
2	Department of Health and Human Services							
3	Operating Statistics							
4	Children In Services							
5								
6		DCYF	DCYF	Family Foster	Residential	Child Care	Child Care	SYSC
7		Referrals	Assessments	Care	Placement	Emplmnt	Wait List	Secure
8				Placement		Related		Census
9		Actual	Actual	Actual	Actual	Actual	Actual	Actual
59	Jul-13	1,124	772	571	315	5,568	0	61
60	Aug-13	1,045	591	570	323	5,517	0	60
61	Sep-13	1,276	544	560	297	5,345	0	56
62	Oct-13	1,276	603	567	305	5,357	0	58
63	Nov-13	1,083	536	565	304	5,350	0	61
64	Dec-13	1,111	649	559	299	5,322	0	61
65	Jan-14	1,260	706	542	290	5,298	0	66
66	Feb-14	962	688	531	309	5,238	0	59
67	Mar-14	1,307	1,016	537	311	5,459	0	62
68	Apr-14	1,324	972	539	313	5,512	0	62
69	May-14	1,370	866	531	317	5,737	0	59
70	Jun-14	1,267	684	535	324	5,694	0	59
71	Jul-14	1,049	890	510	319	5,742	0	52
72	Aug-14	1,273	827	510	254	5,626	0	52
73	Sep-14	1,485	921	501	282	5,543	0	48
74	Oct-14	1,356	790	519	301	5,341	0	47
75	Nov-14	1,090	681	512	308	5,384	0	50
76	Dec-14	1,312	768	544	313	5,438	0	47
77	Jan-15	1,169	587	532	303	5,370	0	41
78	Feb-15	1,079	467	550	301	5,259	0	36
79	Mar-15	1,427	630	554	319	5,494	0	40
80	Apr-15	1,281	874	564	334	5,474	0	42
81	May-15	1,298	858	566	341	5,497	0	43
82	Jun-15	1,314	869	578	348	5,581	0	47
83	Jul-15	1,120	908	564	322	5,651	0	48
84	Aug-15	1,074	743	571	319	5,588	0	51
85	Sep-15	1,298	895	570	304	5,528	0	49
86	Oct-15	1,336	863	591	308	5,192	0	54
87	Nov-15							
88	Dec-15							
89	Jan-16							
90	Feb-16							
91	Mar-16							
92	Apr-16							
93	May-16							
94	Jun-16							
95	YEAR-TO-DATE AVERAGE							
96	SFY11	1,073	660	640	413	4,780	2,516	54
97	SFY12	1,124	732	582	315	5,053	0	61
98	SFY13	1,161	751	612	310	5,130	0	58
99	SFY14	1,180	628	567	310	5,447	0	59
100	SFY15	1,291	857	510	289	5,563	0	50
101	SFY16	1,207	852	574	313	5,490	0	50
102								
103	Source of Data							
104	Column							
105	B	DCYF SFY Management Database Report: Bridges.						
106	C	DCYF Assessment Supervisory Report: Bridges.						
107	D	Bridges placement authorizations during the month, unduplicated.						
108	E	Bridges placement authorizations during the month, unduplicated.						
109	F	Bridges Expenditure Report, NHB-OAR8-128						
110	G	Child Care Wait List Screen: New Heights						
111	H	Bridges Service Day Query - Bed days divided by days in month						

	A	B	C	D	E	F	G	H	I
1	Table F								
2	Department of Health and Human Services								
3	Operating Statistics								
4	Social Services								
5									
6		FANF	APTD	Food	Child Support Cases				
7			Persons	Stamps	Current	Former	Never	Total	
8				Persons	Cases	Cases	Cases	Cases	
9		Actual	Actual	Actual	Actual	Actual	Actual	Actual	
58	Jul-13	7,926	7,962	115,691	4,035	17,724	13,193	34,952	
59	Aug-13	7,922	7,955	115,499	3,866	17,901	13,180	34,947	
60	Sep-13	7,709	7,889	114,725	3,772	17,913	13,183	34,868	
61	Oct-13	7,609	7,945	114,915	3,938	17,797	13,227	34,962	
62	Nov-13	7,449	7,882	113,514	3,793	17,908	13,325	35,026	
63	Dec-13	7,334	7,820	112,908	3,803	17,774	13,331	34,908	
64	Jan-14	7,330	7,834	113,326	3,762	17,783	13,316	34,861	
65	Feb-14	7,353	7,803	112,791	3,767	17,695	13,329	34,791	
66	Mar-14	7,242	7,704	112,511	3,723	17,734	13,361	34,818	
67	Apr-14	7,277	7,727	112,144	3,863	17,593	13,453	34,909	
68	May-14	7,119	7,751	111,362	3,828	17,592	13,518	34,938	
69	Jun-14	7,116	7,745	110,590	3,700	17,766	13,683	35,149	
70	Jul-14	7,085	7,741	109,239	3,672	17,849	13,748	35,269	
71	Aug-14	6,871	7,727	108,767	3,671	17,803	13,741	35,215	
72	Sep-14	6,767	7,679	108,434	3,598	17,831	13,736	35,165	
73	Oct-14	6,705	7,657	108,343	3,702	18,674	13,214	35,590	
74	Nov-14	6,705	7,607	107,214	3,711	18,814	13,347	35,872	
75	Dec-14	6,660	7,532	107,900	3,753	18,868	13,529	36,150	
76	Jan-15	6,622	7,530	107,934	3,917	18,811	13,735	36,463	
77	Feb-15	6,547	7,542	107,224	3,956	18,906	13,981	36,843	
78	Mar-15	6,339	7,538	107,521	3,803	19,202	14,294	37,299	
79	Apr-15	6,366	7,596	107,283	3,842	19,249	14,538	37,629	
80	May-15	6,179	7,561	106,042	3,914	19,180	14,666	37,760	
81	Jun-15	6,138	7,526	106,322	3,820	19,207	14,742	37,769	
82	Jul-15	6,120	7,513	104,705	3,852	19,228	14,937	38,017	
83	Aug-15	5,934	7,438	103,544	3,866	19,211	15,004	38,081	
84	Sep-15	5,764	7,343	102,869	3,685	19,344	15,133	38,162	
85	Oct-15	5,688	7,307	101,917	3,808	19,263	15,257	38,328	
86	Nov-15								
87	Dec-15								
88	Jan-16								
89	Feb-16								
90	Mar-16								
91	Apr-16								
92	May-16								
93	Jun-16								
94	YEAR-TO-DATE AVERAGE								
95	SFY11	13,895	8,642	110,091	5,636	17,244	13,096	35,975	
96	SFY12	11,949	8,891	114,330	5,312	17,171	12,811	35,293	
97	SFY13	8,711	8,284	118,053	4,129	17,695	12,886	34,710	
98	SFY14	7,792	7,938	115,208	3,903	17,834	13,196	34,932	
99	SFY15	6,857	7,701	108,696	3,661	18,039	13,610	35,310	
100	SFY16	5,877	7,400	103,259	3,803	19,262	15,083	38,147	
101									
102	Source of Data								
103	Column								
104	B	Office of Research & Analysis, Caseload Statistics							
105	C	Budget Document							
106	D	Budget Document							
107	E-H	DCSS Caseload (Month End Actual from NECSES)							
108									
109	Note	* Effective 3/1/12, SSI or SSP is considered when determining FANF							
110		eligibility. Those child support cases no longer eligible, are now "Former"							
111		assistance cases.							
112									

	A	B	C	D	E
1	Table G-1				
2	Department of Health and Human Services				
3	Operating Statistics				
4	Clients Served by Community Mental Health Centers				
5					
6	Annual Totals				
7		Adults	Children	Total	
8	FY2012	36,407	13,122	49,529	
9	FY2013	34,819	13,013	47,832	
10	FY2014	35,657	14,202	49,859	
11	FY2015	34,725	10,736	45,461	
12					
13		Adults	Children	Total	
14					
15	Jul-14	14,818	5,179	19,997	
16	Aug-14	14,436	5,132	19,568	
17	Sep-14	14,981	5,382	20,363	
18	Oct-14	15,172	5,651	20,823	
19	Nov-14	14,142	5,591	19,733	
20	Dec-14	14,734	5,775	20,509	
21	Jan-15	14,960	5,257	20,217	
22	Feb-15	14,024	4,757	18,781	
23	Mar-15	15,083	5,044	20,127	
24	Apr-15	14,641	5,073	19,714	
25	May-15	15,467	5,996	21,463	
26	Jun-15	15,935	6,044	21,979	
27	Jul-15	15,467	5,741	21,208	
28	Aug-15	15,213	5,806	21,019	
29	Sep-15	15,232	5,769	21,001	
30	Oct-15				
31	Nov-15				
32	Dec-15				
33	Jan-16				
34	Feb-16				
35	Mar-16				
36	Apr-16				
37	May-16				
38	Jun-16				
39					
40	Notes:				
41	1. Monthly data is a duplicated count.				
42	2. Year-end data is unduplicated.				

	A	B	C	D	E	F	G	H	I	J	K	L	M
2	Department of Health and Human Services												
3	Operating Statistics												
4	Elderly & Adult Long Term Care												
5													
6		Total Nursing Clients		CFI Home Health	CFI Midlevel	Other Nursing	Nursing Home Beds		Pct in NF	APS Clients Assmnts	APS Cases Ongoing	SSBG AIHC Waitlist	Total SSBG IHCS
7		Actual	Budget			Note 1	3 mo. Avg	Budget					
8													
44	Jul-12	7,225	7,578	2,401	444	34	4,380	4,422	60.6%	238	1,096	9	
45	Aug-12	7,448	7,578	2,468	471	39	4,509	4,422	60.5%	251	1,087	5	
46	Sep-12	7,281	7,578	2,454	462	37	4,365	4,422	60.0%	209	1,092	6	518
47	Oct-12	7,293	7,578	2,475	464	35	4,354	4,422	59.7%	243	1,137	1	
48	Nov-12	7,254	7,578	2,478	482	34	4,294	4,422	59.2%	200	1,203	1	
49	Dec-12	7,253	7,578	2,433	484	35	4,336	4,422	59.8%	178	1,186	1	635
50	Jan-13	7,194	7,578	2,421	461	37	4,312	4,422	59.9%	255	1,201	1	
51	Feb-13	7,092	7,578	2,415	443	33	4,234	4,422	59.7%	159	1,202	1	
52	Mar-13	7,052	7,578	2,487	438	38	4,127	4,422	58.5%	220	1,196	1	705
53	Apr-13	6,658	7,578	2,390	238	9	4,030	4,422	60.5%	205	1,228	1	
54	May-13	7,037	7,578	2,511	362	11	4,164	4,422	59.2%	174	1,206	1	
55	Jun-13	7,038	7,578	2,405	421	10	4,212	4,422	59.8%	194	1,224	1	769
56	Jul-13	7,153	7,356	2,452	421	72	4,280	4,380	59.8%	276	1,230	1	
57	Aug-13	7,284	7,356	2,532	439	25	4,313	4,380	59.2%	263	1,225	1	
58	Sep-13	7,145	7,356	2,480	449	20	4,216	4,380	59.0%	264	1,247	1	474
59	Oct-13	7,290	7,356	2,435	459	24	4,396	4,380	60.3%	291	1,255	1	
60	Nov-13	7,264	7,356	2,422	488	36	4,354	4,380	59.9%	224	1,242	6	
61	Dec-13	7,342	7,356	2,417	454	27	4,471	4,380	60.9%	255	1,267	3	573
62	Jan-14	7,265	7,356	2,428	481	27	4,356	4,380	60.0%	319	1,269	3	
63	Feb-14	7,041	7,356	2,372	449	37	4,220	4,380	59.9%	258	1,270	0	
64	Mar-14	7,121	7,356	2,366	455	27	4,300	4,380	60.4%	283	1,266	0	652
65	Apr-14	7,125	7,356	2,317	493	24	4,315	4,380	60.6%	298	1,238	0	
66	May-14	7,439	7,356	2,418	477	24	4,544	4,380	61.1%	312	1,265	0	
67	Jun-14	7,271	7,356	2,356	475	32	4,440	4,380	61.1%	282	1,216	0	675
68	Jul-14	7,337	7,421	2,431	444	44	4,462	4,380	60.8%	363	801	0	0
69	Aug-14	7,094	7,421	2,403	439	44	4,252	4,380	59.9%	276	786	0	1168
70	Sep-14	7,088	7,421	2,428	431	37	4,229	4,380	59.7%	270	794	0	1438
71	Oct-14	7,242	7,421	2,453	492	36	4,297	4,380	59.3%	301	757	0	2177
72	Nov-14	7,160	7,421	2,422	460	36	4,278	4,380	59.7%	212	752	0	1276
73	Dec-14	7,181	7,421	2,431	469	35	4,281	4,380	59.6%	263	764	0	1990
74	Jan-15	6,996	7,421	2,404	469	32	4,123	4,380	58.9%	246	738	0	1845
75	Feb-15	7,026	7,421	2,400	472	32	4,154	4,380	59.1%	221	739	0	1589
76	Mar-15	7,109	7,421	2,432	448	32	4,229	4,380	59.5%	278	716	0	1802
77	Apr-15	7,230	7,421	2,422	484	30	4,324	4,380	59.8%	244	723	0	1958
78	May-15	7,170	7,421	2,428	464	29	4,278	4,380	59.7%	210	716	0	1838
79	Jun-15	7,109	7,421	2,404	479	32	4,226	4,380	59.4%	294	726	0	1410
80	Jul-15	7,045	7,232	2,409	463	33	4,173	4,325	59.2%	316	738	0	1410
81	Aug-15	6,949	7,232	2,339	453	35	4,157	4,325	59.8%	301	750	0	1762
82	Sep-15	7,042	7,232	2,335	481	40	4,226	4,325	60.0%	320	756	0	1645
83	Oct-15	7,056	7,232	2,302	502	35	4,252	4,325	60.3%	332	756	0	1320
84	Nov-15												
85	Dec-15												
86	Jan-16												
87	Feb-16												
88	Mar-16												
89	Apr-16												
90	May-16												
91	Jun-16												
92	YEAR-TO-DATE AVERAGE												
93	SFY11	7,192	7,740	2,519	381	34	4,292	4,063	59.7%	232	1,106	3	506
94	SFY12	7,141	7,515	2,404	447	33	4,290	4,400	60.1%	229	1,088	2	532
95	SFY13	7,312	7,578	2,450	460	36	4,402	4,422	60.2%	235	1,103	5	518
96	SFY14	7,218	7,356	2,475	442	35	4,301	4,380	59.6%	274	1,239	1	474
97	SFY15	7,190	7,421	2,429	452	40	4,310	4,380	59.9%	303	785	0	1,196
98	SFY16	7,023	7,232	2,346	475	36	4,202	4,325	59.8%	317	750	0	1,534
99													
100	Note 1: These clients are also captured under OMBP Provider Payments												
101	Note 2: CFI Home Health = CFI Home Support and Home Health Care Waiver Services												
102	Note 3: In preparation for 2016, Converted IHCS to monthly paid basis												
103													
104	Source of Data												
105	Columns												
106													
107	D-F	MDSS monthly client counts											
108	G	3 month Avg of the number of paid bed days in the month/days in prior month											
109		by the number of days in the previous month, MDSS											
110	J	Options Monthly Protective Reports											
111	K	Options Monthly Activity Report											
112	L	SSBG Adult In-Home Care verbal report from Adult Protective Services Administrator											
113	M	Quarterly Options Paid Claims from Business Systems Unit Manager											

	A	B	C	D	E	F	G	H	I	
1	2									
2	Developmental Services Long Term Care									
3										
4		BDS Programs served FYTD**	BDS Programs FYTD Unduplicated Count	Early Supports & Services	Special Medical Services	Partners in Health Program	Devl. Serv. Priority #1 DD Waitlist	Devl. Serv. ABD Waitlist		
5					(8-09 to 8-12 Actual)	(8-09 to 8-12 Actual)	Actual*	Actual*		
53	Jul-13	8,995	6,364	1,865	1,646	985	373	15		
54	Aug-13	10,041	7,291	2,074	1,755	995	186	5		
55	Sep-13	10,978	8,160	2,381	1,813	1,005	103	6		
56	Oct-13	11,573	8,648	2,618	1,903	1,022	108	10		
57	Nov-13	12,129	9,122	2,978	1,963	1,044	116	12		
58	Dec-13	12,764	9,658	3,231	2,047	1,059	51	16		
59	Jan-14	13,265	10,043	3,404	2,142	1,080	40	14		
60	Feb-14	13,712	10,409	3,640	2,208	1,095	59	16		
61	Mar-14	14,174	10,730	3,863	2,325	1,119	69	18		
62	Apr-14	14,702	11,093	4,112	2,464	1,145	81	17		
63	May-14	15,144	11,488	4,383	2,508	1,148	10	0		
64	Jun-14	15,525	11,742	4,577	2,614	1,169	79	19		
65	Jul-14	9,996	7,049	1,810	1,979	968	86	0		
66	Aug-14	10,721	7,697	2,152	2,040	984	95	0		
67	Sep-14	11,675	8,467	2,545	2,212	996	120	3		
68	Oct-14	12,567	9,127	2,785	2,421	1,019	139	2		
69	Nov-14	13,078	9,567	3,010	2,476	1,035	132	3		
70	Dec-14	13,538	9,880	3,187	2,618	1,040	152	3		
71	Jan-15	14,027	10,286	3,406	2,708	1,033	98	6		
72	Feb-15	14,424	10,600	3,613	2,778	1,046	115	4		
73	Mar-15	14,837	10,893	3,837	2,876	1,068	97	5		
74	Apr-15	15,389	11,313	4,172	2,995	1,081	114	8		
75	May-15	15,787	11,604	4,384	3,102	1,081	138	8		
76	Jun-15	16,229	11,919	4,624	3,210	1,100	101	8		
77	Jul-15	9,683	6,663	2,099	2,088	932	186	8		
78	Aug-15	11,567	8,421	2,597	2,199	947	195	17		
79	Sep-15	12,228	8,964	2,816	2,298	966	186	0		
80	Oct-15	12,859	9,503	3,095	2,372	984	196	0		
81	Nov-15									
82	Dec-15									
83	Jan-16									
84	Feb-16									
85	Mar-16									
86	Apr-16									
87	May-16									
88	Jun-16									
89	YEAR-TO-DATE AVERAGE									
90	SFY11	10,713	7,860	2,034	1,710	1,144	21	0		
91	SFY12	11,056	8,110	2,261	1,813	1,133	43	8		
92	SFY13	10,692	7,894	2,216	1,779	1,019	142	0		
93	SFY14	10,397	7,616	2,235	1,779	1,002	193	9		
94	SFY15	11,240	8,085	2,323	2,163	992	110	1		
95	SFY16	11,584	8,388	2,652	2,239	957	191	6		
96										
97	Data Sources:	NHLeads	NHLeads	NHLeads	SMSdb	PIHdb	Registry	Registry		
98										
99	*G & *H Represent the number of individuals waiting at least 90-days for DD or ABD									
100	Waiver funding.									
101	** BDS count excludes MTS Students served									
102	E & F	Represents year-to-date total number served								

	A	B	C	D	E	F	G	H	I	J
1	Table I									
2	Department of Health and Human Services									
3	Operating Statistics									
4	Shelters & Institutions									
5										
6		NHH				BHHS			Glencliff	
7		APS & APC Census	APS & APC Admissions	Waiting List	Waiting List	THS Census	All Shelters		% of	GH Census
8		Actual	Actual	Actual	Actual	Actual	Capacity	Actual	Capacity	Actual
9				Adult	Adolescent					
46	Jul-12	145	161			n/a				118
47	Aug-12	149	193			n/a				118
48	Sep-12	151	162			n/a				119
49	Oct-12	150	178			n/a				119
50	Nov-12	150	161			n/a				117
51	Dec-12	150	125			n/a				117
52	Jan-13	161	173			n/a				117
53	Feb-13	164	135			n/a				118
54	Mar-13	155	149			n/a				119
55	Apr-13	152	173			n/a				119
56	May-13	148	187			n/a				118
57	Jun-13	155	175			n/a				119
58	Jul-13	155	187			n/a				117
59	Aug-13	161	164			n/a				116
60	Sep-13	163	165			n/a				115
61	Oct-13	161	184			n/a				116
62	Nov-13	164	149			n/a				119
63	Dec-13	151	144			n/a				118
64	Jan-14	160	190			n/a				118
65	Feb-14	161	165			n/a				116
66	Mar-14	160	181			n/a				118
67	Apr-14	163	193			n/a				118
68	May-14	164	184			n/a				116
69	Jun-14	162	164			n/a				114
70	Jul-14	141	153	23	1	n/a	13,826	11,737	85%	116
71	Aug-14	135	142	30	1	n/a	13,826	12,121	88%	117
72	Sep-14	145	173	33	5	n/a	13,380	11,625	87%	118
73	Oct-14	146	181	29	4	n/a	13,826	12,783	92%	116
74	Nov-14	150	166	27	6	n/a	13,380	12,064	90%	117
75	Dec-14	149	180	15	4	n/a	15,004	14,056	94%	118
76	Jan-15	150	159	22	3	n/a	15,748	15,016	95%	118
77	Feb-15	152	169	18	4	n/a	14,224	13,940	98%	116
78	Mar-15	156	171	16	8	n/a	15,748	14,996	95%	113
79	Apr-15	153	165	10	8	n/a	13,380	11,990	90%	115
80	May-15	150	170	14	7	n/a	13,826	11,598	84%	117
81	Jun-15	150	180	14	5	n/a	13,380	10,830	81%	114
82	Jul-15	148	169	13	1	n/a	14,694	11,628	79%	112
83	Aug-15	150	152	20	1	n/a	14,694	12,229	83%	115
84	Sep-15	151	162	17	5	n/a	14,220	11,861	83%	116
85	Oct-15	146	154	19	6	n/a	14,694	12,452	85%	116
86	Nov-15									
87	Dec-15									
88	Jan-16									
89	Feb-16									
90	Mar-16									
91	Apr-16									
92	May-16									
93	Jun-16									
94	YEAR-TO-DATE AVERAGE									
95	SFY11	146	185			42	10,877	8,167	75%	112
96	SFY12	138	199			41	10,855	9,865	91%	115
97	SFY13	149	174							119
98	SFY14	160	175							116
99	SFY15	142	162	29	3		13,715	12,067	88%	117
100	SFY16	149	159	17	3		14,576	12,043		115
101										
102	Source of Data									
103	Column									
104	B	Daily in-house midnight census averaged per month*								
105	C	Daily census report of admissions totalled per month								
106	D	Daily Average wait list for adults								
107	E	Daily average wait list for adolescents								
108	F	Daily Average census in Transitional Housing (privatized 12/2011)								
109	G	Total number of individual bednights available in emergency shelters								
110	H	Total number of individual bednights utilized in emergency shelters								
111	I	Percentage of individual bednights utilized during month								
112	J	Daily in-house midnight census averaged per month								
113										
114		* July 2014 average Census no longer reflects Pts on Leave								

	A	B	E	H	K	N	Q	S	T	U	V	W	X	Y
1	Table J													
2	Medicaid Medical Caseloads (Persons)													
3														
4	Enrollment as of	12/30/13	3/31/14	6/30/14	9/30/14	12/31/14	3/31/2015	5/31/2015	6/30/2015	7/31/2015	8/31/2015	9/30/2015	10/31/2015	
5														
6	1. Low-Income Children (Age 0-18)	82,129	88,064	88,961	89,702	90,618	90,249	89,400	89,849	90,104	89,934	90,345	90,197	
7	2. Children With Severe Disabilities (Age 0-18)	1,604	1,680	1,670	1,619	1,622	1,631	1,629	1,623	1,613	1,623	1,613	1,602	
8	3. Foster Care & Adoption Subsidy (Age 0-25)	1,948	2,003	2,004	2,048	2,085	2,173	2,192	2,166	2,160	2,139	2,152	2,163	
9	4. Low-Income Parents (Age 19-64)	10,324	12,955	13,976	13,287	13,212	13,595	13,558	13,677	13,869	13,581	14,272	14,179	
10	5. Low-Income Pregnant Women (Age 19+)	2,275	3,051	3,246	2,846	2,602	2,532	2,412	2,432	2,430	2,356	2,297	2,290	
11	6. Adults With Disabilities (Age 19-64)	19,997	19,961	20,222	19,830	19,540	19,627	19,730	19,727	19,629	19,543	19,413	19,346	
12	7. Elderly & Elderly With Disabilities (Age 65+)	8,828	8,779	8,822	8,771	8,714	8,545	8,594	8,606	8,644	8,650	8,652	8,714	
13	8. BCCP (Age 19-64)	205	200	204	194	189	177	177	172	168	167	164	154	
14	Sub-Total	127,310	136,693	139,105	138,297	138,582	138,529	137,692	138,252	138,617	137,993	138,908	138,645	
15	9. NH Health Protection Program (Age 19-64)				18,617	30,711	38,402	40,456	41,657	42,579	43,126	43,107	43,577	
16	Total By Category	127,310	136,693	139,105	156,914	169,293	176,931	178,148	179,909	181,196	181,119	182,015	182,222	
17	Reconciling Differences (Detail to Summary)	(405)	122	0	(1)	1	2	0	0	0	0	0	0	
18	Reported On Summary	126,905	136,815	139,105	156,913	169,294	176,933	178,148	179,909	181,196	181,119	182,015	182,222	
19	ENROLLMENT IN MEDICAID CARE MANAGEMENT													
20														
21	Enrollment as of	01/01/14	04/01/14	07/01/14	10/01/14	1/1/2015	4/1/2015	6/1/2015	7/1/2015	8/1/2015	9/1/2015	10/1/2015	11/1/2015	
22														
23	Enrolled in Care Management	108,206	116,299	120,915	133,716	145,763	155,873	158,636	161,224	162,128	162,654	163,779	163,411	
24	Enrolled in Fee-For-Service	25,186	17,708	15,549	22,090	22,067	20,197	18,067	17,594	17,219	17,098	17,191	17,117	
25	Total	133,392	134,007	136,464	155,806	167,830	176,070	176,703	178,818	179,347	179,752	180,970	180,528	
26														
27		(6,082)	2,686	2,641	1,108	1,463	861	1,445	1,091	1,849	1,367	1,045	1,694	
28	<p>Figures by category versus figures by coverage are taken from two points in time. Medicaid Care Management is first of the month and the some people drop off during the month and go into Fee-For-Service. FFS is end of the month and builds during the month to include the spend down clients excluded from MCM. The early data points are switched because the MCM data includes retroactive FFS enrollment for those</p>													

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
1	Table K																		
2	Department of Health and Human Services																		
3	Caseloads Versus Prior Year & Prior Month																		
4																			
5		Unduplicated Persons			Medicaid Persons			Long Term Care-Seniors			FANF Persons			APTD Persons			SNAP Persons		
6		Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo	Actual	Vs PY	Vs Pmo
68	Jul-13	153,075	-2.3%	-0.1%	129,255	-0.2%	-0.1%	7,153	-1.0%	1.6%	7,926	-8.8%	-1.0%	7,962	-5.3%	0.1%	115,691	-1.6%	-0.3%
69	Aug-13	153,065	-2.5%	0.0%	129,063	-0.7%	-0.1%	7,284	-2.2%	1.8%	7,922	-9.9%	-0.1%	7,955	-4.1%	-0.1%	115,499	0.5%	-0.2%
70	Sep-13	152,338	-2.4%	-0.5%	128,364	-0.9%	-0.5%	7,145	-1.9%	-1.9%	7,709	-11.0%	-2.7%	7,889	-4.0%	-0.8%	114,725	-2.4%	-0.7%
71	Oct-13	152,132	-3.3%	-0.1%	128,276	-1.6%	-0.1%	7,290	0.0%	2.0%	7,609	-12.6%	-1.3%	7,945	-3.3%	0.7%	114,915	-3.5%	0.2%
72	Nov-13	150,798	-4.1%	-0.9%	127,359	-2.1%	-0.7%	7,264	0.1%	-0.4%	7,449	-13.4%	-2.1%	7,882	-3.7%	-0.8%	113,514	-4.6%	-1.2%
73	Dec-13	150,372	-4.0%	-0.3%	126,905	-2.4%	-0.4%	7,342	1.2%	1.1%	7,334	-13.6%	-1.5%	7,820	-4.2%	-0.8%	112,908	-5.0%	-0.5%
74	Jan-14	154,862	-1.6%	3.0%	132,034	1.4%	4.0%	7,265	1.0%	-1.0%	7,330	-14.4%	-0.1%	7,834	-3.5%	0.2%	113,326	-5.7%	0.4%
75	Feb-14	157,397	2.0%	1.6%	134,728	4.3%	2.0%	7,041	-0.7%	-3.1%	7,353	-13.9%	0.3%	7,803	-3.2%	-0.4%	112,791	-4.1%	-0.5%
76	Mar-14	159,213	3.0%	1.2%	136,815	5.7%	1.5%	7,121	1.0%	1.1%	7,242	-13.6%	-1.5%	7,704	-3.8%	-1.3%	112,511	-4.2%	-0.2%
77	Apr-14	160,682	4.2%	0.9%	138,157	6.8%	1.0%	7,125	n/a	0.1%	7,277	-12.7%	0.5%	7,727	-3.5%	0.3%	112,144	-4.3%	-0.3%
78	May-14	161,647	5.2%	0.6%	138,562	6.9%	0.3%	7,439	5.7%	4.4%	7,119	-12.9%	-2.2%	7,751	-3.1%	0.3%	111,362	-6.7%	-0.7%
79	Jun-14	162,897	6.3%	0.8%	139,105	7.5%	0.4%	7,271	3.3%	-2.3%	7,116	-11.1%	0.0%	7,745	-2.6%	-0.1%	110,590	-4.7%	-0.7%
80	Jul-14	163,903	7.1%	0.6%	139,881	8.2%	0.6%	7,337	2.6%	0.9%	7,085	-10.6%	-0.4%	7,741	-2.8%	-0.1%	109,239	-5.6%	-1.2%
81	Aug-14	171,328	11.9%	4.5%	150,820	16.9%	7.8%	7,094	-2.6%	-3.3%	6,871	-13.3%	-3.0%	7,727	-2.9%	-0.2%	108,767	-5.8%	-0.4%
82	Sep-14	176,192	15.7%	2.8%	155,913	22.2%	4.0%	7,088	-0.8%	-0.1%	6,767	-12.2%	-1.5%	7,679	-2.7%	-0.6%	108,434	-5.5%	-0.3%
83	Oct-14	178,952	17.6%	1.6%	160,334	25.0%	2.2%	7,242	-0.7%	2.2%	6,705	-11.9%	-0.9%	7,657	-3.6%	-0.3%	108,343	-5.7%	-0.1%
84	Nov-14	180,798	19.9%	1.0%	162,848	27.9%	1.6%	7,160	-1.4%	-1.1%	6,705	-10.0%	0.0%	7,607	-3.5%	-0.7%	107,214	-5.5%	-1.0%
85	Dec-14	186,837	24.2%	3.3%	169,294	33.4%	4.0%	7,181	-2.2%	0.3%	6,660	-9.2%	-0.7%	7,532	-3.7%	-1.0%	107,900	-4.4%	0.6%
86	Jan-15	188,750	21.9%	1.0%	171,732	30.1%	1.4%	6,996	-3.7%	-2.6%	6,622	-9.7%	-0.6%	7,530	-3.9%	0.0%	107,934	-4.8%	0.0%
87	Feb-15	192,008	22.0%	1.7%	175,266	30.1%	2.1%	7,026	-0.2%	0.4%	6,547	-11.0%	-1.1%	7,542	-3.3%	0.2%	107,224	-4.9%	-0.7%
88	Mar-15	193,829	21.7%	0.9%	175,933	29.3%	1.0%	7,109	-0.2%	1.2%	6,339	-12.5%	-3.2%	7,538	-2.2%	-0.1%	107,521	-4.4%	0.3%
89	Apr-15	195,333	21.6%	0.8%	178,752	29.4%	1.0%	7,230	1.5%	1.7%	6,366	-12.5%	0.4%	7,596	-1.7%	0.8%	107,283	-4.3%	-0.2%
90	May-15	194,555	20.4%	-0.4%	178,143	28.6%	-0.3%	7,170	-3.6%	-0.8%	6,179	-13.2%	-2.9%	7,561	-2.5%	-0.5%	106,042	-4.8%	-1.2%
91	Jun-15	196,212	20.5%	0.9%	179,910	29.3%	1.0%	7,109	-2.2%	-0.9%	6,138	-13.7%	-0.7%	7,526	-2.8%	-0.5%	105,322	-4.8%	-0.7%
92	Jul-15	197,379	20.4%	0.6%	181,192	29.5%	0.7%	7,045	-4.0%	-0.9%	6,120	-13.6%	-0.3%	7,513	-2.9%	-0.2%	104,705	-4.2%	-0.6%
93	Aug-15	197,305	15.2%	0.0%	181,115	20.1%	0.0%	6,949	-2.0%	-1.4%	5,934	-13.6%	-3.0%	7,438	-3.7%	-1.0%	103,544	-4.8%	-1.1%
94	Sep-15	198,157	12.5%	0.4%	182,017	16.0%	0.5%	7,042	-0.6%	1.3%	5,764	-14.8%	-2.9%	7,343	-4.4%	-1.3%	102,869	-5.1%	-0.7%
95	Oct-15	198,265	10.8%	0.1%	182,225	13.7%	0.1%	7,056	-2.6%	0.2%	5,688	-15.2%	-1.3%	7,307	-4.6%	-0.5%	101,917	-5.9%	-0.9%
96	Nov-15																		
97	Dec-15																		
98	Jan-16																		
99	Feb-16																		
100	Mar-16																		
101	Apr-16																		
102	May-16																		
103	Jun-16																		
104	ANNUAL YEAR-TO-DATE AVERAGES																		
105	SFY10	141,311			114,251			7,368			13,549			7,937			89,293		
106	SFY11	153,929	8.9%		119,786	4.8%		7,171	-2.7%		12,013	-11.3%		8,933	12.5%		114,204	27.9%	
107	SFY12	151,442	-1.6%		118,941	-0.7%		7,162	-0.1%		13,887	15.6%		8,650	-3.2%		110,411	-3.3%	
108	SFY13	156,582	3.4%		129,666	n/a		7,318	2.2%		8,713	-37.3%		8,306	-4.0%		116,703	5.7%	
109	SFY14	152,826	-2.4%		128,894	-0.6%		7,194	-1.7%		7,852	-9.9%		7,935	-4.5%		115,305	-1.2%	
110	SFY15	170,474	11.5%		149,205	15.8%		7,173	-0.3%		6,908	-12.0%		7,716	-2.8%		108,813	-5.6%	
111	SFY16	197,614	15.9%		181,441	21.6%		7,012	-2.2%		5,939	-14.0%		7,431	-3.7%		103,706	-4.7%	
112																			

COMMUNITY MENTAL HEALTH AGREEMENT

DESCRIPTION		PURPOSE		
For adults with Severe Mental Illness (SMI), establish and enhance community-based programs, including: mobile crisis services; supported employment; Assertive Community Treatment (ACT); supported housing; peer and family support; transition planning; and quality assurance of programs.		To meet the terms of the Community Mental Health Agreement (CMHA) to provide immediate and long-term support to individuals with SMI to reduce the institutionalization and risk of institutionalization of adults with SMI.		
STATUS				
<ul style="list-style-type: none"> Significant progress made toward objectives of the CMHA including: (a) Established DHHS Behavioral Health Central Team to facilitate transitions from NH Hospital and the Glencliff Home to community-based settings; (b) Formalized guidance for ACT team composition and criteria, (c) Formalized NH Hospital policy for referrals to ACT for conditional discharges, (d) Drafted a rule for the Bridge Subsidy Housing Program; and (e) Updated standard data measures and reporting processes. First Mobile Crisis Team established by Riverbend in Concord. Work on Quality Service Review process continues, in coordination with Expert Reviewer and Plaintiffs. Continue to work with the Community Mental Health Centers and community partners to address milestones that are not yet met, including (a) Supported employment penetration rate of individuals with SMI; (b) Capacity of ACT teams, and (c) Transitions of individuals from Glencliff Home. 				
TOP ISSUES (I) & RISKS (R)		RECENT & UPCOMING MILESTONES		DATE
1	(I) Effort to satisfy expert reviewer's June 2015 report concerns	Mobile Crisis capacity in Concord area		6/30/15
		Increase supported housing units to 340		6/30/15
2	(R) Capacity of the Community Mental Health Centers (CMHCs) to meet ACT/SE requirements	ACT Teams w/ capacity to serve 1300 individuals by 6/30/15		TBD
		Transition 4 individuals from Glencliff by 6/30/15		TBD
		Achieve 16.1% SMI penetration rate of SMI eligible by 6/30/15		TBD
		ACT Teams w/ capacity to serve 1500 individuals		6/30/16
		Achieve 18.1% Supported Employment penetration rate of SMI eligible		6/30/16
		Mobile Crisis capacity in Manchester area		6/30/16

MEDICAID CARE MANAGEMENT STEP 2

DESCRIPTION		PURPOSE		
<p>A phased approach to transition additional populations and services into care management:</p> <ol style="list-style-type: none"> Populations that can no longer opt out [Mandatory] Choices for Independence waiver services Nursing Facilities and DCYF Medicaid services Waiver services for development disabilities, acquired brain disorder and in-home supports 		To achieve Medicaid health care reform that is whole person-centered with the goal to improve beneficiary health, reimburse providers based upon outcomes, support continuity of care, ensure access to primary care and prevention, promote shared decision making, improve budget predictability, comply with federal and state law, and realize savings		
STATUS				
<ul style="list-style-type: none"> 60-Day enrollment period began November 1. Over 2,000 newly mandatory have self-selected a MCO health plan as of Nov 23. DHHS staff working to ensure smooth transition for clients with complex needs through test shopper and coverage calls to MCOs and to prove MCOs are fully prepared for this new population, including warm transfer to vendors for transportation, pharmacy and durable medical equipment. Implemented additional readiness activities to perform random records reviews at MCOs for complex cases Guardianship / Authorized Representative protocols strengthened to reduce risk of uninvited contact Software system changes in place to support enrollment and on schedule for February 1 health coverage milestone 				
TOP ISSUES (I) & RISKS (R)		RECENT & UPCOMING MILESTONES		DATE
1	(R) Over 5,600 clients with authorized rep, power of attorney or guardian will require providers and health plans to carefully follow strengthened protocols	1915(b) Waiver Approved By CMS		09/17/15
		MCM Admin Rules Approved by JLCAR		10/15/15
		Client Enrollment Packet Mailed		10/30/15
2	(R) High Touch transition process required for clients with complex needs. Special care needed to ensure "warm hand-off" and sensitivity to individual history and needs	Enrollment Begins		10/30/15
		Auto-Assignment Begins		01/04/16
		Go No-Go Decision for Feb 1 Coverage		01/04/16
		Medical Services Coverage Begins		02/01/16

NHHPP – PREMIUM ASSISTANCE PROGRAM (PAP)

DESCRIPTION	PURPOSE
As required by SB413 and effective Jan – Dec 2016, the PAP provides health insurance coverage to eligible (ages 19 - 65, income < 138% FPL, not pregnant at time of eligibility determination, not entitled to Medicare or in any other mandatory Medicaid eligibility group) and excluding expansion adults enrolled through the HIPP or identified as medically frail.	The purchase qualified health plans (QHPs) certified to be sold on the Marketplace for PAP enrollees and assure that all Medicaid benefits and cost-sharing protections are met.

STATUS

- Five carriers certified to sell QHPs in 2016: Ambetter, Anthem, Community Health Options, Harvard Pilgrim Health Care, Minuteman Health
- Enrollment period has begun. Over 450 have self-selected as of Nov 6
- Completed auto-enrollment of NH Healthy Families Plan members to QHP Ambetter
- Ongoing integration of client service activities with NHID, DHHS and carriers to establish protocols for referrals and to monitor and address issues
- MOUs in place with each Qualified Health Plan
- Implemented training & education plan for individuals, families, providers and other stakeholders

TOP ISSUES (I) & RISKS (R)	RECENT & UPCOMING MILESTONES	DATE
1 (R) Monitoring call volumes through Call Center to ensure clients get what they need v/c of open enrollment of all of Medicaid	<i>1115 Demonstration Waiver for PAP Approved</i>	<i>03/04/15</i>
	<i>Enrollment Letters Mailed to ~35,000 Recipients</i>	<i>09/25/15</i>
	<i>Auto-assignment to Ambetter (NHHF)</i>	<i>11/2/15</i>
2 Monitor to ensure what enrollees hearing from carriers aligns with approach documents	<i>Reduction of Ben Notice to NHHPP enrollees going PAP</i>	<i>11/23/15</i>
	<i>Premium Assistance Enrollment Begins</i>	<i>11/01/15</i>
	<i>Medicaid Recipients =<138% FPL Begin Coverage</i>	<i>01/01/16</i>

1115 TRANSFORMATION WAIVER

DESCRIPTION	PURPOSE
New Hampshire has submitted an application to the Centers for Medicare and Medicaid Services to access new federal funding to help transform its behavioral health delivery system to (1) deliver integrated physical and behavioral health care that better addresses the full range of individuals' needs, (2) expand capacity to address emerging and ongoing behavioral health needs in an appropriate setting, and (3) reduce gaps in care during transitions across care settings by improving coordination across providers and linking patients with community supports.	The waiver is a critical component of New Hampshire's broader delivery system and Medicaid reform agenda. It has been designed to build upon and strengthen a number of other initiatives underway in New Hampshire, including the expansion of Medicaid to newly eligible adults; the recent move to comprehensive Medicaid managed care (which includes both physical and behavioral health benefits); the State's Health Improvement Plan; the recently awarded State Innovation Model Planning Grant; the Governor's proposal to extend SUD services to the whole Medicaid population in SFY 2017; and the State's initiative to reorganize the Department of Health and Human Service around a "whole person" approach to providing services. In this larger context, the particular role of the 1115 transformation waiver is to help New Hampshire's health care providers and community partners transition to a new way of providing care for people with behavioral health issues. By providing funding to support delivery system transformation—rather than to cover the costs of specific services rendered by providers—the waiver will encourage and enable health care providers and community partners within a region to form relationships focused on transforming care.

STATUS

- The waiver application remains pending with CMS. The state and CMS are presently negotiating the terms and conditions of the waiver. The Department hopes that work on the waiver will be finalized by early next January.
- MOUs are in place with each Qualified Health Plan
- Implemented training & education plan for individuals, families, providers and other stakeholders

BALANCING INCENTIVE PROGRAM (BIP)

DESCRIPTION	PURPOSE	
<p>DHHS in partnership with community organizations throughout the state, proposes to leverage the BIP to enhance and expand access to community-based long term care supports and services provided to individuals with behavioral health, physical and/or intellectual disabilities to:</p> <ul style="list-style-type: none"> increase the percentage of expenditures for long term supports and services (LTSS) that are provided in community settings to be 50% or greater than the expenditures for facility-based LTSS; Implement federally required infrastructure changes Enhance access to Medicaid long term community supports and services 	<p>To create a single entry process which improves access to community long term supports and services by creating a standardized process where individuals receive the same information regardless of where they enter the system. States must implement three structural changes to qualify for these funds including:</p> <ol style="list-style-type: none"> A No Wrong Door/Single Entry Process: State Governance, Public Outreach & Coordination w/ Key Referral Sources, Person Centered Counseling, Streamlined Medicaid eligibility determination and enrollment Core Standardized Assessment Conflict Free Case Management. <p>These required goals are being achieved incrementally beginning June 2012 and through September 2015, followed by enhancements through September 2017.</p>	
STATUS		
<ul style="list-style-type: none"> Developed Consolidated Event Schedule for Military Outreach & Education Project All required infrastructure deliverables are complete with ongoing enhancements exceeding federal requirements 		
TOP ISSUES (I) & RISKS (R)	RECENT & UPCOMING MILESTONES	DATE
(R) Timely contracting and implementation of the Cisco Call Center enterprise system	<i>Required federal infrastructure changes complete</i>	<i>09/30/15</i>
	<i>Automated MEA</i>	<i>12/30/15</i>
(R) Continued community involvement of the standardized and streamlined process.	<i>Automated CFI application and operation</i>	<i>12/30/15</i>
	<i>Outreach and Education Campaign</i>	<i>11/30/15</i>
(I) State leadership and collaboration. Support of the Governor, implementation and ongoing oversight of the system, and a designated lead agency which continues to lead the overall NWD initiative.	<i>Military, Service, Veterans and Family Initiatives</i>	<i>09/17/15</i>
	<i>Step 2 Managed Care Training</i>	<i>July/Oct 15</i>
	<i>Online Learning Modules</i>	<i>Sept, Oct, Nov</i>

SUNUNU YOUTH SERVICES CENTER (SYSC)

DESCRIPTION	PURPOSE	
<p>Implement actions to reduce operational costs under reduced SYSC budget levels for FY 2016 and 2017, while maintaining core services and standards of care. Explore option to add Psychiatric Residential Treatment Facility (PRTF) to SYSC to serve additional youth and leverage Medicaid/other financial support of services.</p>	<p>Meet the needs for secure residential treatment services for youth with serious behavioral health issues (e.g., mental health, substance abuse) in NH within broader state-wide system of comprehensive, community-based care; reduce general fund expenditures; and continue to serve detained and adjudicated delinquent youth.</p>	
STATUS		
<ul style="list-style-type: none"> DHHS submitted its Plan, required by the Legislative Fiscal Committee, to respond to the requested SYSC budget reduction for FY 16/17. The Plan calls for conversion of ½ of the SYSC facility to a Psychiatric Residential Treatment Facility (PRTF) able to serve additional youth. Fiscal Committee presentation Dec 18, 2015. The Legislative Work Group on Transformational Alternatives for SYSC issued its report Oct 26, 2015. It called for offering the SYSC facility to private providers to offer substance abuse and mental health services for youth while converting another state building to house detained and adjudicated delinquent youth. 		
TOP ISSUES (I) & RISKS (R)	RECENT & UPCOMING MILESTONES	DATE
1 (R) Delay in clear direction from the Legislature on preferred future for SYSC.	<i>CMS visit to SYSC Facility</i>	<i>9/29/15</i>
	<i>USDOJ Approval for Use of SYSC as PRTF</i>	<i>10/13/15</i>
2 (R) Requires approval for PRTF at SYSC by Center for Medicaid/Medicare Services.	<i>DHHS Submitted Plan to Reduce Costs at SYSC</i>	<i>11/1/15</i>
	<i>DHHS Presents to Fiscal Committee</i>	<i>12/18/15</i>
3 (R) Inability to sustain current level of services at SYSC under reduced budget.	<i>CMS Approval to use ½ of SYSC as Medicaid-eligible PRTF</i>	<i>TBD</i>
	<i>Accreditation of SYSC as PRTF</i>	<i>TBD</i>

STATE INNOVATION MODEL

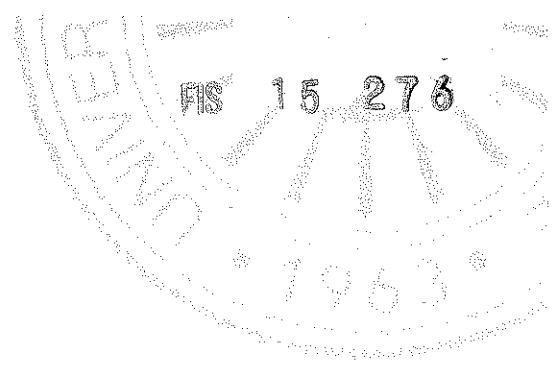
DESCRIPTION		PURPOSE	
Governor-sponsored CMS-funded project engaging a broad array of stakeholders through several workgroups and a Governor’s Advisory Board to develop a State Health Innovation Plan to improve the efficiency and effectiveness of health care delivery and improve local population health in New Hampshire.		The Innovation Plan will further define and identify steps to establish Regional Healthcare Extension Centers and develop the infrastructure for value-based health services reimbursement. The Centers will support health care delivery system reform, improved health information exchange and care coordination, and local whole-person health improvement initiatives.	
STATUS			
<ul style="list-style-type: none"> DHHS Contractor is very ably supporting 5 topical workgroups and a Governor Advisory Board (GAB), each of which is meeting in-person monthly and engaging in conference calls, webinars, and other activities to provide specific recommendations within planned timeframe. Efforts are underway to solicit broader stakeholder input and ensure good cross-communication among the various topical workgroups and the GAB in formulating a comprehensive innovation plan. 			
TOP ISSUES (I) & RISKS (R)		RECENT & UPCOMING MILESTONES	DATE
1	(R) Short timeframe for senior DHHS staff input and review to complete deliverables.	<i>Kick-off Stakeholder-Driven Planning Process</i>	9/17/15
		Submit Quarterly Progress Report to CMS	11/30/15
2	(R) Need broad stakeholder support for innovations.	Submit Population Health Plan to CMS	TBD
3	(R) Require CMS approval of revised budget, and Fiscal Committee approval of transfer of funds (12/18), for purchase of communication and other equipment to facilitate on-going stakeholder engagement and Plan implementation.	Complete Topical Workgroup Input to Plan	12/30/15
		Complete Broader Stakeholder Outreach	1/20/16
		Present Draft Innovation Plan to GAB	1/26/16
		Submit Final State Health Innovation Plan to CMS	1/31/16
		Final Project Report to CMS	4/30/16

SUBSTANCE USE DISORDER (SUD) BENEFIT FOR STANDARD MEDICAID

DESCRIPTION		PURPOSE	
HB2 Chapter 276:231 requires the commissioner of the department of health and human services to submit a state plan amendment (SPA) to the Centers of Medicare and Medicaid (CMS) to provide substance use disorder services to Title XIX and Title XXI beneficiaries. The commissioner shall design the benefit consistent with Substance Abuse and Mental Health Service Administration (SAMHSA) treatment guidelines. The commissioner shall also determine the process and timeline for implementing services and, if necessary, phase in the benefit.		To implement the already defined SUD Benefit array offered to the NH Health Protection Program population to the Standard Medicaid population. The benefits include a continuum of SUD services to meet the range of needs from misuse, addiction and withdrawal.	
STATUS			
<ul style="list-style-type: none"> DHHS Strategic SUD team has been identified Kick off meeting-11/16/15 Meeting schedule finalized 			
TOP ISSUES (I) & RISKS (R)		RECENT & UPCOMING MILESTONES	DATE
1	(I)Limited SUD provider Network	Kick off meeting	11/16/15
2	(R) As a result of a limited provider network, recipients may not be able to access services in a timely manner.	SUD Rules and SPA changes	TBD
		Communication plan for providers and clients developed	TBD
		Systems changes identified and implemented	TBD
		Identify gaps in provider network	Ongoing
		Stakeholder involvement	TBD

FOOD PROTECTION - RESPONSE TO 2015 LEGISLATIVE BUDGET ASSISTANT PERFORMANCE AUDIT

DESCRIPTION		PURPOSE	
<p>The Division of Public Health Services (DPHS) Food Protection Section (FPS) underwent a performance audit by the NH Legislative Budget Assistant (LBA) over a 6 month period. Approximately 150 recommendations within 29 Observation categories were provided. The full report is available on the LBA website: http://www.gencourt.state.nh.us/LBA/AuditReports/</p>		<p>The purpose of the audit was to determine how efficient and effective the Food Protection Section was in preventing foodborne illness during State fiscal years 2013 and 2014.</p>	
STATUS			
<ul style="list-style-type: none"> • A detailed tracking document created to log completion of steps and tasks that address audit findings • Approach to address audit findings includes engaging DHHS Oversight subcommittee to review current RSAs that are unclear, not aligned with DPHS rule/practice or not able to be implemented due to lack of program staff reductions and lack of capacity. • Will develop list of recommended changes from Oversight subcommittee and file legislation in the SFY 17 session, followed by changes to rule and program practice. • A second area of focus is on internal management practices which are being addressed either immediately or on a planned sequence track as time allows. • The third major component of response to address the audit recommendations for practice improvement involves use of a more integrated data system that can track selected metrics for oversight and quality improvement. The FPS is completing the configuration of the new system working with a contracted vendor and expects to begin using this system to track and manage metrics in early 2016. 			
TOP ISSUES (I) & RISKS (R)		RECENT & UPCOMING MILESTONES	DATE
1	(I) Realistic alignment of law with FPS/state capacity	Mtg w/ Rep. F. Kotowski, Chair HHS Oversight	10/27/15
2	(R) Could end with more work but not more capacity.	HHS Oversight meeting to finalize stand up	11/13/15
3	(I) Setting program performance metrics	Met with DHHS Facilities Licensing and DES -	9/15, 11/15
4	(R) New IT system delays	discuss food inspections	
5	(I) Risk-based inspection matrix developed (R) only 1/3 of FDA recommended frequency due to staffing	Contract with CHI for strategic planning in process	10/15
		Completed revisions to 13 of 15 SJDs	10/15
6	Oversight over self-inspecting towns	Delegation of Authority letter signed	10/15
7	(I) Re-activate vacant position to improve capacity for inspections and oversight.	New Food Protection System Launched	02/29/16
		MOA updated for one town – use as a model for others when due.	10/15



December 2, 2015

Joint Legislative Fiscal Committee
c/o Office of the Legislative Budget Assistant
State of New Hampshire
State House
107 North Main Street, Room 102
Concord, NH 03301

Pursuant to RSA 187-A:25-a, enclosed please find the Annual Report for the University System of New Hampshire for fiscal year ended June 30, 2015. On page 19 of the report you will find the Independent Auditor's Report reflecting the unqualified or "clean opinion" issued by KPMG, our external independent auditors. The report was presented to and accepted by the University System's Board of Trustees on October 30, 2015. Please feel free to contact me with any questions on the report.

Respectfully,



Catherine A. Provencher
Vice Chancellor for Financial Affairs and Treasurer

Enclosures

University System
of New Hampshire

Creating
Opportunity
Supporting
Economic
Development
Essential to
New Hampshire



2015 Annual
Report

FY15 Highlights

(USNH) – **New Hampshire is a national leader in completion rates of students who began studies at a four-year public institution**, according to the National Student Clearinghouse Research Center (NSCRC), which puts USNH second in the nation and first in the East. New Hampshire's public four-year institutions fared particularly well in the study with an average completion rate of 78%. The NSCRC report captured a broad swath of students in its degree completion rate analysis. The findings found that New England's four-year colleges and universities showed strength in preparing students for successful degree completion.

"This is another outstanding result and measure of success for New Hampshire's four-year public institutions," said USNH Chancellor Todd Leach, Ph.D. *"We have focused on providing our students with a high-quality education that puts them on the pathway to success. The rates show that our public higher education system is working and doing what it was designed to do, preparing our state's future workforce."* ■

(UNH) **More than 3,200 first-year students entered UNH this fall** as members of the class of 2019. UNH delivers a tremendous return on investment for New Hampshire's businesses, communities, students and families. It is helping to make our state's quality of life among the best in the nation. Each year, UNH generates \$1.4 billion in economic activity in the Granite State. ■



(GSC) **Mark Rubinstein, Ph.D., assumed his new responsibilities as GSC president** on March 31,

2015. The USNH Board of Trustees voted for his selection unanimously, recognizing his outstanding performance as vice president for student and academic services at UNH. *"Granite State College is an institution that can be a real game changer for our students and also our state,"* said Chancellor Leach. *"Mark brings an incredible understanding of the changing needs in public higher education, and also understands New Hampshire's unique situation and workforce needs from his years at UNH."* ■

(KSC) **Keene State College has been named a "College of Distinction" for 2015-2016.** The College of Distinction program recognizes institutions in four areas of excellence: student engagement; excellence in undergraduate teaching; a vibrant community life; and student learning outcomes considered necessary requirements by employers or essential for extended study at the graduate level. An emphasis on creative and critical thinking skills is found throughout the liberal arts curriculum and is a key component of the newly adopted Collegewide Learning Outcomes. ■



(PSU) **Donald L. Bix, Ph.D., was selected as the 15th president of Plymouth State**

University by the USNH Board of Trustees. Board Chair Pamela Diamantis said of his appointment "A commitment to PSU's sense of community with a proven ability to chart a new and bold course makes Dr. Bix an ideal choice to lead Plymouth State University into the future." ■

University System of New Hampshire

The University System of New Hampshire (USNH) includes the University of New Hampshire (UNH) at Durham and Manchester, the University of New Hampshire School of Law, Plymouth State University (PSU), Keene State College (KSC), and Granite State College (GSC). KSC, PSU, and UNH-Durham are the three residential campuses of USNH. The University System is directed by a 27-member Board of Trustees. Administration of the University System is the responsibility of the chancellor, functioning as the executive agent of the Board of Trustees. The chancellor is the chief executive and academic officer of the University System Office, and is responsible for developing, recommending, and implementing the Board's policies and decisions.

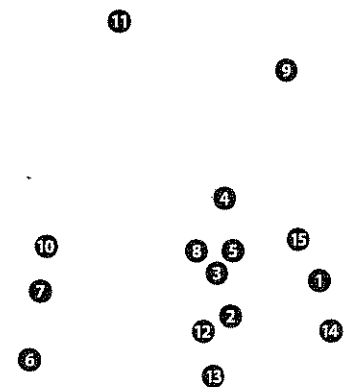
Education is key to New Hampshire's long-term welfare, economic prosperity, and quality of life. Providing the educational programs and services through teaching, research, and public service that will be critical for New Hampshire residents and our state's future economy is at the heart of the University System of New Hampshire's mission.

The University System of New Hampshire is the state's primary supplier of highly educated citizens and workers. The four institutions of the University System—the University of New Hampshire, Plymouth State University, Keene State College, and Granite State College—annually serve approximately 34,000 students and graduate 7,500 students at the associate, bachelor's, master's, and doctoral levels. The institutions annually award more than half of the state's bachelor's degrees. In addition, more than 90,000 alumni of University System institutions currently live in New Hampshire.

4	Chancellor's Letter
5	Report from USNH
6	Workforce and Economic Development
10	Innovation and Efficiency
12	Educational Quality
14	NH Quality of Life
19	Report of Independent Auditors
21	Management's Discussion and Analysis
30	Financial Statements
33	Notes to the Financial Statements
43	USNH Board of Trustees and Administration

University System of New Hampshire

- | | |
|---|---------------------------------------|
| 1. University of New Hampshire, Durham | 8. Granite State College, Concord |
| 2. University of New Hampshire, Manchester | 9. Granite State College, Conway |
| 3. University of New Hampshire School of Law, Concord | 10. Granite State College, Lebanon |
| 4. Plymouth State University | 11. Granite State College, Littleton |
| 5. Plymouth State University, Concord | 12. Granite State College, Manchester |
| 6. Keene State College | 13. Granite State College, Nashua |
| 7. Granite State College, Claremont | 14. Granite State College, Portsmouth |
| | 15. Granite State College, Rochester |



2015 Chancellor's Letter

The University System of New Hampshire plays a critical role in supporting our state's economy and serves as an engine of opportunity for our state. Collectively, USNH has a multi-billion dollar annual impact on our state's economy.

USNH proudly prepares more undergraduate students, graduates more STEM students, and provides greater tuition subsidy for New Hampshire students than any other higher education institution in the state. New Hampshire residents attending USNH institutions pay roughly half the cost of attendance as they would at the average private institution, and they have the option of attending the lowest priced four-year faculty-led program in New England through Granite State College, the highest U.S. News ranked online program in New Hampshire. USNH is first in the East when it comes to completion rates.

To provide a true return on investment, public higher education must do more than simply be another educational option for students. Our public higher education system must be integral to the economy and the community.

Our state's agricultural and wildlife industries depend on the educational support and research only provided through USNH institutions. New Hampshire's only state veterinary lab is located at UNH, as well as the Cooperative Extension programs (which supply hands-on support for our local farms and identify and mitigate threats to our natural resources). UNH's "world class" research capabilities are also improving our state and nation's quality of life, from mapping our oceans to space exploration.

Business incubators are located at UNH and at Plymouth State University, and Keene State College is the home of the Regional Advanced Manufacturing Center. The businesses that are launched or supported by these efforts go on to provide employment opportunities and to contribute to the economy.

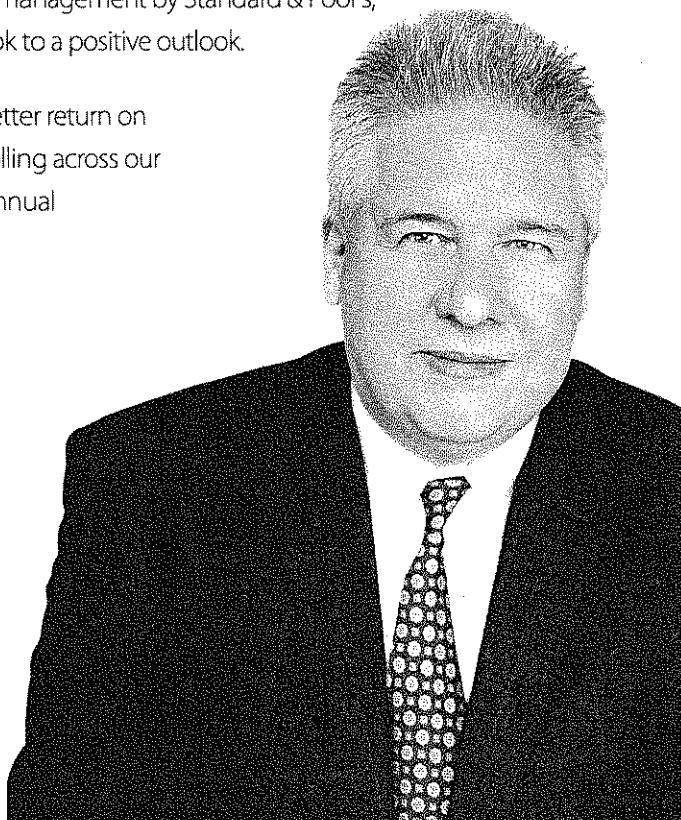
We leverage state investments and return more in the form of student subsidy than we actually receive from the state by being highly efficient and competitive. USNH has the lowest administrative cost per student in New

England and we are proud to be recognized for our sound fiscal management by Standard & Poor's, which this year upgraded USNH's bond rating from a stable outlook to a positive outlook.

We believe there is no public system in America that provides a better return on investment than USNH, and with well over 30,000 individuals enrolling across our institutions every year and more than a two-billion dollar overall annual economic impact, we are a critical part of the state's economy.



Todd Leach
Chancellor



Report from the University System of New Hampshire



University of New Hampshire

UNH is a land-, sea- and space-grant and community engaged public research university, enrolling over 13,000 undergraduate and over 2,000 graduate students. Recognized as a rising star among research universities, UNH retains the atmosphere of a New England liberal arts college with a faculty dedicated to undergraduate teaching and research. Its commitment to engagement and public service serves not only the Granite State through its many outreach offices such as Cooperative Extension, but nationally and internationally through its public policy expertise in areas as diverse as crimes against children, ocean fisheries management, rural poverty, space science and environmental research.

Plymouth State UNIVERSITY

PSU is a regional comprehensive university with a tradition of meeting the educational aspirations of New Hampshire citizens, communities, and organizations. PSU's educational philosophy is based on academic excellence, experiential learning, applied research, regional service, and leadership. While PSU's rich tradition of "place-based" education was established in 1871, today its horizons have expanded substantially with online programs at the undergraduate and graduate levels. Moreover, its development of academic partnerships across the globe has led to a vibrant student body of over 5,000 students coming from 43 states and 34 countries. The University's motto, *Ut Prosim* (That I may serve), is a credo for faculty, students, and staff, who engage with communities on a local, regional, national, and international scale in ways that are relevant and mutually beneficial. PSU has earned state and national recognition for academic innovation, environmental sustainability, international opportunities, community engagement, and economic partnerships.



Wisdom to make a difference.

KSC is New Hampshire's public liberal arts college, offering more than 40 majors in the arts and sciences, professional programs, and selected graduate degrees. For its 4,400 students, the college provides rigorous academic programs and a tradition of small classes, faculty-student collaborative research, and service learning. Chief among the college's priorities are preparing students for global citizenship and meaningful work, and catalyzing economic development through institutional partnerships across the region and state.

Granite State College

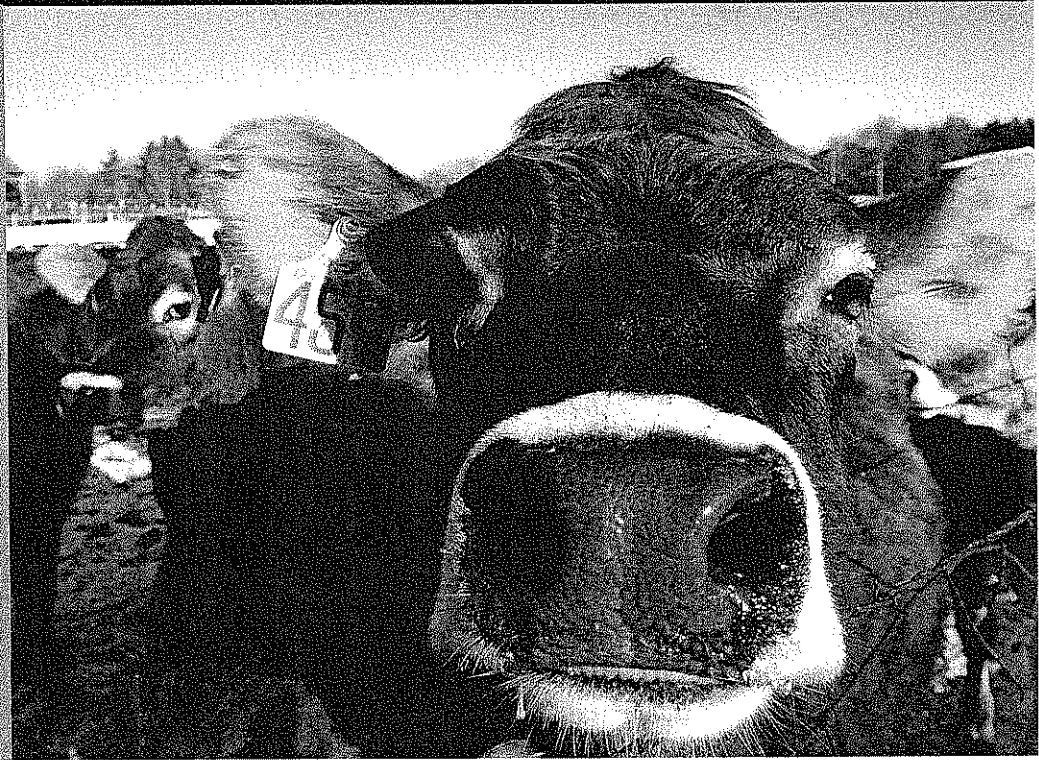
UNIVERSITY SYSTEM OF NEW HAMPSHIRE

GSC empowers students to reach their ultimate academic and career goals by providing comprehensive access to higher education. With a focus on adults and other students who seek the flexibility of online courses or convenience of a regional campus, GSC offers affordable tuition and degree programs that can be earned while balancing life's other responsibilities such as work, family, and community obligations. Offering associate, bachelor's and master's degrees, post-baccalaureate programs for teachers, and a variety of transfer opportunities, GSC is known for its supportive and enriching environment. Supported by a faculty of expert practitioners who help to illustrate the real-life application of their curriculum through innovative education technology, GSC emphasizes practical and relevant areas of study that are responsive to the work-force development needs of New Hampshire's economy.

Workforce and Economic Development

"We are excited about the long-needed expanded and improved lab facility. The new lab will enhance productivity and expand capacity for handling larger livestock—important for animal agriculture in the state."

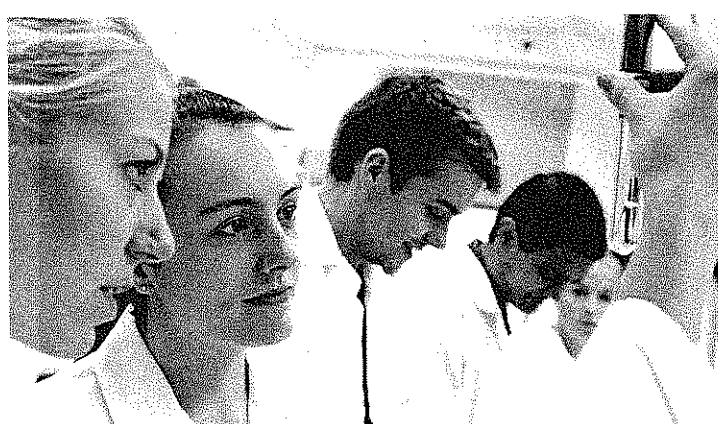
Lorraine Merrill
Commissioner
NH Dept. of Agriculture,
Markets & Food



The new and improved New Hampshire Veterinary Diagnostic Laboratory (NHVDL) at UNH

The New Hampshire Veterinary Diagnostic Laboratory (NHVDL) at UNH opened its new-and-improved home on the Durham campus in fall 2015.

public health communities; and it will also enrich their contributions to academic opportunities for students in UNH's biomedical sciences and pre-veterinary medicine programs.



50
Research projects
in process at any
one time at the
NH Agricultural
Experiment
Station

The new lab will greatly enhance the NHVDL's ability to provide essential diagnostic services to the state's agricultural, veterinary, wildlife, and

The NHVDL is an effective partnership that is co-funded and co-managed by the NH Department of Agriculture, Markets & Food and the UNH College of Life Sciences and Agriculture. The lab provides accessible, timely, and accurate diagnostic services for the Departments of Agriculture, Health and Human Services, and Fish and Game; state and local law enforcement agencies; and other relevant state, regional, and federal agencies, as well as diagnostic services to local farmers and hundreds of veterinarians in New Hampshire and New England.

The Enterprise Center at Plymouth State University

The Enterprise Center at Plymouth (ECP), a collaboration between Plymouth State University and the Grafton County Economic Development Council, is a **business incubator and accelerator that assists start-ups and existing businesses with professional services and resources**, including PSU student interns who are regularly recruited to work with local businesses. ECP continues to surpass its goals and provides direct return on investment:

94.8%
Occupancy rate

36
Full-time jobs created

19
PSU students employed as interns at ECP-assisted companies

400+
Central New Hampshire businesses served by ECP annually

Advanced Manufacturing at Keene State College

Keene State's collaborative efforts with regional business and industry continue to strengthen the college's academic and support programs while creating educational and career opportunities for students and meeting the region's workforce need for educated, versatile employees. A new Business Partner's Scholarship program provides financial support to students, as well as mentoring, internships, and practical experience.

Founding partners include:

- Hitchiner Manufacturing, Milford
- Markem-Imaje, Keene
- Safran Aerospace Composites, Rochester
- Whelen Engineering, Charlestown

WorkWise NH at Keene State

WorkWISE NH is a small business consultancy program operated with support from OSHA by Keene State College in conjunction with its occupational and health safety program. **The program aids businesses in preventing OSHA violations by providing a free on-site safety assessment** and confidential report which enables the company to remedy violations before they result in injury or fines.

Consultation is available to New Hampshire businesses that are privately owned and have 250 or fewer employees at one site and fewer than 500 in total. WorkWISE NH annually assists about 150 businesses in the state, primarily in the construction, manufacturing, and health care fields.



Matt Hardy, construction safety consultant at WorkWISE NH (left), and intern Brady Keene, a senior at Keene State, measure a concrete ledge at A.W. Rose Construction's Bedford worksite.

(Photo by Karen Bachelder NH Business Review)

Center for Rural Partnerships at Plymouth State University

The **"Bienvenue NH"** project combines the Center for Rural Partnerships at PSU with a group of statewide stakeholders to create an economic development program designed specifically for the North Country tourism economy, including interactive language workshops, translation services, and marketing support. The **"Route 3 Retro-tour"** was a similar collaborative marketing program for tourism providers in central New Hampshire. The Center is also involved in the Clean Water Healthy Trout initiative and publishes the quarterly North Country Economic Index used by policymakers, businesses, and elected officials.

NH Innovation Research Center

Created by the NH Legislature with \$500,000 in annual funding and housed at UNH Durham, the NHIRC works to increase collaboration, technology development, and innovation between NH businesses and universities. By the numbers:

650
Jobs created or retained

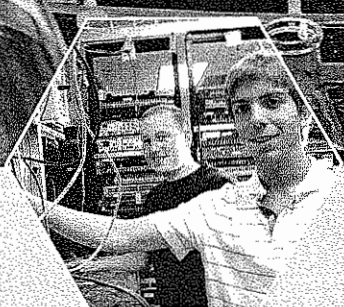
20:1
Total leverage of state funds

\$32M
Small Business Innovation Research awards

\$900M+
Additional funding raised by awardees for product development

\$25M
Additional EPSCoR* funding for NH educational institutions

*Experimental Program to Stimulate Competitive Research



In New Hampshire, STEM occupations are expected to grow by 17.3 percent between 2010 and 2020, compared to 10.4 percent job growth for the state as a whole.

USNH is committed to increasing the number of science, technology, engineering, and math (STEM) graduates to support NH's growing high-tech industry and the state's economy. Each year, USNH institutions graduate more than half of all college students in New Hampshire who earn bachelor's degrees in STEM. USNH institutions awarded approximately 1,990 STEM degrees in 2015 - 540 more than were awarded in 2009 - to meet the growing demand for STEM-educated workers.

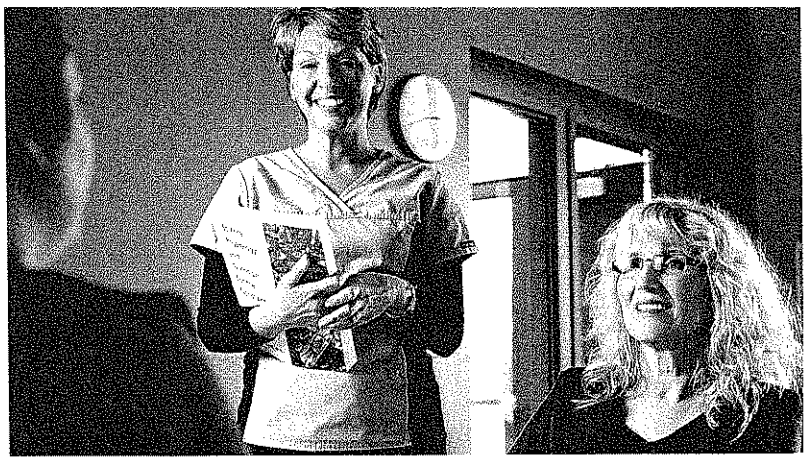
GSC Advanced STEM Learning

Granite State College and key partners have secured federal funding to help New Hampshire school districts better connect educators to the rich expertise that school librarians have in STEM areas. The project, School Librarians Advancing STEM Learning, will foster more collaboration between librarians and teachers.

Throughout the project, school librarians will collaborate with STEM teachers in grades 6-12. Together, they will create, improve, and share content and knowledge. Educators will identify resources and create lessons-centered STEM-based inquiry that address key requirements of both state and STEM-related standards.

Bachelor of Science in Analytics at UNH

In fall 2015, UNH Manchester launched a new bachelor of science in analytics program—the **region's first on-campus, bachelor's degree program in data analytics, and one of just a handful of such programs in the country.** An important feature that sets the UNH Manchester program apart is the hands-on application of SAS, industry-standard software used in business intelligence and predictive analytics. Students will have the opportunity to qualify for SAS certification, greatly enhancing their employment prospects.



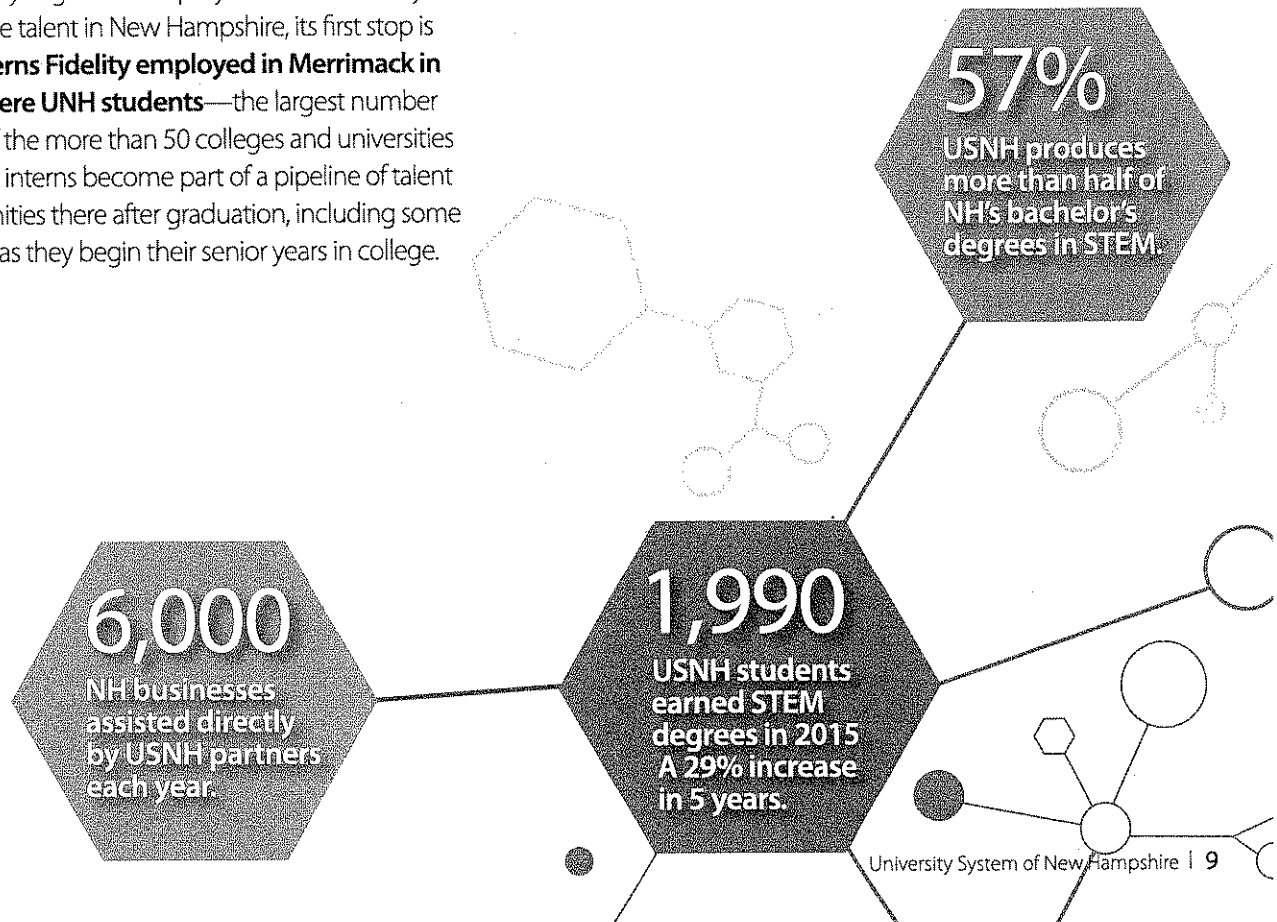
Nursing 3+1: PSU and GSC

In response to NH workforce demands, the University System and Community College System of NH have partnered to create a four-year (3+1) nursing degree pathway which combines the associate-level nursing program offered by the community colleges with the bachelor of science in nursing program provided by PSU and GSC. **The Nursing 3+1 program will provide an affordable and efficient degree completion pathway for NH residents,** who can complete all four years of the bachelor's degree at the community college tuition rate.



Fidelity's go-to source for future talent: UNH

Fidelity is among the world's most respected financial management firms and highly-regarded employers. When Fidelity goes looking for future talent in New Hampshire, its first stop is UNH. **Of the 131 interns Fidelity employed in Merrimack in summer 2015, 25 were UNH students**—the largest number of interns from any of the more than 50 colleges and universities represented. Fidelity's interns become part of a pipeline of talent for full-time opportunities there after graduation, including some who are offered jobs as they begin their senior years in college.





A focus on increased efficiency

Each campus, and the University System as a whole, work constantly to find more efficient ways to deliver programs and services, make new and existing facilities run efficiently, and coordinate technologies. An example implemented across campuses this year is the Online Enrollment Center. The OEC is a central service center, providing information to potential students seeking to enroll or transfer into programs identified at one of the USNH colleges or universities. The OEC specialists assist students in finding the right program to start or continue their studies and achieve their educational goals.

Keene State College a leader in energy savings

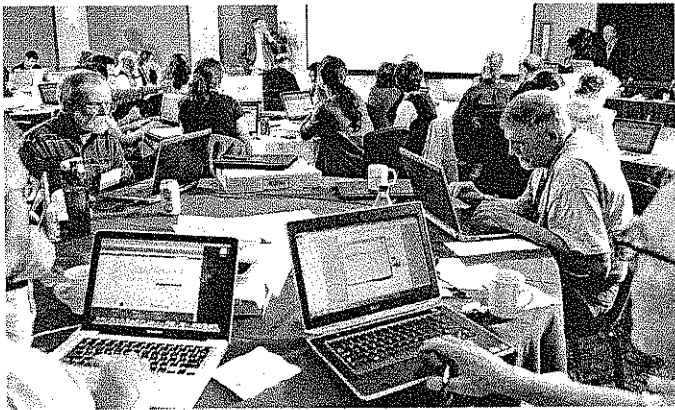
Keene State College projects utility savings of more than \$500,000 as a result of contracts and energy reduction measures introduced over the past year. The standards that were adopted with the construction of the Technology Design and Safety Center (the only USNH building to earn a LEED Platinum rating) are being replicated throughout the campus.

1,617

NH residents enrolled exclusively online at USNH—the state's largest public provider of online degree programs.

A living-learning laboratory at Plymouth State University

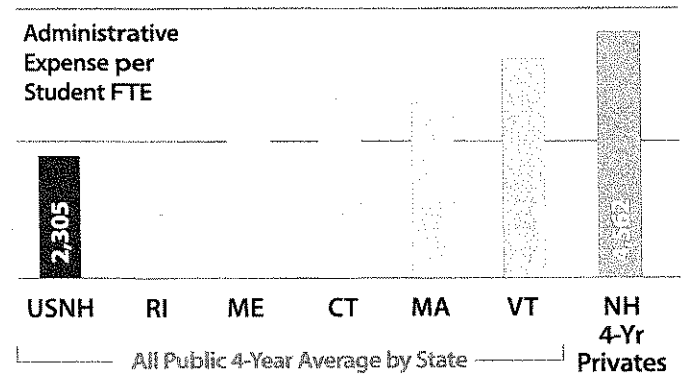
PSU's new environmentally friendly facility is a "living-learning laboratory." **The new ALLWell North athletic facility provides essential space for academics, athletics, and student activities.** The building has been carefully designed to minimize environmental impacts and bring economic and other added benefits to New Hampshire. A biomass wood pellet heating system in the facility provides a cost effective fuel source. The innovative system will meet sustainability goals and feed the regional economy. It also offers students in energy and science classes the chance to use a "living-learning laboratory" to understand energy issues and regionally beneficial ways to address them.



Granite State College delivers affordability

According to US Department of Education data, GSC is the least expensive 4-year college with a physical presence in New England. "Each day, we see and experience how the average college student is challenged by rising tuition rates—a predicament that's always a top concern for working adults. This drives students to look at affordable institutions, like Granite State College. **Being recognized as the leader in affordability is a direct reflection of our access mission and highly efficient operations,**" said Dr. Todd Leach, USNH chancellor.

Administrative efficiency



The administrative cost component of USNH total expense is the lowest of the New England public university systems on a per student basis and the lowest among other postsecondary education sectors in the state. Centralized services produce significant efficiencies and cost savings for the system as a whole. Examples include technology services provided by UNH, and finance, HR, and legal services provided by the System Office. Strategic staffing at the System Office has allowed for reductions in personnel over the past five years while simultaneously supporting additional shared services, such as the online enrollment center launched this year.

Note: Administrative Efficiency data from US Dept. of Education, IPEDS, FY13 provisional data, most recent available. Administrative Expense refers to functional expense category "Institutional Support"; excludes expense allocations for operations and maintenance of plant, depreciation, and interest. FTE is reported 12-month full time equivalent enrollment based on credit hour activity. Displays NH private 4-year nonprofits; comparable data for private for-profits are not available. Averages are weighted.

USNH enrollment Fall 2015

University of New Hampshire	16,495
Plymouth State University	5,061
Keene State College	4,382
Granite State College	2,179
Total	28,117

Includes preliminary graduate student counts subject to change.

NH Transfer

- Dual admission
- Nursing 3+1
- Program agreements
- Co-location

Over a full year, approximately
34,000
students enroll at USNH institutions.

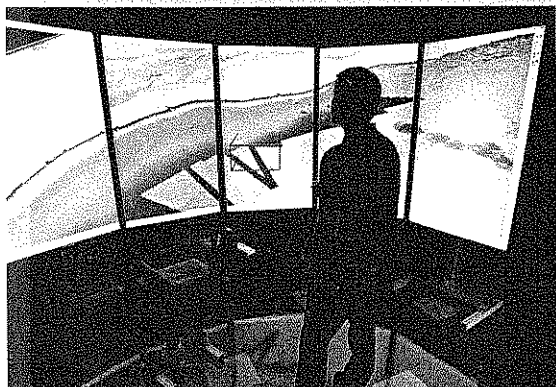
700
CCSNH students transfer to USNH institutions each year.



Center for Coastal Ocean Mapping makes global impact

Since its founding in 1999, UNH's Center for Coastal and Ocean Mapping (CCOM), along with the complementary Joint Hydrographic Center (a NOAA partner) at UNH, has established itself as the world leader in mapping the bottom of the ocean. In the past year, CCOM researchers found a 1,100-meter seamount in the Pacific and were called to assist efforts to find the lost Air Malaysia Flight MH370.

Closer to home, CCOM technology is helping ships in Boston Harbor to steer clear of endangered North Atlantic right whales. Off the New Jersey coast, CCOM researchers are assessing damage caused by Superstorm Sandy, supported by a NOAA grant for work that aims to better understand the impact of such events on the seafloor and on marine debris.





Law school ranked among nation's best

When rankings of the nation's top law schools come out, the UNH School of Law can be found consistently at the top both for overall quality and for its expertise in the growing field of intellectual property law.

In 2015, it was ranked in the top 100 law schools overall by U.S. News & World Report. For the 24th year in a row, it was in the top 10 for intellectual property law. Over the past three years, the law school has moved up 55 spots to 87 on the national list overall—an unprecedented rise among law schools.

The law school offers a variety of innovative programs, including the nation's only experiential education program that results in admission to the New Hampshire bar upon graduation.

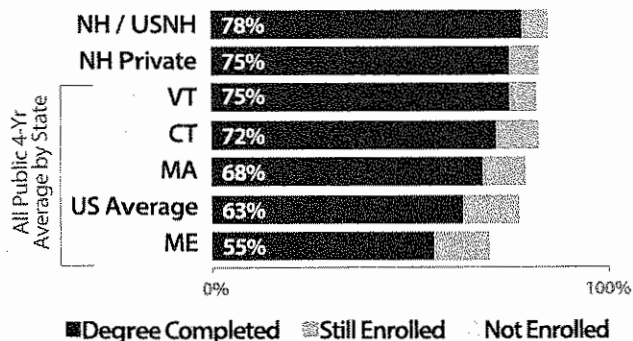
GSC Recognized for Online Excellence



In its annual compilation of "Best Online Degree Programs," U.S. News & World Report has awarded Granite State College two top rankings for 2015. Nationwide, GSC ranks #63 for online bachelor's programs and #44 for online graduate business degrees.

In both categories, GSC is the #1 ranked institution in New Hampshire. Granite State College is the largest public provider of online programs in New Hampshire. Every degree program is now available online and nearly all students enroll in multiple online classes while earning their degree.

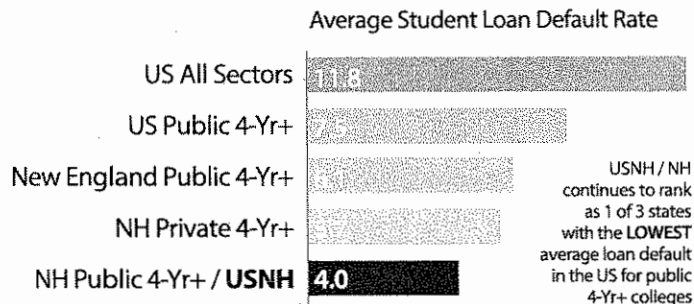
High quality outcomes: Degree completion



That USNH operates effectively is evident in our student outcomes. With 78% of students who begin college at a USNH institution completing a bachelor's degree, our students' average completion rate is the highest in New England (and second highest in the US), and even surpasses that of NH's private nonprofit colleges and universities.

Note: Degree Completion data from National Student Clearinghouse. FY14 six-year status of first-time degree-seeking students who started college in fall 2008, includes students who completed or were still enrolled at an institution other than their starting institution. PI excluded by NSC for technical reasons. Displays NH private 4-year nonprofits; comparable data for private for-profits are not available.

High quality outcomes: Loan repayment

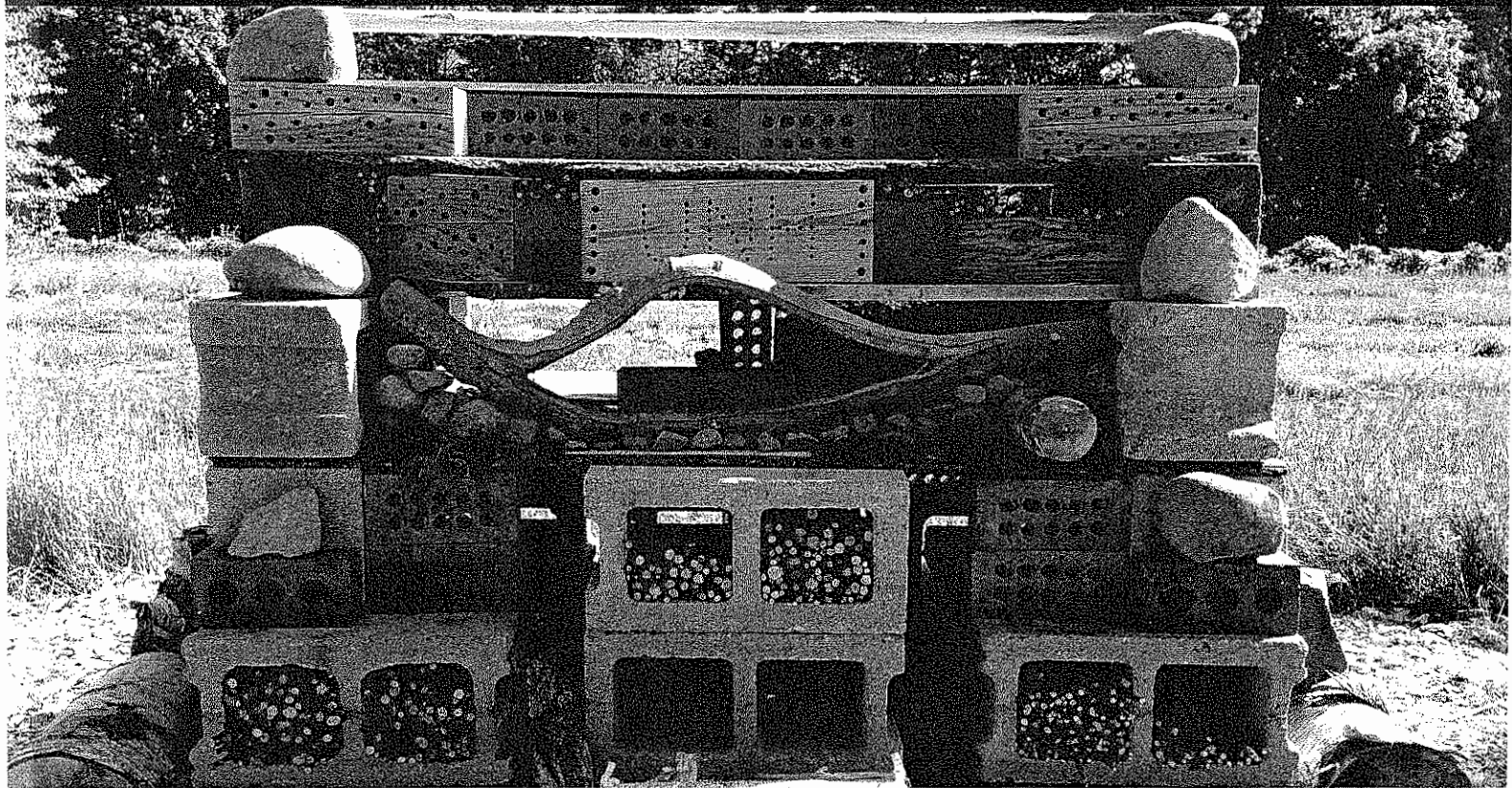


By graduating in a timely manner, students are well positioned for employment and for loan repayment. USNH, as NH's public 4-year system, consistently ranks among the top 3 in the US for having the lowest average student loan default compared at the state level to similar institutions across the country.

Note: Data from US Dept. of Education, National Student Loan Data System, 3-year cohort default, 2012 cohort entering repayment, most recent available. New England, NH Private, and NH Public (USNH) averages reflect total number of defaulting borrowers divided by the total number of borrowers, rounded. US Public 4-Yr+ average is similarly weighted and rounded; it excludes US territories. US All Sectors average as reported by US Dept. of Education.

About 70%
of NH students stay in NH to work
after graduating from a USNH institution

90,000
USNH alumni live
in New Hampshire



"This study will establish the first complete diversity assessment of native bees in the region and also provide a better understanding of pollinator diversity and ecology. This information will be used to protect native bees in New England and to raise awareness of pollinator health and how human land use practices affect native pollinators and, in turn, our food supply and ecosystems."

- Sandra Rehan
NHAES Researcher

"Bee Hotel" offers researchers key insights into pollinators

Researchers at the UNH College of Life Sciences and Agriculture have created a unique and effective sanctuary for some of the region's most important plant pollinators—bees. The "bee hotel," built at Woodman Farm in Durham and developed by the NH Agricultural Experiment Station, has generated quite a buzz among agricultural experts, farmers, orchard owners, and others who depend on our vital bee species.

NHAES researcher Sandra Rehan, assistant professor of biological sciences; UNH Cooperative Extension specialist Cathy Neal, professor of plant biology; and Woodman Farm staff

constructed the bee hotel in 2014 as part of the research project "Sustainable Solutions to Problems Affecting Bee Health" that will assess for the first time the Granite State's bee species.

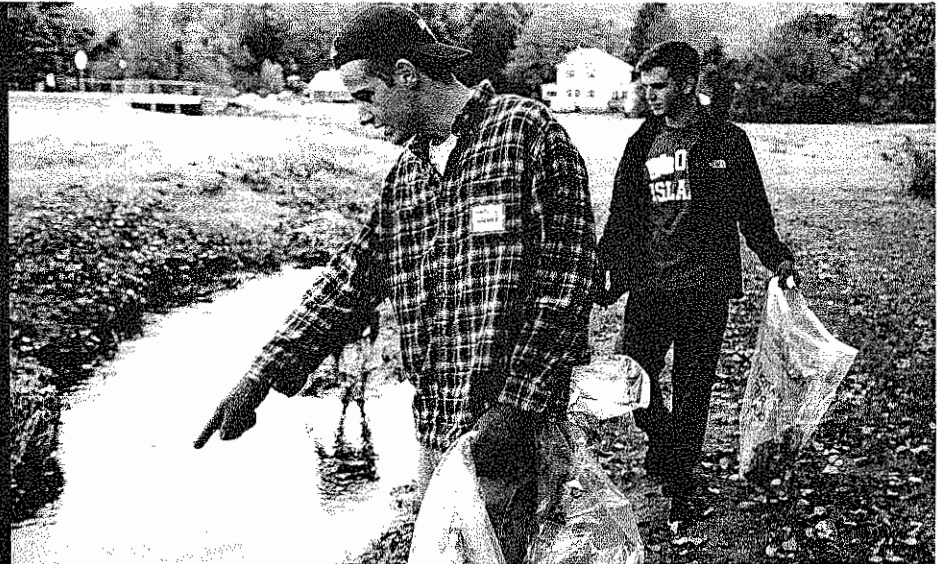
Researchers hope the study will help local bee populations and restore and rejuvenate healthy plant pollinator habitats. Rehan estimates New Hampshire has about 250 bee species.

\$105 million

FY15 Grants and Awards to UNH Faculty and Researchers.



Keene State is the recipient of the 2015 Community Engagement Classification awarded by the Carnegie Foundation



Keene State is the recipient of the 2015 Community Engagement Classification awarded by the Carnegie Foundation for the Advancement of Teaching. KSC is the only institution of higher education in New Hampshire to receive the recognition this year. A tally of student community service work, service-based trips, service-learning courses, and internships focused on community service, revealed that Keene State College students provided more than 100,000 hours of service in 2013-2014.

Manchester Garden Collaborative helps families take root

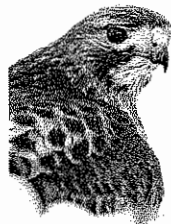
Created in partnership with the UNH Cooperative Extension, the Rooting for Families Community Garden Collaborative in Manchester is a program that provides garden spaces for 54 families with 174 people at three thriving community garden sites in Manchester.



The gardens, which serve residents from all age groups and demographics, have been particularly valuable for low income residents,

veterans, and refugees, many of whom are struggling to meet daily needs of food and shelter. Manchester continues to face significantly higher poverty and hunger rates than the rest of the state, with 14.2 percent of residents living below the poverty line and 1 in 5 children living in food insecure households.

Wildlife plan guides New Hampshire species, habitat management



New Hampshire's Wildlife Action Plan provides comprehensive recommendations for the management of wildlife species and habitats.

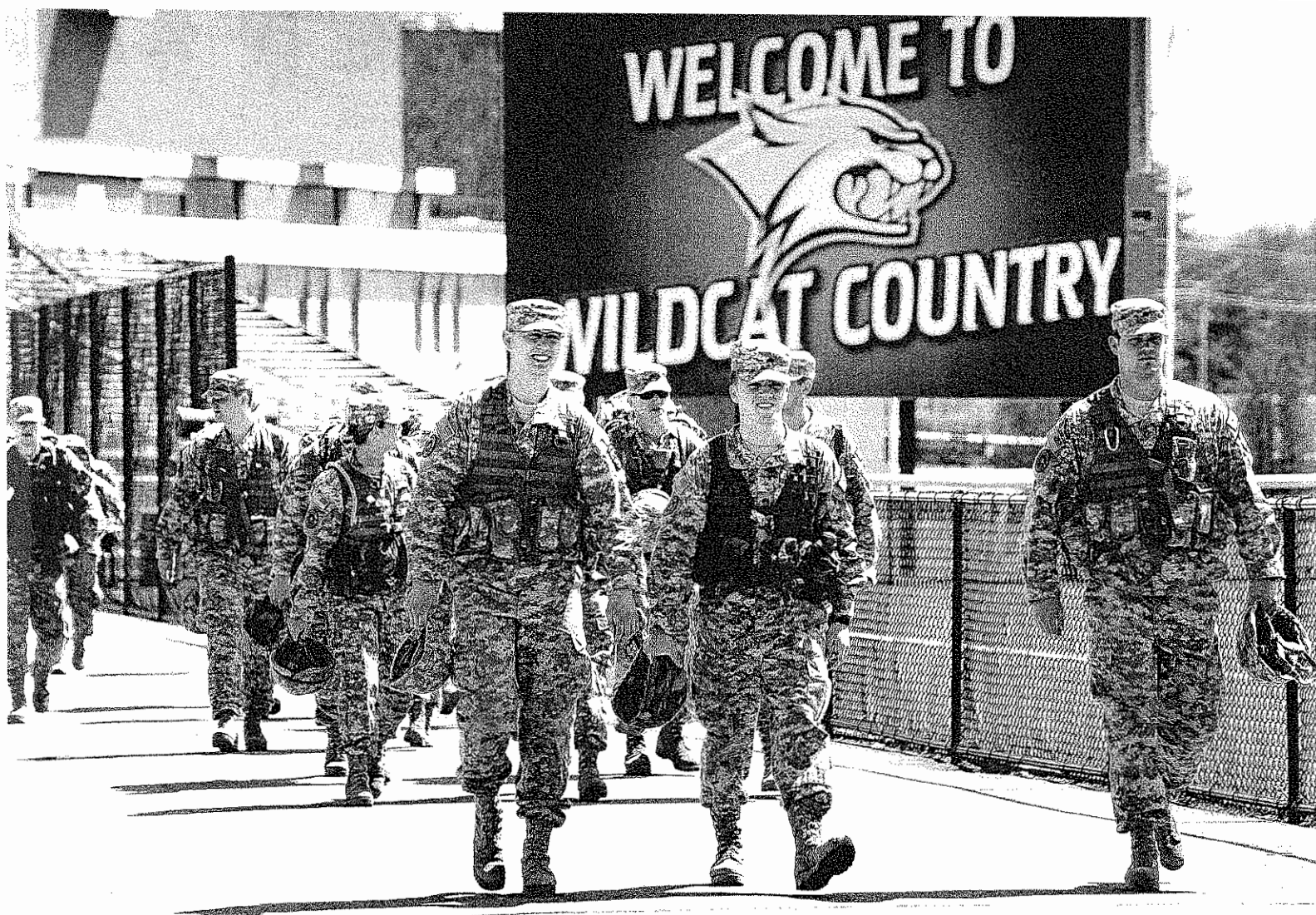
UNH helped coordinate five public sessions across the state, interviews with key informants, an online survey, and comment cards. **In total, the team of specialists collected over 2,000 suggestions to protect wildlife and natural land in New Hampshire.**

Stakeholder insights are vital to developing a plan revision that addresses the needs of the state's wildlife and habitats now and into the future.

Military Youth and Family Program

Teenagers from military families can take part in one of three winter adventure camps in the White Mountains, run by UNH Cooperative Extension's Military Youth and Family Program. With dedicated and enthusiastic counselors and staff, these camps offer opportunities for teens and families to connect with one another in a safe and supportive environment.

The camp is open to teens with or without disabilities, and the only cost to families is a \$20 registration fee, which is later applied to the cost of a camp memento. The impact of the program extended all the way to Afghanistan, from which a member of our military called to personally thank the 4-H counselors and staff: **"My family is absolutely having a great time," the soldier said. "It just helps them forget my absence a little bit, so I appreciate all the thought and care and everything you guys do."**



New Hampshire National Guard students gain strong USNH support

Members of the New Hampshire National Guard have been offered tuition waivers from USNH institutions for more than 15 years. Granite State College provided 311 tuition waivers totaling \$370,865 over the past academic year. At UNH, 201 guardsmen attended with the help of nearly \$1 million in waivers last year. PSU, with 39 students who were also NH National Guards, waived \$217,866 in tuition. KSC's six NH National Guardsmen received \$30,257 in tuition waivers.

The tuition waivers make a difference for the hundreds of NH guardsmen who use them each year at USNH colleges and universities. Already managing studies, military service, and other responsibilities, many indicate the tuition assistance is important to completing their education.



Granite State College

UNIVERSITY SYSTEM OF NEW HAMPSHIRE

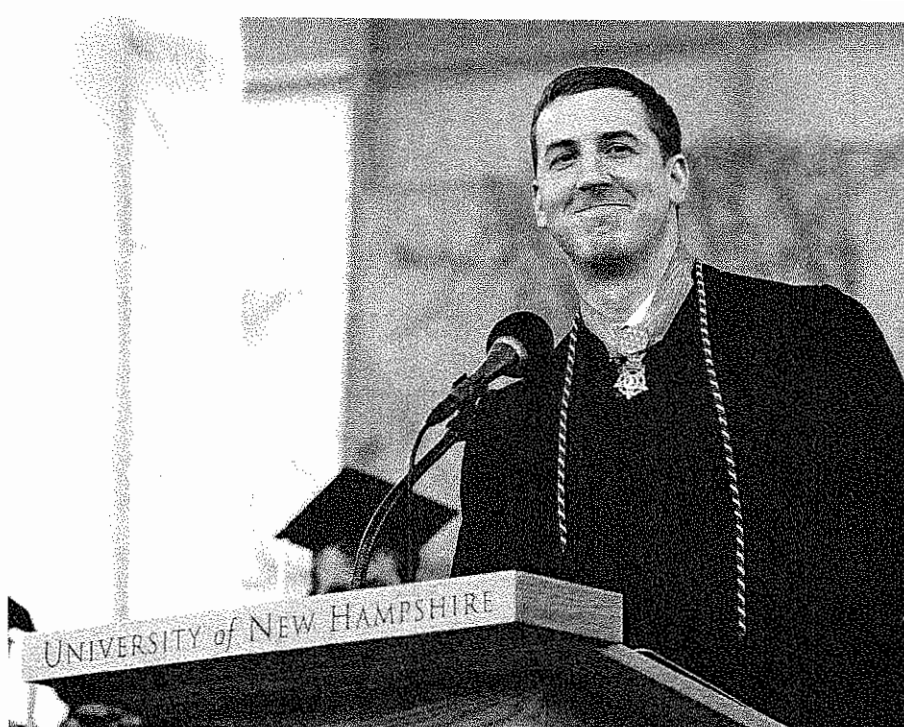
Granite State College rated a Military Friendly® School



Rated a Military Friendly® School for five years running, Granite State College engages in leading practices to support active-duty service members and veterans, including



credit for military training, affordable tuition, fully online GI Bill-approved degree programs, and online support services. Military/veterans enrolled at GSC receive credits for basic training and for specialized military occupational training based on American Council on Education (ACE) recommendations. **GSC is also rated by U.S. News & World Report as a "Best Online Program for Veterans"** based on affordability, accessibility, and reputation. Nearly 300 active military and/or veterans were enrolled at GSC in the 2014-15 academic year.



University of
New Hampshire

"Be courageous and appreciate courage in others who take action in the face of fear,"

University of New Hampshire commencement speaker Ryan Pitts '13 said during the university's 145th commencement in 2015. This past year 2,429 undergraduate and 485 graduate students—including 44 military veterans—ranging in age from 20 to 79 and representing 43 states and 25 foreign countries received degrees.

Relating the combat actions during the 2008 Battle of Wanat in Afghanistan that earned him the Medal of Honor, Pitts shared insights of courage, valor, and dedication to his brothers in arms. "I never imagined that I would be forced to fight while wounded, let alone that I was even capable of it," he said. "I discovered that we can venture beyond the horizon of our perceived capabilities and do more than we ever thought possible."

"Appreciate the contributions of others and the impacts they make in your life," he said as he named the nine men who fought alongside him that day but did not survive.

Pitts, an Army Staff Sergeant and resident of Nashua, received the Medal of Honor from President Barack Obama in 2014. A 2013 graduate of the university's business program in Manchester, he also serves on the UNH Alumni Association advisory board.



Governor, higher education leaders announce new dual admission pathway between Community College and University Systems

One application, seamless transition for student

A single application, joint advising, access to campus events at both institutions, and seamless transfer are the hallmarks of the new "New Hampshire Dual Admission" program developed by the state's University and Community College systems. Students can enroll for the fall 2015 semester at any of NH's seven community colleges, and can be jointly admitted to the University of NH, Plymouth State University, UNH-Manchester, or Granite State College. Advisors from both institutions will work with each student to map out a course of study. Taking courses at the community college, students will

earn an associate degree. As long as the student maintains at least a 2.5 GPA, the next step is seamless continuation at the University System institution to pursue a bachelor's degree. Students are considered dually admitted and will have access to various campus events at both institutions. The Dual Admission program is generally designed for transition into liberal arts majors in USNH schools. Admission to certain majors is dependent upon meeting major-specific GPA and course requirements. Other transfer pathways exist for students pursuing different academic majors.

The NH Dual Admission program guarantees student entry to USNH via any of the community colleges in a transparent pathway that will strengthen accessibility to a world-class university system. Through this program we further address two of the greatest challenges facing higher education today: access and affordability.

—Todd Leach
USNH Chancellor



KPMG LLP
Two Financial Center
60 South Street
Boston, MA 02111

Independent Auditors' Report

The Governor and
Legislative Fiscal Committee,
State of New Hampshire; and the
Board of Trustees,
University System of New Hampshire:

Report on the Financial Statements

We have audited the accompanying statements of net position of the University System of New Hampshire (the System), a component unit of the State of New Hampshire, as of June 30, 2015 and 2014, the related statements of revenues, expenses and changes in net position and cash flows for the years then ended, and the related notes to the financial statements, which collectively comprise the System's basic financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.



Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the net position of the University System of New Hampshire as of June 30, 2015 and 2014, and the changes in its net position and its cash flows for the years then ended in accordance with U.S. generally accepted accounting principles.

Other Matter - Required Supplementary Information

U.S. generally accepted accounting principles require that the management's discussion and analysis on pages 21 through 29 and the schedule of funding progress on page 42 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

KPMG LLP

October 30, 2015

Management's Discussion and Analysis

June 30, 2015 and 2014

I. Introduction

The following Management's Discussion and Analysis includes an analysis of the financial condition and results of activities of the University System of New Hampshire (USNH) for the fiscal years ended June 30, 2015 and 2014. This analysis provides a comparison of significant amounts and measures to prior periods and, where appropriate, presents management's outlook for the future.

USNH is a Section 501(c)(3) corporation organized under the laws of the State of New Hampshire to serve the people of the state as the key provider of public higher education for Bachelor's and advanced degree students. USNH accomplishes its mission by operating four educational institutions that collectively offer a broad array of education, research and public service options for the state. These institutions include the University of New Hampshire, with campuses in Durham (UNH) and Manchester (UNHM), as well as the UNH School of Law (UNHL) in Concord; Plymouth State University (PSU); Keene State College (KSC); and Granite State College (GSC). While select programs are active in other regions as well as abroad, most of USNH's activities take place at the three residential campuses (UNH, PSU and KSC), the ten regional sites of GSC, UNH's urban campuses (UNHM and UNHL), and the UNH Cooperative Extension and Small Business Development Centers located throughout the state. The accompanying financial statements also include the activities and balances of the University of New Hampshire Foundation, Inc. (UNHF) and the Keene Endowment Association (KEA) - two legally separate but affiliated entities. The condensed five-year financial statements included also reflect the activities and balances of New Hampshire Public Broadcasting (NHPB) prior to its disaffiliation from USNH on July 1, 2012. (See Note 1 for additional information on affiliated entities.)

II. Economic Outlook

For fiscal year 2015, the general appropriation from the state was increased from \$69 million to \$81 million. This allowed USNH to freeze tuition rates for resident students for a second year, directly impacting costs for our New Hampshire students and their families.

Appropriations from the state for fiscal year 2016 are now final. USNH will receive funds monthly at the fiscal year 2015 level of \$81 million for both fiscal years 2016 and 2017. The USNH Board of Trustees approved increasing fiscal year 2016 resident tuition rates by no more than 2.75% over fiscal year 2015 rates. The increase was limited to allow qualifying resident students to continue to succeed at USNH institutions despite stagnant state funding. Campuses reduced their 2016 expense budgets by \$1 million to facilitate the low increase. The Board also approved lowering the consolidated operating margin target to 0.5% for one year, while long-term margin expectations remain in the 3-5% range.

The remainder of this report describes the results of financial operations for the year ended June 30, 2015 with comparisons to prior years. With this strong foundation and the commitment of management and trustees, USNH continues to support the future success of the more than 20,000 New Hampshire students served by our campuses each year.

III. Financial Highlights

A. Revenues

The charts below show the diversification of USNH's revenue streams in 2015 which totaled nearly \$1 billion. Note that these amounts are not reduced by student financial aid expenditures. In addition, capital additions and deductions are excluded from the charts.

Chart 1: 2015 Revenues by Source
Total = \$975 million
(\$ in millions)

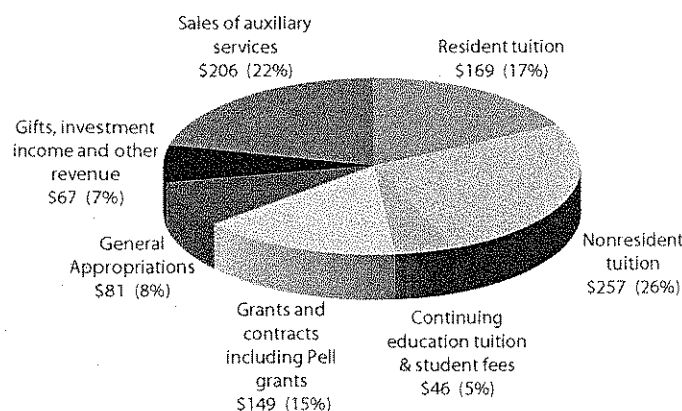
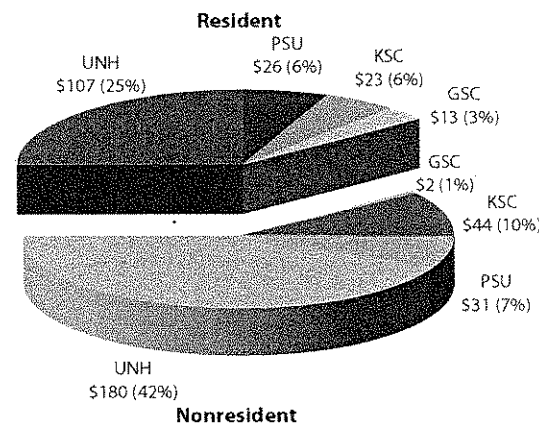


Chart 2: 2015 Tuition by Campus
Total = \$426 million
(\$ in millions)



Including continuing education revenues, tuition and student fees accounted for \$472 million or 48% of USNH revenue for 2015. As shown in Table 1 below, overall enrollment continues to be stable.

As seen in Table 2 below, UNH's Durham campus had a large increase in freshmen applications for the fall of 2014. The yield rate improved from the prior year dip, resulting in the largest freshmen class in UNH's history. Approximately 39% of new UNHD students in the fall of 2014 ranked in the top 20% of their high school class reflecting the continued quality of the population.

All campuses have implemented successful short semesters during the winter break, and are expanding online offerings for all terms to increase enrollments without adding significant costs. In addition, the residential campuses, the UNH School of Law and GSC are also recruiting more international students for on-site and online classes as learning opportunities for our students continue to expand both locally and globally. Overall financial aid expenditures increased 3.4% from 2014 to 2015. As a result of the large freshmen class, general fund aid at UNH increased by over \$5 million during this time, while aid from grants decreased by \$521,000.

Auxiliary revenues grew \$3 million from 2014 to 2015. This includes increases of \$4 million and \$2 million at UNH and KSC, respectively;

offset by a decrease of \$3 million at PSU. UNH's traditional auxiliary services had combined increases of \$5 million, which were offset by a \$1 million reduction in revenues from Ecoline sales. Continuing the trend of recent years, the 2015 increase in other operating revenues was primarily due to additional fees for the Navitas program at UNH (\$810,000). This program recruits international students and brings them to campus each summer to ease their transition into undergraduate programs while also generating housing, dining, and English-as-Second-Language program fees.

Direct grant revenues were down \$3 million in 2015. As with the large decrease in 2014, the current year reduction in federal grants was due to completion of the Broadband Technology Opportunities Program (BTOP) grant at UNH (\$3 million). There were also decreases in corporate grants to the UNH Interoperability Lab (\$1 million) and other small grant reductions. These were offset by an increase in grant funding from the State of NH (\$1 million) for the medical Balancing Incentives Program and the NH Industrial Research Center, and approximately \$1 million for the UNH Hydrographic Center sponsored by the National Oceanic and Atmospheric Administration.

State general appropriations increased to \$81 million for fiscal year 2015, but remain well below the \$100 million provided annually from

Table 1: Full-Time Equivalent (FTE) Credit Enrollment in the Fall of Each Fiscal Year

	2014	2015
UNH (all campuses)	15,272	15,406
PSU	4,670	4,346
KSC	4,705	4,751
GSC	1,551	1,685
Total USNH FTEs	26,198	26,188
NH Resident	14,797	14,308
Nonresident	11,401	11,880
Total USNH FTEs	26,198	26,188

Table 2: Freshmen Applications, Acceptances and Enrollees at UNH at Durham* for the Fall of Each Year

	2010	2011	2012	2013	2014
Freshmen applications received	16,545	17,344	17,234	17,938	18,420
Freshmen acceptances as % of applications (selectivity ratio)	73%	74%	78%	78%	80%
Freshmen enrolled as % of acceptances (matriculation yield)	24%	23%	22%	16%	18%

* Data for the flagship campus is included herein as part of the annual continuing disclosure requirements related to USNH's outstanding bond obligations. Comparable data for other campuses is available upon request.

2009 through 2011. As shown in Chart 3 below, the only revenue stream not keeping pace with inflation over the past 25 years is the state general appropriation.

B. Operating and Capital Expenditures

USNH operating expenses increased by \$32 million, or 4.2%, from 2014 to 2015. This compares to \$13 million or 1.6% from 2013 to 2014. The majority of the 2015 increase relates to the changes in nongrant employee compensation of \$23 million or 5.4%, and nongrant supplies/services of \$11 million or 6.8%. Nongrant compensation for fiscal year 2015 includes salary costs of \$329 million, separation incentives of \$6 million, and other fringe benefit costs of \$110 million. This compares to salary costs of \$318 million, separation incentives of \$5 million, and other fringe costs of \$100 million in fiscal year 2014.

The salary portion of the compensation increase (\$13 million or 3.4%) represents performance-based salary increases for faculty and staff. The other fringe benefit increase (\$10 million or 10%) is primarily driven by retirement costs which increase with salaries (\$2 million), post-retirement medical actuarial cost increase (\$5 million), and increases in medical and dental coverage (\$3 million). The campuses are using separation incentives as one of their strategies to right-size the institutions for enrollment shifts between online and traditional course offerings.

While the end of the BTOP project (\$46 million over the past several years) is driving the decreases in grant-funded supplies and services costs for the year (\$3 million), the primary driver of nongrant supply cost increases were repairs, refurbishment and reconfiguration of plant assets (\$11 million). This includes new furnishings, painting,

carpeting, and other minor interior work as well as paving, landscaping and similar exterior work.

Chart 4 displays USNH's operating expenses for the past three years by functional, rather than natural, classification. As the chart shows, increases in expenses associated with financial aid have far-outpaced increases in institutional support (general overhead) expenses in the last several years. (Additional detail of operating expenses by function can be found in Note 12.)

In addition to the noncapital construction projects mentioned above, all campuses have undertaken major capital projects over the past year. GSC exercised the option to purchase its Concord location at the start of the fiscal year, and shortly thereafter completed significant renovations to the property for a total project cost of approximately \$5 million. The System office also relocated to Concord in early spring. For the first time all System employees are now in the same location improving our efficiency of operations.

Chart 3: Twenty-five Year Revenue Comparison
(not including capital additions/deductions)
(\$ in millions)

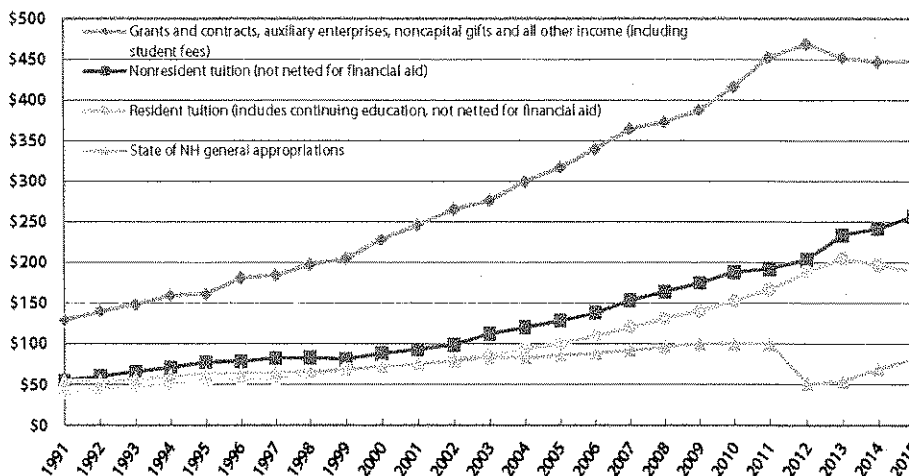
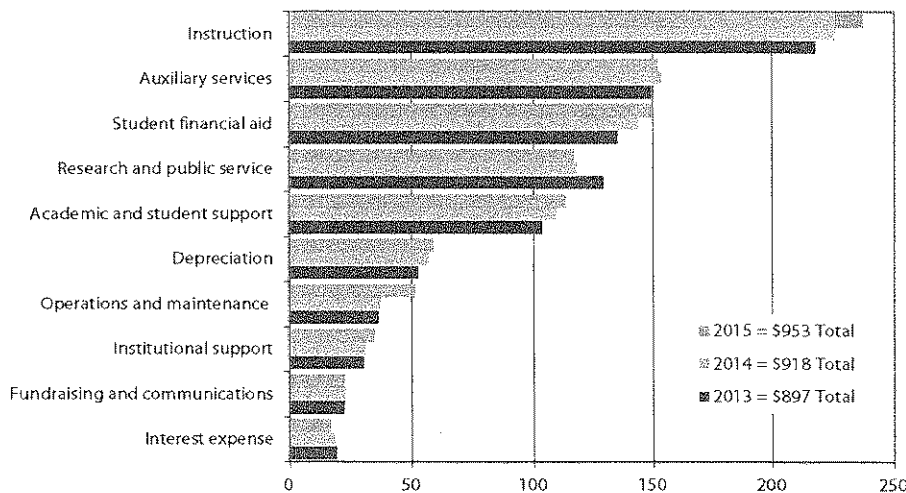


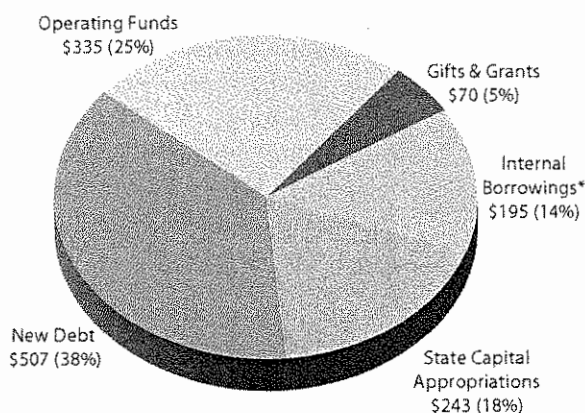
Chart 4: Expenses by Functional Classification
(\$ in millions)



Major projects begun at the residential campuses include the KSC Pondsides IV residence hall (\$32 million), PSU's All Well North recreational and educational facility (\$32 million), and the expansion of both the Hamel Recreation Center and Holloway Commons dining hall at UNH (\$36 million and \$11 million, respectively). These projects were all funded in part with proceeds from bonds issued by USNH in May 2015. Ground-up renovations of the Hamilton-Smith building (\$37 million) and West Stadium (\$20 million) at UNH are also in process and being funded with internal borrowings.

From 2002 to 2013 USNH was the beneficiary of \$235 million in capital appropriations through a program known as the Knowledge Economy Education Plan for New Hampshire (KEEP-NH). Those appropriations were primarily used to renovate and upgrade key science and technology buildings on all campuses and address infrastructure needs. Since completion of this initiative, USNH capital appropriations have been limited as the state struggles to balance needs of competing priority projects.

Chart 5: Capital Funding Sources, 2001-2015
Total = \$1.3 Billion
(*\$ in millions*)



* excludes amounts committed but not yet spent as of June 30, 2015

As shown above, USNH spent over \$1 billion during the past fifteen years to construct and renovate buildings and infrastructure at all campuses. The largest source of funding for the related projects was debt issuances of \$507 million with the projects noted above comprising the latest issue. USNH is authorized to issue debt only for self-supporting, auxiliary projects. The majority of the related debt service is funded by student fees for each type of auxiliary service (housing, dining or recreation). Debt service for UNH's Ecoline project is funded with electricity usage charges to campus departments as well as proceeds from sales of electricity and renewable energy credits produced by the campus.

USNH also periodically refinances portions of the campuses' outstanding debt to reduce related interest costs. During 2014 and 2015 USNH refunded portions of the Series 2009A and 2006B-2 Bonds, respectively. These actions resulted in over \$1 million of interest

savings in 2015. Campuses and students share in these savings through reduced internal borrowing rates and reduced student fee rates.

Chart 5 summarizes USNH's capital spending over the past fifteen years. Plant depreciation expenses of \$454 million were recorded during the same period. A review of deferred maintenance needs completed during 2015 indicated USNH should spend approximately \$70 million per year to maintain its existing facilities. With state capital appropriations more limited in recent years, USNH is beginning work on funding plans to meet this ongoing need. Also not included in the chart are approved internal borrowing amounts not spent as of June 30, 2015, totaling \$72 million. This includes funds set aside for completion of the West Stadium and Hamilton-Smith renovations and the academic portion of PSU's All Well North facility, as well as renovation of UNH Manchester's new facility and several smaller projects.

The current construction and renovation work is critical to maintaining enrollment levels in the competitive higher education market. In addition, the campuses are reviewing facility usage during off-peak seasons and hours to determine the right-size needed for each institution's footprint. (See Notes 5 and 8 for additional information on property and equipment, and debt balances.)

C. Investing Activities

USNH manages cash and short-term investments on a daily basis to maximize returns. Cash balances totaled approximately \$80 million on June 30, 2015 and June 30, 2014. USNH is required to keep specific cash balances in same-day available funds to meet self-liquidity commitments of certain bond issuances. Selected money-market funds are used to meet that commitment. The balance of liquid money-market investments was \$59 million and \$62 million at June 30, 2015 and 2014, respectively. These are included in the cash and cash equivalents total in the statements of Net Position. Remaining cash balances not needed for daily operations are invested in short-term bond funds that meet the requirements of USNH's investment guidelines. Short-term bond fund holdings totaled \$157 million and

Table 3: Endowment and Similar Investments
Market Value Summary
(*\$ in millions*)

	as of June 30,	
	2015	2014
USNH Pool	\$457	\$454
UNHF Pool	197	185
KEA Pool	7	7
Funds held in trust	15	16
Life Income/Annuity Funds	4	5
	\$680	\$667

\$154 million as of June 30, 2015 and 2014, respectively. (See Note 2 for additional information on cash, cash equivalents and short-term investments.)

USNH's long-term investments are primarily derived from endowment gifts intended to be invested in perpetuity. With Board approval USNH also invests certain large, current-use gifts, and unrestricted balances held centrally, as quasi-endowment funds. These amounts are invested in one of three venues depending on whether the donor contributed to a campus, the UNH Foundation (UNHF), or the Keene Endowment Association (KEA). The USNH Consolidated Endowment Pool holds funds for the benefit of all campuses, and the other endowment pools hold funds for the benefit of their respective campus only. The investment pools are managed to provide the highest rate of return over the long term given an acceptable level of risk as determined by the responsible fiduciaries. The USNH Board of Trustees has fiduciary responsibility for the USNH Pool, whereas the separate boards of UNHF and KEA have their own investment policies and are responsible for those investments. Table 3 on the previous page provides a summary of endowment and similar investment value as of June 30, 2015 and 2014.

As shown in Table 4 below, the market variability over the past year dramatically impacted returns for USNH's endowment investments. The USNH, UNHF, and KEA pools had small positive gross returns in fiscal year 2015 (1.3%, 3.3%, and 2.4% respectively). Over the past five

years the USNH pool gross return average was approximately 10%. This compares to approximately 11% for the UNHF and KEA pools. The USNH pool balance will be reduced by up to approximately \$76 million over the next five years due to the planned funding of bullet payments due on the Series 2007 and 2009A Bonds outstanding. These liquidity requirements drive the lower returns for the USNH pool.

The net negative returns after distributions for fiscal year 2015 resulted in use of prior year accumulated gains from each pool to support the endowment payouts for campus programs. Distributions from the pools during 2015 totaled \$24 million with \$14,613,000 from the USNH pool, \$8,896,000 from the UNHF pool, and \$241,000 from the KEA pool. This compares to approximately \$22 million during 2014 (\$13,023,000 from the USNH pool, \$8,517,000 from the UNHF pool, and \$221,000 from the KEA pool). Distributions represent a smaller percentage of the USNH pool than the UNHF distributions because USNH holds several endowment funds for future, rather than current, use. These include funds invested to pay bond bullet payments in future years as well as funds for contingencies. The USNH Pool also incurs lower investment management fees than the other two pools.

The negative net returns for 2015 also caused the number of endowment funds with market values less than the original gift value ("underwater" funds) to increase. Of the 1,385 USNH and UNHF pooled endowment funds held at June 30, 2015, 63 funds were underwater by approximately \$832,000, a small fraction (0.1%) of the combined pool fair value of \$654 million. No KEA funds were underwater at this time. (See Notes 4 and 11 for further information on endowment and similar investments.)

Table 4: Pooled Endowment Returns

	Year-Ended June 30,		Five Year Average
	2015	2014	
USNH Pool			
Gross return	1.3%	17.6%	9.7%
Investment management fees	(0.3%)	(0.4%)	(0.5%)
Net return	1.0%	17.2%	9.2%
Distributions	(3.3%)	(3.6%)	(3.3%)
Net (utilized) reinvested	(2.3%)	13.6%	5.9%
UNHF Pool			
Gross return	3.3%	16.8%	11.2%
Investment management fees	(0.7%)	(0.6%)	(0.5%)
Net return	2.6%	16.2%	10.7%
Distributions	(4.9%)	(5.4%)	(5.3%)
Net (utilized) reinvested	(2.3%)	10.8%	5.4%
KEA Pool			
Gross return	2.4%	16.9%	10.9%
Investment management fees	(0.7%)	(0.7%)	(0.7%)
Net return	1.7%	16.2%	10.2%
Distributions	(3.4%)	(3.6%)	(2.9%)
Net (utilized) reinvested	(1.7%)	12.6%	7.3%

IV. Using the Financial Statements

Note that all values presented in this section for the years ended and as of June 30, 2011 and 2012 include amounts attributable to New Hampshire Public Broadcasting prior to its disaffiliation from USNH on July 1, 2012. Also, amounts presented for the years ended and as of June 30, 2013, 2014 and 2015 include the balances and activities of the UNH School of Law which merged with UNH on January 1, 2014. The fiscal year 2013 beginning balances for the Statements of Net Position and Cash flows were restated in 2014 to include applicable UNH School of Law amounts.

A. Statements of Net Position

The Statements of Net Position depict values of all USNH assets, deferred outflows of resources, and liabilities on June 30th each year along with the resulting net financial position. Over time, an increase in net position is one indicator of an institution's improving financial health. Factors contributing to future financial health as reported on the statements of net position include the value and liquidity of financial

investments; depreciated values of buildings and equipment; and current balances of related debt obligations. Table 5 below shows condensed information from the Statements of Net Position at June 30 for the past five years.

Over the five year period shown, total assets and deferred outflows of resources increased by \$381 million or 22%. The major components of assets are cash and operating investments, endowment and similar investments, and property and equipment. Endowment investments were valued at \$680 million as of June 30, 2015, an increase of \$13 million from 2014 and \$312 million since 2011. The one-year growth is made up of endowment gifts received of \$20 million, offset by a net loss after distributions of \$14 million. In addition, approximately \$6 million of unrestricted funds were added to quasi-endowment funds held for future bond bullet payments. An additional \$1 million of restricted current use gifts were also invested as quasi-endowment funds as well.

During the years presented, endowment gifts totaled \$57 million and net gains totaled \$124 million. USNH also invested a total of \$214 million in quasi-endowment funds during this time. The majority of the quasi-endowment additions (\$125 million) were for the creation of the Long-term Treasury Investments fund in 2012 to improve returns on USNH funds previously held as cash balances. The endowment asset values are reflective of prevailing economic conditions each year (see the Investing Activities discussion for additional information).

Deferred outflows of resources include the fair value of USNH's interest rate swap derivatives and the unamortized net book loss on debt refinancing. USNH entered into the swap agreements to reduce effective interest and synthetically fix rates over the term of the respective debt issues. Because the interest-rate swaps are deemed to be effective hedge instruments, the fair value of the derivatives is recorded to offset the fair value of the interest rate swap liability in its entirety. (See Notes 8 and 9 for further discussion of outstanding debt and related interest rate swaps in place.)

USNH's largest liabilities are long-term debt and postretirement medical benefits. Long-term debt includes bonds and capital leases payable. A large portion of the reduction in long-term debt from 2013 to 2014 is related to the early payoff of the UNH School of Law Bonds (\$12 million) as required by the integration agreement. This payment was funded by liquidation of quasi-endowment investments. Long-term debt balances increased over the past year due to issuance of the Series 2015 Bonds discussed previously. (See Note 8 for additional information in this regard.) Other liabilities include accounts payable, accrued employee benefits, government advances refundable (amounts provided by the US Government under the Perkins Loan Program that would be refundable should USNH cease operating its revolving loan programs), and interest rate swap liabilities related to derivative instruments. The increase in the swap liabilities in 2012 was caused by prevailing interest rates at that time.

Table 5: Condensed Information from the Statements of Net Position as of June 30,
(*\$ in millions*)

	2011	2012	2013*	2014	2015
Cash and short-term investments	\$ 301	\$ 200	\$ 223	\$ 235	\$ 237
Endowment and similar investments	368	489	571	667	679
Property and equipment, net	931	957	984	982	1,010
Other assets and deferred outflows of resources	114	112	98	96	168
Total Assets and Deferred Outflows of Resources	1,714	1,758	1,876	1,980	2,094
Derivative instruments - interest rate swaps	25	45	31	30	30
Postretirement medical benefits	53	52	55	51	54
Long-term debt	468	453	454	431	500
Other liabilities	160	159	147	150	160
Total Liabilities	706	709	687	662	744
Net investment in capital assets	491	522	550	574	598
Restricted financial resources	309	294	357	397	413
Unrestricted financial resources	208	233	282	347	339
Total Net Position	\$1,008	\$1,049	\$1,189	\$1,318	\$1,350

* Beginning balances for fiscal year 2013 restated to include UNH School of Law.

Net position is reported in three categories. The net invested in capital assets amount represents the historical cost of property and equipment reduced by total accumulated depreciation and the balance of related debt outstanding. Restricted financial resources include balances expendable for specific purposes, such as scholarship or student loans. Restricted balances also include certain endowment and similar amounts which are required to be invested in perpetuity (\$245 million and \$224 million at June 30, 2015 and 2014, respectively).

Unrestricted financial resources represent balances that are not subject to externally imposed restrictions governing their use. This includes unrestricted current fund balances, balances in unrestricted loan funds, unrestricted funds functioning as endowment, unexpended plant funds, and unrestricted funds held by affiliated entities. USNH unrestricted fund balances include amounts held for construction and renovation of facilities of approximately \$176 million and \$160 million at June 30, 2015 and 2014, respectively. (See Note 14 for further details on the components of net position.)

B. Statements of Revenues, Expenses and Changes in Net Position

These audited statements report all USNH revenues, expenses, and other changes in net position, in groupings prescribed by the Governmental Accounting Standards Board (GASB). Operating

revenues are generally earned in exchange for providing goods and services. However, GASB reporting standards require certain significant recurring revenues to be shown as nonoperating, including state general appropriations, federal Pell grants, noncapital gifts, operating investment income, and endowment return used in operations. These diversified revenue streams are critically important sources of funds used to supplement tuition and fees revenue in the delivery of USNH programs. In other words, the regular operating expenses of USNH are funded in part by revenues not shown as operating revenues under GASB formats.

Table 6 shows condensed information from the Statements of Revenues, Expenses and Changes in Net Position for the five years ended June 30, 2015, grouping both operating and nonoperating revenues together to align with the related expense amounts.

Factors contributing to the values shown on these statements include the size, quality and type of student enrollments; growth and diversification of revenue streams; quality and distinction of the faculty; and attentive management of related costs. Operating expenses include all direct costs as well as a provision for estimated depreciation on capital assets.

There are three major components which management considers separately when analyzing USNH revenues, expenses and changes in net position: the change in net position from recurring activities; capital appropriations and other plant changes; and endowment gifts

Table 6: Condensed Information from the Statements of Revenues, Expenses and Changes in Net Position for the Years Ended June 30,

(\$ in millions)

	2011	2012	2013*	2014	2015
Tuition and fees	\$ 391	\$ 428	\$ 466	\$ 465	\$ 472
Less: student financial aid	(121)	(122)	(135)	(144)	(149)
Net tuition and fees	270	306	331	321	323
Sales of auxiliary services	192	195	198	203	206
Grants and contracts	171	182	166	152	149
State general appropriations	100	51	54	69	81
Noncapital gifts, investment income and other revenues	57	54	61	65	66
Total Operating and Nonoperating Revenues	790	788	810	810	825
Employee compensation	471	460	465	481	503
Supplies and services	202	211	204	195	203
Utilities, depreciation and interest	89	89	93	98	98
Total Operating and Nonoperating Expenses	762	760	762	774	804
Increase in Net Position from Recurring Activities	28	28	48	36	21
Endowment gifts and returns, net	56	(14)	50	83	6
State capital appropriations and other changes, net	30	27	14	10	5
Total Other Changes in Net Position	86	13	64	93	10
Total (Decrease) Increase in Net Position	\$ 114	\$ 41	\$ 112	\$ 129	\$ 32

*Beginning balances for fiscal year 2013 restated to include UNH School of Law.

and net returns. The increase in net position from recurring activities, also known as the operating margin, totaled \$21 million in 2015. This is slightly less than the 2011 and 2012 level. Higher margins were achieved in 2013 and 2014. The majority of the change is related to employee compensation which increased approximately \$32 million, or 6.8%, from 2011 to 2015. As discussed previously all campuses are offering separation incentives to control compensation costs in future years.

Endowment gifts and net returns totaled \$6 million in 2015, compared to \$83 million in 2014 and \$50 million in 2013. The 2015 figure includes \$20 million in gifts, and losses net of distributions of \$14 million. By comparison, 2014 included \$11 million of gifts to endowment funds, and endowment gains of \$72 million net of distributions. The increase in gifts in 2015 was due to a \$10 million gift to UNHF for the Hamel Scholars Program. For 2013, endowment gifts totaled \$12 million, and endowment gains totaled \$38 million net of distributions. The volatility of endowment returns is a significant driver of the total change in net position across each year.

C. Statements of Cash Flows

The Statements of Cash Flows summarize transactions affecting cash and cash equivalents during the fiscal period. Table 7 below shows summary information from the Statements of Cash Flows for the five years ended June 30, 2015.

These statements provide information about cash collections and cash payments made by USNH each year to help readers assess our ability

to generate the future cash flows necessary to meet current and future obligations. Cash flows from operating activities will always be different than the operating results on the Statements of Revenues, Expenses and Changes in Net Position because of the inclusion of noncash items, such as depreciation expense, and because the latter statement is prepared on the accrual basis of accounting, meaning that it shows revenues when earned and expenses as incurred.

Cash flows from tuition and fees are impacted by the general appropriation reduction in 2012, and the partial appropriation recoveries from 2013 to 2015. This is particularly evident in the last biennium during which USNH agreed to freeze resident tuition in exchange for partial restoration of the appropriation. The reductions in cash flows from noncapital gifts, grants and other revenues are primarily due to reduced grant activity at UNH as the broadband fiber project discussed previously was completed. And finally, the net cash used in investing activities in 2015 includes the purchase of investments from proceeds of new construction bonds totaling \$81 million.

D. Financial Indicators

Two key performance indicators used by USNH are the operating margin and the unrestricted financial resources (net position) to total debt ratios. Management monitors these ratios closely and considers them primary indicators of USNH's financial health.

The operating margin ratio uses the increase in net position from recurring activities as the numerator and total revenue from recurring

Table 7: Condensed Information from the Statements of Cash Flows for the Years Ended June 30,

(\$ in millions)

	<u>2011</u>	<u>2012</u>	<u>2013*</u>	<u>2014</u>	<u>2015</u>
Cash flows from:					
Receipts from tuition and fees, net	\$ 273	\$ 305	\$ 331	\$ 321	\$ 324
Receipts from sales of auxiliary services	191	195	197	204	206
Receipt of state general appropriations	100	51	53	69	81
Noncapital gifts, grants and other receipts	203	217	199	192	190
Payments to and on behalf of employees	(459)	(462)	(467)	(478)	(499)
Payments for supplies, services and utilities	(222)	(227)	(223)	(223)	(224)
Net Cash Provided by Operating and Noncapital Financing Activities	86	79	90	85	78
Net Cash Used in Capital Financing Activities	(35)	(67)	(73)	(75)	(5)
Net Cash Used in Investing Activities	(75)	(69)	(16)	(10)	(73)
Increase/(Decrease) in Cash and Cash Equivalents	\$ (24)	\$ (57)	\$ 1	\$ -	\$ -

*Beginning balances for fiscal year 2013 restated to include UNH School of Law.

activities as the denominator. USNH's targeted annual operating margin range is 3% to 5% per year. As shown in Chart 6 below actual operating margins from 2011 through 2014 ranged from 3.5% to 6.0%. USNH's operating margin was 2.6% for 2015. The average margin over the past five years was 4%, reflecting the targeted balance of strategic spending and investment of resources.

The unrestricted financial resources to debt ratio, shown in Chart 7 below, uses the unrestricted net position balance as the numerator and the total long-term debt balance outstanding as the denominator. USNH's targeted unrestricted financial resources to debt ratio is 50% or above. The calculated ratio at June 30, 2015 was 67.7%, compared to 80.5% as of June 30, 2014. The decrease is primarily due to issuance of the Series 2015 Bonds as discussed previously. The average of this

ratio over the past five years was 56%. As noted above, a significant portion of the unrestricted net position balance reflects accumulations in unrestricted quasi-endowment funds held for future principal payments due on certain bonds outstanding. The fair value of the related investments increased from \$31 million at June 30, 2011 to \$72 million at June 30, 2015. In addition, approximately \$176 million of the unrestricted net position balance at June 30, 2015 is expected to be spent on approved capital projects in the next few years. Accordingly, this ratio is expected to decrease toward the targeted level as the related cash and short-term investment balances are expended on longer-term plant assets. (See Notes 8 and 14 for additional information in this regard).

Chart 6: Annual Operating Margin

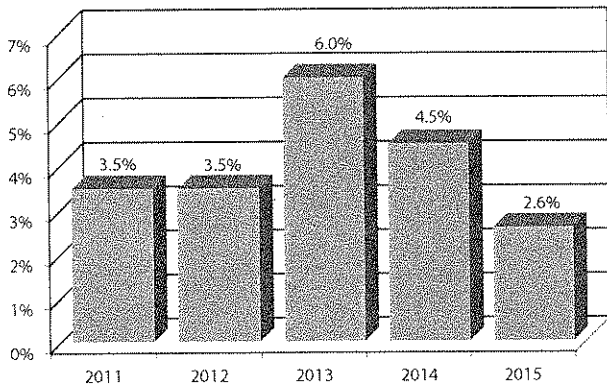
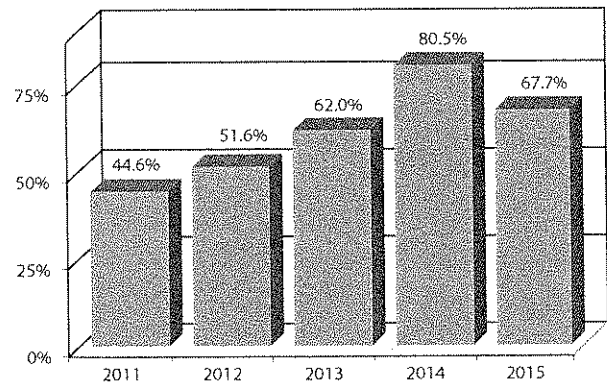


Chart 7: Unrestricted Financial Resources to Total Debt



UNIVERSITY SYSTEM OF NEW HAMPSHIRE

STATEMENTS OF NET POSITION

(\$ in thousands)

	Balance at June 30,	
	2015	2014
ASSETS		
Current Assets		
Cash and cash equivalents	\$ 80,439	\$ 80,339
Short-term investments	156,720	154,291
Accounts receivable, net	19,213	20,964
Pledges receivable, net - current portion	2,912	1,582
Notes receivable, net - current portion	4,445	4,797
Prepaid expenses and other current assets	9,302	8,815
Total Current Assets	273,031	270,788
Noncurrent Assets		
Debt proceeds held by bond trustee for construction purposes	69,141	-
Endowment and similar investments - campuses	472,461	469,889
Endowment and similar investments - affiliated entities	207,839	196,966
Pledges receivable, net	7,270	5,593
Notes receivable, net	18,691	19,416
Property and equipment, net	1,009,585	982,276
Total Noncurrent Assets	1,784,987	1,674,140
TOTAL ASSETS	2,058,018	1,944,928
DEFERRED OUTFLOWS OF RESOURCES		
Accumulated decrease in fair value of hedging derivatives	30,390	29,828
Accounting loss on debt refinancings, net	6,142	5,573
TOTAL DEFERRED OUTFLOWS OF RESOURCES	36,532	35,401
LIABILITIES		
Current Liabilities		
Accounts payable and accrued expenses	48,648	45,989
Construction services payable	13,766	8,141
Deposits and unearned revenues	34,131	32,846
Accrued employee benefits - current portion	9,615	8,681
Postretirement medical benefits - current portion	6,189	4,871
Long-term debt - current portion	51,773	50,246
Total Current Liabilities	164,122	150,774
Noncurrent Liabilities		
Obligations under life income agreements	2,101	2,625
Refundable government advances	16,777	16,909
Accrued employee benefits	34,596	34,969
Postretirement medical benefits	47,505	46,590
Derivative Instruments - interest rate swaps	30,390	29,828
Long-term debt, net of current portion	448,702	380,323
Total Noncurrent Liabilities	580,071	511,244
TOTAL LIABILITIES	744,193	662,018
NET POSITION (see Note 14)		
Net investment in capital assets	598,331	574,302
Restricted		
Nonexpendable	245,180	223,847
Expendable	168,141	173,590
Unrestricted	338,705	346,572
TOTAL NET POSITION	\$1,350,357	\$1,318,311

See accompanying notes to the financial statements.

UNIVERSITY SYSTEM OF NEW HAMPSHIRE
STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET POSITION
(\$ in thousands)

	For the year ended June 30,	
	2015	2014
OPERATING REVENUES		
Resident tuition	\$ 169,307	\$ 176,872
Nonresident tuition	256,719	242,437
Continuing education tuition	19,601	19,848
Student fees revenue	26,713	26,108
Total tuition and fees	472,340	465,265
Less: student financial aid - grants and contracts	(32,257)	(33,654)
Less: student financial aid - all other	(116,812)	(110,561)
Net tuition and fees	323,271	321,050
Grants and contracts - direct revenues	103,446	106,317
Grants and contracts - facilities & administrative recovery	20,001	19,756
Sales of auxiliary services	206,204	202,804
Other operating revenues	28,033	26,984
Total Operating Revenues	680,955	676,911
OPERATING EXPENSES		
Employee compensation - grants and contracts	57,929	58,362
Employee compensation - all other	445,232	422,537
Supplies and services - grants and contracts	34,162	36,876
Supplies and services - all other	169,425	158,580
Utilities	21,818	21,836
Depreciation	58,716	57,192
Total Operating Expenses	787,282	755,383
Operating loss	(106,327)	(78,472)
NONOPERATING REVENUES (EXPENSES)		
State of New Hampshire general appropriations	81,000	69,000
Federal Pell grants	25,161	25,915
Noncapital gifts	11,837	11,711
Endowment and investment income	26,422	26,672
Interest expense, net	(17,081)	(18,786)
Other nonoperating revenue (expense)	377	363
Total Nonoperating Revenues (Expenses)	127,716	114,875
INCREASE IN NET POSITION FROM RECURRING ACTIVITIES	21,389	36,403
OTHER CHANGES IN NET POSITION		
State of New Hampshire capital appropriations	2,067	5,997
Plant gifts, grants and other changes, net	2,520	3,791
Endowment and similar gifts	20,197	10,887
Endowment return, net of amount used for operations	(14,127)	71,886
Total Other Changes in Net Position	10,657	92,561
INCREASE IN NET POSITION	32,046	128,964
Net position at beginning of year	1,318,311	1,189,347
NET POSITION AT END OF YEAR	\$1,350,357	\$1,318,311

See accompanying notes to the financial statements.

UNIVERSITY SYSTEM OF NEW HAMPSHIRE
STATEMENTS OF CASH FLOWS
(\$ in thousands)

	For the year ended June 30,	
	2015	2014
CASH FLOWS FROM OPERATING ACTIVITIES		
Receipts from tuition and fees, net of student financial aid	\$ 323,673	\$ 320,968
Receipts from sales of auxiliary services	206,186	203,731
Receipts from operating grants and contracts	125,968	128,411
Receipts from other operating revenues	28,075	26,766
Payments to employees	(375,021)	(364,067)
Payments for employee benefits	(123,960)	(114,017)
Payments for supplies, services and utilities	(223,561)	(222,743)
NET CASH USED IN OPERATING ACTIVITIES	(38,640)	(20,951)
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES		
State general appropriations	81,000	69,000
Federal Pell and other nonoperating grants	25,538	26,278
Noncapital gifts	10,731	10,768
NET CASH PROVIDED BY NONCAPITAL FINANCING ACTIVITIES	117,269	106,046
CASH FLOWS FROM CAPITAL FINANCING ACTIVITIES		
State appropriations for plant projects	2,831	5,233
Plant gifts and grants	2,797	4,148
Endowment gifts	20,197	10,908
Proceeds from issuance of debt	133,614	22,169
Purchases and construction of property	(85,515)	(53,188)
Proceeds from sale of property	2,928	-
Retirement of debt through defeasance	(53,149)	(21,605)
Debt principal payments	(10,773)	(23,731)
Interest payments	(18,149)	(19,011)
NET CASH USED IN CAPITAL FINANCING ACTIVITIES	(5,219)	(75,077)
CASH FLOWS FROM INVESTING ACTIVITIES		
Proceeds from sale of investments	446,422	378,316
Purchase of investments	(527,115)	(394,679)
Investment income	7,383	6,564
NET CASH USED IN INVESTING ACTIVITIES	(73,310)	(9,799)
Increase/(Decrease) in Cash and Cash Equivalents	100	219
Beginning cash and cash equivalents	80,339	80,120
ENDING CASH AND CASH EQUIVALENTS	\$ 80,439	\$ 80,339
Ending cash and cash equivalents, as above	\$ 80,439	\$ 80,339
Short-term investments	156,720	154,291
TOTAL CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS	\$ 237,159	\$ 234,630
RECONCILIATION OF OPERATING LOSS TO NET CASH PROVIDED BY/(USED IN) OPERATING ACTIVITIES		
Operating loss	\$(106,327)	\$ (78,472)
Adjustments to reconcile operating loss to net cash provided by/(used in) operating activities:		
Depreciation and amortization	58,716	57,192
Changes in current assets and liabilities:		
Accounts receivable	988	2,387
Notes receivable	946	1,393
Prepaid expenses and other current assets	(486)	45
Accounts payable and accrued expenses	3,510	(5,727)
Deposits and unearned revenues	1,292	(576)
Accrued employee benefits	2,721	2,807
NET CASH USED IN OPERATING ACTIVITIES	\$ (38,640)	\$ (20,951)
SIGNIFICANT NONCASH TRANSACTIONS		
Endowment return, net of amount used for operations	\$ (14,127)	\$ 71,886
Loss on disposal of capital assets	(2,186)	(587)

See accompanying notes to the financial statements.

Notes to the Financial Statements

June 30, 2015 and 2014

1. Summary of significant accounting policies and presentation

The University System of New Hampshire (USNH) is a not-for-profit institution of higher education created in 1963 as a body politic and corporate under the laws of the State of New Hampshire (the state) and is generally exempt from income taxes under Section 501(c)(3) of the Internal Revenue Code. USNH is considered a component unit of the state for financial reporting purposes. The accompanying financial statements include the accounts of the University of New Hampshire (UNH), Plymouth State University (PSU), Keene State College (KSC), and Granite State College (GSC) as well as certain affiliated entities discussed below. UNH, PSU, KSC and GSC are collectively referred to in the accompanying financial statements as "campuses."

On August 31, 2010, UNH and Franklin Pierce Law Center officially affiliated and the Center became known as the UNH School of Law (UNHL). During 2013, the USNH Board of Trustees and the UNH School of Law Board of Directors each approved a full integration agreement between the two entities with an effective date of January 1, 2014. The integration was treated as a merger in accordance with GASB Statement No. 69, *Governmental Combinations and Disposals of Government Operations*. Accordingly, the activities and balances of the UNH School of Law were merged with those of USNH, and are included in these financial statements as of July 1, 2012.

Affiliated entities and related parties

Governmental accounting standards require that all potential component units be evaluated for inclusion in the financial statements of the primary government of the reporting entity. USNH's policy on 'Foundations Established for the Benefit of USNH or its Component Institutions' states that the USNH Board of Trustees retains control over the activities of any affiliated foundation. The USNH policy further states that USNH has the legal authority to terminate the existence of any affiliated foundation, at which time ownership of the related assets would revert to USNH. Two legally separate affiliated foundations are impacted by this policy and, accordingly, are considered component units of USNH. The University of New Hampshire Foundation, Inc. (UNHF) and the Keene Endowment Association (KEA) are collectively referred to in the accompanying financial statements as "affiliated entities." The associated revenues, expenses, assets, liabilities, deferred outflows and net position of UNHF and KEA are fully blended with those of the campuses in the accompanying financial statements, and all associated intercompany activity has been eliminated.

The University of New Hampshire Foundation, Inc. was incorporated in 1989 as a not-for-profit, tax-exempt organization. Its purpose is to solicit, collect, invest and disburse funds for the sole benefit of the University of New Hampshire. UNHF is governed by its own Board of Directors, the membership of which includes the President of the University of New Hampshire and three other members of the USNH Board of Trustees. The University of New Hampshire funds a portion of the operating expenses of UNHF. UNHF has a separate financial statement audit each year. Condensed financial information for UNHF is included in Note 15. The Keene Endowment Association was organized in 1957 as a separate charitable entity to provide financial assistance to deserving students at Keene State College. Income is distributed at the discretion of the Trustees of KEA.

Basis of accounting

The accompanying financial statements have been prepared in accordance with US generally accepted accounting principles (GAAP) prescribed by the Governmental Accounting Standards Board (GASB) using the economic resources measurement focus and the accrual basis of accounting.

USNH follows the requirements of the "business-type activities" (BTA) model as defined by GASB Statement No. 35, *Basic Financial Statements - and Management's Discussion and Analysis for Public Colleges and Universities*. BTAs are defined as those that are financed in whole or in part by fees charged to external parties for goods or services.

GASB requires that resources be classified into the following net position categories, as more fully detailed in Note 14:

- **Net investment in capital assets:** Property and equipment at historical cost or fair value on date of acquisition, net of accumulated depreciation and outstanding principal balances of debt attributable to the acquisition or construction of those assets.
- **Restricted Nonexpendable:** Resources subject to externally imposed stipulations that they be maintained permanently by USNH. Such funds include the historical gift value of restricted true endowment funds.
- **Restricted Expendable:** Resources whose use by USNH is subject to externally imposed stipulations. Such funds include the accumulated net gains on donor-restricted "true" endowment funds; the fair value of restricted funds functioning as endowment; restricted funds loaned to students; restricted gifts and endowment income; and other similarly restricted funds.
- **Unrestricted:** Resources that are not subject to externally imposed stipulations. Substantially all unrestricted net position funds are designated to support academic, research, or auxiliary enterprises; invested to function as endowment; or committed to capital construction projects.

The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities, the disclosure of contingent assets and liabilities at the dates of the financial statements, and the reported amounts of revenues and expenses during the reporting periods. Actual results could differ from these estimates. The most significant areas that require management estimates relate to valuation of certain investments and derivative instruments, useful life and related depreciation of capital assets, and accruals for postretirement medical and other employee-related benefits.

Investments are maintained with established financial institutions whose credit is evaluated by management and the respective governing boards of USNH and its affiliated entities. Investments of operating cash in money market and other mutual funds are generally recorded as cash equivalents and are invested for purposes of satisfying current operating liabilities and generating investment income to support ongoing operations. Short-term investments represent highly liquid amounts held for other current liabilities. Net realized and unrealized gains and losses on endowment and similar investments, as well as interest and dividend income, are reported as endowment return. Endowment return used for operations per application of the Board-approved endowment spending policy is reported as nonoperating revenue whereas the excess (deficiency) of endowment return over that used for operations is reported as other changes in net position.

Property and equipment are recorded at original cost for purchased assets or at fair value on the date of donation in the case of gifts. Equipment with a unit cost of \$5,000 or more is capitalized. Building improvements with a cost of \$25,000 or greater are also capitalized. Net interest costs incurred during the construction period for major, debt-funded capital projects are added to the cost of the underlying asset. The value of equipment acquired under capital leases is recorded at the present value of the minimum lease payments at the inception of the lease. Depreciation of property and equipment is calculated on a straight-line basis over the estimated useful lives of the respective assets. The cost of certain research buildings is capitalized for the purpose of calculating depreciation. Buildings and improvements are depreciated over useful lives ranging from 10 to 50 years. Depreciable lives for equipment range from 3 to 30 years. (See Note 5 for additional information on depreciation.) USNH does not record donated works of art and historical treasures that are held for exhibition, education, research and public service. Library collections are recorded as an expense in the period purchased, with the exception of UNH School of Law library collections which are capitalized annually and depreciated over a ten year period on a straight-line basis.

Deposits and unearned revenue consist of amounts billed or received in advance of USNH providing goods or services. Advances from the US

Government for Federal Perkins Loans to students are reported as government advances refundable. Future loans to students are made available from repayments of outstanding principal amounts plus accumulated interest received thereon.

Operating revenues include tuition and fees, grants and contracts, sales of auxiliary services, and other operating revenues. Tuition and fee revenues are reported net of student financial aid discounts and allowances. Operating expenses include employee compensation and benefits, supplies and services, utilities, and depreciation. Operating expenses also include early retirement and other separation incentive stipends and benefits promised to certain employees in exchange for termination of employment. All such termination benefits are accrued as of the date the termination agreement is signed, and are presented at net present value at year end. Nonoperating revenues (expenses) include all other revenues and expenses except certain changes in long-term plant, endowment and other net position funds, which are reported as other changes in net position. Operating revenues are recognized when earned and expenses are recorded when incurred. Restricted grant revenue is recognized only to the extent of applicable expenses incurred or, in the case of fixed-price contracts, when the contract terms are met or completed.

Unconditional pledges of nonendowment gifts are presented net of estimated amounts deemed uncollectible after discounting to the present value of expected future cash flows. Because of uncertainties with regard to their realization and valuation, bequest intentions and other conditional promises are not recognized as assets until the specified conditions are met. In accordance with GASB requirements, endowment pledges totaling \$9,120,000 and \$9,621,000 at June 30, 2015 and 2014, respectively, which are expected to be received over the next ten years, have not been reported in the accompanying financial statements. USNH generally uses restricted funds first when an expense is incurred where both restricted and unrestricted funds are available.

The System's financial statements include comparative financial information. Certain prior year amounts have been reclassified to conform to the current year presentation.

2. Cash, cash equivalents, and short-term investments

Cash, cash equivalents, and short-term investments are recorded at fair value. USNH's investment policy and guidelines specify permitted instruments, durations, required ratings and insurance of USNH cash, cash equivalents and short-term investments. The investment policy and guidelines are intended to mitigate credit risk on investments individually and in the aggregate through restrictions on investment type, liquidity, custodian, dollar level, maturity, and rating category. Money market funds are placed with the largest national fund managers. These funds must be rated AAA/Aaa by Standard & Poor's and Moody's Investor Service and comply with Securities and Exchange Commission Rule 2A-7. Repurchase agreements must be fully collateralized at 102% of the face value by US Treasuries, or 103% of the face value by US Government-backed or guaranteed agencies or government sponsored enterprises. In addition, USNH investments may not exceed 5% of any institution's total deposits or 20% of any institution's net equity.

Cash and cash equivalents

Cash equivalents represent amounts invested for the purpose of satisfying current operating liabilities and include repurchase agreements, money market funds and other mutual funds. Repurchase agreements are limited to overnight investments only. Cash and cash equivalents at June 30 consisted of the following (\$ in thousands):

	2015		2014	
	Balance	Weighted Average Maturity	Balance	Weighted Average Maturity
Cash balances	\$ 2,903	Less than 1 year	\$ 1,837	Less than 1 year
Repurchase agreements	18,067	Less than 1 year	16,070	Less than 1 year
Money market funds	59,469	Less than 1 year	62,432	Less than 1 year
Total	<u>\$80,439</u>		<u>\$80,339</u>	

Short-term investments

Short-term investments are highly liquid amounts held to support specific current liabilities. The components of operating investments at June 30 are summarized below (\$ in thousands):

	2015		2014	
	Balance	Weighted Average Maturity	Balance	Weighted Average Maturity
Obligations of the US Govt.	\$ 3,063	5-10 years	\$ 2,940	5-10 years
Certificates of deposit & money market funds	18,864	Less than 1 year	17,198	Less than 1 year
Other mutual funds	134,578	1-5 years	133,976	1-5 years
Other investments	215	Less than 1 year	177	Less than 1 year
Total	<u>\$156,720</u>		<u>\$154,291</u>	

Cash, cash equivalents and short-term investments are generally uninsured and uncollateralized against custodial credit risk, and the related mutual funds are not rated.

3. Accounts, pledges and notes receivable

Accounts receivable at June 30 consisted of the following (\$ in thousands):

	2015	2014
Grants and contracts	\$15,570	\$16,017
Student and general	6,547	6,810
State of NH capital projects	-	763
Allowance for doubtful accounts	<u>(2,904)</u>	<u>(2,626)</u>
Total accounts receivable, net	<u>\$19,213</u>	<u>\$20,964</u>

Pledges receivable at June 30 consisted entirely of unconditional nonendowment promises to pay as follows (\$ in thousands):

	2015	2014
Pledges receivable	\$14,119	\$11,041
Discounts and allowance for doubtful pledges	<u>(3,937)</u>	<u>(3,866)</u>
Total pledges receivable, net	10,182	7,175
Less: noncurrent portion	<u>(7,270)</u>	<u>(5,593)</u>
Current portion	<u>\$ 2,912</u>	<u>\$ 1,582</u>

Notes receivable at June 30 consisted primarily of student loan funds as follows (\$ in thousands):

	2015	2014
Perkins loans	\$23,932	\$24,780
Other loans, restricted and unrestricted	1,293	1,538
Allowance for doubtful loans	<u>(2,089)</u>	<u>(2,105)</u>
Total notes receivable, net	23,136	24,213
Less: noncurrent portion	<u>(18,691)</u>	<u>(19,416)</u>
Current portion	<u>\$ 4,445</u>	<u>\$ 4,797</u>

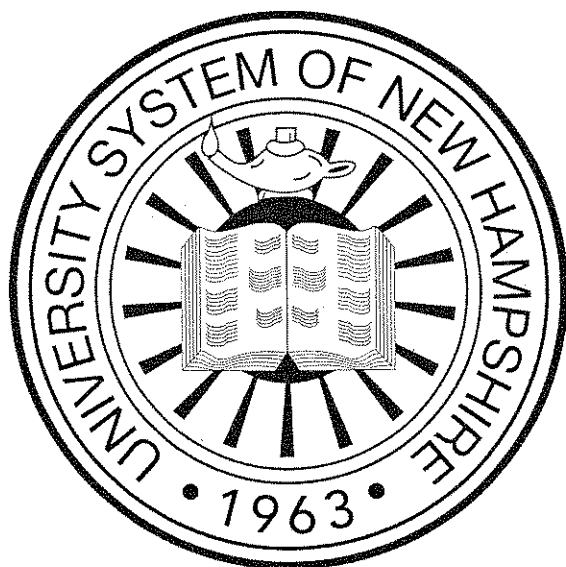
4. Investments

USNH's investment policy and guidelines specify permitted instruments, duration and required ratings for pooled endowment funds. The policy and guidelines are intended to mitigate risk on investments individually and in the aggregate while maximizing total returns and supporting intergenerational equity of spending levels. Liquid investments are limited to 20% of the USNH consolidated endowment pool. Credit risk is mitigated by due diligence in the selection and continuing review of investment managers as well as diversification of both investment managers and underlying investments. No more than \$50 million may be invested in any single fund and no more than \$75 million or 10% of the pool may be invested with any single bank, fund

manager, or investment group unless approved by the Finance Committee for investments. Foreign currency risk is mitigated by limiting global equity investments in publicly traded international and emerging market funds to 25% of the endowment pool. Private global equity investments are limited to 15% of the endowment pool. No USNH endowment investments were denominated in foreign currencies as of June 30, 2015 or June 30, 2014.

The endowment and similar investment holdings of the campuses and affiliated entities as of June 30 are summarized below (\$ in thousands):

	Campuses		Affiliated Entities	
	2015	2014	2015	2014
Pooled endowments:				
Campuses	\$456,635	\$453,437	\$ -	\$ -
UNH Foundation	-	-	196,837	184,845
Keene Endowment Association	-	-	7,086	7,082
Life income and annuity funds	116	156	3,916	5,039
Funds held in trust	15,710	16,296	-	-
Total	\$472,461	\$469,889	\$207,839	\$196,966



Endowment investments held at June 30, include the following (\$ in thousands):

	Campuses		Affiliated Entities	
	2015	2014	2015	2014
Money market funds	\$ 9,877	\$ 20,947	\$ 4,986	\$ 16,747
Other mutual funds:				
Domestic equity	89,882	96,462	32,629	30,392
International equity	49,540	46,422	14,785	12,384
Domestic & foreign fixed income	16,847	25,037	8,368	9,006
Inflation hedging assets	-	-	2,871	3,941
Common/preferred stocks:				
Domestic equity	13,311	13,006	3,437	3,291
Fixed income securities:				
Domestic & foreign bonds	9,977	-	1,720	1,030
Inflation hedging assets	5,844	5,983	3,768	2,559
Commingled funds:				
Domestic equity	39,069	35,063	27,230	24,524
International equity	42,496	34,564	26,323	24,962
Flexible capital	132,363	129,710	62,753	44,787
Domestic & foreign fixed income	10,151	10,215	-	-
Inflation hedging assets	18,121	17,490	4,362	6,972
Private equity	15,767	15,068	5,446	7,521
Non-marketable real assets	3,506	3,626	5,245	3,811
Other investments	15,710	16,296	3,916	5,039
Total endowment and similar investments	\$472,461	\$469,889	\$207,839	\$196,966

The fair value of investments is based on quoted market prices when available. The estimated fair value of investments without traditional markets (e.g. private equity and non-marketable real assets) is based on valuations provided by primary fund managers and reviewed by management. Because these investments are not readily marketable, their estimated fair values may differ from the values that would have been assigned had a ready market for such investments existed, and such differences could be material. Flexible capital investments include various hedge funds holding long/short positions, and those investing in special situations, relative value and other strategies. As of June 30, 2015 and 2014, fixed income securities have weighted average maturities up to 30 years and carried ratings ranging from AAA to A3. The mutual fund investments held in the endowment pools are not rated.

Unfunded commitments with various private equity and similar alternative investment funds totaled \$15,894,000 for USNH and \$10,905,000 for UNHF at June 30, 2015. This compares to \$15,586,000 and \$8,214,000, respectively, at June 30, 2014.

5. Property and equipment

Property and equipment activity for the years ended June 30, 2015 and 2014 is summarized as follows (\$ in thousands):

	Balance June 30, 2013	2014 Additions & Changes	2014 Retirements	Balance June 30, 2014	2015 Additions & Changes	2015 Retirements	Balance June 30, 2015
Land	\$ 12,926	\$ 461	\$ -	\$ 13,387	\$ 2,402	\$ -	\$ 15,789
Buildings and improvements	1,483,862	68,617	(1,011)	1,551,468	41,759	(38,073)	1,555,154
Equipment	107,489	10,636	(7,670)	110,455	11,603	(3,341)	118,717
Construction in progress, net	61,710	45,142	(69,068)	37,784	79,509	(49,803)	67,490
Total property and equipment	1,665,987	124,856	(77,749)	1,713,094	135,273	(91,217)	1,757,150
Less: accumulated depreciation	(681,722)	(57,191)	8,095	(730,818)	(55,976)	39,229	(747,565)
Property and equipment, net	\$ 984,265	\$67,665	\$(69,654)	\$982,276	\$79,297	\$(51,988)	\$1,009,585

Contractual obligations for major construction projects totaled \$37,522,000 and \$39,973,000 at June 30, 2015 and 2014, respectively.

6. Accrued employee benefits

Accrued employee benefits at June 30 were as follows (\$ in thousands):

	2014			2015			Balance June 30, 2015	Current Portion
	Balance June 30, 2013	Payments to/ on Behalf of Participants	Expenses & Other Changes	Balance June 30, 2014	Payments to/ on Behalf of Participants	Expenses & Other Changes		
Operating staff retirement program	\$ 6,026	\$ (664)	\$ 450	\$ 5,812	\$ (623)	\$ 1,356	\$ 6,545	\$ 666
Additional retirement program	3,308	(261)	330	3,377	(338)	338	3,377	748
Employee separation incentives	3,401	(2,655)	6,526	7,272	(5,237)	5,576	7,611	4,865
Long-term disability	3,432	(582)	288	3,138	(641)	280	2,777	641
Workers' compensation	2,730	(467)	2,237	4,500	(564)	225	4,161	1,192
Compensated absences	18,500	(1,484)	2,194	19,210	(2,436)	2,603	19,377	1,300
Other	287	(50)	104	341	(20)	42	363	203
Total accrued employee benefits	<u>\$37,684</u>	<u>\$(6,163)</u>	<u>\$12,129</u>	<u>\$43,650</u>	<u>\$(9,859)</u>	<u>\$10,420</u>	<u>\$44,211</u>	<u>\$9,615</u>

The operating staff retirement program has been closed to new participants since 1987. At June 30, 2015 there were approximately 205 current annuitants and 48 participants with deferred benefits, all fully vested. This compares to 214 current annuitants and 56 participants with deferred benefits as of June 30, 2014. USNH has accrued \$6,545,000 and \$5,812,000 for the related obligations as of June 30, 2015 and 2014, respectively, based on the last biennial actuarial valuation calculation dated July 1, 2015. The investment return assumptions (discount rates) used in determining the actuarial accrued liabilities was 7.5% for 2015 and 8.0% for 2014. Obligations of the program are amortized over one year. USNH had cash and unrestricted funds functioning as endowment assets of \$6,097,000 and \$6,651,000 at June 30, 2015 and 2014, respectively, designated to fund the obligations of the program.

The accumulated operating staff retirement program benefit obligation and funded status at June 30 consisted of the following (\$ in thousands):

	2015	2014
Retired participants and beneficiaries	\$5,303	\$4,606
Active participants	673	646
Other participants	569	560
Accrued pension benefit obligation	6,545	5,812
Less: funds functioning as endowment and other assets available for benefits	(6,097)	(6,651)
(Over) Under funded plan balance	<u>\$ 448</u>	<u>\$(839)</u>

USNH also offered an additional retirement contribution to eligible employees hired between July 1, 1994 and June 30, 2011. Under this plan, staff meeting certain voluntary defined benefit plan contribution levels receive an additional 1% of their salary contributed to their defined contribution retirement plan (see below) by USNH in lieu of postretirement medical benefits. Employees meeting certain service guidelines prior to July 1, 1994 are also eligible for a guaranteed minimum employer retirement contribution. There were 501 and 563 employees meeting the requirements for the guaranteed minimum employer contribution as of June 30, 2015 and 2014, respectively. USNH has accrued \$3,377,000 at June 30, 2015 and 2014 for the related obligations. The calculations for the additional retirement contribution program are based on the benefits provided by the program at the time of the last biennial actuarial valuation, July 1, 2015, and were developed using the Projected Unit Credit Cost Method. The discount rate used in determining the actuarial accrued liabilities was 2.25% for 2015 and 2014. Obligations of the program are amortized over one year. USNH had designated cash assets of \$3,377,000 for these obligations as of June 30, 2015 and 2014 to fund the obligations of the program. The additional employer retirement contribution amounts are not available to employees hired after June 30, 2011.

Eligible employees hired after June 30, 2011 may elect to participate in USNH's defined contribution retirement plans administered by others. Contributions by USNH to these plans range from 4% to 10% of eligible salaries for enrolled participants and totaled \$25,410,000 and \$24,596,000 in 2015 and 2014,

respectively. Contributions by plan members totaled \$25,994,000 and \$23,507,000 in 2015 and 2014, respectively.

Early retirement and employee separation incentive programs were provided to various faculty and staff during 2015 and 2014. Incentives include stipends, as well as medical, educational and other termination benefits. The net present value of future costs associated with these incentive options is accrued as of the date of acceptance into the program. The liability balances of \$7,611,000 at June 30, 2015, and \$7,272,000 at June 30, 2014, represent obligations for 136 and 60 participants, respectively, which will be remitted in fiscal years 2016 through 2021.

USNH sponsors other benefit programs for its employees, including long-term disability, workers' compensation, and compensated absences. Long-term disability payments are provided through an independent insurer. The associated medical benefits are accrued and paid by USNH until age 65, at which point the postretirement medical plan takes over, if applicable. Workers' compensation accruals include amounts for medical costs and annual stipends. A small number of chronic workers' compensation cases will require stipends and regular employee medical benefits for life. Coverage for such claims is provided through an independent insurer. USNH also accrues amounts for compensated absences as earned. These accrued balances at June 30 represent vacation and earned time amounts payable to employees upon termination of employment.

USNH is self-insured for a portion of certain risks, including workers' compensation, employee long-term disability, certain student health insurance claims. Most employee and retiree medical and dental coverage provided by USNH is also self-insured. The costs of self-insured medical and dental claims and administrative fees totaled \$53,512,000 and \$51,312,000 for fiscal year 2015 and 2014, respectively. These amounts include \$5,844,000 and \$5,799,000 for estimated claims incurred but not reported as of June 30, 2015 and 2014, respectively. In conjunction with the primary medical plan offering for active employees, USNH purchases stop-loss coverage which limits the USNH cost of claims to \$500,000 per participant in most cases. The liabilities recorded in the financial statements for all USNH self-insured programs are developed by management based on historical claims data, and in the opinion of management are expected to be sufficient to cover the actual claims incurred.

The UNH School of Law currently maintains separate medical and retirement programs for its faculty and staff which are administered by third party providers. In accordance with terms of the integration agreement, employees under these plans will be migrated to the USNH primary plans over the next few years. The USNH Board of Trustees holds authority to change individual programs or terms of employee benefit offerings at any time.

7. Postretirement medical benefits

The primary defined benefit postretirement medical plan has two components. The first offering known as the Medicare Complementary Plan (MCP), was optional for full-time status employees hired before July 1, 1994 and not

offered to new employees after that date. At January 1, 2015 and 2014, respectively, there were 954 and 944 former employees receiving benefits under this program along with their dependents. As of January 1, 2015 and 2014, respectively, there were 301 and 332 active employees who, along with their dependents, may eventually be eligible to receive benefits under this program. The MCP provides limited medical coverage for the remaining life of the participants. There are no costs to participate in the plan, but retirees must pay a portion of the actual costs of services rendered.

Employees hired on July 1, 1994 or later are eligible for the current offering which provides bridge coverage only for retirees aged 62-65. Retired employees must have reached age 62, completed at least 10 years of benefits eligible service, participated in the active retirement plans during their last 10 years of service, and participated in USNH's active medical plan at the time of retirement in order to be eligible for the plan. Retirees contribute to the current plan at then-current employee medical rates during the bridge period. As of January 1, 2015 and 2014, respectively, there were 128 and 138 retirees receiving benefits under this program along with their dependents. As of January 1, 2015 and 2014, respectively, there were also 3,876 and 3,801 active employees who, along with their dependents, may eventually be eligible to receive benefits under this program.

Together, the above offerings constitute the primary post-retirement medical plan. This is a single-employer plan and funded on a pay-as-you-go basis with benefits paid when due. Third party actuaries are used to determine the postretirement benefit obligation and annual expense amounts. Actuarial calculations reflect a long-term perspective. By definition such calculations involve estimates and, accordingly, are subject to revision. The healthcare cost trend and discount rate assumptions have a significant effect on the amounts reported. For measurement purposes the 2015 initial rate of increase in the cost of healthcare services was assumed to be 9% for participants, decreasing to 8% in 2016, and further decreasing 0.5% each year thereafter until reaching an ultimate rate of 5% per year. The initial increase in the cost of prescriptions was assumed to be 9% for all participants for 2015, increasing to 11% for 2016, and then decreasing by 1% each year thereafter to an ultimate rate of 5% per year. The discount rate used in determining the actuarial accrued liability was 4.75% for 2014 and 2.5% for 2015. The initial unfunded actuarial accrued liability was

amortized over one year in 2005, and USNH elected to amortize changes thereafter over a closed period of 15 years. The actuarially determined postretirement benefit expense for the plan was \$8,414,000 for 2015 and \$1,821,000 for 2014. These calculations are based on the benefits provided by the plan at the time of the last biennial plan valuation, June 30, 2015 and 2013, and were developed using the Projected Unit Credit Cost Method. The primary postretirement medical plan holds no assets. As of June 30, 2015 and 2014, respectively, USNH has accrued \$53,347,000 and \$51,113,000 for obligations of the plan.

Total annual other postemployment benefit (OPEB) cost for the primary post-retirement medical plan for the years ended June 30, 2015 and 2014, and the liability as of June 30, 2015 and 2014 included the following components (\$ in thousands):

	2015	2014
Annual required contribution	\$13,497	\$ 7,530
Interest on net OPEB obligation	1,278	2,451
Adjustment to annual required contribution	(6,361)	(8,160)
Annual OPEB cost	8,414	1,821
Contributions made	(6,180)	(4,866)
Increase (decrease) in net OPEB obligation	2,234	(3,045)
Net OPEB obligation at beginning of year	51,113	54,158
Net OPEB obligation at end of year	\$53,347	\$51,113
Current Portion	\$ 6,180	\$ 4,861

An additional postretirement medical plan is maintained by the State of New Hampshire Police which covers safety officers at UNH and PSU. That plan is separate from the primary plan. USNH has accrued and set aside funds sufficient to cover \$347,000 for potential obligations under the State of New Hampshire Police Plan (\$338,000 long-term and \$9,000 current obligations) as of June 30, 2015 and 2014, respectively. The USNH Board of Trustees holds the authority to change these benefit plans at any time.

8. Long-term debt

USNH long-term debt activity, exclusive of deferred losses on refunding's (see Note 9 below), for the years ended June 30, 2015 and 2014 is summarized below (\$ in thousands):

	2014			2015			Balance June 30, 2015	Current Portion
	Balance June 30, 2013	Additions & Other Changes	2014 Retirements	Balance June 30, 2014	Additions & Other Changes	2015 Retirements		
NHHEFA bonds								
Series 2005A	\$ 56,650	\$ -	\$ (1,650)	\$ 55,000	\$ -	\$ (1,500)	\$ 53,500	\$ 1,750
Series 2005B	89,785	-	(3,890)	85,895	-	(4,030)	81,865	4,165
Series 2006B-2	53,925	-	(1,300)	52,625	-	(49,700)	2,925	1,425
Series 2007	46,570	-	-	46,570	-	-	46,570	-
Series 2009A	105,650	-	(21,605)	84,045	-	-	84,045	-
Series 2011A	6,000	-	-	6,000	-	-	6,000	-
Series 2011B	41,045	-	(1,595)	39,450	-	(1,670)	37,780	37,780
Series 2012	23,260	-	(2,605)	20,655	-	(2,655)	18,000	2,735
Series 2014	-	21,760	-	21,760	-	-	21,760	1,955
Series 2015	-	-	-	-	116,970	-	116,970	-
UNH School of Law Bonds	11,808	-	(11,808)	-	-	-	-	-
Unamortized discounts/premiums, net	6,246	-	(316)	5,930	16,194	(2,791)	19,333	1,010
Capital leases	13,511	-	(872)	12,639	-	(912)	11,727	953
Total bonds and leases	\$454,450	\$21,760	\$(45,641)	\$430,569	\$133,164	\$(63,258)	\$500,475	\$51,773

New Hampshire Health and Education Facilities Authority (NHHEFA) Bonds

NHHEFA is a public body corporate and an agency of the State of New Hampshire whose primary purpose is to assist New Hampshire not-for-profit educational and health care institutions in the construction and financing (or refinancing) of related facilities. NHHEFA achieves this purpose primarily

through the issuance of bonds. Since 1989 all USNH bonds have been issued through NHHEFA. None of USNH's NHHEFA bonds provide for a lien or mortgage on any property. USNH is obligated under the terms of the NHHEFA bonds to make payments from revenues received from certain housing, dining, union, recreational, and other related revenue generating facilities financed by the bonds. The state is not liable for the payment of principal or interest on the

NHHEFA bonds, nor is the state directly, indirectly or contingently obligated to levy or pledge any form of taxation whatsoever or to make any appropriation for their payment. USNH is in compliance with all covenants specified in the NHHEFA bonds, the most restrictive of which is maintenance of a debt-service coverage ratio, as defined, of at least 1.1 to 1.0.

USNH's bond portfolio at June 30, 2015 consisted of fixed rate and variable rate issues, with an overall cost of capital of approximately 3.95%. The variable rate demand bonds (Series 2005A, 2005B, 2011B) are fully hedged via interest rate swap agreements (see Note 9 below), with Series 2005A and 2005B each supported by standby bond purchase agreements. Series 2011B is supported by self-liquidity and thus categorized in total as a current liability. The associated variable interest rates for both 2005A and 2005B at June 30, 2015 and 2014 were 0.07% and 0.11% respectively. The 2011B associated variable interest rates at June 30, 2015 and 2014 were 0.04% and 0.10%, respectively.

During the year ended June 30, 2015, USNH issued Series 2015 Bonds in the amount of \$116,970,000 to defease a portion of the Series 2006B-2 Bonds (\$48,325,000), and fund student housing, dining and recreation facility projects at the three residential campuses (\$71,865,000). Approximately \$69,141,000 of the construction proceeds was unspent as of June 30, 2015. The refunding proceeds of \$51,774,000 are held in escrow in the principal payment investment account for the Series 2006B-2 Bonds on June 30, 2015. Maturity dates and interest terms of each issue are summarized below:

NHHEFA Bonds	Maturity Date	Interest Terms and Rates
Series 2005A	7/1/2035	Variable with daily pricing
Series 2005B	7/1/2033	Variable with daily pricing
Series 2006B-2	7/1/2016	Fixed at 4.5%
Series 2007	7/1/2018	Fixed at 5.1%
Series 2009A	Periodic Maturities*	Fixed at rates of 4.0-5.5%
Series 2011A	7/1/2021	Fixed at 5.0%
Series 2011B	7/1/2033	Variable with daily pricing
Series 2012	7/1/2020	Fixed at 1.7%
Series 2014	7/1/2024	Fixed at 2.0%
Series 2015	7/1/2045	Fixed at 3.79%

* 7/1/2016, 2020, 2023

Capital leases

On April 30, 2004, USNH entered into a capital lease agreement in the amount of \$18,387,000 to finance a portion of the costs of equipment housed in UNH's

utility cogeneration facility. The related lease payments are due quarterly through June 2025, including principal as well as interest at a fixed rate of 4.5%. The carrying value of the related equipment was \$10,389,000 and \$11,428,000 as of June 30, 2015 and 2014, respectively.

Maturity of long-term obligations

Long-term debt obligations are scheduled to mature as follows using the associated fixed, estimated synthetic fixed, and expected variable rates in effect as of June 30, 2015 over the remaining terms of individual issuances (\$ in thousands):

Fiscal Year	Principal	Interest	Total
2016	\$ 50,763	\$ 15,550	\$ 66,313
2017	39,386	20,242	59,628
2018	15,002	18,284	33,286
2019	62,094	17,837	79,931
2020	15,928	14,699	30,627
2021-2025	139,874	55,471	195,345
2026-2030	51,170	33,330	84,500
2031-2035	57,965	19,973	77,938
2036-2040	25,115	8,914	34,029
2041-2045	19,360	4,122	23,482
2046	4,485	224	4,709
	481,142	208,646	689,788
	Plus: unamortized discounts/ premiums, net	19,333	19,333
Total	\$500,475	\$208,646	\$709,121

State of NH general obligation bonds

The state, through acts of its legislature, provides funding for certain major plant facilities on USNH campuses. The state obtains its funds for these construction projects from general obligation bonds, which it issues from time to time. Debt service is funded by the general fund of the state, which is in the custody of the State Treasurer. The state is responsible for all repayments of these bonds in accordance with bond indentures. USNH facilities are not pledged as collateral for these bonds and creditors have no recourse to USNH. Accordingly, the state's debt obligation attributable to USNH's educational and general facilities is not reported as debt of USNH. As construction expenditures are incurred by USNH on state-funded educational and general facilities, amounts are billed to the state and recorded as State of New Hampshire capital appropriations.

9. Derivative instruments - interest rate swaps

USNH uses hedging derivatives to artificially fix interest rates on variable rate bonds outstanding. The terms and fair market value of swap contracts in place as of June 30, 2015 and 2014 were as follows (\$ in thousands):

	Effective Date	Termination Date	Payable Fixed Swap Rate	Receivable Variable Swap Rate	Notional Amount at June 30,		Swap Fair Value at June 30,	
					2015	2014	2015	2014
Series 2005A swap	October 29, 2008	July 1, 2035	3.6%	67% LIBOR	\$ 53,500	\$ 55,000	\$(11,057)	\$(10,521)
Series 2005B swap	August 1, 2005	July 1, 2033	3.1%	63% LIBOR + 0.29%	81,865	85,895	(9,887)	(9,623)
Series 2011B swap	April 4, 2011	July 1, 2033	4.5%	67% LIBOR	37,780	39,450	(9,446)	(9,684)
				Total	\$173,145	\$180,345	\$(30,390)	\$(29,828)

The fair value balances shown above represent the estimated amounts USNH would have been required to pay to terminate the swaps as of June 30, 2015 and 2014. The valuations provided are derived from third-party, proprietary models based upon well-recognized principles and estimates about relevant future market conditions. The fair values take into consideration the prevailing interest rate environment and the specific terms and conditions of each swap. All fair values were estimated using the zero-coupon discounting method. This method calculates the future payments required by the swap, assuming that the current forward rates implied by the yield curve are the market's best estimate of future spot interest rates. These payments are then discounted

using the spot rates implied by the current yield curve for a hypothetical zero-coupon rate bond due on the date of each future net settlement payment on the swaps. USNH intends to hold all swap contracts to maturity.

These derivative instruments meet the criteria established by GASB Statement No. 53 for effective hedges as of June 30, 2015 and 2014 and therefore their accumulated changes in fair value are reflected as deferrals on the statements of net position. The notional amount of each swap is tied to the outstanding balance of the related bonds throughout the life of the swap. Under the terms of each swap, USNH makes fixed rate interest payments to the counterparty

and receives a variable rate payment from the counterparty; USNH makes variable rate payments to bondholders on the related bonds. None of the derivatives require collateralization by USNH at any level of negative fair market value.

Risk Disclosure

Counterparty Risk – This is the risk that the counterparty will fail to perform under the terms of the swap agreement. As of June 30, 2015, USNH was exposed to no counterparty credit risk relative to its swaps as all swap market values were negative. The swaps require collateralization of any positive fair value of the swap should the counterparty's credit rating fall below thresholds identified in the swap contracts. USNH mitigates counterparty risk by spreading the swap exposure among various counterparties, by monitoring bond ratings continuously, and by requiring collateralization in certain circumstances.

Basis Risk – This is the risk of a mismatch between the variable rate received from the swap counterparty and the variable rate paid by USNH to bondholders on the underlying variable rate debt. Depending on the magnitude and duration of any basis risk shortfall or surplus, the effective rate on the debt will vary. Based on current and historical experience, PFM, USNH's swap advisor,

expects payments received under the agreements to approximate the expected bond payments over the life of the swaps. USNH manages basis risk by closely monitoring daily and monthly rates paid and received on each transaction, by diversifying bond remarketing agents, and by diversifying swap terms (e.g., 67% of LIBOR vs. 63% of LIBOR + 29 bps).

Termination Risk – This is the risk that the swaps could be terminated as a result of any of several events, which may include rating downgrades below specified levels for USNH or the swap counterparty; covenant violation; swap payment default or bankruptcy by either party; or default events under a bond resolution or trust indenture. Under the terms of each agreement, USNH always has the option to terminate a swap at the fair market value by providing notice to the counterparty, while the counterparty may only terminate the swap upon certain termination events. USNH manages termination risk by adhering to bond covenant requirements, by employing strategic indicator targets to maintain financial strength, by monitoring swap market values and counterparty credit ratings, and by diversifying swap counterparties.

Effective interest rates and other key terms of each derivative are described below:

	Counterparty's Most Recent Credit Rating	Variable Interest Rates Paid and Received				Inception-To-Date		
		Interest Rate Paid by USNH to Bond- holders as of		Interest Rate Received by USNH from Swap Counterparties as of		Effective Interest Rate	Effective Interest Rate	All-in Synthetically Fixed Interest Rate
		6/30/2015	6/30/2014	6/30/2015	6/30/2014	6/30/2015	6/30/2014	
Series 2005A swap	Aa2/AA-	0.1%	0.1%	0.1%	0.1%	3.6%	3.6%	3.9%
Series 2005B swap	A1/A-	0.1%	0.1%	0.4%	0.4%	2.9%	2.9%	3.6%
Series 2011B swap	A3/A-	0.0%	0.1%	0.1%	0.1%	4.5%	4.5%	4.7%

Swap Cash Flows

Actual interest payments on the swaps vary as interest rates vary. The table below shows estimated annual future cash flows of the derivative instruments if interest rates remain unchanged from June 30, 2015 through the end of each swap contract (*\$ in thousands*):

Fiscal Year	Notional Bonds Amortization	Estimated Interest Received	Estimated Interest and Fees Paid	Estimated Swap Net Outflows
2016	\$ 7,665	\$ (445)	\$ 6,172	\$ 5,727
2017	7,775	(423)	5,900	5,477
2018	8,275	(402)	5,625	5,223
2019	8,560	(379)	5,331	4,952
2020	8,720	(356)	5,028	4,672
2021-2025	49,410	(1,396)	20,204	18,808
2026-2030	38,855	(794)	12,125	11,331
2031-2035	40,235	(275)	4,639	4,364
2036	3,650	(4)	131	127
Total	\$173,145	\$(4,474)	\$65,155	\$60,681

10. Pass-through grants

USNH distributed \$187,230,000 and \$194,511,000 of student loans through the U.S. Department of Education Federal Direct Lending program during 2015 and 2014, respectively. These distributions and related funding sources are not included as expenses and revenues, or as cash disbursements and cash receipts, in the accompanying financial statements. The statements of net position include receivables of \$144,000 and \$184,000 as of June 30, 2015 and 2014, respectively, for direct loans disbursed in excess of U.S. Department of Education receipts.

11. Endowment return used for operations

The majority of endowment funds are invested in one of two investment pools valued using units purchased in the applicable pool at the gift date. The endowment distribution rate as a percentage of the average market value per unit for the twelve quarters from which it was derived was 4.8% for 2015 and 2014 for the USNH endowment pool. For the UNHF endowment pool, the distribution rate was 5.4% for 2015 and 2014.

The objective for the annual spending formula for endowment return used for operations is to provide sustainable continued future support for ongoing programs at current levels assuming moderate inflation. To the extent that endowment yield is insufficient in any one year to meet the required spending distribution; accumulated net gains are utilized to fund the distribution.

The components of endowment return used for operations for 2015 and 2014 are summarized below (\$ in thousands):

	<u>2015</u>	<u>2014</u>
Pooled endowment yield - campuses	\$ 5,627	\$ 3,621
Pooled endowment yield - affiliates	1,456	2,644
Trusts, life income and annuities yield	228	(103)
Gains utilized to fund distribution	<u>16,971</u>	<u>16,086</u>
Endowment return used for operations	<u>\$24,282</u>	<u>\$22,248</u>

12. Operating expenses by function

The following table details USNH operating expenses by functional classification (\$ in thousands):

Campuses – current funds	Compensation	Supplies & Services	Utilities	Internal Allocations	Depre- ciation	2015 Total	2014 Total
Instruction	\$215,229	\$ 21,476	\$ 2	\$ 293	\$ -	\$237,000	\$225,580
Auxiliary services	50,873	50,617	4,852	45,912	-	152,254	153,369
Research and sponsored programs	70,039	37,055	120	136	-	107,350	110,009
Academic support	47,740	25,244	16	376	-	73,376	70,973
Student services	27,002	13,206	14	(102)	-	40,120	38,489
Institutional support	41,003	13,059	45	(19,262)	-	34,845	31,455
Operations and maintenance	25,637	16,836	16,758	(27,055)	-	32,176	28,685
Fundraising and communications	12,451	5,042	-	2,460	-	19,953	20,731
Public service	<u>7,350</u>	<u>1,892</u>	-	<u>184</u>	-	<u>9,426</u>	<u>7,979</u>
Subtotal-current funds	497,324	184,427	21,807	2,942	-	706,500	687,270
Campuses – other funds	1,632	18,246	11	(400)	58,716	78,205	65,959
Affiliated entities	<u>4,205</u>	<u>914</u>	-	<u>(2,542)</u>	-	<u>2,577</u>	<u>2,154</u>
Total	<u>\$503,161</u>	<u>\$203,587</u>	<u>\$21,818</u>	<u>\$ -</u>	<u>\$58,716</u>	<u>\$787,282</u>	<u>\$755,383</u>

13. Commitments and contingencies

USNH holds insurance for losses related to real property, as well as professional, environmental and general liability claims. Property coverage is limited to \$500 million in the aggregate with varying deductible levels. Liability coverage and deductible levels are based on management's assessments of the risks of related losses. Settlements below the relevant deductible amounts are funded from unrestricted net position.

USNH makes expenditures in connection with restricted government grants and contracts, which are subject to final audit by government agencies. Management is of the opinion that the amount of disallowances, if any, sustained through such audits would not materially affect the financial position, results of operations, or cash flows of USNH.

USNH is a defendant in various legal actions arising out of the normal course of its operations. Although the final outcome of such actions cannot presently be determined, management is of the opinion that the eventual liability, if any, will not have a material effect on USNH's financial position, results of operations or cash flows.

As discussed in Note 8, USNH provides self-liquidity for the 2011B Bonds which had outstanding balances of \$37,780,000 at June 30, 2015 and \$39,450,000 at June 30, 2014. The related terms require that USNH maintain 1.5 times coverage of the bonds outstanding in same-day liquid investments to insure payment to bondholders in the event the bonds are not successfully remarketed (\$56,670,000 at June 30, 2015 and \$59,175,000 at June 30, 2014).

14. Net position

The table below details USNH's net position as of June 30, 2015 and 2014 (\$ in thousands):

	<u>2015</u>	<u>2014</u>
Net investment in capital assets	\$ 598,331	\$ 574,302
Restricted financial resources		
Nonexpendable		
Historic gift value of endowment-campus	99,438	93,071
Historic gift value of endowment-affiliated entities	<u>145,742</u>	<u>130,776</u>
Total restricted nonexpendable resources	245,180	223,847
Expendable		
Held by campuses:		
Accumulated net gains on endowment	47,452	51,771
Fair value of restricted funds functioning as endowment	13,983	14,198
Gifts, grants and contracts	29,745	29,033
Life income and annuity funds	16	45
Loan funds	6,840	6,851
Held by affiliated entities:		
Accumulated net gains on endowment	36,709	40,052
Other	<u>33,396</u>	<u>31,640</u>
Total restricted expendable resources	168,141	173,590
Unrestricted financial resources		
Held by campuses:		
Educational and general reserves	62,074	50,789
Auxiliary enterprises	38,427	36,157
Internally designated reserves	50,603	85,358
Unrestricted loan funds	1,609	1,566
Unexpended plant funds	103,282	87,288
Fair value of unrestricted funds functioning as endowment	<u>132,473</u>	<u>133,206</u>
Subtotal	388,468	394,364
Unfunded postretirement medical liability	<u>(53,347)</u>	<u>(51,113)</u>
Total unrestricted net assets held by campuses	335,121	343,251
Held by affiliated entities:		
Fair value of unrestricted funds functioning as endowment	545	557
Other	<u>3,039</u>	<u>2,764</u>
Total unrestricted financial resources	<u>338,705</u>	<u>346,572</u>
TOTAL NET POSITION	<u>\$1,350,357</u>	<u>\$1,318,311</u>

The fair value of unrestricted funds functioning as endowment includes quasi-endowment funds totaling \$70,443,000 as of June 30, 2015 and \$64,192,000 as of June 30, 2014, which are invested to support debt principal payments for bond issues with specific future maturities. In addition to the June 30, 2015 unexpended plant balances shown above, \$72,434,000 of internally designated reserves was also approved for funding of campus capital projects. The largest of these projects are the Hamilton Smith building at UNH (\$36,245,000), the West Stadium at UNH (\$20,000,000), and the All Well North facility (\$4,886,000) at PSU.

15. Component units

Condensed information from the audited financial statements of the University of New Hampshire Foundation, Inc. (UNHF) is presented below (\$ in millions):

University of New Hampshire Foundation, Inc.

	Condensed information from the Statements of Net Position as of June 30,		Condensed information from the Statements of Revenues, Expenses and Changes in Net Position for the years ended June 30,		Condensed information from the Statements of Cash Flows for the years ended June 30,			
	2015	2014	2015	2014	2015	2014		
Endowment investments	\$201	\$190	Gifts and other support	\$33	\$38	Receipts from gifts and other sources	\$14	\$15
Other assets	14	14	Investment income	5	25	Payments to UNH and suppliers	(27)	(20)
Total assets	<u>215</u>	<u>204</u>	Total revenues	<u>38</u>	<u>63</u>	Net cash used in operating activities	(13)	(5)
Annuities payable	2	3	Distributions to UNH	19	17	Net cash used in investing activities	(6)	(12)
Other liabilities	1	2	Administrative and other expenses	6	6	Net cash provided by noncapital financing activities	16	20
Total liabilities	<u>3</u>	<u>5</u>	Total expenses	<u>25</u>	<u>23</u>	Change in Cash and Equivalents	<u>\$ (3)</u>	<u>\$ 3</u>
Total Net Position	<u>\$212</u>	<u>\$199</u>	Increase in Net Position	<u>\$13</u>	<u>\$40</u>			

A copy of the complete financial statements for UNHF can be obtained by contacting their Advancement Finance and Administration Office at (603) 862-1584.

16. Subsequent events

Management has evaluated the impact of subsequent events through October 30, 2015, which is the date that the financial statements were available for issuance, and concluded that no material events have occurred which would require recognition or disclosure.

Required Supplemental Information

Schedules of Funding Progress for the year ended June 30, 2015

(Unaudited)

(\$ in thousands)

Actuarial Valuation* Date	Actuarial Value of Assets (a)	Actuarial Accrued Liability** (AAL) (b)	Unfunded AAL (UAAL) (c)	Funded Ratio (a)/(b)	Covered Payroll (d)	UAAL as a % of Covered Payroll (c)/(d)
Postretirement Medical Plan						
December 31, 2014	-	\$129,717	\$129,717	-	\$229,211	57%
July 1, 2013	-	52,231	52,231	-	224,139	23%
July 1, 2011	-	50,876	50,876	-	219,357	23%

*Third party actuaries are used to determine these amounts on a biennial basis. The next valuation is due on or after December 31, 2016.

** The most recent valuation includes changes in assumptions related to mortality, future year health care cost trends and plan provisions, as well as a reduction in the discount rate to 2.5%.

See accompanying independent auditor's report.

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Chancellor, University System of New Hampshire

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President, Granite State College

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Associate Treasurer, USNH

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Administration, UNH

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Vice President for Finance and Planning, KSC

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Vice Chancellor and Treasurer/CFO, USNH

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Administration, PSU

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Chief Human Resources Officer

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Vice Chancellor and Treasurer/CFO, USNH

Ronald F. Rodgers
General Counsel and Secretary of USNH

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Vice President for Finance and Administration

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President of the UNH Foundation

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Lisa L. Shawney
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and Infrastructure

Scott Stanley
Provost and Vice President for Academic Affairs

**THANK
YOU
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I COULD**

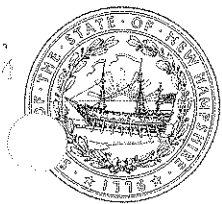
**University System
of New Hampshire**

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State of New Hampshire

FIS 15 279

DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301



VICKI V. QUIRAM
Commissioner
(603) 271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603) 271-3204

November 24, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

INFORMATIONAL ITEM

Pursuant to RSA 21-I: 19-g, III-b requires the Department of Administrative Services to annually report to the fiscal committee of the general court all light duty trucks whose mileage is at or below the break-even mileage requirement during such year.

This information item details the following:

1. Executive Summary – Data summary of all state owned light duty trucks and all light duty trucks at or below the break-even mileage.
2. Exhibit A – Light Duty Trucks Break Even Mileage Report Fiscal Year 2015

Vehicle information referenced and contained in the attachments is supported by each agency's FY 2015 Motor Vehicle Record Reports.

Respectfully submitted,

A handwritten signature in cursive script that reads "Vicki V. Quiram".

Vicki V. Quiram
Commissioner

Light Duty Truck Executive Summary

Light Duty Truck BEM Executive Summary

Agency	Total Light Duty Trucks in Agency Fleet	Below BEM		
		Total	Light Duty Truck 1	Light Duty Truck 2
	0 to 10,000 lbs		up to 8,500 lbs	8,501 lbs to 10,000 lbs
Adjutant General	6	4	2	2
Administrative Services, Department of	23	19	8	11
Agriculture, Department of	11	4	4	0
Banking Department	0	0	0	0
Correctional Industries	2	2	2	0
Corrections, Department of	21	15	8	7
Cosmetology	0	0	0	0
Cultural Resources, Department of	6	1	0	1
DRED (Resources & Econ. Dev.)	123	73	47	26
Education	1	1	1	0
Employment Security	4	3	2	1
Energy and Planning, Office of	1	1	1	0
Environmental Services, Department of	61	19	12	7
Fish & Game	119	39	27	12
Health & Human Services	41	31	19	12
Highway Safety	1	1	1	0
Insurance, Department of	0	0	0	0
Justice, Department of	16	6	6	0
Labor, Department of	1	1	1	0
Liquor Commission	5	0	0	0
Lottery Commission	15	1	0	1
Pharmacy Board	0	0	0	0
Police Standards & Training	3	3	2	1
Public Utilities Commission	0	0	0	0
Racing & Charitable Gaming Commission	0	0	0	0
Revenue, Department of	2	0	0	0
Safety, Department of / State Police	156	50	42	8
Transportation, Department of	304	14	5	9
Veterans Home	5	5	4	1
Veterans Services	0	0	0	0
Totals:	927	293	194	99

31.61%

Total <BEM as percentage of the LDT Fleet.

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Exhibit A

Light Duty Truck (1 & 2) Break Even Mileage Report

As Required by RSA 21 – I: 19 – g, III-b

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Line #	Agency Name	Fleet Unit Subtype	Model Year	Make	Model	Current Odometer	In-Service Date	Plate or ID	Position of Person Assigned	City/Town Where Garaged	Garaged at Residential Site?	Use Class	FY2015 Mileage
1	Adjutant General	LDT1	2006	Chevrolet	Colorado	60,357	1/25/2006	NG2	N/A	Concord	No	1	6,186
2	Adjutant General	LDT1	2008	Ford	F150	57,146	2/12/2008	NG11	N/A	Concord	No	1	5,855
3	Adjutant General	LDT2	1999	Ford	FORD ECONOLINE E350 SUPER DUTY	194,240	3/29/2000	NG9	N/A	Concord	No	1	832
4	Adjutant General	LDT2	2000	Ford	ECONOLINE E350 SUPER DUTY Cargovan	118,100	8/1/2000	NG3	N/A	Concord	No	1	1,000
Adjutant General													
5	Administrative Services, Department of	LDT1	2001	Chevrolet	1500 Van	49,783	11/1/2008	A15	Pool	Concord	No	1	5,072
6	Administrative Services, Department of	LDT1	2002	Chevrolet	Astro Van	86,509	7/1/2006	A64	Pool	Concord	No	1	3,949
7	Administrative Services, Department of	LDT1	2004	Chevrolet	Silverado	124,151	3/1/2009	A12	Pool	Concord	No	1	3,515
8	Administrative Services, Department of	LDT1	2006	Chevrolet	Colorado	123,975	5/25/2013	A87	Project Mgr III - Head Clerk	Concord	Yes	2	8,815
9	Administrative Services, Department of	LDT1	2006	Chevrolet	Colorado	123,975	5/25/2013	A87	Project Mgr III - Head Clerk	Concord	Yes	2	8,815
10	Administrative Services, Department of	LDT1	2006	Ford	F150 Pick up	160,276	8/15/2013	A71	Pool	Concord	No	1	1,486
11	Administrative Services, Department of	LDT1	2008	Chevrolet	Van	33,776	3/1/2008	A22	Pool	Concord	No	1	4,047
12	Administrative Services, Department of	LDT1	2013	Ford	E350 Econoline Van	11,407	7/1/2013	A20	Pool	Concord	No	1	5,540
13	Administrative Services, Department of	LDT2	1986	Chevrolet	Blazer military	11,600	7/1/2006	A59	Pool	Concord	No	1	64
14	Administrative Services, Department of	LDT2	2002	Chevrolet	2500HD	50,458	9/24/2010	A8	Pool	Concord	No	1	1,897
15	Administrative Services, Department of	LDT2	2006	Chevrolet	1500	196,783	4/17/2014	A60	Pool	Concord	No	1	7,788
16	Administrative Services, Department of	LDT2	2006	Chevrolet	1500	196,783	4/17/2014	A60	Pool	Concord	No	1	7,788
17	Administrative Services, Department of	LDT2	2008	Ford	F250 Superduty	48,770	2/1/2008	A9	Pool	Concord	No	1	8,484
18	Administrative Services, Department of	LDT2	2011	Chevrolet	Van	41,316	10/4/2010	A28	Pool	Concord	No	1	3,820
19	Administrative Services, Department of	LDT2	2011	Chevrolet	Van	26,813	10/4/2010	A13	Pool	Concord	No	1	4,196
20	Administrative Services, Department of	LDT2	2011	Ford	E250 Van	14,869	5/1/2011	A5	Pool	Concord	No	1	2,779
21	Administrative Services, Department of	LDT2	2011	Ford	F250 Superduty	19,986	9/1/2011	A16	Pool	Concord	No	1	6,859
22	Administrative Services, Department of	LDT2	2013	GMC	3500	8,557	10/22/2013	A89	Pool	Concord	No	1	5,563
23	Administrative Services, Department of	LDT2	2014	Ford	F250 Super duty Pickup	3,656	5/1/2014	A37	Pool	Concord	No	1	2,956
Administrative Services, Department of													
24	Agriculture, Department of	LDT1	2006	Chevrolet	C1500 Silverado Pickup	99,158	1/1/2006	AGR75	Weights & Ms Inspector	Stickney Ave-Concord	No	1	4,362
25	Agriculture, Department of	LDT1	2006	Chevrolet	C1500 Silverado Pickup	127,341	1/1/2006	AGR74	Weights & Ms Investigator	Stickney Ave-Concord	No	1	1,560
26	Agriculture, Department of	LDT1	2008	Ford	F150 Pkup	129,073	11/1/2007	AGR88	Pool	Stickney Ave-Concord	No	1	100
27	Agriculture, Department of	LDT1	2011	Ford	Ranger Super Cab	9,479	11/2/2010	AGR20	Pool	Stickney Ave-Concord	No	1	900
Agriculture, Department of													
28	Correctional Industries	LDT1	2000	GMC	SAVANNA	214,119	2/1/2005	COR64	N/A	Concord	No	1	1,252
29	Correctional Industries	LDT1	2005	Chevrolet	Express Van	177,746	10/1/2005	COR1	N/A	Concord	No	1	6,436
30	Correctional Industries	LDT1	2005	Chevrolet	Express Van	177,746	10/1/2005	COR1	N/A	Concord	No	1	6,436
31	Corrections, Department of	LDT1	2005	Jeep	Liberty	133,804	2/1/2005	COR56	Pool	Concord	No	1	3,274
32	Corrections, Department of	LDT1	2006	Chevrolet	Express Van	50,723	3/1/2006	COR18	Pool	Concord	No	1	1,777
33	Corrections, Department of	LDT1	2006	Chevrolet	Express Van	223,699	6/1/2006	COR50	Pool	Concord	No	1	6,596
34	Corrections, Department of	LDT1	2007	Dodge	Ram 3500 Van	25,239	5/1/2007	COR12	Pool	Concord	No	1	1,877
35	Corrections, Department of	LDT1	2007	Ford	Escape	107,564	12/1/2006	COR72	Pool	Berlin	No	1	6,389
36	Corrections, Department of	LDT1	2007	Ford	F450	10,308	6/1/2006	COR43	Pool	Concord	No	1	588
37	Corrections, Department of	LDT1	2008	Chevrolet	Uplander	47,818	3/1/2008	COR53	Pool	Concord	No	1	5,022
38	Corrections, Department of	LDT1	2015	Ford	F3HZ	1,734	6/27/2014	COR61	Pool	Berlin	No	1	1,661
39	Corrections, Department of	LDT2	2006	Chevrolet	Express Van	163,814	6/1/2006	COR23	Pool	Concord	No	1	6,686
40	Corrections, Department of	LDT2	2006	Ford	F-350 Van	57,532	3/1/2006	COR13	Pool	Concord	No	1	2,750
41	Corrections, Department of	LDT2	2006	Ford	F-350 Van	18,640	3/1/2008	COR16	Pool	Goffstown	No	1	908
42	Corrections, Department of	LDT2	2006	Ford	F-350 Van	39,200	3/1/2006	COR14	Pool	Concord	No	1	2,902
43	Corrections, Department of	LDT2	2008	Chevrolet	Crew Cab	36,878	3/1/2008	COR52	Pool	Concord	No	1	7,564
44	Corrections, Department of	LDT2	2009	Ford	E350	74,065	8/1/1996	COR34	Pool	Concord	No	1	4,715
45	Corrections, Department of	LDT2	2013	Ford	E150 Van	6,595	4/30/2013	COR84	Pool	Concord	No	1	6,562
Corrections/Correctional Industries													
46	Cultural Resources, Department of	LDT2	1994	Ford	F350	178,120	6/20/2002	M36	Pool	Concord	No	1	2,071
Cultural Resources, Department of													
47	DRED (Resources & Economic Development)	LDT1	1968	Kaiser	M715 Jeep	9,681	12/1/1978	D75	Forest & Lands	Allenstown	No	1	13
48	DRED (Resources & Economic Development)	LDT1	1983	Chevrolet	C30 (NF5)	39,175	12/20/1993	180382	Mt. Sunapee Resort	Mt Sunapee	No	1	1,054
49	DRED (Resources & Economic Development)	LDT1	2001	Chevrolet	C1500 Silverado (CC15703)	143,401	6/7/2001	D192	Odiorne Point Park	Rye	No	1	9,137
50	DRED (Resources & Economic Development)	LDT1	2001	Chevrolet	C1500 Silverado (CC15703)	108,841	5/3/2001	D119	Pool	Portsmouth	No	1	4,015
51	DRED (Resources & Economic Development)	LDT1	2001	Chevrolet	K-1500 Silverado (CC15903)	68,321	6/7/2001	D122	Forest Nursery Staff	Boscawen	No	1	2,957
52	DRED (Resources & Economic Development)	LDT1	2001	Chevrolet	S10 Pickup (CS10603)	81,552	5/18/2001	D115	Seacoast Maint	Hampton	No	1	7,600
53	DRED (Resources & Economic Development)	LDT1	2001	Dodge	RAM 1500	119,537	10/20/2000	D327	Wallis Sands Park	Rye	No	1	5,524
54	DRED (Resources & Economic Development)	LDT1	2001	Dodge	RAM 1500	97,967	10/4/2000	D311	White Lake Park	Tamworth	No	1	0

Line#	Agency Name	Fleet Unit Subtype	Model Year	Make	Model	Current Odometer	In-Service Date	Plate or ID	Position of Person Assigned	City/Town Where Garaged	Garaged at Residential Site?	Use Class	FY2015 Mileage
55	DRED (Resources & Economic Development)	LDT1	2002	Chevrolet	K-1500 Silverado - CK15753	186,311	4/29/2002	D322	Pisgah Park	Winchester	No	1	4,002
56	DRED (Resources & Economic Development)	LDT1	2002	Chevrolet	K-1500 Silverado - CK15753	185,183	5/8/2002	D287	Deer Mt State Park	Pittsburg	No	1	1,379
57	DRED (Resources & Economic Development)	LDT1	2002	Ford	F150	56,045	7/18/2011	D286	Kingston Pool	Kingston	No	1	2,407
58	DRED (Resources & Economic Development)	LDT1	2002	Ford	F150 XL	72,495	7/8/2011	D288	Park Mgr III	Greenfield	No	1	8,382
59	DRED (Resources & Economic Development)	LDT1	2003	Ford	F150 XL	58,598	6/16/2010	D173	Pillsbury State Park	Washington	No	1	7,907
60	DRED (Resources & Economic Development)	LDT1	2004	Chevrolet	C1500 Silverado - CK15753	136,489	5/18/2004	D29	Equipment Operator	Tamworth	No	1	4,733
61	DRED (Resources & Economic Development)	LDT1	2005	Chevrolet	1500	109,201	5/27/2011	D117	Lafayette Campground	Franconia	No	1	4,254
62	DRED (Resources & Economic Development)	LDT1	2005	Dodge	Durango ST	227,290	4/11/2005	D81	Cannon Mt Staff	Franconia	No	1	4,414
63	DRED (Resources & Economic Development)	LDT1	2006	Chevrolet	K-1500 Silverado (CK15903)	95,360	6/12/2006	D118	Forest Tech III	Lancaster	No	1	4,282
64	DRED (Resources & Economic Development)	LDT1	2007	Chevrolet	K-1500 Silverado	85,905	9/26/2006	D87	Forest Management Staff	Boscawen	No	1	9,119
65	DRED (Resources & Economic Development)	LDT1	2007	Ford	Escape XLS	108,745	1/4/2007	D53	Forest Ranger II	Allenstown	No	4	2,470
66	DRED (Resources & Economic Development)	LDT1	2007	Ford	F150 XL	160,309	4/10/2007	D55	Forest Ranger II	Allenstown	Yes	4	2,802
67	DRED (Resources & Economic Development)	LDT1	2007	Ford	F150 XL	93,527	3/27/2007	D129	Forester III	Boscawen	No	1	9,009
68	DRED (Resources & Economic Development)	LDT1	2007	Ford	Ranger	142,145	10/10/2012	D294	Miller State Park	Peterborough	No	1	1,741
69	DRED (Resources & Economic Development)	LDT1	2007	Ford	Ranger	142,145	10/10/2012	D294	Miller State Park	Peterborough	No	1	1,741
70	DRED (Resources & Economic Development)	LDT1	2008	Chevrolet	K1500	78,954	2/19/2008	D128	Forester I	Allenstown	No	1	7,216
71	DRED (Resources & Economic Development)	LDT1	2010	Ford	F150 XL	26,748	8/12/2010	D335	Cannon Mt	FNSP / Franconia	No	1	4,565
72	DRED (Resources & Economic Development)	LDT1	2011	Chevrolet	Colorado	28,599	7/11/2011	D93	DD&M Pool	Concord	No	1	6,691
73	DRED (Resources & Economic Development)	LDT1	2011	Ford	F150 XL	24,789	9/21/2011	D123	Forester I	Allenstown	No	1	7,381
74	DRED (Resources & Economic Development)	LDT1	2011	Ford	F150 XL	22,926	4/11/2011	D333	Cannon Mt	FNSP / Franconia	No	1	5,711
75	DRED (Resources & Economic Development)	LDT1	2012	Ford	Expedition XL	24,707	4/18/2012	D179	Mt Washington Staff	Mt Washington / Gorham	No	1	7,815
76	DRED (Resources & Economic Development)	LDT1	2012	Ford	F150 XL	20,366	8/24/2012	D368	Park Mgr V	Jaffrey	No	1	8,070
77	DRED (Resources & Economic Development)	LDT1	2012	Ford	F150 XL	23,893	5/30/2012	D83	Pool	Concord	No	1	5,126
78	DRED (Resources & Economic Development)	LDT1	2012	Ford	F150 XL	55,386	6/26/2012	D303	Park Mgr V	Ossipee	Yes	1	6,246
79	DRED (Resources & Economic Development)	LDT1	2013	Ford	F150 XL	20,217	7/1/2013	D289	Program Specialist IV	Concord	No	1	8,325
80	DRED (Resources & Economic Development)	LDT1	2013	Ford	F150 XL	8,665	5/24/2013	D281	Moose Brook Park	Moose Brook / Gorham	No	1	5,373
81	DRED (Resources & Economic Development)	LDT1	2013	Ford	F150 XL	20,498	5/24/2013	D324	Sunapee Park	Sunapee	No	1	6,689
82	DRED (Resources & Economic Development)	LDT1	2013	Ford	F150 XL	6,927	5/24/2013	D296	Mollidgewock Park	Mollidgewock/Errol	No	1	3,422
83	DRED (Resources & Economic Development)	LDT1	2013	Ford	F150 XL	10,826	5/27/2013	D18	Echo Lake Park	Conway	No	1	5,682
84	DRED (Resources & Economic Development)	LDT1	2014	Chevrolet	Silverado 1500 LT	11,547	11/1/2013	D337	Cannon Mt	FNSP / Franconia	No	1	5,409
85	DRED (Resources & Economic Development)	LDT1	2014	Chevrolet	Traverse LT	14,772	11/2/2013	D183	Cannon Mt Staff	Franconia	No	1	8,771
86	DRED (Resources & Economic Development)	LDT1	2014	Ford	F150 XL	13,342	3/20/2014	D317	Land Surveyor Mapper	Concord	No	1	9,900
87	DRED (Resources & Economic Development)	LDT1	2014	Ford	F150 XL	11,593	5/1/2014	D189	Lake Francis Park	Pittsburg	No	1	9,601
88	DRED (Resources & Economic Development)	LDT1	2014	Ford	F150 XL	11,402	4/21/2014	D103	Pawtuckaway Park	Nottingham	No	1	8,835
89	DRED (Resources & Economic Development)	LDT1	2014	Ford	F150 XL	4,006	5/5/2014	D265	Ellacoya State Park	Gilford	No	1	2,599
90	DRED (Resources & Economic Development)	LDT1	2014	Ford	F150 XL	11,513	3/20/2014	D284	Park Mgr III	Allenstown	No	1	7,956
91	DRED (Resources & Economic Development)	LDT1	2014	Ford	F150 XL	6,521	5/16/2014	D315	Seacoast Maint	Hampton	No	1	5,117
92	DRED (Resources & Economic Development)	LDT1	2014	Jeep	Patriot Sport	13,155	4/18/2014	D308	Hampton Meter Patrol	Hampton	No	1	9,859
93	DRED (Resources & Economic Development)	LDT1	2014	Jeep	Patriot Sport	6,048	6/6/2014	D5	Pool	Concord	No	1	5,795
94	DRED (Resources & Economic Development)	LDT2	1985	Chevrolet	D30 Military Postal Unit (CD30903)	39,682	12/16/1997	D76	Forest & Lands	Woodstock	No	1	635
95	DRED (Resources & Economic Development)	LDT2	1987	GMC	R3500 (TR31403)	40,246	9/3/1987	D134	Forest & Lands	Boscawen	No	1	178
96	DRED (Resources & Economic Development)	LDT2	1995	Chevrolet	K2500 (CK20903)	237,946	7/13/1995	D112	Forest & Lands	Lancaster	No	1	396
97	DRED (Resources & Economic Development)	LDT2	1998	Chevrolet	K2500 (CK20903)	151,063	7/20/1998	D305	Milan Hill State Park	Milan	No	1	1,450
98	DRED (Resources & Economic Development)	LDT2	1998	Dodge	RAM 2500 (BR2L62)	161,826	2/3/1998	D298	Pawtuckaway Park	Nottingham	No	1	4,440
99	DRED (Resources & Economic Development)	LDT2	1999	Ford	F250	135,671	6/2/1999	D282	Greenfield State Park	Greenfield	No	1	4,066
100	DRED (Resources & Economic Development)	LDT2	1999	Ford	F250	119,596	6/2/1999	D316	White Lake Park	Tamworth	No	1	2,601
101	DRED (Resources & Economic Development)	LDT2	1999	Ford	F250	186,673	6/1/1999	D71	Forest & Lands	Allenstown	No	1	3,091
102	DRED (Resources & Economic Development)	LDT2	2003	Ford	F350 SD	49,100	3/28/2003	D133	Forest & Lands	Boscawen	No	1	2,193
103	DRED (Resources & Economic Development)	LDT2	2004	Chevrolet	2500HD	83,394	6/18/2010	D295	Greenfield State Park	Greenfield	No	1	5,558
104	DRED (Resources & Economic Development)	LDT2	2004	Chevrolet	K2500HD	92,350	5/27/2011	D326	Umbagog Park	Errol	No	1	9,137
105	DRED (Resources & Economic Development)	LDT2	2005	Ford	F250 SD	65,425	6/22/2005	D325	Sunapee State Park	Sunapee	No	1	5,278
106	DRED (Resources & Economic Development)	LDT2	2006	Chevrolet	K2500HD	111,810	6/30/2011	D309	Silver Lake State Park	Hollis	No	1	5,750
107	DRED (Resources & Economic Development)	LDT2	2006	Chevrolet	K-2500HD Silverado	93,314	7/12/2008	D88	Forester I	Boscawen	No	1	8,737
108	DRED (Resources & Economic Development)	LDT2	2008	Ford	F250	48,811	1/24/2008	D276	Cannon Mt	Franconia	No	1	2,801
109	DRED (Resources & Economic Development)	LDT2	2008	Ford	F250 XL	63,628	4/3/2008	D21	District Supervisor	Moultonboro	No	1	8,726
110	DRED (Resources & Economic Development)	LDT2	2009	Ford	F250	36,316	6/24/2009	D330	Bear Brook Park	Allenstown	No	1	2,956
111	DRED (Resources & Economic Development)	LDT2	2009	Ford	F250	61,513	7/1/2009	D329	Seacoast Maint	Hampton	No	1	5,514
112	DRED (Resources & Economic Development)	LDT2	2012	Ford	F250 XL	10,832	6/25/2012	D279	Pawtuckaway Park	Nottingham	No	1	4,139

Line #	Agency Name	Fleet Unit Subtype	Model Year	Make	Model	Current Odometer	In-Service Date	Plate or ID	Position of Person Assigned	City/Town Where Garaged	Garaged at Residential Site?	Use Class	FY2015 Mileage
113	DRED (Resources & Economic Development)	LDT2	2012	Ford	F250 XL	40,728	6/21/2012	D302	Coleman Park	Stewartstown	No	1	7,276
114	DRED (Resources & Economic Development)	LDT2	2013	Ford	F250 XL	16,008	6/28/2013	D280	Mt. Washington Staff	Mt. Washington / Gorham	No	1	7,816
115	DRED (Resources & Economic Development)	LDT2	2013	Ford	F250 XL	15,894	6/12/2013	D310	Great North Woods Maint	Gorham	No	1	7,156
116	DRED (Resources & Economic Development)	LDT2	2015	Ford	F250 XL	10,738	5/15/2014	D293	Lake Francis Park	Pittsburg	No	1	8,227
117	DRED (Resources & Economic Development)	LDT2	2015	Ford	F250 XL	4,746	5/20/2014	D318	Monadnock State Park	Jaffrey	No	1	3,816
118	DRED (Resources & Economic Development)	LDT2	2015	Ford	F250 XL	2,434	5/23/2014	D121	Maint Mechanic II	Lancaster	No	1	2,127
119	DRED (Resources & Economic Development)	LDT2	2015	Ford	F250 XL	10,823	5/23/2014	D266	Park Mgr III	Hampton	No	1	9,914
DRED (Resources & Economic Development)													
120	Education, Department of	LDT1	2010	Dodge	CARAVAN	48,134	4/10/2010	E-12	VR Assistant Coordinator	Concord	No	1	9,673
Education, Department of													
121	Employment Security, Department of	LDT1	1998	Chevrolet	CC20903 PKUP	209,531	7/1/1998	M188	Pool	Concord	no	1	6,055
122	Employment Security, Department of	LDT1	2010	Chevrolet	Express Van CH13405	78,260	8/24/2010	M101	Pool	Concord	no	1	7,891
123	Employment Security, Department of	LDT2	2003	Chevrolet	Express Van CG33405	212,348	6/1/2003	M104	Pool	Concord	no	1	4,963
Employment Security, Department of													
124	Energy and Planning, Office of	LDT1	2012	Ford	Escape	27,931	8/2/2012	M199	WXN	Concord	No	1	8,666
Energy and Planning, Office of													
125	Environmental Services, Department of	LDT1	2000	GMC	Sierra	126,151	2/4/1998	ES166	Watershed Inspector	29 Hazen Dr/Concord	No	1	7,104
126	Environmental Services, Department of	LDT1	2005	Chevrolet	Van	83,532	2/9/2005	ES83	Technical Services	29 Hazen Dr/Concord	No	1	8,948
127	Environmental Services, Department of	LDT1	2006	Chevrolet	ExpressG1Van	29,235	6/22/2006	ES72	Wastewater trmt operator	Wastewater Treatment Plant/Franklin	No	1	3,027
128	Environmental Services, Department of	LDT1	2006	Chevrolet	Silverado	165,689	5/10/2001	ES16	Oil Remediation/Oil Spill Response	Pease Field Office-Newington	No	1	1,289
129	Environmental Services, Department of	LDT1	2007	Ford	F150 Pick Up	100,188	8/15/2007	ES177	Watershed Inspector	Pease Field Office-Newington	No	1	8,842
130	Environmental Services, Department of	LDT1	2008	Ford	Escape 4x4	107,624	2/25/2008	ES88	Subsurface Inspector	New Boston	Yes	2	9,488
131	Environmental Services, Department of	LDT1	2008	Ford	Ranger XL	29,405	5/20/2008	ES76	Wastewater trmt operator	Wastewater Treatment Plant/Laconia	No	1	1,388
132	Environmental Services, Department of	LDT1	2011	Dodge	Grand Caravan	42,159	5/16/2012	ES92	Watershed Inspector	Pease Field Office-Newington	No	1	7,189
133	Environmental Services, Department of	LDT1	2012	Chevrolet	Colorado	14,960	9/30/2010	ES74	Wastewater trmt operator	Wastewater Treatment Plant/Laconia	No	1	3,522
134	Environmental Services, Department of	LDT1	2012	Ford	Transit Connect Cargo Van	28,851	4/26/2012	ES81	Technical Services	29 Hazen Dr/Concord	No	1	4,499
135	Environmental Services, Department of	LDT1	2014	Chevrolet	Equinox	3,984	5/20/2014	ES184	Compliance Inspectors	29 Hazen Dr/Concord	No	1	2,653
136	Environmental Services, Department of	LDT1	2014	Ford	F150	9,166	4/8/2014	ES128	Survey Team Technicians	29 Hazen Dr/Concord	No	1	6,610
137	Environmental Services, Department of	LDT2	1997	Ford	F250 Pick Up	93,126	8/13/1997	ES157	Wastewater trmt operator	Wastewater Treatment Plant/Laconia	No	1	186
138	Environmental Services, Department of	LDT2	2001	Ford	F250 Pick Up	87,739	2/22/2001	ES69	Wastewater trmt operator	Wastewater Treatment Plant/Laconia	No	1	3,303
139	Environmental Services, Department of	LDT2	2002	Chevrolet	K2500	122,448	6/11/2002	ES183	Technical Services	29 Hazen Dr/Concord	No	1	7,957
140	Environmental Services, Department of	LDT2	2005	GMC	Sierra	18,973	7/1/2005	ES186	Wastewater trmt operator	Wastewater Treatment Plant/Franklin	No	1	1,791
141	Environmental Services, Department of	LDT2	2006	Ford	F21D Pick Up	60,812	6/7/2005	ES185	Wastewater trmt operator	Wastewater Treatment Plant/Laconia	No	1	5,235
142	Environmental Services, Department of	LDT2	2012	Ford	F350	34,153	9/8/2010	ES71	Wastewater trmt operator	Wastewater Treatment Plant/Laconia	No	1	7,009
143	Environmental Services, Department of	LDT2	2014	Ford	F250	9,052	4/7/2014	ES127	Dam Control Operator	Murphy Dam-Pittsburg	No	2	7,566
Environmental Services, Department of													
144	Fish & Game, Department of	LDT1	1997	Chevrolet	Pickup	151,905	3/26/1997	F180	FISHERIES	POWDER MILL	no	1	4,045
145	Fish & Game, Department of	LDT1	1998	Chevrolet	Pickup	176,504	6/23/1998	F175	FACILITIES & LANDS	CONCORD	no	1	4,086
146	Fish & Game, Department of	LDT1	1999	Ford	Pickup	13,352		F136	FISHERIES	BERLIN	no	1	3,920
147	Fish & Game, Department of	LDT1	2000	GMC	Sierra K1500	171,773		F141	MARINE DIVISION	DURHAM	no	1	3,594
148	Fish & Game, Department of	LDT1	2002	Chevrolet	Pickup	76,398	1/30/2002	F134	WILDLIFE	NEW HAMPTON	no	1	3,838
149	Fish & Game, Department of	LDT1	2002	Chevrolet	Pickup	119,064	5/2/2002	F171	FACILITIES & LANDS	CONCORD	no	1	3,599
150	Fish & Game, Department of	LDT1	2002	Dodge	Durango SUV	164,150		F75	LAW ENFORCEMENT	BRENTWOOD	yes	4	2,946
151	Fish & Game, Department of	LDT1	2002	Dodge	Durango SUV	156,060		F80	PUBLIC AFFAIRS	CONCORD	no	1	6,268
152	Fish & Game, Department of	LDT1	2003	Chevrolet	Astro Van	109,761	7/23/2003	F115	FISHERIES	CONCORD	no	1	3,720
153	Fish & Game, Department of	LDT1	2006	Chevrolet	Pickup	78,931	6/16/2006	F123	FISHERIES	KEENE	no	1	7,192
154	Fish & Game, Department of	LDT1	2006	Chevrolet	Pickup	137,089	6/16/2006	F120	WILDLIFE	REGION 1	no	1	7,355
155	Fish & Game, Department of	LDT1	2006	Chevrolet	Pickup	92,912	6/16/2006	F160	MARINE FISHERIES	REGION 3	no	1	6,010
156	Fish & Game, Department of	LDT1	2006	Ford	Freestar SE VAN	62,421		F126	BUSINESS	CONCORD	no	1	3,242
157	Fish & Game, Department of	LDT1	2007	Dodge	Caravan	31,072		304723	LAW	CONCORD	no	4	2,364
158	Fish & Game, Department of	LDT1	2007	Ford	EXPLORER ATV	163,908		F130	MARINE FISHERIES	REGION 3	yes	1	3,535
159	Fish & Game, Department of	LDT1	2007	Ford	F150 Ext. Cab Pickup	174,410		F22	LAW	CANTERBURY	yes	4	3,893
160	Fish & Game, Department of	LDT1	2007	Ford	F150 Ext. Cab Pickup	152,049		28280	LAW	SUGAR HILL	yes	4	9
161	Fish & Game, Department of	LDT1	2008	Ford	F150 Ext. Cab Pickup	149,185		F44	LAW	CONTOOCOOK	yes	4	1,093
162	Fish & Game, Department of	LDT1	2008	Ford	F150 Ext. Cab Pickup	135,543		F76	LAW	LANCASTER	yes	4	177
163	Fish & Game, Department of	LDT1	2009	Chevrolet	K1500 Ext. Cab Pickup	36,433	9/1/2009	F183	PUBLIC AFFAIRS HE	CONCORD	no	1	4,487
164	Fish & Game, Department of	LDT1	2009	Chevrolet	Tahoe	192,308	9/1/2009	F73	LAW	GROVETON	yes	4	1,995
165	Fish & Game, Department of	LDT1	2010	Chevrolet	CK20753	40,501	6/18/2010	F193	OWL BROOK	HOLDERNESS	no	1	7,810
166	Fish & Game, Department of	LDT1	2010	FORD	F150	47,854	8/1/2010	F153	WILDLIFE	KEENE	No	1	8,312

Line #	Agency Name	Fleet Unit Subtype	Model Year	Make	Model	Current Odometer	In-Service Date	Plate or ID	Position of Person Assigned	City/Town Where Garaged	Garaged at Residential Site?	Use Class	FY2015 Mileage
167	Fish & Game, Department of	LDT1	2012	CHEVROLET	K2500	27,116	5/1/2012	F106	INLAND FISHERIES	NEW HAMPTON	No	1	7,804
168	Fish & Game, Department of	LDT1	2012	CHEVROLET	K2500	34,859	5/1/2012	F191	MARINE FISHERIES	DURHAM	No	1	8,959
169	Fish & Game, Department of	LDT1	2013	FORD	F150	16,848	5/2/2013	F169	FACILITIES & LANDS	CONCORD	No	1	6,679
170	Fish & Game, Department of	LDT1	2013	FORD	F150	17,403	6/20/2013	F158	WILDLIFE	NEW HAMPTON	No	1	6,559
171	Fish & Game, Department of	LDT2	1985	Chevrolet	Platform C30	3,929		F135	FISHERIES	WARREN	no	1	3
172	Fish & Game, Department of	LDT2	1994	Chevrolet	C3500 HD (MD3500)	111,568		F131	FISHERIES	MILFORD	no	1	3,433
173	Fish & Game, Department of	LDT2	2000	Chevrolet	K2500 CK20903	166,568		F173	FACILITIES & LANDS	CONCORD	no	1	5,530
174	Fish & Game, Department of	LDT2	2000	Chevrolet	K2500 CK20903	104,857		F162	FISHERIES	NEW HAMPTON	no	1	3,439
175	Fish & Game, Department of	LDT2	2000	Chevrolet	K2500 CK20903	137,323		F168	FISHERIES	BERLIN	no	1	4,396
176	Fish & Game, Department of	LDT2	2008	Ford	F250 Ext Cab	60,435		F128	PUBLIC AFFAIRS ARE	HQ	no	1	6,879
177	Fish & Game, Department of	LDT2	2009	Ford	F350 W/PLOW	43,522		F195	FISHERIES	NEW HAMPTON	no	1	5,728
178	Fish & Game, Department of	LDT2	2009	Ford	F350 W/PLOW	57,902		F198	FISHERIES	BERLIN	no	1	7,783
179	Fish & Game, Department of	LDT2	2009	Ford	F350 W/PLOW	31,122		F196	FISHERIES	MILFORD	no	1	2,659
180	Fish & Game, Department of	LDT2	2009	Ford	F350 W/PLOW	37,522		F197	FISHERIES	WARREN	no	1	5,187
181	Fish & Game, Department of	LDT2	2011	FORD	E150 VAN	32,018	8/1/2010	F201	WILDLIFE	CONCORD	no	1	5,920
182	Fish & Game, Department of	LDT2	2015	FORD	F350 W/PLOW	8,579	5/5/2014	F129	INLAND FISHERIES	NEW DURHAM	No	4	7,386
Fish & Game, Department of												39	
183	Health and Human Services	LDT1	1986	Chevrolet	CK 31003	57,026	4/1/1986	Y13	DJJS Grounds	Manchester	No	1	42
184	Health and Human Services	LDT1	1997	Ford	F-150	28,426	10/29/2007	P050	Pool	Concord	no	1	136
185	Health and Human Services	LDT1	1999	Dodge	Caravan	114,363	9/7/1999	P082	Pool	Concord	no	1	1,339
186	Health and Human Services	LDT1	2000	Chevrolet	K3500	36,067	6/1/2000	Y4	DJJS Grounds	Manchester	No	1	1,543
187	Health and Human Services	LDT1	2000	Dodge	2500 Van	59,503	7/2/2007	P028	Pool	Concord	no	1	1,675
188	Health and Human Services	LDT1	2001	Chevrolet	Astro Van	64,623	6/1/2001	P145	Pool	Concord	no	1	773
189	Health and Human Services	LDT1	2001	Dodge	Ram Pick-up	34,032	10/1/2000	Y24	DJJS Grounds	Manchester	No	1	1,793
190	Health and Human Services	LDT1	2001	Ford	ECONOLINE E150	72,909	8/1/2001	Y9	DJJS Grounds	Manchester	No	1	1,705
191	Health and Human Services	LDT1	2001	Ford	Econoline E150	71,826	7/20/2001	P177	Pool	Concord	no	1	996
192	Health and Human Services	LDT1	2001	Ford	Econoline E150	71,826	7/20/2001	P177	Pool	Concord	no	1	996
193	Health and Human Services	LDT1	2001	Jeep	Cherokee	138,724	5/1/2001	P117	#15037	Peterborough	yes	2	6,733
194	Health and Human Services	LDT1	2002	Chevrolet	C1500 SILVERADO	139,817	6/25/2002	P047	Pool	Concord	no	1	2,657
195	Health and Human Services	LDT1	2003	Chevrolet	Astro Van	87,006	8/1/2003	P188	Pool	Concord	no	1	4,787
196	Health and Human Services	LDT1	2005	Chevrolet	Express Van	59,130	4/1/2005	P172	Pool	Concord	no	1	3,790
197	Health and Human Services	LDT1	2006	Chevrolet	Silverado 1500	44,083	6/1/2006	P33	POOL	BENTON	no	1	4,036
198	Health and Human Services	LDT1	2006	Chevrolet	Uplander	94,451	9/1/2005	P38	Pool	Concord	no	1	9,860
199	Health and Human Services	LDT1	2006	Dodge	Caravan	91,672	3/7/2006	P077	Pool	Concord	no	1	8,828
200	Health and Human Services	LDT1	2009	Chevrolet	Express G3500	24,947	2/1/2009	P193	Pool	Concord	no	1	2,981
201	Health and Human Services	LDT1	2014	Ford	Transit	9,603	6/2/2014	P13	POOL	Concord	no	1	8,965
202	Health and Human Services	LDT2	1990	Chevrolet	Pickup	50,830	9/27/2006	P148	Pool	Concord	no	1	1,008
203	Health and Human Services	LDT2	1991	Chevrolet	Cheyenne	177,500	6/25/2002	P166	Pool	Concord	no	1	2,818
204	Health and Human Services	LDT2	1994	Ford	CLUB WAGON SUPER E350	138,008	2/1/1995	P31	POOL	BENTON	no	1	1,596
205	Health and Human Services	LDT2	1995	Dodge	RAM VAN B3500	103,239	7/18/2000	P129	Pool	Concord	no	1	724
206	Health and Human Services	LDT2	1995	Ford	F-350	26,843	1/26/2007	P052	Pool	Concord	no	1	849
207	Health and Human Services	LDT2	2001	Chevrolet	Express 3500 cargo van	137,685	8/1/2006	P67	POOL	BENTON	no	1	4,912
208	Health and Human Services	LDT2	2001	Ford	F250 SUPER DUTY	36,542	2/1/2001	P115	POOL	BENTON	no	1	1,211
209	Health and Human Services	LDT2	2002	Chevrolet	C2500 PKUP	147,064	9/1/2007	P68	POOL	BENTON	no	1	2,591
210	Health and Human Services	LDT2	2003	Ford	F350 SUPER DUTY	38,971	2/1/2003	Y5	DJJS Grounds	Manchester	No	1	2,078
211	Health and Human Services	LDT2	2005	Dodge	Pickup RAM 2500 ST/SLT	26,790	2/1/2005	P108	POOL	BENTON	no	1	1,722
212	Health and Human Services	LDT2	2007	Ford	E350 PASS/WC VAN	86,508	3/1/2007	P26	POOL	BENTON	no	1	5,471
213	Health and Human Services	LDT2	2011	Ford	F250 SUPER DUTY	17,035	1/28/2011	P114	POOL	BENTON	no	1	3,062
Health and Human Services												31	
214	Highway Safety, Agency of	LDT1	2010	Dodge	Grand Caravan	34,710	5/1/2010	M9	Pool	Concord	no	1	2,796
Highway Safety, Agency of												1	
215	Justice, Department of	LDT1				96,973		UND	NHDTF			4	4,612
216	Justice, Department of	LDT1				93,871		UND	NHDTF			4	1,086
217	Justice, Department of	LDT1				123,862		UND	Investigator			4	8,692
218	Justice, Department of	LDT1				95,450		UND	NHDTF			4	4,183
219	Justice, Department of	LDT1				45,063		UND	NHDTF			4	9,809
220	Justice, Department of	LDT1				8,470		UND	NHDTF			4	7,319
Justice, Department of												6	
221	Labor, Department of	LDT1	2005	Chevrolet	Astro	109,177	11/22/2005	LA-14	Van shared with NHHosp	Concord	No	1	9,202

Line #	Agency Name Labor, Department of	Fleet Unit Subtype	Model Year	Make	Model	Current Odometer	In-Service Date	Plate or ID	Position of Person Assigned	City/Town Where Garaged	Garaged at Residential Site?	Use Class	FY2015 Mileage
222	Lottery/Sweepstakes Commission	LDT2	2007	Ford	ECONOLINE E150	95,234	5/1/2007	SW-17	Warehouse Personnel	Concord	No	1	6,384
223	Police Standards & Training Council	LDT1	2005	Chevrolet	Express G1500 Van	17,106	9/1/2004	PS58	N/A	Concord	No	1	760
224	Police Standards & Training Council	LDT1	2008	Ford	Explorer	19,215	7/1/2008	PS96	N/A	Concord	No	1	404
225	Police Standards & Training Council	LDT2	2000	Ford	4x4 F350	55,709	5/1/2000	PS73	N/A	Concord	No	1	1,619
226	Safety, Department of	LDT1	1999	FORD	Econoline Van E250	86,694	7/7/1999	3454	DFS	CONCORD	No	1	3,192
227	Safety, Department of	LDT1	2000	GMC	TK20907	62,926	7/21/2000	3630	FST	CONCORD	No	1	1,272
228	Safety, Department of	LDT1	2002	CHEVROLET	ASTRO	81,637	2/28/2002	3810	DSP	GILFORD	No	1	2,001
229	Safety, Department of	LDT1	2002	CHEVROLET	SUBURBAN	158,403	4/12/2002	3822	DSP	CONCORD	No	4	2,978
230	Safety, Department of	LDT1	2002	DODGE	DURANGO	133,538	7/23/2002	3832	DSP	GILFORD	No	1	2,837
231	Safety, Department of	LDT1	2004	CHEVROLET	TAHOE	130,103	6/30/2004	4145	ECM	CONCORD	No	1	5,951
232	Safety, Department of	LDT1	2004	CHEVROLET	TAHOE	116,661	6/11/2004	4108	ECM	CONCORD	No	1	9,172
233	Safety, Department of	LDT1	2004	FORD	EXPLORER	86,850	6/10/2004	4081	DSP	GILFORD	No	1	3,822
234	Safety, Department of	LDT1	2005	CHEVROLET	ASTRO	141,927	5/13/2005	4198	FST	NOTTINGHAM	Yes	1	3,067
235	Safety, Department of	LDT1	2006	CHEVROLET	TAHOE	215,959	3/25/2006	4307	DSP	CONCORD	yes	4	6,978
236	Safety, Department of	LDT1	2006	FORD	ESCAPE	131,831	5/8/2006	4316	EM	CONCORD	No	1	6,370
237	Safety, Department of	LDT1	2006	FORD	ESCAPE	232,812	3/8/2006	4313	ADM	CONCORD	No	1	9,603
238	Safety, Department of	LDT1	2007	DODGE	CARAVAN	83,831	6/12/2007	4477	FST	GORHAM	No	1	6,781
239	Safety, Department of	LDT1	2007	DODGE	CARAVAN	88,881	4/25/2007	4476	FST	CONCORD	No	1	7,308
240	Safety, Department of	LDT1	2007	FORD	EXPEDITION	72,246	9/14/2007	4471	DSP	LACONIA	Yes	4	8,555
241	Safety, Department of	LDT1	2010	FORD	EXPEDITION	43,985	10/28/2010	4821	DSP	SANBORNTON	Yes	4	7,365
242	Safety, Department of	LDT1	2011	FORD	F250	49,276	10/6/2010	4824	DMV	CONCORD	No	1	9,643
243	Safety, Department of	LDT1	2012	FORD	TRANSIT	13,241	6/28/2012	4903	ECM	CONCORD	No	1	3,949
244	Safety, Department of	LDT1	2012	FORD	TRANSIT	19,270	6/28/2012	4902	ECM	CONCORD	No	1	7,034
245	Safety, Department of	LDT1	2012	JEEP	LIBERTY	33,289	9/14/2012	4896	EM	CONCORD	No	1	9,707
246	Safety, Department of	LDT1	2013	FORD	TRANSIT	40,287	1/24/2013	4922	FST	GORHAM	Yes	1	6,744
247	Safety, Department of	LDT2	1999	FORD	F250 SUPER DUTY	89,122	2/9/1999	3205	FST	CONCORD	No	1	1,529
248	Safety, Department of	LDT2	2000	FORD	F350	128,720	4/28/2000	3478	DSP	GILFORD	No	1	6,743
249	Safety, Department of	LDT2	2004	FORD	F250 Superduty 4X4	18,648	3/22/2004	4080	ADM	CONCORD	No	1	1,900
250	Safety, Department of	LDT2	2006	FORD	CARGO VAN	134,220	3/30/2006	4320	ADM	CONCORD	No	1	4,871
251	Safety, Department of	LDT2	2008	CHEVROLET	VAN	184,302	2/26/2008	4569	ADM	CONCORD	No	1	6,927
252	Safety, Department of	LDT2	2009	FORD	ECONOLINE	14,565	9/1/2009	4686	ECM	LACONIA	No	1	3,821
255	State Police, Division of	LDT1	1999	CHEVROLET	TAHOE	133,272	4/30/1999	3300	DSP	BARRINGTON	yes	4	7,720
256	State Police, Division of	LDT1	2001	FORD	ECONOLINE E250	32,590	3/20/2001	3746	DSP	CONCORD	No	4	309
257	State Police, Division of	LDT1	2002	CHEVROLET	AVALANCHE K1500	122,224	4/30/2009	4664	DSP	CONCORD	Yes	4	6,389
258	State Police, Division of	LDT1	2002	CHEVROLET	CK1500	128,121	4/25/2002	3828	DSP	CONCORD	no	1	2,105
259	State Police, Division of	LDT1	2003	DODGE	RAM TRUCK	173,455	12/4/2004	4170	ADM	CONCORD	no	1	9,380
260	State Police, Division of	LDT1	2004	CHEVROLET	CK1500	110,563	2/15/2008	4566	DSP	CONCORD	yes	4	4,426
261	State Police, Division of	LDT1	2004	DODGE	RAM PICKUP	79,855	9/4/2004	4162	DSP	CONCORD	no	1	8,513
262	State Police, Division of	LDT1	2005	CHEVROLET	SILVERADO	104,595	6/3/2006	4295	DSP	CONCORD	no	1	4,874
263	State Police, Division of	LDT1	2005	CHEVROLET	SILVERADO	159,178	7/1/2006	4296	DSP	CONCORD	no	1	795
264	State Police, Division of	LDT1	2005	HONDA	ELEMENT	116,973	6/9/2010	4803	DSP	CONCORD	no	1	5,842
265	State Police, Division of	LDT1	2006	CHEVROLET	UPLANDER	124,799	8/1/2006	4344	ADM	CONCORD	no	1	4,885
266	State Police, Division of	LDT1	2007	JEEP	CHEROKEE	131,438	10/25/2007	4545	DSP	CONCORD	yes	4	8,965
267	State Police, Division of	LDT1	2008	CHEVROLET	HHR	48,846	6/20/2008	4651	DSP	CONCORD	no	1	5,797
268	State Police, Division of	LDT1	2008	CHEVROLET	HHR	45,204	6/17/2008	4652	DSP	CONCORD	no	1	5,682
269	State Police, Division of	LDT1	2008	CHRYSLER	Town and Country touring	171,624	3/29/2008	4558	DSP	CONCORD	yes	4	9,268
270	State Police, Division of	LDT1	2009	CHEVROLET	SILVERADO	65,086	11/24/2009	4790	DSP	CONCORD	no	1	8,726
271	State Police, Division of	LDT1	2010	CHEVROLET	SILVERADO	73,162	7/9/2010	4804	DSP	CONCORD	no	1	6,817
272	State Police, Division of	LDT1	2014	FORD	EXPLORER	16,816	10/28/2013	4980	DSP	CONCORD	no	4	9,656
273	State Police, Division of	LDT1	2014	TOYOTA	TACOMA	7,821	6/11/2014	5028	DSP	LONDONDERRY	yes	4	6,837
274	State Police, Division of	LDT1	2015	CHEVROLET	TAHOE	1,866		4995	DSP	ROCHESTER	yes	4	1,820
275	State Police, Division of	LDT1	2015	CHEVROLET	TAHOE	1,180		4990	DSP	ROCHESTER	yes	4	1,134
276	State Police, Division of	LDT2	2006	FORD	F250	122,649	8/15/2013	4919	DSP	CONCORD	no	1	1,241
277	State Police, Division of	LDT2	2006	FORD	F250	122,915	8/17/2013	4918	DSP	CONCORD	no	1	2,826
278	Transportation	LDT1	2002	CHEVROLET	C1500	132,701	5/3/2002	H01539	MECHANICAL SERVICES	BRIDGE MAINT. FRANKLIN - CREW 13	NO	1	6,384

Line #	Agency Name	Fleet Unit Subtype	Model Year	Make	Model	Current Odometer	In-Service Date	Plate or ID	Position of Person Assigned	City/Town Where Garaged	Garaged at Residential Site?	Use Class	FY2015 Mileage
279	Transportation	LDT1	2006	DODGE	CARAVAN	139,852	3/16/2006	H00255	TRANSPORTATION BUREAU ADMIN	PLANNING AND COMMUNITY ASSISTANCE PARKIN	NO	1	6,721
280	Transportation	LDT1	2006	DODGE	CARAVAN	114,722	3/15/2006	H00064	PROGRAM SPECIALIST IV	AERONAUTICS, RAIL & TRANSIT	NO	1	9,539
281	Transportation	LDT1	2006	DODGE	CARAVAN	133,837	3/30/2006	H00229	SUPERVISOR II	BUREAU OF TRAFFIC	NO	1	9,581
282	Transportation	LDT1	2011	FORD	F150	59,337	8/26/2011	H01312		DISTRICT TWO OFFICE	NO		9,782
283	Transportation	LDT2	1994	FORD	E250 PROFILE	204,732	9/22/1994	H00097	ENGINEERING TECHNICIAN V	BUREAU OF MATERIALS & RESEARCH PARKING	NO	1	4,904
284	Transportation	LDT2	2000	CHEVROLET	SUBURBAN	200,880	12/13/2000	H00382	SURVEY TEAM TECHNICIAN IV	BUREAU OF HIGHWAY DESIGN PARKING	NO	1	7,259
285	Transportation	LDT2	2001	CHEVROLET	SUBURBAN	158,511	12/3/2001	H01380	SURVEY TEAM TECHNICIAN IV	BUREAU OF HIGHWAY DESIGN PARKING	NO	1	5,091
286	Transportation	LDT2	2001	FORD	F250 CREWCAB	78,336	2/2/2001	H00366	BRIDGE CONSTRUCTION FOREMAN	NEWINGTON	YES	2	3,759
287	Transportation	LDT2	2003	CHEVROLET	2500HD/ XCAB	154,378	7/21/2003	H00356	INVENTORY CONTROL SUPERVISOR	BUREAU OF TRAFFIC	NO	1	4,996
288	Transportation	LDT2	2003	CHEVROLET	2500XCAB/CAP	111,863	5/2/2003	H01388	RESEARCH GEOLOGIST	BUREAU OF MATERIALS & RESEARCH PARKING	NO	1	8,334
289	Transportation	LDT2	2003	CHEVROLET	SUBURBAN	160,898	7/30/2003	H00393	ENGINEERING TECHNICIAN V	BUREAU OF HIGHWAY DESIGN PARKING	NO	1	460
290	Transportation	LDT2	2008	FORD	F250 X-CAB	118,906	5/9/2007	H01968	BRIDGE CONSTRUCTION FOREMAN	NEWINGTON	YES	2	6,926
291	Transportation	LDT2	2011	FORD	F250 X-CAB	66,212	8/29/2011	H01379		BUREAU OF HIGHWAY DESIGN PARKING	NO	3	2,808
	Transportation											13	
292	Veterans Home	LDT1	2006	Chevrolet	3500 Silverado	37,878	5/1/2005	M177	Pool	Tilton	No	1	4,636
293	Veterans Home	LDT1	2010	Ford	Flex	53,975	5/1/2010	M103	Pool	Tilton	No	1	9,908
294	Veterans Home	LDT1	2012	Ford	F550 Bus	18,747	11/14/2012	M21	Pool	Tilton	No	1	7,066
295	Veterans Home	LDT1	2014	Ford	E350 Bus	17,612	11/27/2012	M178	Pool	Tilton	No	1	7,312
296	Veterans Home	LDT2	2008	Ford	E350 SUPER DUTY	231	7/1/2008	M109	Pool	Tilton	No	1	3,046
	Veterans Home											5	

Total Vehicles Below BEM: 293



State of New Hampshire
 DEPARTMENT OF ADMINISTRATIVE SERVICES
 OFFICE OF THE COMMISSIONER
 25 Capitol Street – Room 120
 Concord, New Hampshire 03301

FIS 15 280

VICKI V. QUIRAM
 Commissioner
 (603)-271-3201

JOSEPH B. BOUCHARD
 Assistant Commissioner
 (603)-271-3204

December 2, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

Dear Representative Kurk:

INFORMATIONAL ITEM

In accordance with Chapter 319:32, Laws of 2003, State Employee Health Insurance; Administrative Services Reporting, I respectfully submit this report regarding the State's self-funded Health Benefits Program (HBP).

A. Program Activity:

Cash Basis: The beginning Cumulative Cash Fund Balance as of July 1, 2015 was \$51.2m. To this balance subtract \$5m, which represents Revenue less Expenditures from July 1, 2015 through October 31, 2015. The Ending Cumulative Cash Fund Balance at October 31, 2015 is \$46.3m.

	<i>FY 2016</i> <i>(000's)</i>
Cumulative Cash Fund Balance (<i>July 1, 2015</i>).....	<u>\$ 51,240</u>
Plus: Program Revenue Collected.....	<u>\$ 79,297</u>
Less: Total Expenditures	<u>\$ 84,281</u>
Revenue less Expenditures (<i>July 1 – October 31, 2015</i>).....	\$(4,983)
Cumulative Cash Fund Balance (<i>October 31, 2015</i>).....	<u>\$ 46,256</u>

Source: NH FIRST

Accrual Basis: The above amounts are cash basis only and do not take into consideration IBNR, statutory reserve, accounts payable or receivables. To arrive at a true fund balance as of October 31, 2015, we must start with the Cumulative Cash Fund Balance as of that date and add outstanding receivables earned and realized or realizable and payables incurred as of October 31, 2015. Then we must subtract the IBNR (Incurred but not Reported) reserve and the statutory reserve.

<i>FY2016</i>		
Line Item	Description	Total (000's)
1	Cumulative Cash Fund Balance (October 31, 2015)	\$ 46,256
2	Add: Program Revenue Earned (estimate as of October 31, 2015)	\$ 4,489
3	Less: Program Expenses Incurred (estimate as of October 31, 2015)	(\$ 7,937)
4	Subtotal: Cumulative Accrual Fund Balance (October 31, 2015)	\$ 42,808
5	Less: IBNR	(\$ 14,990)
6	Less: Statutory Reserve*	(\$ 17,066)
	<i>*(Actives & Retiree Plans = 5% of FY16 Projected Expenses, Troopers Plan = 100% of FY16 Projected Expenses)</i>	
8	Cumulative Accrual Fund Balance: Net of IBNR and Reserves (October 31, 2015)	\$ 10,752

As indicated above, the Program's cumulative accrual fund balance, net of IBNR and statutory reserves, as of October 31, 2015 is \$10.8m and encompasses surplus for retirees and actives.

It is important to note that working rates are set on a calendar year basis based on an average rate for the midpoint of the year. Accordingly, working rates are expected to generate a surplus at the beginning of the calendar year that may be spent down in the last six months of the year.

B. FY2016 Statutory Reserve: The Risk Management Unit (RMU) updates the statutory reserve annually for the beginning of a fiscal year based on the program's projected annual claims and administrative expenses. HB 2, Chapter 276:164 (a), (Laws of 2015) amended RSA 21-I:30-b, I (a) to require the Fund to maintain a reserve in the amount of at least three percent (3%) of estimated annual claims and administrative costs for the health plan. Before the HB 2 amendment, the minimum reserve amount was five percent (5%) of projected annual claims and administrative costs. Pursuant to RSA 21-I: 30-c, the "reserve fund shall be established to protect the state from unexpected losses and self-insured losses and related expenses incurred in the provision of such a plan. Such reserve fund shall be administered by the commissioner of administrative services and shall be non-lapsing." After much consideration, DAS has determined that it is fiscally prudent to maintain the health benefit plan statutory reserve at 5% of projected costs.

The statutory reserve for FY16 is \$17.1m (Appendix 1, Page 2 of 3, Column O, Line 82) for active and retiree plans. This amount includes an amount equal to 5% of the FY16 projected claims and administrative expenses for retirees and actives. Additionally, given the very small size of the Trooper plan (approximately 300 Troopers and 900 plan members) this includes an amount equal to 100% of the FY16 projected claims and administrative expense for the Trooper plan. DAS has not yet taken action to reduce the health fund surplus, to satisfy the "Reduction in state self-insured health plan reserve" of \$1.7m listed in the General Fund Comparative Statement of Undesignated Surplus on Page 4, FY16 Committee of Conference, Line 38. Accordingly the surplus of \$10.8m as of October 31, 2015, on Appendix 1, Page 2 of 3, Column O, Line 84 does not reflect the \$1.7m reduction.

When DAS considered the appropriate FY2016 statutory reserve to maintain, it considered changes in circumstances that came to light late in the budget process such as the increase in the prescription drug trend. In June 2015, RMU worked with its health care consultant, The Segal Company (Segal), to update its budget working rates in order to determine the State's Cadillac Tax liability. At that time, Segal identified a 5% increase in the pharmacy trend for Actives, Troopers and Retirees attributable to the many new, and high-cost prescription drugs coming to the market. The pharmacy trend for Actives,

Troopers and Under 65 retirees increased from 7% to 12% and the pharmacy trend for Over 65 retirees increased from 8% to 13%. When the increase in the pharmacy trend was identified, DAS took action to increase the working rates for all active employees to cover the unexpected increase in pharmacy claims costs and relied on the retiree health surplus to help fund the unexpected increase in pharmacy costs.

In addition, when evaluating the appropriate amount of reserves to maintain, DAS considered the multiple demands on the Retiree Health surplus. During the Governor, House and Senate phases of the budget, the Retiree Health FY16/17 was funded at 100% and 103% of FY15. DAS identified a Retiree Health budget deficit during the budget process of \$5.5 million and presented a plan to manage the deficit that would have used \$2 million of a then \$4 million Retiree Health surplus. By June 2015, however, the increased pharmacy trend combined with a \$1 million reduction in federal subsidy for the Over 65 Part D, Employer Group Waiver Program (EGWP) caused the projected retiree health budget deficit to grow to \$10.6 million. It was at this point in time that DAS began working with the Fiscal Committee to alert it to the increased retiree health deficit and also to commence the process to seek Fiscal Committee approval to change the Retiree Health Benefit plan design.

Throughout the Fiscal Committee process to consider changes to the Retiree Health Benefit plan design, the surplus was considered a material part of plan to manage the deficit. The final plan approved by the Fiscal Committee contemplates the use of \$5.4 million in surplus to manage the retiree health deficit. In addition, it is important for the Fiscal Committee to be aware that there are several bills that include language that anticipates the use of retiree health surplus funds for various purposes.

The retiree health surplus balance for the last two months reveals the pressure on the surplus and the importance of maintaining adequate reserves in case those reserves must be employed to pay the health care bills. As of September 30, 2015, the retiree health surplus was \$5.4 million. As of October 31, 2015, the retiree health surplus is \$4.5 million. The greater than expected decrease in surplus from September to October is due to higher medical and pharmacy claims invoices for the retiree plan in October. The volatility in costs could also foreseeably occur in the active and trooper plans.

Based on these factors, DAS believes it is prudent to maintain a health plan statutory reserve of five percent for the active and retiree plans.

- C. Working Rates: The working rates, or premium equivalent, for CY 2016 have been developed by the Segal Company. The medical trend assumed in the CY2016 rate development is consistent with the trends assumed in the most recent FY16/FY17 budget rate calculations developed by the Segal Company to conduct the most recent Cadillac Tax analysis. The CY2016 rates for actives will increase 2.6% over the CY2015 rates. This increase of 2.6% for the Active plans represents a projected estimated increase of \$4.59m over CY2015 for a total estimated CY2016 active health program expense of \$179.3m.

The aggregate CY2016 rate for retirees will increase 6.4% over the CY2015 rates. The CY2016 retiree rates incorporate the change in retiree prescription drug copayments effective January 1, 2016. This aggregate increase of 6.4% for the retiree plans represents a projected estimated increase of \$4.36m over CY2015 for a total estimated CY2016 retiree health program expense of \$72.9m. This projected amount is consistent with the original projected deficit of \$10.6m during the FY16/17 biennium for the retiree health plan and the retiree health plan design changes approved by the Fiscal Committee in October and November 2015.

The CY2016 active and employee working rates will be implemented on January 1, 2016.

D. LBA Status Update: On November 1, 2015 RMU reported the 6 Month Internal Service Fund Audit Progress Report to the Transparent NH Website as required by Executive Order 2014-3. RMU continues to keep this a priority and is working to resolve all observations from the FY2014 Internal Service Fund Audit.

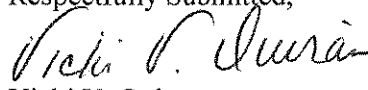
E. Dependent Eligibility Verification Project: In 2015, DAS conducted its first Dependent Eligibility Verification Project (DEVP) to verify that all dependents on the health benefit plan are eligible to participate in the plan. DAS contracted with a company named Secova, a company that assists employers with managing employee benefits. Secova has extensive experience in managing independent audits of dependent eligibility, particularly with respect to public employers. Secova initiated the process by mailing a verification packet to all employees and retirees who have one or more dependents on the plan. Plan members were required to submit documentation of a dependent's eligibility to Secova. The State response rate exceeded industry standards of 95%. The response rate for active employees was 97.3% and the response rate for retirees was 96.1%. As a result of the DEVP, 287, out of 19,416, dependents were terminated from the health benefit plan, representing a first year savings of \$1,694,000. See attached DEVP report.

F. Retiree Health Update

- a. The decrease in the retiree health surplus balance for the last two months reveals the pressure on the surplus and the importance of maintaining adequate reserves in case those reserves must be employed to pay retiree health care bills. As of September 30, 2015, the retiree health surplus was \$5.4 million. As of October 31, 2015, the retiree health surplus is \$4.5 million. The greater than expected decrease in surplus from September to October is due to higher medical and pharmacy claims invoices for the retiree plan in October.
- b. Short Term: DAS RMU sent letters to retirees on November 12, 2015 to inform the retirees of the retiree health benefit plan changes effective January 1, 2016. Separate letters went to retirees in the non-Medicare eligible plan (Under 65 plan) and the Medicare eligible plan (Over 65 plan). Both letters informed retirees about the pharmacy copay and maximum out of pocket increases. The Under 65 letter also informed those retirees about the increase in premium contribution from 12.5% (\$113.86) to 17.5% (\$159.94) effective 1/1/16. We will continue to monitor the retiree health surplus and report back to the Fiscal Committee in the next bi-monthly report.
- c. Long Term – RMU is in the discovery phase of researching about retiree health plan products and options available to non-Medicare eligible and Medicare eligible State retirees. Together with our health benefit consultants, the Segal Company, RMU has met, and is continuing to meet with, Anthem, our current health plan administrator, to learn about the many retiree health plan opportunities available within the limits of our current health contract.

I am available to address any questions you may have.

Respectfully Submitted,



Vicki V. Quiram
Commissioner

Health and Dental Employee and Retiree Benefit

	A	B	C	D	E	F	G	P
1								
2	PLAN	FY 2016						
3		Fund Balance	Jul-15	Aug-15	Sep-15	Oct-15	ALL FUNDS	
4	Actives						YTD	
5	Revenue							
6	Total Revenue		\$ 10,855,064	\$ 13,390,410	\$ 13,574,963	\$ 20,233,268	\$	58,053,705
7								
8	Expenditures							
9	Total Expenditures		\$ 17,540,840	\$ 12,485,160	\$ 11,302,519	\$ 15,488,227	\$	56,816,747
10	Net Plan Activity		\$ (6,685,777)	\$ 905,250	\$ 2,272,443	\$ 4,745,041	\$	1,236,958
11	Cumulative Plan Activity	\$	29,780,537	\$ 23,094,760	\$ 24,000,010	\$ 26,272,454	\$ 31,017,495	\$ 31,017,495
12								
13	Troopers							
14	Revenue							
15	Total Revenue		\$ 251,747	\$ 273,679	\$ 274,480	\$ 406,974	\$	1,206,879
16								
17	Expenditures							
18	Total Expenditures		\$ 318,157	\$ 219,268	\$ 273,515	\$ 215,038	\$	1,025,978
19	Net Plan Activity		\$ (66,410)	\$ 54,411	\$ 965	\$ 191,936	\$	180,901
20	Cumulative Plan Activity	\$	4,097,210	\$ 4,030,800	\$ 4,085,210	\$ 4,086,175	\$ 4,278,111	\$ 4,278,111
21								
22	Retiree - U65							
23	Revenue							
24	Total Revenue		\$ 2,188,157	\$ 2,781,872	\$ 2,748,985	\$ 2,750,080	\$	10,469,093
25								
26	Expenditures							
27	Total Expenditures		\$ 3,364,974	\$ 2,491,801	\$ 2,476,523	\$ 3,167,095	\$	11,500,393
28	Net Plan Activity		\$ (1,176,818)	\$ 290,070	\$ 272,463	\$ (417,015)	\$	(1,031,299)
29	Cumulative Plan Activity	\$	14,858,564	\$ 13,681,746	\$ 13,971,817	\$ 14,244,280	\$ 13,827,264	\$ 13,827,264
30								
31	Retiree - O65							
32	Revenue							
33	Total Revenue		(117,745)	3,125,886	3,364,798	3,195,278		9,568,217
34								
35	Expenditures							
36	Total Expenditures		4,404,185	3,628,320	2,688,694	4,217,136		14,938,335
37	Net Plan Activity		(4,521,930)	(502,434)	676,105	(1,021,858)		(5,370,117)
38	Cumulative Plan Activity		2,503,216	(2,018,714)	(2,521,148)	(1,845,043)	(2,866,901)	(2,866,901)
39	Total Retirees	\$	17,361,780	\$ 11,663,032	\$ 11,450,669	\$ 12,399,236	\$ 10,960,363	\$ 10,960,363
40								

Health and Dental Employee and Retiree Benefit

	A	B	C	D	E	F	O	P
1								
2	PLAN	FY 2016						
3		Fund Balance	Jul-15	Aug-15	Sep-15	Oct-15	ALL FUNDS	
4	Actives						YTD	
41	Plan Summary Information (Cash Basis):							
42	Total Program Revenue							
43	001 GHRS		16,695,431	16,783,848	16,969,938	23,336,744	\$	73,785,960.82
44	005 Cobra/NHRS		1,421,985	1,419,909	1,440,824	1,415,150	\$	5,697,868.69
45	006 Rx Rebate		(5,948,418)	255,032	415,738	217,846	\$	(5,059,801.80)
46	007 Interest Earned						\$	-
47	008 Employee Contributions		831,772	825,563	823,095	1,236,023	\$	3,716,452.36
48	009 Non-GHRS		176,451	287,495	313,631	379,837	\$	1,157,414.19
49	Performance Guarantees/Recov		-	-	-	-	\$	-
50	Total Combined Revenue		13,177,222	19,571,846	19,963,226	26,585,600	\$	79,297,894.26
51								
52	Total Program Expenditures							
53	HB Employee Salary Costs		-	-	-	188,777	\$	188,777
54	HB Employee Benefit Costs		-	-	-	94,484	\$	94,484
55	Medical Claims		19,223,759	12,309,375	12,395,631	16,310,898	\$	60,239,663
56	Medical Administration		580,788	638,060	624,344	560,689	\$	2,403,881
57	Exercise Incentive		-	-	-	-	\$	-
58	Consulting		73,547	10,147	69,282	-	\$	152,976
59	Pharmacy Claims		5,458,246	5,543,311	3,439,375	5,725,639	\$	20,166,571
60	Pharmacy Administration		89,590	96,352	80,595	80,897	\$	347,435
61	HRA Claims		124,539	160,060	109,681	117,444	\$	511,724
62	HRA Administration		11,198	10,622	10,925	10,394	\$	43,139
63	Other Expenses		66,491	709	8,573	(2,963)	\$	72,809
64	Vaccination Assessment Fees		-	1,282	2,844	1,236	\$	5,362
65	Contracts for Program Svcs		-	54,632	-	-	\$	54,632
66	Total Combined Expenses		25,628,157	18,769,917	16,741,250	23,087,496	\$	84,281,453
67								
68	Cash Fund Balance		-\$12,450,935	\$801,929	\$3,221,976	\$3,498,104		-\$4,983,558
69								
70	Cumulative Cash Fund Balance	51,239,527	\$38,788,592	\$39,590,521	\$42,812,497	\$46,310,601		\$46,255,969
71								
72	Add Receivables as of 10/31/15							\$4,489,780
73	Less Payables as of 10/31/15							(\$7,937,460)
74	Cumulative Accrual Fund Balance							\$42,808,289
75								
76	Less:							
77	IBNR							(\$14,990,000)
78	Statutory Reserve (≥3%)							
79	Actives (5%)							(\$9,159,000)
80	Troopers (100%)							(\$3,844,000)
81	Retirees (5%)							(\$4,063,000)
82	Total Statutory Reserve							(\$17,066,000)
83								
84	Cumulative Accrual Fund Balance: Net of IBNR & Stat Reserve							\$10,752,289

Health and Dental Employee and Retiree Benefit

	A	B	C	D	E	F	O	P
1								
2	PLAN	FY 2016						
3		Fund Balance	Jul-15	Aug-15	Sep-15	Oct-15	ALL FUNDS	
4	Actives						YTD	
85								
86	DENTAL							
87	Revenue							
88	Total DENTAL Revenue - PLAN		\$800,256	\$820,018	\$816,940	\$1,215,749	\$3,652,963	
89								
90	Expense							
91	Total DENTAL Expense - PLAN		\$772,782	\$803,197	\$740,290	\$803,465	\$3,119,735	
92								
93	Net Plan Fund Activity - PLAN		\$27,474	\$16,821	\$76,649	\$412,284	\$533,228	
94	Cumulative Fund Balance	\$1,035,123	\$1,062,597	\$1,079,418	\$1,156,067	\$1,568,351	\$1,568,351	
95								
96							\$20,603	
97							(\$370,133)	
98							\$1,218,822	
99								
100								
101								
102								
103								

**State of New Hampshire
Department of Administrative Services
Health Benefit Plan**

2015 Dependent Eligibility Verification Process

Summary

In 2015, the State of New Hampshire conducted its first dependent eligibility verification process for its health benefit plan, including medical, pharmacy, and dental benefits. The verification process validated that only eligible dependents are enrolled on the State's health benefit plan. The State contracted with Secova, Inc. to administer the eligibility verification process. Secova is a company that provides services to employers to manage employee and retiree benefits, including dependent eligibility services.

The State achieved a 97.3%-response rate from active employees and a 96.1% response rate from retirees. 287 out of 19,416 dependents, or 1.5% of total dependents, were terminated from the State's health benefit plan as a result of not being verified as eligible. 224 out of 15,629 were active dependents, resulting in termination of 1.43% of active dependents; 63 out of 3,787, were retiree dependents, resulting in the termination of 1.66% of retiree dependents. As a result of the dependent eligibility verification, the State estimates a total first year savings of approximately \$1,694,000 for the dependents that were terminated due to ineligibility and/or failure to validate eligibility, \$1,299,000 of which is allocated to non-verified active employees' dependents and \$395,000 of which is allocated to non-verified retirees' dependents.

Goals

The State conducted the dependent eligibility verification to ensure that only dependents who are eligible to be covered on the health benefit plan are receiving coverage. The intent of the eligibility verification process was not to terminate eligible dependents, but to demonstrate proper stewardship over State funds and taxpayer dollars. The State aspired to achieve a high response rate from all employees and retirees so that all eligible dependents were verified.

Introduction

On September 30, 2014, the Department of Administrative Services (DAS), Risk Management Unit (RMU), issued a Request for Proposal (RFP) for dependent eligibility verification services. On November 17, 2014, DAS received a total three (3) proposals. All three proposals were evaluated and scored, and finalists were interviewed. Secova Inc. was chosen and approved because it received the highest scores in five of the six criteria categories: Demonstrated Experience and Capabilities, Technology and Security, Administrative Support and Customer Service, Finalist Presentation, and Total Cost. Secova submitted the lowest total cost bid. On February 25, 2015, Governor and Council approved a contract with Secova, in the amount of \$148,088, to conduct the State's dependent eligibility verification process. The term of the contract was February 25, 2015 through September 30, 2015.

Process Timeline and Communication

On April 23, 2015, the dependent eligibility verification process began with Secova mailing all employees and retirees covering dependents an introductory letter with information about the process. On May 6, 2015, Secova mailed employees and retirees Verification Packets with instructions about how to submit

verification materials. The Verification Packets were followed by weekly telephonic reminders, mailings, and emails to individuals who had not yet responded to Secova.

The Verification Packets informed employees and retirees that the deadline for verifying eligibility was June 29, 2015. Because the goal of the process was not to remove eligible dependents from the health benefit plan, the State built in a second deadline that provided employees and retirees with more time to submit the required documents. On July 8, 2015, Secova sent out a notice to individuals who had not yet responded and those who had begun the process but were incomplete. The notice allowed individuals to submit final documentation to Secova until July 22, 2015. Secova continued to collect and verify documentation until July 28, 2015. On July 29, 2015, Secova mailed a determination letter to individuals who did provide the required documentation for one or more of their dependents. On August 4, 2015, Secova sent a list of dependents who were not completely verified to DAS.

Communication to Employees

In accordance with the State's goals to achieve a high response rate from all employees and retirees, the State worked a concurrent communication plan to remind employees to complete the eligibility verification process. The Division of Personnel (DOP) worked with agency human resources to conduct a series of internal communications with employees. For five weeks, DOP sent a list of individuals who had not completely verified their dependents to agency human resources so they could target their communication efforts to individuals who did not complete the process.

The State Employees' Association of New Hampshire (SEA) also worked to ensure its members completed the eligibility verification process. In their weekly e-bulletin, the SEA reminded members to submit the required documents to Secova. Additionally, the SEA worked closely with the State when there were questions or concerns regarding the process or the necessary documentation.

Response Rate

The State of New Hampshire's employees and retirees exceeded industry standards. Secova informed the State that typically they have a 95% response rate. As of July 29, 2015, 97.3% of active employees had completed the verification process for their dependents. 96.1% of retired employees had completely verified their dependents. The high response rate can likely be attributed to the multi-prong communication strategy, from Secova, agency human resources, and the SEA.

Termination Process

There were two means by which a dependent could be terminated from the health benefit plan. First, employees and retirees could work with Secova to voluntarily identify their dependents as no longer eligible to participate in the health benefit plan. For example, an employee could inform Secova they were no longer married to a dependent spouse. Secova sent a weekly report of voluntary termination to DAS. These voluntary terminations were effective June 30, 2015 for voluntary terminations reported to Secova in June, 2015 and effective July 31, 2015 for voluntary terminations reported to Secova in July, 2015.

The second means by which a dependent was terminated from the health benefit plan was failure to provide the necessary documentation to verify a dependent. Secova processed documents received until July 28, 2015. On July 29, 2015, Secova sent the State a report of dependents who were not verified, including dependents who were not completely verified and the dependents of a subscriber who did not respond. DOP terminated ineligible dependents effective July 31, 2015. Because it was not the intent of the dependent

eligibility verification process to terminate eligible dependents, DAS reinstated eligible dependents for employees and retirees who provided the necessary documentation prior to November 1, 2015.

Final Results

The State of New Hampshire terminated 287 dependents, or 1.5% of total dependents because eligibility was not verified. The State's experience exceeds the industry standard for dependent terminations that Secova projected based on its ten-year experience conducting eligibility audits. Secova generally sees terminations of between 3% to 5% of dependents. The State's experience reflects an employee and retiree membership that is knowledgeable about and compliant with dependent eligibility rules.

The State can estimate a savings for each dependent based on the premium their respective agency pays. The monthly premium rates reflect projected average claims and expenses and are calculated by the State's actuarial consultants. The State estimates a one-year savings of approximately \$1,694,000 for the 2015 dependent eligibility verification process.

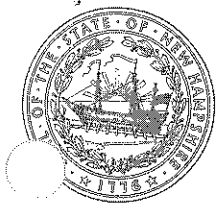
Dependent Type	Non-Verified Count	Average Annual Cost per Dependent*	SONH Total Year 1 Savings
Actives & Troopers (HMO & POS)	224	\$ 5,800	\$ 1,299,000
Retirees Under 65	26	\$ 9,500	\$ 247,000
Retirees Over 65	37	\$ 4,000	\$ 148,000
Total	287		\$ 1,694,000

*The Average Annual Cost per Dependent was calculated as follows:

- The 2015 calendar year rates were used in the calculation and reflect average projected cost.
- The rates were adjusted to remove the employee or retiree share of the premium.
- The rates were adjusted using an average number of dependents per family in the HMO/POS plans.

Post Eligibility Verification Process Improvement

DOP has directed agency human resources to conduct an annual confirmation of spousal dependent eligibility employing the same documentation requirements as used by Secova during the verification process, including but not limited to submission of a tax form, utility bill, or bank statement containing the name of each spouse. Unlike a child who is eligible for dependent benefits upon submission of a birth certificate listing the employee as a parent and through the age of 26, an employee's marital status can change from year to year. Prior to July, 2015, agency human resources were only collecting a marriage certificate. This updated process will help to ensure only eligible spouses are enrolled in the State's health benefit plan.



State of New Hampshire

DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

FIS 15 283

VICKI V. QUIRAM
Commissioner
(603) 271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603) 271-3204

December 7, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

INFORMATIONAL ITEM

This informational item is being presented to the Fiscal Committee in compliance with Executive Order 2014-02 for the period of March 7, 2015, through June 30, 2015, and as a final reporting of exceptions granted during the complete period of the executive order.

EXPLANATION

Executive Order 2014-02 reads as follows:

“The hiring, purchasing, equipment and out-of-state travel freeze directed by this order shall remain in effect until June 30, 2015 or until terminated under the provisions of RSA 9:16-b; provided, however, that individual exceptions to any of the above provisions may be requested by any agency in writing to the Governor. Any exceptions granted by the Governor shall be transmitted to the fiscal committee.”

The attached documentation represent the second and final report, providing detail of the exceptions to Executive Order 2014-02, which were granted through the Office of the Governor. The report period covered is from March 7, 2015 through June 30, 2015. (Also included are revised impact estimates for twenty seven (27) positions reported as exceptions to Fiscal Committee on April 4, 2015, Item FIS15- 069.)

Governor Margaret Wood Hassan delegated her waiver-granting authority for this period to a committee consisting of Governor's Budget Director; Meredith Telus, Joseph Bouchard, Assistant Commissioner, Vicki V. Quiram, Commissioner, of the Department of Administrative Services, Teri Blouin, Business Supervisor, Department of Administrative Services-Budget Office and Sara Willingham, Director of Personnel, Department of Administrative Services (personnel waivers only).

The intent of this informational item is to inform the fiscal committee of the general court of the exceptions to this Executive Order, as granted by the committee members based on the protocol established by the Department of Administrative Services and the Office of the Governor for this period. Unclassified positions approved by the Governor and Executive Council during this period are also included in the information provided.

Sincerely,

Vicki V. Quiram
Commissioner

**Department of Administrative Services
Budget Office**

**Waiver Summary Fiscal Year 2015
Executive Order 2014-02**

For the period March 7, 2015 through June 30, 2015 and Final Totals

**FY 2015 General Fund
Dollar Value**

STATEWIDE POSITIONS

Total General Fund Vacancy Appropriations Available (1)	ESTIMATED	\$	11,341,531
Anticipated cost of waivers approved Previously (2)	Classified	\$	(4,193,555)
Adjustments to waiver estimates previously reported(2)	Classified	\$	309,714
Anticipated cost of waivers approved this report (2)	Classified	\$	(697,082)
Anticipated cost of waivers approved previously (2)	Unclassified	\$	(344,977)
Anticipated cost of waivers approved this report (2)	Unclassified	\$	(56,419)
Anticipated cost of Gov. and Exec.Cncl. Approvals previously reported(2)	Unclassified	\$	(520,699)
Anticipated cost of Gov. and Exec.Cncl. Approvals this report(2)	Unclassified	\$	(157,602)
Remaining Balance From Original Budgeted Amount		\$	5,680,911

DEPT. OF INFORMATION TECHNOLOGY (DOIT) POSITIONS

Total General Fund Vacancy Appropriations Available (3)	ESTIMATED	\$	829,218
Anticipated cost of waivers approved previously (4)	Classified	\$	(369,034)
Anticipated cost of waivers approved this report	Classified	\$	(6,125)
Remaining Balance		\$	454,059

STATEWIDE - CLASS 30 EQUIPMENT (5)

Total General Fund Appropriation Budget	\$	2,018,199
Anticipated cost of waivers approved previously	\$	(172,915)
Anticipated cost of waivers approved this report	\$	(136,215)
Remaining Balance	\$	1,709,069

DOIT- CLASS 37 TECHNOLOGY HARDWARE (5)

Total General Fund Appropriation Estimated Budget	\$	2,278,518
Anticipated cost of waivers approved previously	\$	(830,852)
Anticipated cost of waivers approved this report	\$	(206,459)
Remaining Balance	\$	1,241,207

STATEWIDE - CLASS 080 OUT OF STATE TRAVEL

Total General Fund Appropriation Budget	\$	424,437
Anticipated cost of waivers approved previously	\$	(149,818)
Anticipated cost of waivers approved this report	\$	(72,030)
Remaining Balance	\$	202,589

* See Footnote detail for 1 through 5 on Page 2

Department of Administrative Services
Budget Office
Waiver Summary Fiscal Year 2015
Executive Order 2014-02
For the period March 7, 2015 through June 30, 2015 and Final Totals

(1) The total general fund appropriation available represents only the individual general fund dollars associated with each vacant position at the onset of the Executive Order. This information was taken from the State of NH Budget System for State FY 2015. This estimate does not reflect pay increases from Collective Bargaining agreements for FY 2014-2015. The heading "**Total General Fund Vacancy Appropriations Available**" is used as a reference only and does not reflect the possibility that these dollars may have been earmarked for back of the budget reductions, department management toward end of the fiscal year lapse targets, or Executive Order 2014-9 which required appropriation reductions from multiple sources which included positions. **The population of positions that have some percentage of general funds which are impacted by this Executive Order is approximately 3200. The number of vacancies in this group totaled 262 at the beginning of the Executive Order waiver period with the final count as of June 30, 2015 at 326.** Law enforcement and direct and custodial care positions are exempt from this executive order.

(2) The estimated salary and benefit costs of the waivers granted are representative of the impact to FY 2015 for each individual actually hired from their first date of employment through the end of the year. The position waiver detail attached reflects no general fund impact if the position remained vacant at the time of the reporting cutoff of June 30, 2015.

(3) This general fund amount reflects the estimate of DOIT as to the funding at the source in the respective agency's Class 027 lines "Transfers to DOIT", not in the DOIT budget classes for salary and benefits for vacancies at the onset of the Executive Order.

(4) This general fund amount reflects the estimate of DOIT as to the funding at the source for each position in the respective agency's Class 027 lines "Transfers to DOIT" based on the actual hire date for the balance of FY 2015.

(5) Executive Order 2014-2 only requires the reporting of waivers granted for Equipment supported by general funds. The committee has chosen to also include requests for equipment which are appropriated in Class 037-Technology Hardware at the Dept of Information Technology. When a request to use class 037 appropriations are approved, the estimated general fund amount per DOIT is included in the 'General Fund Cost' column of the detail list provided. This general fund amount reflects the estimate of DOIT as to the funding at the source in the respective agency's Class 027 lines "Transfers to DOIT", not in the DOIT budget classes for Class 037-Technology Hardware.

Department of Administrative Services
 Budget Office
 Approved Statewide Classified & Unclassified Position Waiver Detail
 for the period 3/7/15 through 6/30/15
 (Excludes DoIT)

Dept. #	Code	Position #	Position Title	Revised FY 2015 GF Impact	Reversal of previously reported impact in Fiscal item # FIS-15069
Adjutant General					
012	H--308	41564	Program Assist	\$ 11,413	(H-106) -\$12,907
Sub- Total				\$ 11,413	(\$12,907)
Dept. of Administrative Services					
014	H-330	18428	College Assoc. Professor	\$ 59,721	(H-055) -\$63,742
	H-313	10080	Financial Reporting Admin II	\$ 86,123	(H-057) -\$117,601
	H-303	19202	Case Technician I	\$ 11,309	
	H-344	41836	Financial Reporting Admin II	\$ -	
	H-324	17405	Administrator IV	\$ -	
	H-321	21599	Public Works Project Mgr. III	\$ -	
	H-320	18012	Human Resource Spec. II	\$ -	
	H-329	44016	Energy Project Mgr	\$ -	
	H-114	43180	Purchasing Manager	\$ 23,093	(H-114) \$0
	H-214	17414	Administrator III	\$ 30,732	(H-214) \$0
	H-224	10078	Administrative IV Bus. Supervisor	\$ 25,410	(H-224) \$0
	H-229	10083	SW Asst. Payroll Manager	\$ 18,104	(H-229) \$0
	H-231	30011	Fin. Data Admin. II	\$ 16,948	(H-231) \$0
	H-294	19205	Supervisor I	\$ 15,071	(H-294) \$0
	H-301	41881	Case Technician II	\$ 21,869	(H-301) \$0
Sub- Total				\$ 308,379	(\$181,343)
Agriculture					
018	H-331	16700	Pesticides Certification coord.	\$ -	
Sub- Total				\$ -	
Real Estate					
028	H-291	18093	Real Estate Comm. Investigator	\$ 8,194	(H291) \$0
Secretary of State					
032	H-318	44017	Records Mgr.	0	
Sub- Total				0	

Dept. #	Code	Position #	Position Title	Revised FY 2015 GF Impact	Reversal of previously reported impact in Fiscal item # FIS-15069
DRED					
035	H-319	11444	Chief Accountant	\$ -	
	H-209	40050	Industrial Agent @ Large	\$ 15,445	(H-209) \$0
Sub- Total				\$ 15,445	
Veterans Home					
043	H-324	19485	Medical Records Technician	\$ -	
Sub- Total				\$ -	
Corrections					
046	H-327	12917	Administrator III	\$ -	
	H-312	41507	Victim /Witness Specialist	\$ -	
	H-311	12899	Admin II	\$ -	
	H-310	19895	Systems Develop. Spec. IV		
	H-098	18574	Accountant III	\$ 14,814	(H-098) \$0
	H-259	19542	Sr. Acct. Technician	\$ 12,857	(H-259) \$0
	H-261	12934	Hearings Officer	\$ 3,921	(H-261) \$0
Sub- Total				\$ 31,592	
* Dept of Education					
056	H-343	13188	Account Technician	\$ 3,775	(H-122) \$0
	H-323	13176	Accountant I	\$ 4,555	
	H-336	41258	Educcational Consultant I	\$ -	
Sub- Total				\$ 8,330	
Veterans Council					
066	H-307	17075	Veterans Service Officer	\$ 5,501	(H-235) -\$23,270
Sub- Total				\$ 5,501	(\$23,270)
HHS: Attached Boards					
074	H-322	13065	Secretary II	\$ -	
Sub- Total				\$ -	
Human Rights					
076	H-306	17081	Anti-Discrimination Investigator	\$ -	
Sub- Total					

Dept. #	Code	Position #	Position Title	Revised FY 2015 GF Impact	Reversal of previously reported impact in Fiscal item # FIS-15069	
Dept of Revenue Administration						
084	H-296	19229	Tax Auditor IV	\$ 7,757		
	H-314	43322	Systems Development Spec IV	\$ -		
	H-304	19966	Data control Clerk III	\$ -		
	H-302	40827	Accountant Clerk III	\$ -		
	H220	30527	Tax Forms Examiner	\$ 17,901	(H-220)	\$0
	H-254	18951	Data control Clerk III	\$ 10,487	(H-254)	\$0
	H-292	14482	Compliance Officer II	\$ 17,100	(H-292)	\$0
	H-295	18949	Tax Field Auditor I	\$ 5,835	(H-295)	\$0
	Blanket Waiver	*9U586	Multi-entity Tax Auditor	\$ 20,245		
	Blanket Waiver	18951	Data control Clerk III	\$ 10,770		
	Blanket Waiver	14482	Compliance Officer II	\$ 11,964		
Sub- Total				\$ 102,060		
Dept. of Health and Human Services						
095	Blanket Waiver	12282	Administrator II	\$ 8,809	(H-193)	\$0
	Blanket Waiver	14812	Administrator II	\$ 7,244	(H-199)	\$0
	Blanket Waiver	40452	Employee Assistance Program	\$ 3,553	(H-149)	-\$16,496
	Blanket Waiver	16431	Laborer	\$ 4,225	(H-056D)	-\$30,807
	Blanket Waiver	12211	Supervisor V	\$ 1,504	(H-239)	\$0
	Blanket Waiver	16596	Secretary II	\$ 4,080	(H-118)	-\$44,891
	Blanket Waiver	*9U415	Mgr. of Administrative Hearings	\$ 5,480		
	Blanket Waiver	18527	Juvenile Probation & Parole Offic. IV	\$ 13,369		
	Blanket Waiver	12399	Business Sys. Analyst I	\$ 9,721		
	Blanket Waiver	15730	Switchboard Operator I	\$ 5,446		
	Blanket Waiver	16244	Ombudsman	\$ 7,268		
	Blanket Waiver	40098	Child Protective Svc. Wkr II	\$ 6,734		
	Blanket Waiver	41006	Program spec. III	\$ 7,294		
	Blanket Waiver	*9U404	Medicaid Director	\$ 8,030		
	Blanket Waiver	17384	Grants Program Coord.	\$ 9,363		
	Blanket Waiver	43587	Pro. Planner III	\$ 853		
	Blanket Waiver	16390	Dietitian Assit.	\$ 9,511		
	Blanket Waiver	18754	Juv Prob. & Parole Off IV	\$ 14,005		

Dept. #	Code	Position #	Position Title	Revised FY 2015 GF Impact	Reversal of previously reported impact in Fiscal item # FIS-15069
	Blanket Waiver	41048	Clerk Interviewer	\$ 5,983	
	Blanket Waiver	16405	Stock Clerk II	\$ 2,682	
	Blanket Waiver	18507	Supervisor VI	\$ 15,969	
	Blanket Waiver	*9U477	Service Specialist (Child/Youth)	\$ 8,575	
	Blanket Waiver	11773	Child Protective Svc Wkr III	\$ 3,507	
	Blanket Waiver	11798	Child Protective Svc Wkr IV	\$ 2,746	
	Blanket Waiver	11896	Child Protective Svc Wkr III	\$ 6,706	
	Blanket Waiver	30832	Stock Control Supervisor	\$ 6,744	
	Blanket Waiver	16437	Health Facilities Cleaner II	\$ 5,115	
	Blanket Waiver	42097	Child Protective Svc Wkr III	\$ 9,805	
	Blanket Waiver	12725	Child Support Specialist	\$ 2,204	
	Blanket Waiver	11839	Child Protective Svc Wkr II	\$ 8,912	
	Blanket Waiver	15817	Admin. II	\$ 14,484	
	Blanket Waiver	42904	Program Planner II	\$ 15,776	
	Blanket Waiver	12798	Secretary II	\$ 800	
	Blanket Waiver	14796	Licensing & Eval Coord.	\$ 982	
	Blanket Waiver	18508	Supervisor VI	\$ 11,019	
	Blanket Waiver	*9U381	Director (Children/Youth)	\$ 14,089	
			Sub- Total	\$ 262,586	(\$92,194)
			*Total Unclassified General Fund Impact	\$ 56,419	
			Total Classified General Fund Impact	\$ 697,082	(\$309,714)
			Classified General Fund Impact Previously reported in FIS #15069	\$ 4,193,555	
			Total Classified GF Impact	\$ 4,947,056	
			Reversal of previously reported impact		(\$309,714)
			Total Classified FY 2015 Estimated General Fund Impact	\$ 4,637,342	

Blanket Waivers were granted to the Dept. of Revenue and the Dept. of Health and Human Services contingent upon the departments' commitment to meet fiscal year 2015 general fund lapse targets and to leave 35 positions vacant (Dept. of Revenue) and 250 positions vacant (Dept. of Health and Human Services) for the balance of the fiscal year.

Department of Administrative Services - Budget Office
Unclassified Positions Approved by the Governor and Executive Council
For the Period 3/7/15 through 6/30/15

Person	Position Number	Position Title	Department	Appointment Date	Salary Grade, Step	Annual Salary Eff. 1/9/2015	FY 2015 Projected Salary and Benefit General Fund Impact
Vicki V. Quiram	9U018	Commissioner	Dept of Administrative Services	04/22/15	LL, Maximum	\$ 123,278	\$ 13,269
Gerard J. Murphy	9U022	Comptroller	Dept of Administrative Services	03/25/15	Special	\$ 115,006	\$ 35,067
Doreen A.D. Wittent	9U113	Director, Div of Administr	Dept of Corrections	03/13/15	GG, Maximum	\$ 96,150	\$ 30,143
Paula L. Mattis	9U296	Non-Medical Director	Dept of Corrections	03/13/15	HH, Maximum	\$ 104,729	\$ 30,537
Michelle T. Goings	9U492	Warden, Northern Corr Fac	Dept of Corrections	03/13/15	HH, Step 2	\$ 89,197	\$ 27,908
Denis C. Goulet	9U387	Commissioner/CIO	Dept of Information Technology	03/13/15	LL, Maximum	\$ 123,278	\$ 12,405
Kevin P. O'Brien	9U066	Assistant Commissioner	Dept of Safety	04/22/15	JJ, Step 4	\$ 110,989	\$ 8,273
			Total Unclassified General Fund Impact				\$ 157,602
			Unclassified General Fund Impact Previously Reported in FIS #15069				\$ 520,699
			Total Unclassified FY 2015 Estimated General Fund Impact				\$ 678,301

**Department of Administrative Services
Budget Office
Department of Information Technology (DoIT) Position Waiver Detail
for the period 3/7/15 through 6/30/15**

Dept. of Information Technology				
Agency #	Code	Position #	Position Title	FY 2015 Projected Salary and Benefit General Fund Impact
003	H-316	12411	Sys. Develop Spec VI	\$ 3,536
	H-326	12455	Sys Develop Spec V	\$ -
	Blanket Waiver	40601	Tech Supp. Spec III	\$ 2,589
*Total General Fund Impact				\$ 6,125
*Total General Funds Previously Reported in Fiscal Item #FIS-15069				\$ 369,034
* FY 2015 DoIT Total General Fund Impact				\$ 375,159
Total Original General Fund FY2015 Vacancy Appropriation Available				\$ 829,218
Remaining Balance				\$ 454,059

* Based upon source of Funding at specific departments (Class 27)

**Department of Administrative Services
Budget Office
Class 30 Statewide Equipment Waiver Detail Fiscal Year 2015
3/7/15 thru 6/30/15**

Agency No.	Equipment Description	Waiver No.	Total Class 30 Cost of Request	General Fund %	Class 30 General Fund Cost
007-Family Mediator Bd.	Laptop, software	E098	\$ 1,148.00	100%	\$ 1,148.00
014 - Dept. of Administrative Serv.	Air conditioner	E-130	\$ 700.00	100%	\$ 700
014 - Dept. of Administrative Serv.	8 air conditions	E-134	\$ 4,799.84	100%	\$ 4,800
023-Dept. of Safety	Combustion Analyzer	E-097	\$ 1,195.00	100%	\$ 1,195
023-Dept. of Safety	License card printer	E-129	\$ 2,023.00	100%	\$ 2,023
031-Joint Board	2015 Jeep Patriot	E-100	\$ 18,165.00	100%	\$ 18,165
038-Treasury	Chair Ergonomic	E-096	\$ 408.91	100%	\$ 409
043 - NH Veterans Home	sander for winter treatment	E-099	\$ 5,299.00	100%	\$ 5,299
043 - NH Veterans Home	Convection oven	E-133	\$ 8,189.00	100%	\$ 8,189
043 - NH Veterans Home	2 refrigerators + removal of old	E-136	\$ 1,228.00	100%	\$ 1,228
046 - Dept of Corrections	Chevy Impala (4)	E014	\$ 77,876.00	100%	\$ 77,876
046 - Dept of Corrections	Commercial Washer	E-135	\$ 1,358.00	100%	\$ 1,358
074 - DDHS Attached Boards	Surplus Vehicle	E-119	\$ 400.00	100%	\$ 400
095-DHHS	1 color printer	E-111	\$ 1,594.00	60%	\$ 956
095-DHHS	9 portable scanners	E-131	\$ 1,350.00	50%	\$ 675
095-DHHS	Various surplus Kitchen Equipment.	E-132	\$ 18,971.40	66%	\$ 12,521
095-DHHS	Sit to Stand Workstation	E-137	\$ 915.00	46%	\$ 421
Total General Funds All Agencies March 7-June 30, 2015				Total	\$ 136,215
Total General Funds Reported to Fiscal in Item #FIS-15069				Total	\$ 172,915
Total General Funds All Agencies FY 2015				Subtotal	\$ 309,130
FY 2015 Statewide Total Class 30 Hardware General Fund Available				Total	\$ 2,018,199
Remaining Available Balance					\$ 1,709,069

Department of Administrative Services
 Budget Office
 Department of Information Technology (DoIT)
 Class 37 Technology Hardware Waiver Detail Fiscal Year 2015
 3/7/15 thru 6/30/15

Agency No.	Technology Hardware Description	Waiver No.	Total Class 37 Cost of Request	General Fund %	Class 37 General Fund Cost
003 - Department of Information Technology	3 printers for DOC	E-075	\$4,242.00	100%	\$ 4,242
	23 Laptops, 23 docking stations, 33 22" monitors, 10 printers for DHHS NH Hospital Med Records	E-078	\$32,824.00	58%	\$ 19,038
	Add'l disk space for mainframe for DHHS	E-080	\$94,800.00	58%	\$ 54,984
	Add'l memory	E-087	\$50,717.00	58%	\$ 29,416
	4 Switches	E-107	\$112,692.00	39%	\$ 43,950
	Virtual server DHHS	E-109	\$ 17,541.00	58%	\$ 10,174
	Cisco ASA Firewall for DHHS	E-113	\$ 3,688.00	58%	\$ 2,139
	Cisco POE Switch for DHHS	E-114	\$ 3,891.00	58%	\$ 2,257
	2 Standard Desktop PC/Monitor for Adjutant General	E-115	\$ 1,702.00	100%	\$ 1,702
	Smartnet Maint.	E-117	\$ 81,307.00	36%	\$ 29,271
	Cisco Catalyst Switches, cabling for DOC	E-118	\$ 2,918.00	100%	\$ 2,918
	Disk space	E-124	\$ 6,472.00	38%	\$ 2,459
	Tape drive replacement & maint for DHHS	E-126	\$ 6,298.00	58%	\$ 3,653
	UPS phone system NH Veterans Home	E-128	\$ 780.00	33%	\$ 257
Total General Funds					\$ 206,459
*Total General Funds Reported to Fiscal in Item #FIS-15069					\$ 830,852
Total General Funds FY 2015					\$ 1,037,311
*FY 2015 DoIT Class 37 General Fund Budget					\$ 2,278,518
Remaining Balance					\$ 1,241,207

* Based upon source of Funding at specific departments (Class 27)

**Department of Administrative Services
Budget Office
Class 80 Out of State Travel Waiver Summary Fiscal Year 2015
3/7/15 thru 6/30/15**

Agency No.	Dates of Travel	Destination	Waiver No.	Total Cost of Trip	General Fund %	General Fund Cost
002-Office of Energy & Planning						
	4/9/2015	Lincoln, RI	T-049	\$ 189	25%	\$ 47
Sub-Total						47
003-Dept. of Info. Technology						
	3/29-4/1 2015	San Jose, CA	T-050	\$ 1,400	37%	515
	5/30-6/4/2015	Kissimmee, FL	T-051	\$ 1,673	100%	1,673
	6/24-6/25	Boston	T-058	\$ 150	37%	56
Sub-Total						2,244
012 - Adjutant General						
	6/15-19/2015	Washington DC	T-55	\$ 300	100%	\$ 300
Sub-Total						\$ 300
020 - Justice						
	6/16-6/18	San Diego, CA	T-57	\$ 2,142	100%	\$ 2,142
Sub-Total						\$ 2,142
023 - Dept. of Safety						
	3/15-1/15	Blanket Waiver	T-002	\$ 584.30	100%	\$ 584
Sub-Total						\$ 584
035 - Dept. of Resources & Economic Development						
	3/7-1/15	Blanket Waiver	T-001	\$ 964.34	100%	\$ 964
Sub-Total						\$ 964
084 - Dept. of Revenue						
	3/7-1/15	Blanket Waiver	T-007	\$ 52,257.82	100%	\$ 52,258
	05/19-21/15	Niagra Falls, NY	T-048	\$ 2,175	100%	\$ 2,175
	6/14-18/15	Minneapolis, MN	T-058	\$ 4,900	100%	\$ 4,900
Sub-Total						\$ 59,333

Department of Administrative Services
 Budget Office
 Class 80 Out of State Travel Waiver Summary Fiscal Year 2015
 3/7/15 thru 6/30/15

Agency No.	Dates of Travel	Destination	Waiver No.	Total Cost of Trip	General Fund %	General Fund Cost
095 - Dept. of Health & Human Services						
	3/12/2015	Marlborough, MA	T-046	\$ 340	10%	\$ 34
	6/15-18/15	Towson, Maryland	T-053	\$ 2,030	9%	\$ 183
	3/15-1/15	Blanket Waiver/DHHS	T-004	\$ 5,939	varies	\$ 5,939
	3/15-1/15	Blanket Waiver/BEAS	T-032	\$ 307	85%	\$ 261
Sub-Total						\$ 6,417
Total General Funds						\$ 72,030
**Total General Funds Reported to Fiscal in Item #FIS-15069						\$ 149,818
Total GF FY 2015						\$ 221,848
Total Travel General Funding Available 7/1/14						\$ 424,437
Remaining balance						\$ 202,589

** Total includes \$667 of FY 2014 travel

State of New Hampshire

FIS 15 069

DEPARTMENT OF ADMINISTRATIVE SERVICES
OFFICE OF THE COMMISSIONER
25 Capitol Street – Room 120
Concord, New Hampshire 03301

LINDA M. HODGDON
Commissioner
(603) 271-3201

JOSEPH B. BOUCHARD
Assistant Commissioner
(603) 271-3204

March 31, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

INFORMATIONAL ITEM

This informational item is being presented to the Fiscal Committee in compliance with Executive Order 2014-02 for the period of May 22, 2014 through March 6, 2015.

EXPLANATION

Executive Order 2014-02 reads as follows:

"The hiring, purchasing, equipment and out-of-state travel freeze directed by this order shall remain in effect until June 30, 2015 or until terminated under the provisions of RSA 9:16-b; provided, however, that individual exceptions to any of the above provisions may be requested by any agency in writing to the Governor. Any exceptions granted by the Governor shall be transmitted to the fiscal committee."

The attached documentation provides detail of the exceptions to Executive Order 2014-02, which has been granted through the Office of the Governor. The report period covered is from May 22, 2014 through March 6, 2015.

Governor Margaret Wood Hassan has delegated her waiver-granting authority to a committee consisting of Gerard Murphy, when he was Governor's Budget Director; Meredith Telus, current Governor's Budget Director; Linda Hodgdon, Commissioner, Department of Administrative Services; Teri Blouin, Business Supervisor, Department of Administrative Services-Budget Office; and Sara Willingham, Director of Personnel, Department of Administrative Services (personnel waivers only).

The intent of this informational item is to inform the fiscal committee of the general court of the exceptions to this Executive Order, as granted by the committee members based on the protocol established by the Department of Administrative Services and the Office of the Governor. Unclassified positions approved by the Governor and Executive Council during this period are also included in the information provided.

Sincerely


Joseph Bouchard
Assistant Commissioner

Department of Administrative Services
 Budget Office
 Waiver Summary Fiscal Year 2015
 Executive Order 2014-02
 For the period May 22, 2014 through March 6, 2015

<u>STATEWIDE POSITIONS</u>		FY 2015 General Fund Dollar Value
Total General Fund Vacancy Appropriations Available (1)	ESTIMATED	\$ 11,341,531
Anticipated cost of waivers approved (2)	Classified	\$ 4,193,555
Anticipated cost of waivers approved (2)	Unclassified	\$ 344,977
Anticipated cost of Gov. and Exec.Cncl. approvals (2)	Unclassified	\$ 520,699
Remaining Balance From Original Budgeted Amount		\$ 6,282,300

<u>DEPT. OF INFORMATION TECHNOLOGY (DOIT) POSITIONS</u>		
Total General Fund Vacancy Appropriations Available (3)	ESTIMATED	\$ 829,218
Anticipated cost of waivers approved (4)	Classified	\$ 369,034
Remaining Balance		\$ 460,184

<u>STATEWIDE - CLASS 30 EQUIPMENT (5)</u>		
Total General Fund Appropriation Budget		\$ 2,018,199
Anticipated cost of waivers approved		\$ 172,915
Remaining Balance		\$ 1,845,284

<u>DOIT- CLASS 37 TECHNOLOGY HARDWARE (5)</u>		
Total General Fund Appropriation Estimated Budget		\$ 2,278,518
Anticipated cost of waivers approved		\$ 830,852
Remaining Balance		\$ 1,447,666

<u>STATEWIDE - CLASS 080 OUT OF STATE TRAVEL</u>		
Total General Fund Appropriation Budget		\$ 424,437
Anticipated cost of waivers approved		\$ 149,818
Remaining Balance		\$ 274,619

(1) The total general fund appropriation available represents only the individual general fund dollars associated with each vacant position at the onset of the Executive Order. This information was taken from the State of NH Budget System for State FY 2015. This estimate does not reflect pay increases from Collective Bargaining agreements for FY 2014-2015. The heading "Total General Fund Available Balance" is used a reference only and does not reflect the possibility that these dollars may have been earmarked for back of the budget reductions, department management toward end the fiscal year lapse targets, or Executive Order 2014-9 which required appropriation reductions from multiple sources which included positions. The population of positions that have some percentage of general funds which are impacted by this Executive Order is approximately 3200. The number of vacancies in this group totaled 262 at the beginning of the Executive Order waiver period with the current count as of March 6, 2015 at 338. Law enforcement and direct and custodial care positions are exempt from this executive order.

(2) The estimated salary and benefit costs of the waivers granted are representative of the impact to FY 2015 for each individual actually hired from their first date of employment through the end of the year. The position waiver detail attached reflects no general fund impact if the position remained vacant at the time of the reporting cutoff of March 6, 2015.

(3) This general fund amount reflects the estimate of DOIT as to the funding at the source in the respective agency's Class 027 lines "Transfers to DOIT", not in the DOIT budget classes for salary and benefits for vacancies at the onset of the Executive Order.

(4) This general fund amount reflects the estimate of DOIT as to the funding at the source for each position in the respective agency's Class 027 lines "Transfers to DOIT" in anticipation of a hiring date for the balance of FY 2015, not based on the actual hire date for the balance of FY 2015.

(5) Executive Order 2014-2 only requires the reporting of waivers granted for Equipment supported by general funds. The committee has chosen to also include requests for equipment which are appropriated in Class 037-Technology Hardware at the Dept of Information Technology. When a request to use class 037 appropriations are approved, the estimated general fund amount per DOIT is included in the 'General Fund Cost' column of the detail list provided. This general fund amount reflects the estimate of DOIT as to the funding at the source in the respective agency's Class 027 lines "Transfers to DOIT" not in the DOIT budget classes for Class 037-Technology Hardware.

Department of Administrative Services
 Budget Office
 Approved Statewide Classified Position Waiver Detail
 for the period 5/22/14 through 3/6/15
 (Excludes DoIT)

Agency #	Code	Position #	Position Title	FY 2015 Projected Salary and Benefit General Fund Impact as of 3-6-15
Executive Office				
002	H-143	10023	Senior Planner	\$ 63,633
002	H-293	16662	Prog. Spec. III Access Specialist	\$ -
Sub- Total				\$ 63,633
Adjutant General				
012	H-106	41564	Program Assistant I	\$ 12,907
012	H-144	19891	Maintenance Mechanic II	\$ 10,936
012	H-216	19890	Program Asst. II	\$ 83,912
Sub- Total				\$ 107,755
Dept. of Administrative Services				
014	H-051	43182	Financial Analyst	\$ 69,118
014	H-052	17406	Accountant IV	\$ 88,919
014	H-053	10100	Sr HR Tech	\$ 56,674
014	H-055	18428	Tech Inst College Assoc Professor	\$ 63,742
014	H-057	10080	Financial Reporting Admin II	\$ 117,601
014	H-060	10102	Acct IV - Statewide PR	\$ 49,004
014	H-114	43180	Purchasing Manager	\$ -
014	H-134	18011	Deputy Director, DOP	\$ 64,672
014	H-148	10116	Purchasing Agent	\$ 33,626
014	H-180	10109	Purchasing Agent	\$ 41,357
014	H-181	10231	Financial Data Specialist II	\$ -
014	H-210	16699	Personnel Appeals Board Administrator	\$ 3,105
014	H-212	18429	Statewide Payroll Manager	\$ 66,363
014	H-214	17414	Administrator III	\$ -
014	H-224	10078	Administ. IV (Bus Supv	\$ -
014	H-228	10122	Security Officer I	\$ 24,246
014	H-229	10083	SW Asst Payroll Manager	\$ -
014	H-231	30011	Fin. Data Administrator II	\$ -
014	H-233	10085	Agency Records Audit	\$ 19,125
014	H-294	19205	Supervisor I	\$ -
014	H-300	16699	Personnel Appeals Board Administrator	\$ -
014	H-301	41881	Case Technician II	\$ -
Sub- Total				\$ 697,552

Agency #	Code	Position #	Position Title	FY 2015 Projected Salary and Benefit General Fund Impact as of 3-6-15
Agriculture				
018	H-265	10286	Inspector	\$ -
Sub- Total				\$ -
Attorney General-DOJ				
020	H-110	18031	Paralegal II	\$ 24,062
020	H-111	18035	Legal Secretary III	\$ 15,790
020	H-112	20067	Paralegal II	\$ 32,331
020	H-113	18674	Victim Witness Specialist	\$ 19,673
020	H-175	10323	Administrative Secretary	\$ 21,099
020	H-218	41575	Financial Research Analyst I	\$ 7,462
020	H-223	10326	Legal Assistant	\$ 14,100
020	H-290	10336	Clerk Interviewer	\$ -
020	H-298	18035	Legal Secretary III	\$ -
Sub- Total				\$ 134,517
Real Estate				
028	H-108	41607	Program Assistant II	\$ -
028	H-291	18093	RE Comm Investigator	\$ -
Sub- Total				\$ -
Joint Board				
031	H-001	11348	Program Assistant I	\$ 34,836
031	H-107	40304	License Clerk	\$ 33,712
Sub- Total				\$ 68,548
DRED				
035	H-007	11478	Land Mapper Surveyor	\$ 68,690
035	H-008	42047	Supervisor IV	\$ 37,067
035	H-109	11491	Program Specialist IV	\$ 62,931
035	H-133	11522	Plumber Supervisor II	\$ 3,355
035	H-203	11451	Administrator IV	\$ 55,690
035	H-208	42055	Administrator III	\$ -
035	H-209	40050	Industrial Agent @ Large	\$ -
035	H-222	11445	Accountant I	\$ 15,774
Sub- Total				\$ 243,507
HHS : Human Services				
042	H-011	11758	Supervisor III	\$ 64,100
042	H-013	11885	Supervisor IV	\$ 60,536
042	H-017	12237	Child Support Officer	\$ 18,628

Agency #	Code	Position #	Position Title	FY 2015 Projected Salary and Benefit General Fund Impact as of 3-6-15
042	H-019	12425	Business Administrator III	\$ 23,752
042	H-028	16172	Program Specialist III	\$ -
042	H-065	11697	Accounting Technician	\$ 16,914
042	H-066	43489	Program Specialist IV	\$ 22,334
042	H-067	11837	Supervisor IV	\$ 36,990
042	H-068	41091	Child Support Officer	\$ 9,256
042	H-069	41089	Child Support Officer	\$ 8,652
042	H-070	40608	Administrator II	\$ 57,920
042	H-071	16660	Planning Analyst	\$ 22,898
042	H-149	40452	EAP Specialist	\$ 16,496
042	H-150	14607	Business Administrator IV	\$ -
042	H-161	19162	Program Specialist IV	\$ 12,981
042	H-184	19784	Case Technician Tr-I	\$ 7,021
042	H-185	18553	Supervisor IV	\$ 29,560
042	H-188	30320	Accounting Technician	\$ 13,263
042	H-190	43493	Planning Analyst	\$ 19,204
042	H-197	41092	Child Support Officer	\$ 1,247
042	H-198	40119	Fiscal Specialist Tr -I	\$ 15,847
042	H-200	15659	Program Specialist IV	\$ -
042	H-201	12263	Child Support Officer	\$ -
042	H-239	12211	Supervisor V	\$ -
042	H-246	11784	Admin IV	\$ 29,655
Sub- Total				\$ 487,254
Veterans Home				
043	H-061	11967	Admin IV	\$ 20,695
043	H-062	42636	Acct. Clerk II	\$ 43,426
043	H-206	19468	Stock Clerk III	\$ 19,293
043	H-217	41708	Switchboard Operator I	\$ 5,694
043	H-266	19316	Program Asst.	\$ -
Sub- Total				\$ 89,108

Agency #	Code	Position #	Position Title	FY 2015 Projected Salary and Benefit General Fund Impact as of 3-6-15
Dept. of Environmental Services				
044	H-256	42132	Tech Support GIS Manager	\$ -
044	H-257	42213	Engineer IV	\$ -
044	H-258	42214	Civil Engineer IV	\$ -
044	H-046	12102	Senior Clerk Interviewer	\$ 33,058
044	H-047	12073	Biologist I	\$ 44,078
044	H-135	18145	Wetlands Specialist	\$ 21,009
Sub- Total				\$ 98,145
HHS: Transitional Assistance				
045	H-020	12514	Administrator III	\$ -
045	H-030	19757	Supervisor III - Testing Unit/New Heights	\$ 33,300
045	H-031	40120	Program Specialist I - Tester	\$ 28,045
045	H-032	40315	Secretary II	\$ 24,538
045	H-034	40386	Administrator III, Financial Manager	\$ 17,060
045	H-056A	14927	Prog. Assist. I	\$ 30,807
045	H-056B	15703	Supervisor III	\$ 33,050
045	H-056C	15753	Supervisor III	\$ 33,050
045	H-056D	16431	Prog Assist. I	\$ 30,807
045	H-056E	40347	Supervisor III	\$ 33,050
045	H-056F	40372	Prog Assit. I	\$ 25,051
045	H-056G	42997	Training Coordinator	\$ 28,961
045	H-064	40969	Case Aide - DDU	\$ 17,049
045	H-072	19166	Supervisor V	\$ 24,660
045	H-077	43631	Program Assistant I	\$ 9,096
045	H-079	19815	Medical Services Consultant I	\$ -
045	H-080	19183	Training Coordinator	\$ -
045	H-157	14818	Business Systems Analyst II	\$ 29,574
045	H-165	43635	Clerk Interviewer	\$ 5,439
045	H-168	30321	Supervisor III - Seacoast	\$ -
045	H-183	12523	Program Specialist I - Tester	\$ -
045	H-195	12582	Supervisor V	\$ -
045	H-242	12652	Admin I	\$ 14,122
Sub- Total				\$ 417,659

Agency #	Code	Position #	Position Title	FY 2015 Projected Salary and Benefit General Fund Impact as of 3-6-15
Corrections				
046	H-063	42269	Human Resources Asst III	\$ 36,160
046	H-096	12961	Warehouse Superintendent	\$ -
046	H-097	42266	Accounting Technician	\$ 14,433
046	H-098	18574	Accountant III	\$ -
046	H-099	12894	Plant Maint. Engineer IV	\$ 36,258
046	H-100	40185	HR Coord. I	\$ 46,733
046	H-101	42268	Program Asst. II	\$ 25,353
046	H-139	18173	Program Asst. II	\$ 30,981
046	H-221	12815	Medical Records Techn	\$ -
046	H-259	19542	Sr. Acct Technician	\$ -
046	H-260	12853	Supv II	\$ -
046	H-261	12934	Hearings Officer	\$ -
Sub- Total				\$ 189,918
HHS: Office of Medicaid and Business Policy				
047	H-027	16120	Administrator IV	\$ 32,570
047	H-043	16227	Medicaid Managed Care Finance Director	\$ 38,656
047	H-155	12469	Program Specialist III	\$ 19,401
047	H-187	30902	Dental Benefit Administrator I	\$ 13,313
047	H-240	40141	Admin III	\$ -
Sub- Total				\$ 103,940
HHS: Bureau of Elderly and Adult Services				
048	H-015	12143	Internal Auditor II	\$ 15,194
048	H-038	42756	Medical Services Consultant II	\$ 15,083
Sub- Total				\$ 30,277
HHS: Div of Community Based Care Services				
049	H-117	12684	Program Planning and Review Specialist	\$ 13,328
049	H-118	16596	Program Planning and Review Specialist	\$ 44,891
049	H-119	40579	Program Planning and Review Specialist	\$ 17,628
049	H-193	12282	Administrator II	\$ -
049	H-236	42759	Admin III	\$ 27,492
049	H-244	42936	Sr Management Analyst	\$ -
Sub- Total				\$ 103,339

Agency #	Code	Position #	Position Title	FY 2015 Projected Salary and Benefit General Fund Impact as of 3-6-15
Dept of Education				
056	H-121	13177	Accountant IV	\$ 40,283
056	H-122	13188	Accounting Technician	\$ -
056	H-123	13170	Accountant I	\$ 34,543
056	H-124	13210	Administrator IV	\$ 91,238
056	H-125	41550	Administrator II	\$ -
056	H-126	13212	Education Consultant III, Mathematics Education	\$ 23,400
056	H-127	41551	Education Consultant I	\$ 50,641
056	H-128	43258	Education Consultant I	\$ 49,367
056	H-129	18581	Program Specialist III	\$ 56,092
Sub- Total				\$ 345,564
Veterans Council				
066	H-235	17075	Serv. Officer	\$ 23,270
Sub- Total				\$ 23,270
HHS: Attached Boards				
074	H-102	19881	Exec. Secretary	\$ 3,756
074	H-207	42831	Prog Spec IV	\$ 11,586
074	H-268	18659	Admin III	\$ -
Sub- Total				\$ 15,342
Dept of Revenue Administration				
084	H-103	14459	Municipal Accounts Auditor	\$ 59,640
084	H-104	40307	Compliance Officer II	\$ 34,253
084	H-105	14434	Supervisor V, Municipal Bureau	\$ 31,749
084	H-173	14451	Legal Aide	\$ 28,768
084	H-202	14480	Financial Analyst	\$ 47,168
084	H-220	30527	Tax Forms Examiner	\$ -
084	H-254	18951	Data Control Clerk III	\$ -
084	H-292	14482	Compliance Officer II	\$ -
084	H-295	18949	Tax Field Auditor I	\$ -
Sub- Total				\$ 201,578

Agency #	Code	Position #	Position Title	FY 2015 Projected Salary and Benefit General Fund Impact as of 3-6-15
HHS: Public Health				
090	H-073	42572	Administrator III	\$ 16,523
090	H-074	42909	Program Specialist IV	\$ 29,385
090	H-158	42839	Administrator IV	\$ 41,642
090	H-166	14790	Public Health Nurse Coordinator	\$ 35,485
090	H-191	19843	Administrator III	\$ 26,609
090	H-199	14812	Administrator II	\$ -
090	H-247	18588	Supervisor VII	\$ -
			Sub- Total	\$ 149,644
HHS: Glencliff Home				
091	H-083	12278	Administrator I	\$ -
091	H-084	14814	Business Systems Analyst II	\$ 35,206
091	H-194	30577	Business Administrator III	\$ -
091	H-278	40349	Admin III	\$ -
			Sub- Total	\$ 35,206
HHS: Bureau of Behavioral Health				
092	H-025	14972	Business Systems Analyst I	\$ 44,001
092	H-026	15729	Administrator IV	\$ -
092	H-049	14950	Program Specialist IV	\$ 2,966
			Sub- Total	\$ 46,967
HHS: Bureau of Developmental Services				
093	H-159	14984	Administrator II	\$ 33,227
			Sub- Total	\$ 33,227
HHS: New Hampshire Hospital				
094	H-042	12461	Program Planner II - Peer Support Specialist	\$ 13,141
094	H-075	18257	Accounting Technician	\$ 25,689
094	H-076	18358	Business Administrator III	\$ 16,567
094	H-169	15828	Program Specialist III	\$ 17,309
094	H-196	16507	Maintenance Mechanic II	\$ 21,488
			Sub- Total	\$ 94,194

Agency #	Code	Position #	Position Title	FY 2015 Projected Salary and Benefit General Fund Impact as of 3-6-15
HHS: Commissioner's Office				
095	H-010	11614	Payroll Officer I	\$ 46,408
095	H-016	12201	Systems Development Specialist IV	\$ 30,382
095	H-023	14589	Administrative Assistant I	\$ 25,365
095	H-024	14670	Licensing and Evaluation Coordinator	\$ 2,624
095	H-029	16602	Quality Control Reviewer	\$ 13,535
095	H-041	12160	Human Resources Assistant	\$ 22,328
095	H-044	19618	Program Specialist I	\$ 30,269
095	H-048	43484	Financial Agent I	\$ 34,761
095	H-087	40531	Supervisor IV	\$ 34,788
095	H-088	12341	Accountant I	\$ 24,010
095	H-091	43486	Financial Agent I	\$ 18,757
095	H-092	43488	Program Assistant II	\$ 13,918
095	H-093	14639	Licensing and Evaluation Coordinator	\$ 6,819
095	H-095	12362	Paralegal II	\$ 21,705
095	H-153	15933	Administrator III	\$ -
095	H-154	42921	Program Specialist IV	\$ 10,920
095	H-163	42995	Licensing and Evaluation Coordinator	\$ 4,008
095	H-177	40399	Director of Accounting	\$ 34,603
095	H-189	16347	Program Specialist IV	\$ 18,390
095	H-192	12566	Medical Service Technician	\$ 6,772
095	H-230	14981	Sr. Human Resources Tech	\$ 13,049
095	H-238	42999	Medical Services Consultant II	\$ -
095	H-241	14595	Licensing & Evaluation Coordinator	\$ -
095	H-287	14738	Licensing & Evaluation Coordinator	\$ -
Sub- Total				\$ 413,411
Total FY 2015 Estimated GF Impact				\$ 4,193,555

Department of Administrative Services
Budget Office
Unclassified Positions Approved by the Waiver Committee Detail
for the period 5/22/14 through 3/6/15

Agency #	Position #	Description	Grade	FY 2015 Projected Salary & Benefit General Fund Impact as of 3/6 /15
042 - DHHS	9U414	Director, Division of Child Support Services	GG	\$ 37,428
042 - DHHS	9U381	DCYF Director	II	\$ 13,356
045 - DHHS	9U424	Client Services Administrator	FF	\$
049 - DHHS	9U401	Senior Finance Director, DCBCS	GG	\$ 14,849
084 - Revenue	9U586	Multi Entity Tax Auditor	CC	\$ 20,390
095 - DHHS	9U389	Chief Financial Officer	HH	\$ 85,622
095 - DHHS	9U382	Assistant Director of Policy and Administration	FF	\$ 65,113
095 - DHHS	9U422	Senior Health Policy Specialist	FF	\$ 46,314
095 - DHHS	9U464	Facilities Coordinators	EE	\$ 29,920
095 - DHHS	9U470	Ombudsman	FF	\$ 16,867
095 - DHHS	9U477	Communications Director	DD	\$
095 - DHHS	9U433	Senior Project Management Specialist	FF	\$ 15,118
095 - DHHS	9U379	Security Manager	DD	\$
095 - DHHS	9U425	Sr Proj Mgr	EE	\$
Total FY 2015 Estimated GF Impact:				\$ 344,977

Department of Administrative Services - Budget Office
 Unclassified Positions Approved by the Governor and Executive Council
 For the Period 5/22/14 through 3/6/15

Person	Position Number	Position Title	Department	Appointment Date	Salary Grade, Step	Annual Salary Eff. 1/9/2015	FY 2015 Projected Salary and Benefit General Fund Impact as of 3-6-15
Virginia A. Lupi	9U130	Director, Division of Arts	Dept of Cultural Resources	05/23/14	DD, Step 4	\$ 74,721	\$ 60,016
Eugene J. Forbes	9U103	Director, Division of Water	Dept of Environmental Services	05/23/14	HH, Maximum	\$ 104,729	\$ 136,088
Amy O. Bassett	9U497	Acting, Dir of Travel & Tourism	Dept of Resources & Economic Development	07/18/14	GG, Minimum	\$ 72,406	\$ 34,515
Steven J. Kelleher	9U387	Acting Commissioner/CIO	Dept of Information Technology	08/05/14	LL, Maximum	\$ 123,278	\$ 37,944
Lisa M. Pollard	9U021	Director of Plant & Property	Dept of Administrative Services	09/17/14	HH, Step 3	\$ 94,375	\$ 58,984
Brad W. Simpkins	9U093	Director of Forests & Lands	Dept of Resources & Economic Development	09/17/14	GG, Maximum	\$ 96,150	\$ 69,612
Helen E. Hanks	9U506	Assistant Commissioner	Dept of Corrections	09/17/14	JJ, Maximum	\$ 116,770	\$ 71,908
Victoria R. Cimino	9U497	Dir of Travel & Tourism	Dept of Resources & Economic Development	12/03/14	GG, Step 3	\$ 91,401	\$ 24,161
Kathleen B. Carr	9U520	Director of Administration	Dept of Justice	12/03/14	GG, Step 3	\$ 86,652	\$ 27,470
Annual Salaries + Total Projected Salary and Benefit General Fund Impact						\$ 860,483	\$ 520,699

Department of Administrative Services
Budget Office
All Positions Waiver Summary Fiscal Year 2015
For the period 5/22/14 thru 3/6/15
(Excludes DoIT)

Total Classified - General Fund Approved Waiver Amount	\$ 4,193,555
Unclassified - Committee Granted	\$ 344,977
Unclassified - Governor and Executive Council Granted	\$ 520,699
Sub Total	\$ 5,059,231
Total Original General Fund FY 2015 Vacancy Appropriation Available	\$ 11,341,531
Remaining Balance from Original Salary and Benefit Budget Amount	\$ 6,282,300

Department of Administrative Services
 Budget Office
 Department of Information Technology (DoIT) Position Waiver Detail
 for the period 5/22/14 through 3/6/15

Dept. of Information Technology				
Agency #	Code	Position #	Position Title	FY 2015 Projected Salary and Benefit General Fund Impact as of 3-6-15
003	H-085	14962	Bus. Sys. Analyst II	\$ -
	H-086	40966	Sys. Devel. Spec. VI	\$ -
	H-137	41126	Bus SYS Analyst II for DHHS	\$ -
	H-138	14708	Sys. Devel. Spec. II for DHHS	\$ 21,883
	H-227	12481	IT Manager III	\$ 37,631
	H-234	41144	Tech Supp. Spec. I	\$ 5,832
	H-264	40775	IT Manager IV	\$ 29,584
	H-269	41125	Sys Dev Spec VI	\$ -
	H-297	41674	Sys Dev Spec VI	\$ -
	Blanket Waiver	41170	Admin Asst. II	\$ 18,323
	Blanket Waiver	42513	Tech Support spec II	\$ 16,399
	Blanket Waiver	10191	Tech support Spec V	\$ 31,571
	Blanket Waiver	18161	IT Manager IV	\$ 40,767
	Blanket Waiver	11131	Grants/Contract Tech	\$ 15,815
	Blanket Waiver	18161	IT Manager IV	\$ 38,826
	Blanket Waiver	13235	Tech Supp Spec VI	\$ 19,321
	Blanket Waiver	16743	Tech Supp Spec VI	\$ 19,794
	Blanket Waiver	12481	IT Manager IV	\$ 37,631
	Blanket Waiver	41586	Tech Supp Spec III	\$ 8,590
	Blanket Waiver	40999	Tech Supp Spec VI	\$ 8,755
	Blanket Waiver	18982	Tech Supp Spec VI	\$ 8,839
	Blanket Waiver	10516	Tech Supp Spec VI	\$ 9,473
* FY 2015 DoIT Total Salary & Benefits General Fund Impact				\$ 369,034
Total Original General Fund FY2015 Vacancy Appropriation Available				\$ 829,218
Remaining Balance				\$ 460,184

* Based upon source of Funding at specific departments (Class 27)

**Department of Administrative Services
Budget Office**

**Class 30 Statewide Equipment Waiver Detail Fiscal Year 2015
5/22/14 thru 3/6/15**

Agency No.	Equipment Description	Waiver No.	Total Class 30 Cost of Request	General Fund, %	Class 30 General Fund Cost
014 - Dept. of Administrative Serv.	1 Air Conditioner	E-013	\$ 600.00	100%	\$ 600
014 - Dept. of Administrative Serv.	1 Workstation, Sit/Stand Desk	E-034	\$ 978.05	100%	\$ 978
043 - NH Veterans Home	1 Work table	E-024	\$ 450.00	100%	\$ 450
043 - NH Veterans Home	1 Oven/steamer	E-026	\$ 12,130.00	100%	\$ 12,130
043 - NH Veterans Home	1 EXIT transmitter tester	E-049	\$ 1,785.00	33%	\$ 589
043 - NH Veterans Home	3 Laundry carts	E-068	\$ 2,046.00	100%	\$ 2,046
043 - NH Veterans Home	2 Scales	E-069	\$ 8,296.00	33%	\$ 2,738
043 - NH Veterans Home	6 Tub & scale	E-070	\$ 43,167.00	33%	\$ 14,246
046 - Dept of Corrections	45 Interior Cameras, Exterior Cameras	E-050	\$ 8,638.00	100%	\$ 8,638
046 - Dept of Corrections	10 Ballistic Armor Sets w/ Carrier Vests	E-051	\$ 6,575.00	100%	\$ 6,575
046 - Dept of Corrections	10 Portable Hand-held Radios	E-053	\$ 2,129.00	100%	\$ 2,129
046 - Dept of Corrections	1 Laptop & Software	E-072	\$ 1,057.00	100%	\$ 1,057
074 - DDHS Attached Boards	1 Recorder & cables	E-071	\$ 701.00	100%	\$ 701
** 095 - DHHS	18 Touchscreen kiosks	E-012	\$ 22,949.60	100%	\$ 22,950
095 - DHHS	Surplus Chevy Colorado	E-010	\$ 540.00	66%	\$ 358
095 - DHHS	Surplus Chevy Silverado	E-011	\$ 300.00	66%	\$ 199
095 - DHHS	15 Air Conditioners	E-002	\$ 6,835.00	60%	\$ 4,101
095 - DHHS	Bariatric 86" bed w/mattress	E-040	\$ 2,146.00	100%	\$ 2,146
095 - DHHS	2 Manual Spring Deck Beds	E-042	\$ 723.70	100%	\$ 724
095 - DHHS	3 Low beds, full electric ultra light	E-043	\$ 1,342.11	100%	\$ 1,342
095 - DHHS	1 Geriatric, Handicap accessible bathtub	E-044	\$ 13,750.00	100%	\$ 13,750
095 - DHHS	20 Ballistic Vests	E-064	\$ 8,950.00	100%	\$ 8,950
095 - DHHS	fee(one time) + equip	E-066	\$ 36,458.00	27%	\$ 9,661
095 - DHHS	25 Equip/Furnishing- Crisis Unit NH Hospital	E-067	\$ 83,886.00	66%	\$ 55,616
095 - DHHS	Xerox multi-function machine	E-077	\$ 484.00	50%	\$ 242
Total General Funds All Agencies				SubTotal	\$ 172,915
FY 2015 Statewide Total Class 30 Hardware General Fund Available				Total	\$ 2,018,199
Remaining Available Balance					\$ 1,845,284

** E012 purchased prior to FY 2015 with FY 2014 Funds

Department of Administrative Services
 Budget Office
 Department of Information Technology (DoIT)
 Class 37 Technology Hardware Waiver Detail Fiscal Year 2015
 5/22/14 thru 3/6/15

Agency No.	Technology Hardware Description	Waiver No.	Total Class 37 Cost of Request	General Fund %	Class 37 General Fund Cost
003 - Department of Information Technology	Memory & maintenance/New Heights	E-004	\$ 51,017.00	60%	\$ 30,610
	8 Servers for Corrections	E-005	\$ 40,433.26	100%	\$ 40,433
	8 OptiPlex Desktops for Tax + Land Appeals	E-006	\$ 3,120.20	85%	\$ 2,652
	Desktop for DHHS	E-007	\$ 624.04	88%	\$ 548
	425 Desktop & 500 monitors for DHHS	E-008	\$ 349,912.00	58%	\$ 202,948
	35 Laptops, 35 mini docks for DHHS	E-009	\$ 32,235.35	58%	\$ 18,697
	LaserJet printer for DHHS	E-016	\$ 679.95	58%	\$ 394
	3 projectors for DOC	E-018	\$ 1,139.85	100%	\$ 1,140
	3 hard drives for DOC	E-019	\$ 1,136.61	100%	\$ 1,137
	CPU/monitor - Bd of Pharmacy	E-023	\$ 707.69	100%	\$ 708
	28 Servers	E-027	\$ 95,153.26	65%	\$ 61,850
	16 memory kits	E-028	\$ 4,029.60	58%	\$ 2,337
	17 hard drives for NH FIRST	E-029	\$ 19,797.91	100%	\$ 19,798
	Upgrades for 2 virtual server systems	E-030	\$ 56,726.28	58%	\$ 32,901
	4 servers @ DOC	E-031	\$ 28,792.00	100%	\$ 28,792
	Hardware maintenance - DHHS mainframe	E-032	\$ 200,115.57	49%	\$ 98,057
	Maintenance HR/multiple agencies	E-035	\$ 49,991.74	58%	\$ 28,995
	2 Disk drives for DHHS, DAS	E-036	\$ 85,987.00	70%	\$ 60,191
	20 Disk drives & 8 cables, upgrades for DOE & DoIT	E-039	\$ 29,100.00	35%	\$ 10,185
	15 Network Power Supply DOE	E-045	\$ 8,439.25	12%	\$ 1,013
	Maintenance DHHS printer w/usage costs	E-048	\$ 53,676.00	70%	\$ 37,573
	3 Servers & support	E-047	\$ 22,450.35	47%	\$ 10,552
	1 yr. Maintenance/central backup for DOE, DOC, DHHS	E-048	\$ 91,325.00	67%	\$ 61,188
	3 Server blades for NH Hospital + DOIT	E-055	\$ 37,699.00	40%	\$ 15,080

Department of Administrative Services
Budget Office
Department of Information Technology (DoIT)
Class 37 Technology Hardware Waiver Detail Fiscal Year 2015
5/22/14 thru 3/6/15

Agency No.	Technology Hardware Description	Waiver No.	Total Class 37 Cost of Request	General Fund %	Class 37 General Fund Cost
003 - Department of Information Technology	3 Scanners for DOC	E-056	\$ 1,308.00	100%	\$ 1,308
	disk maintenance renewal	E-059	\$ 14,234.00	43%	\$ 6,121
	On site Printer maintenance	E-60	\$ 1,399.00	58%	\$ 811
	imaging server maintenance DHHS	E-061	\$ 3,034.00	58%	\$ 1,760
	9 Rack Mount Batteries	E-073	\$ 3,240.00	100%	\$ 3,240
	Disk space/DHHS,DOIT, DAS	E-081	\$ 42,994.00	41%	\$ 17,628
	Disk space for DRA	E-082	\$ 32,205.00	100%	\$ 32,205
	Total General Funds FY 2015 Estimate				SubTotal
*FY 2015 DoIT Class 37 General Fund Budget				Total	\$ 2,278,518
Remaining Balance					\$ 1,447,666

* Based upon source of funding at specific departments (Class 27)

Department of Administrative Services
 Budget Office
 Out of State Travel Waiver Summary Fiscal Year 2015
 5/22/14 thru 3/6/15

Agency No.	Dates of Travel	Destination	Waiver No.	Total Cost of Trip	General Fund %	General Fund Cost
012 - Adjutant General						
	06/22-27/2014	Oklahoma City, OK	T-009	\$ 273	100%	\$ 273
	01/25-30/2015	Dayton, OH	T-037	\$ 1,128	25%	\$ 282
	2/21-2/26	Wash. DC	T-041	\$ 505	100%	\$ 505
Sub-Total						\$ 1,060
020 - Justice						
	7/21-7/25/14	Santa Fe, NM	T-015	\$ 2,263.00	25%	\$ 566
	11/30-12/3/14	FL Lauderdale FL	T-033	\$ 1,843	100%	\$ 1,843
	1/12-1/16/15	San Antonio, TX	T-036	\$ 1,790	25%	\$ 448
	3/24-3/26/15	Wash. DC	T-045	\$ 773	25%	\$ 193
Sub-Total						\$ 3,950
023 - Dept. of Safety						
	7/14-1/15	Blanket Waiver	T-002	\$ 3,509.65	100%	\$ 3,510
Sub-Total						\$ 3,510
031 - Joint Board						
	8/3/14-8/6/14	Austin, TX	T-010	\$ 1,650.00	100%	\$ 1,650
Sub-Total						\$ 1,650
035 - Dept. of Resources & Economic Development						
	7/14-1/15	Blanket Waiver	T-001	\$ 964.34	100%	\$ 964
	8/2-4/14	Baltimore Maryland	T-012	\$ 850	100%	\$ 850
	8/21/14	West Springfield, MA	T-013	\$ 200.00	100%	\$ 200
	9/7-9/14	Worcester, MA	T-020	\$ 765.00	100%	\$ 765
	1/18-23/15	New Orleans, LA	T-022	\$ 3,415.00	100%	\$ 3,415
	1/8-14/15	St. Louis, MO	T-023	\$ 8,515.00	100%	\$ 8,515
	11/8-12/14	Ottawa, ON, Canada	T-024	\$ 3,000.00	100%	\$ 3,000
	10/22-27/14	Montreal, Quebec, Canada	T-025	\$ 3,000.00	100%	\$ 3,000

Department of Administrative Services
Budget Office
Out of State Travel Waiver Summary Fiscal Year 2015
5/22/14 thru 3/6/15

Agency No.	Dates of Travel	Destination	Waiver No.	Total Cost of Trip	General Fund %	General Fund Cost
	9/10-30/14	West Springfield, MA	T-026	\$ 1,100.00	100%	\$ 1,100
	3/22-24/15	Wash. DC	T-044	\$ 2,000.00	100%	\$ 2,000
				Sub-Total		\$ 23,809
044 - Dept. of Environmental Serv.						
	** 6/6/14-6/8/14	Auburn, NY	T-003	\$ 773.38	51%	\$ 394
	8/6-8/8/14	Portland, ME	T-011	\$ 363.00	14%	\$ 51
				Sub-Total		\$ 445
084 - Dept. of Revenue						
	7/14-1/15	Blanket Waiver	T-007	\$ 69,384.59	100%	\$ 69,385
	8/16/2014-8/21/2014	Knoxville, TN	T-014	\$ 3,965.00	100%	\$ 3,965
	10/20/2014-10/23/2014	Burlington, VT	T-030	\$ 5,123	100%	\$ 5,123
	10/14/2014-10/16/2014	Denver, CO	T-031	\$ 2,632	100%	\$ 2,632
	2/22-25/15	Charlotte, NC	T-038	\$ 3,500	100%	\$ 3,500
	5/4-5/7/15	Seattle Wash.	T-043	\$ 5,700	100%	\$ 5,700
	5/31-6/4/15	Kissimmee FL	T-039	\$ 5,200	100%	\$ 5,200
				Sub-Total		\$ 95,505
095 - Dept. of Health & Human Services						
	10/22/2014-10/25/2014	Indianapolis, IN	T-016	\$ 3,940.00	26%	\$ 1,024
	8/20/14-8/25/14	Longmont, CO	T-005	\$ 1,085.00	9%	\$ 98
	7/7/14-7/10/14	Baltimore Maryland	T-006	\$ 2,267.00	10%	\$ 232
	8/19/14	Burlington, MA	T-017	\$ 50.00	63%	\$ 32
	9/28/2014-10/2/2014	Orlando, FL	T-028	\$ 1,803.25	30%	\$ 544
	7/14-1/15	Blanket Waiver/DHHS	T-004	\$ 17,820.39	varies	\$ 17,820
	7/14-1/15	Blanket Waiver/BEAS	T-032	\$ 211	85%	\$ 180
	11/17-21/14	Minneapolis, MN	T-034	\$ 3,400	10%	\$ 349
				Sub-Total		\$ 20,430

Department of Administrative Services
 Budget Office
 Out of State Travel Waiver Summary Fiscal Year 2015
 5/22/14 thru 3/6/15

Agency No.	Dates of Travel	Destination	Waiver No.	Total Cost of Trip	General Fund %	General Fund Cost
096 - Dept. of Transportation						
	8/29/14-6/30/15	Burlington, MA	T-018	\$ 360.00	100%	\$ 360
				Sub-Total		\$ 360
				** Total GF All Agencies		\$ 149,818
				Total Travel General Funding Available 7/1/14		\$ 424,437
				Remaining balance 3/6/15		\$ 274,619

** Total includes \$667 of FY 2014 travel